

# **Principles of Islamic Jurisprudence**

**by**

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## Preface

I. Apart from the fact that the existing works on Islamic Jurisprudence in the English language do not offer an exclusive treatment of *usul al-fiqh*, there is also a need to pay greater attention to the source materials, namely the Qur'an and *sunnah*, in the study of this science. In the English works, the doctrines of *usul al-fiqh* are often discussed in relative isolation from the authorities in which they are founded. Furthermore, these works tend to exhibit a certain difference of style and perspective when they are compared to the Arabic works on the subject. The *usul al-fiqh* as a whole and all of the various other branches of the Shari'ah bear testimony to the recognition, as the most authoritative influence and source, of divine revelation (*wahy*) over and above that of rationality and man-made legislation. This aspect of Islamic law is generally acknowledged, and yet the relevance of *wahy* to the detailed formulations of Islamic law is not highlighted in the English works in the same way as one would expect to find in the works of Arabic origin. I have therefore made an attempt to convey not only the contents of *usul al-fiqh* as I found them in Arabic sources but also the tone and spirit of the source materials which I have consulted. I have given frequent illustrations from the Qur'an, the *Sunnah* and the well-recognised works of authority to substantiate the theoretical exposition of ideas and doctrines. The works of the *madhahib*, in other words, are treated in conjunction with the authority in which they are founded.

II. The idea to write this book occurred to me in early 1980 when I was teaching this subject to postgraduate students at the Institute of Islamic Studies at McGill University in Montreal. But it was only after 1985 when I started a teaching post at the International Islamic University, Selangor, Malaysia, that I was able to write the work I had intended. I was prompted to this decision primarily by the shortage of English textbooks on Islamic jurisprudence for students who seek to acquire an intermediate to advanced level of proficiency in this subject. Works that are currently available in English on Islamic law and jurisprudence are on the whole generic in that they tend to treat a whole range of topics both on *usul al-fiqh* and the various branches of *fiqh* (i.e. *fiqh al-furu'*), often within the scope of a single volume. The information that such works contain on *usul al-fiqh* is on the whole insufficient for purposes of pursuing a full course of study on this subject. The only exception to note here, perhaps, is the area of personal law, that is, the law of marriage, divorce, inheritance, etc., which has been treated exclusively, and there are a number of English texts currently available on the subject. Works of Arabic origin on *usul al-fiqh* are, on the whole, exclusive in the treatment of this discipline. There is a selection of textbooks in Arabic, both classical and modern, at present available on this subject, ranging from the fairly concise to the more elaborate and advanced. Works such as 'Abd al-Wahhab Khallaf's *Ilm Usul al-Fiqh*, Abu Zahrah's *Usul al-Fiqh*, Muhammad al-Khudari's *Usul al-Fiqh*, and Badran's *Usul al-Fiqh al-Islami* are but some of the well-known modern works in the field. Classical works on *usul al-fiqh*, of which there are many, are, broadly speaking, all fairly elaborate, sometimes running into several volumes. I have relied, in addition to the foregoing, on al-Ghazali's *Al-*

*Mustasfa min 'Ilm al-usul*, al-Amidi's *Al-Ihkam fi Usul al-Ahkam*, al-Shatibi's *Al-Muwafaqat fi Usul al-Ahkam* and al-Shawkani's *Irshad al-Fuhul fi Tahqiq al-Haqq min 'Ilm al-Usul*. These are all devoted, almost exclusively, to the juridical subject matter of *usul al-fiqh*, and rarely, if ever, address the historical development of this discipline beyond such introductory and incidental references as the context may require. Arabic writers tend to treat the historical development of jurisprudence separately from the *usul al-fiqh* itself. There are several Arabic works of modern origin currently available on the history of jurisprudence and its various phases of development, namely the Prophetic period, the era of the Companions, the early schools of law in the Hijaz and Iraq, the emergence of the *madhahib*, the era of imitation (*taqlid*), and the call for a return to *ijtihad*. This discipline is generally known as '*tarikh al-tashri*' which, as the title suggests, is primarily concerned with the history of juristic thought and institutions. [Note for example al-Khudari's, *Tarikh al-Tashri' al-Islami*; al-Sabuni et al., *Al-Madkhal al-Fiqhi wa Tarikh al-Tashri' al-Islami*; al-Qattan's *Al-Tashri' wa al-Fiqh fi al-Islam: Tarikhan wa Manhajan*, and al-Nabhan's *Al-Madkhal li al-Tashri' al-Islami. Nish'atuh, Adwaruh al-Tarikhyyah, Mustaqbaluh*.

For full publication data see my Bibliography.] The Arabic texts on *usul al-fiqh* itself are on the whole devoted to a treatment of the sources, and methodology of the law, and tend to leave out its history of development.

The reverse of this is true with regard to works that are currently available on the general subject of Islamic jurisprudence in the English language. Works of Western authorship on this subject are, broadly speaking, primarily concerned with the history of jurisprudence, whereas the juridical subject matter of *usul al-fiqh* does not receive the same level of attention as is given to its historical development.

Bearing in mind the nature of the existing English literature on the subject, and the fact that there is adequate information available on the history of Islamic jurisprudence in English, the present work does not attempt to address the historical developments and instead focuses on *usul al-fiqh* itself.

Another point to be noted regarding works on Islamic jurisprudence in English by both Muslim and non-Muslim authors is that they are somewhat selective in their treatment of the relevant topics, and certain subjects tend to be ignored or treated only briefly. Consequently, information on some topics, such as the rules of interpretation, classification of words, commands and prohibitions, and textual implications (*al-dalalat*) is particularly brief and often non-existent in these works. Even some of the more familiar topics such as *qiyas*, *istihsan*, *istislah*, *istishab* and *saad al-dhara'i* are treated superficially in most of the English books that are currently in use. The reasons for such omissions are not always clear. The authors might have considered some of these topics to be somewhat technical and involved for English readers whose interest in *usul al-fiqh* has for a long time remained confined to general and introductory information on the subject. Some of these topics, such as the rules of interpretation, *al-dalalat* and the technicalities of *qiyas* which draw somewhat heavily on the use of Arabic terminology, might have been viewed in this light. The English-speaking student of Islamic studies has been perceived as someone who would have little use for technical detail on *usul al-fiqh*. This might at best offer a plausible explanation, but it is one which carries little weight, especially in view of the greater interest that has been more recently taken in Islamic legal studies in the West, as well as some of the English speaking institutions of higher learning that have emerged in Islamic

countries themselves. [Note for example the International Islamic University of Malaysia, and that of Islamabad, Pakistan, where *usul al-fiqh* is offered as a core subject both in the LL.B and the masters degree programmes.] Moreover, the fact that Islamic countries have in recent decades shown a fresh interest in developing greater harmony between the *Shari'ah* and statutory laws has also meant that practicing lawyers and judges in these countries are increasingly encouraged to enhance their expertise in the *Shari'ah* disciplines.

Modern Arabic writings on *usul al-fiqh* tend to differ from the older works on the subject in that the former take cognizance of recent developments both in the Muslim communities and beyond. Thus, the reader of many a modern work often comes across comments and comparisons which seek to explain the application and relevance of the *Shari'ah* doctrines to modern legislation, and to the principles of Western jurisprudence. Much to their credit, some ulema and writers of modern works have attempted to relate the classical formulations and doctrines of *usul al-fiqh* to the contemporary socio-legal conditions of their communities. There exists a level of concern over the gap that has gradually developed between the *Shari'ah* and modern law and over the fact that the problem still remains to be tackled.

There have also been attempts, be they in the form of individual reform proposals, a call for fresh *ijtihad* over particular issues, or formal resolutions adopted at national and international gatherings of scholars, which seek to tap the resources of *usul al-fiqh* in bridging the gap between the *Shari'ah* and modern conditions of society. A full account of such developments would fall well beyond the scope and objective of the present work. [For an account of the recent trends and developments in scholarly publications, conference resolutions, and the various periodicals and encyclopedias which are designed to promote such tendencies, the reader is referred to Muhammad Faruq al-Nabhan, *Al-Madkhal li al-Tashri al-Islami*, pp 342-407 and Manna al-Qattan, *Al-Tashri' wa al-Fiqh fi al-Islam*, pp. 311-355.] But in discussing certain doctrines such as *ijtihad*, *ijma'*, *istihsan* and *maslahah*, I have attempted to present the modern current of opinion, and occasionally my own views, as to how these principles could be utilised in contemporary legal and judicial processes. I have taken this liberty despite the awareness that it might fall beyond the brief of a work which seeks to be an exposition of the existing doctrines and institutions as they are. I wish to add here that I alone bear full responsibility for the propriety or otherwise of my views.

Furthermore, the recent Arabic texts on *usul al-fiqh* tend to treat their subject matter in a more consolidated and simplified form which makes it manageable to the modern student of law. These works are on the whole more concise by comparison with the earlier authorities on the subject. It is primarily in matters of format and style in which they differ from the older works. As for substantive matters, the modern works are normally expected to preserve the continuity of the earlier authorities, and the two are basically indistinguishable in this regard. Having said this, one might add further that the modern works tend to differ from their predecessors in one other respect, namely that the former tend to offer a more even-handed treatment of the views and doctrines of such schools of thought as the Mu'tazilah, the Shi'ah and the Zahiriyah, etc., and tend to treat ideas on merit rather than their formal acceptance and recognition by the established *madhahib*. In addition to the textbook materials on *usul*

*al-fiqh*, a number of legal encyclopedias have emerged in recent decades in Egypt and elsewhere, usually bearing the title *al-Mawsu'ah al-Fiqhiyyah* with the express purpose of offering a balanced treatment of the views and contributions of all the prominent schools of law. As a result, the relatively stronger orientation toward particular schools that is noticeable in the earlier works on *usul al-fiqh*, especially those that were authored after the crystallisation of the *madhahib*, is not a prominent feature of the modern works. A more open attitude has in fact emerged which seeks to move away from the sectarian bias that can be found in some earlier works, and it is no longer unusual for a Sunni scholar to write on Shi'i thought, scholars and institutions, with a view to highlighting their contributions to Islamic law and jurisprudence. The present writer welcomes this development, but if his own work fails to offer adequate coverage of the doctrines of the various schools, it is due solely to considerations of brevity and space which may be expected of a handbook of this size.

III. It is perhaps true to say that Islamic jurisprudence exhibits greater stability and continuity of values, thought and institutions when compared to Western jurisprudence. This could perhaps be partially explained by reference to the respective sources of law in the two legal systems. Whereas rationality, custom, judicial precedent, morality and religion constitute the basic sources of Western law, the last two acquire greater prominence in Islamic Law. The values that must be upheld and defended by law and society in Islam are not always validated on rationalist grounds alone. Notwithstanding the fact that human reason always played an important role in the development of *Shari'ah* through the medium of *ijtihad*, the *Shari'ah* itself is primarily founded in divine revelation.

A certain measure of fluidity and overlap with other disciplines such as philosophy and sociology is perhaps true of both Islamic and Western jurisprudence. But it is the latter which exhibits the greater measure of uncertainty over its scope and content. Thus according to one observer, books that bear the title 'jurisprudence' vary widely in subject matter and treatment, because the nature of the subject is such that no distinction of its scope and content can be clearly determined, [Dias, *Jurisprudence*, p. 1.] and in Julius Stone's somewhat dramatic phrase, jurisprudence is described as 'a chaos of approaches to a chaos of topics, chaotically delimited'. [See this and other statements by Bentham, Dicey and Arnold in Curzon, *Jurisprudence*, p. 13.]

*Usul al-fiqh*, on the other hand, has a fairly well defined structure, and the ulema had little difficulty in treating it as a separate discipline of Islamic learning. Textbooks on *usul al-fiqh* almost invariably deal with a range of familiar topics and their contents are on the whole fairly predictable. This is perhaps reflective of the relative stability that the *Shari'ah* in general and the *usul al-fiqh* in particular has exhibited through its history of development, almost independently of government and its legislative organs. This factor has, however, also meant that *usul al-fiqh* has for the most part been developed by individual jurists who exerted themselves in their private capacity away from the government machinery and involvement in the development of juristic thought. Consequently, *usul al-fiqh* has to some extent remained a theoretical discipline and has not been internalised by the legislative machinery of government. The history of Islamic jurisprudence is marred by a polarisation of interests and values

between the government and the ulema. The ulema's disaffection with the government did not encourage the latter's participation and involvement in the development of juristic thought and institutions, and this has to some extent discouraged flexibility and pragmatism in Islamic jurisprudence. Note, for example, the doctrinal requirements of *ijma'*, especially the universal consensus of the entire body of the *mujtahidun* of the Muslim community that is required for its conclusion, a condition which does not concede to considerations of feasibility and convenience. There is also no recognition whatsoever of any role for the government in the doctrine of *ijma'* as a whole. The government for its part also did not encourage the ulema's involvement and participation in its hierarchy, and isolated itself from the currents of juristic thought and the scholastic expositions of the ulema. The schools of jurisprudence continued to grow, and succeeded in generating a body of doctrine, which, however valuable, was by itself not enough to harness the widening gap between the theory and practice of law in government. One might, for example, know about *qiyas* and *maslahah*, etc., and the conditions which must be fulfilled for their valid operation. But the benefit of having such knowledge would be severely limited if neither the jurist nor the judge had a recognised role or power to apply it. One might add here also the point that no quick solutions are expected to the problem over the application of the *Shari'ah* in modern jurisdictions. The issue is a long-standing one and is likely to continue over a period of time. It would appear that a combination of factors would need to be simultaneously at work to facilitate the necessary solutions to the problem under discussion. One such factor is the realisation of a degree of consensus and cooperation between the various sectors of society, including the ulema and the government, and the willingness of the latter, to take the necessary steps to bring internal harmony to its laws. To merge and to unify the *Shari'ah* and modern law into an organic unity would hopefully mean that the duality and the internal tension between the two divergent systems of law could gradually be minimised and removed.

Bearing in mind the myriad and rapidly increasing influences to which modern society is exposed, the possibility of consensus over values becomes ever more difficult to obtain. To come to grips with the fluctuation of attitude and outlook on basic values that the law must seek to uphold has perhaps become the most challenging task of the science of jurisprudence in general. To provide a set of criteria with which to determine the propriety or otherwise of law and of effective government under the rule of law, is the primary concern of jurisprudence.

The Muslim jurist is being criticised for having lost contact with the changing conditions of contemporary life in that he has been unable to relate the resources of *Shari'ah* to modern government processes in the fields of legislation and judicial practice. A part of the same criticism is also leveled against the government in Islamic countries in that it has failed to internalise the *usul al-fiqh* in its legislative practices. The alleged closure of the door of *ijtihad* is one of the factors which is held accountable for the gap that has developed between the law and its sources on the one hand and the changing conditions of society on the other. The introduction of statutory legislation which has already become a common practice in Islamic countries has also affected the role and function of *ijtihad*. Apart

from circumventing the traditional role of the jurist/*mujtahid*, the self-contained statutory code and the formal procedures that are laid down for its ratification have eroded the incentive to his effective participation in legislative construction. Furthermore, the wholesale importation of foreign legal concepts and institutions to Islamic countries and the uneasy combinations that this has brought about in legal education and judicial practice are among the sources of general discontent. These and many other factors are in turn accountable for the Islamic revivalism/resurgence which many Muslim societies are currently experiencing.

In view of the diverse influences and the rapid pace of social change visible in modern society it is perhaps inevitable to encounter a measure of uncertainty in identifying the correct balance of values. But the quest to minimise this uncertainty must remain the central concern of the science of jurisprudence. The quest for better solutions and more refined alternatives lies at the very heart of *ijtihad*, which must, according to the classical formulations of *usul al-fiqh*, never be allowed to discontinue. For *ijtihad* is *wajib kafa'i*, a collective obligation of the Muslim community and its scholars to exert themselves in order to find solutions to new problems and to provide the necessary guidance in matters of law and religion. But even so, to make an error in *ijtihad* is not only tolerated but is worthy of reward given the sincerity and earnestness of the *mujtahid* who attempts it. And it is often through such errors that the best solution can ultimately be reached. One can have different solutions to a particular problem, and sometimes the best solution may be known and yet unattainable given the feasibility and practical considerations that might limit one's range of choice. In such situations one must surely do that which is possible under the circumstances. But it is imperative not to abandon *ijtihad* completely. It is a common and grave error to say that *ijtihad* is unattainable and that the conditions for its exercise are too exacting to fulfill. To regulate *ijtihad* is indeed the primary objective of *usul al-fiqh* and of whatever it has to teach regarding the sources of law and the methods of interpretation and deduction. A grasp of the concepts and doctrines of *usul al-fiqh* is not only helpful but necessary to *ijtihad* so as to enable the Muslim jurist and legislator to contribute to the on-going search for better solutions to social issues, and hopefully also toward the development of the outlook that the *Shari'ah*, despite its restraints, also possesses considerable flexibility and resources to accommodate social change.

IV. With regard to the translation of technical Arabic terms, I have to some extent followed the existing works, especially Abdur Rahim's *Principles of Muhammadan Jurisprudence*. But in the absence of any precedent, or when I was able to find a better alternative, I have improvised the equivalent English terms myself. Most of the Arabic terms are easily convertible into English without engaging in technicalities, but there are occasions where this is not the case, and at times the choice of terms is determined on grounds of consistency and style rather than semantic accuracy. To give an example, one of the chapters in this book is devoted to the discussion of textual implications (*al-dalalat*). The five varieties of textual implications, namely *'ibarah al-nass*, *isharah al-nass*, *dalalah al-nass*, *iqtida al-nass* and *mafhum al-mukhalafah*, each signify a different concept for which an exact English equivalent is



difficult to find. I have always tried to give priority to semantic accuracy, but as can be seen this is not the only factor which has determined my choice of 'explicit meaning', 'alluded meaning', 'implied meaning', 'required meaning' and 'divergent meaning' for the foregoing terms respectively. For at times like this, it becomes difficult to be semantically exact as the shades of meaning and concepts tend to be somewhat overlapping. A measure of technicality and arbitrariness in the choice of terms is perhaps inevitable in dealing with certain topics of *usul al-fiqh* such as the classification of words and the rules of interpretation. On such occasions, I thought it helpful not to isolate the English terms from their Arabic originals. I have therefore repeated the Arabic terms frequently enough to relate them to their English equivalents in the text. But when the reader is not sure of the meaning of technical terms a look at the glossary, which appears at the end of the text might prove useful.

The translation of the Qur'anic passages which occur in the text is generally based on Abdullah Yusuf Ali's translation of the Holy Qur'an. On occasion, however, I have substituted elements in this translation for easier and more simplified alternatives. But whenever I have done so, it is usually the result of my having checked more than one translation. The reader will also notice that I have not given the original of the Qur'anic passages in Arabic, as this is not difficult to find. Besides, the Qur'anic text is uniform and there is no variation in the wording of its text in all of its numerous printings that are commonly used. But when it comes to the Hadith, although the main authorities on Hadith are inclined to maintain consistency in both the concept and wording of the Hadith, it is nevertheless not unusual to come across inconsistency or variation in the exact wording of a particular Hadith in various sources. Partly for this reason, but also for the sake of accuracy and convenience, I have given both the Arabic original and the English translation of the Hadith on first occurrence in the text. The English rendering of the Hadith consists for the most part of my own translation of the Arabic original, otherwise I have used the English translation as and when it was available.

A word may also be in order here regarding the English rendering of the terms *fiqh* and *usul al-fiqh*. The difference between them is fairly obvious in their respective Arabic usages: *usul al-fiqh* is unequivocal in its reference to the 'roots of *fiqh*'. This is, however, not so clear in the equivalent English terms, which are currently in use. The terms 'Muhammadan Law' and 'Islamic Law' have often been used in a generic sense and applied both to *fiqh* and *usul al-fiqh*. The same is true of its familiar alternative, 'Islamic jurisprudence'. None of these convey the clarity, which is found in their Arabic equivalents. There are, for example, books currently available in English bearing one or the other of the these titles, although their contents do not seek to distinguish the two disciplines from one another.

The term 'Muhammadan Law' seems to be already falling out of use, and it has almost become an established practice to reserve 'Islamic Law' for *fiqh*, and 'Islamic jurisprudence' for *usul al-fiqh*. This use of terminology should be retained. A similar distinction between the term's 'source' and 'proof' would seem advisable. The former should, as far as possible, be reserved for the Qur'an and *Sunnah*, and the latter for other proofs.

My transliteration of Arabic words is essentially the same as that of the *Encyclopedia of Islam* (New Edition), with two exceptions, which have become standard practice: q for k and j for dj.

And finally, I would like to take this opportunity to thank most warmly my colleagues and students at the Faculty of Law, International Islamic University, with whom I have frequently raised and discussed matters of mutual interest. I have often benefited from their views, which I have taken into account in writing the present work. I would also like to thank the secretarial staff of the faculty for their unfailing willingness to type for me whenever I have approached them. And last but not least, I wish to thank the library staff of the I.I.U. for their assistance, and for being courteous and helpful.

V. Since the publication of the first edition of this book in April 1989, the comments, observations and responses that I have received from scholars, students, and readers have been very positive and encouraging. The changes that I have carried out for the present edition of the book relate to both its content and format, although the overall approach to these changes was to leave the bulk of the original work intact. The changes that I have made are on the whole confined to particular parts and they do not entail a recomposition of the original text. I have thus added fresh information and elaborated parts of the chapters on abrogation (*naskh*), analogical reasoning (*qiyas*), and presumption of continuity (*istishab*). The new information either consists of the elaboration of concepts or insertion of additional illustrations for purposes of clarity and relevance to contemporary concerns over the themes of Islamic jurisprudence. The addition to the chapter on *naskh* thus reflects the results of a discussion over a paper entitled 'The Nature, Sources and Objective of the Shari'ah' which I presented to a symposium organised by the International Islamic University in Kuala Lumpur in September 1989. The additions to some of the other chapters consist mainly of fresh research and expert opinion on the potential contribution of some of the neglected principles of *usul al-fiqh* such as *istishab* to modern jurisprudence. I have also refined minor portions of the text in the interest of clarity and precision.

As for the changes of format these were carried out as a result of my consultation with the editorial staff of the Islamic Texts Society, particularly Mohsen al-Najjar and T. J. Winter. It was thus agreed at the outset to re-set the whole of the original text so as to implement the standard practice of the Islamic Texts Society concerning transliteration, footnotes and minor editorial changes in the text. It is thus hoped that these changes have assured the production of a smoother and more familiar text for its readers in Europe and America.

Professor Ahmad Ibrahim, Professor Emeritus and Dean of the Faculty of Law, International Islamic University, Malaysia, has contributed a new Foreword for the second edition. He was kind enough to do so despite his numerous other commitments, and preoccupation with his own writings. I take this opportunity to thank him most warmly for his valuable contribution, and the fact that he wrote a Foreword to both the first and the present editions of my book. He has taken a keen interest in my

research and has been most helpful and understanding in relieving me from other commitments so as to be able to concentrate on writing and research.

Students and colleagues at the International Islamic University have been generous and supportive of my endeavours. I take this opportunity to thank them once again for their thoughtful appreciation. A tangible result of all this is that this book has now become a recommended text in a number of courses not only in the Faculty of Law but also in other faculties and departments of this University.

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## 1. Definition and Scope

*Usul al-fiqh*, or the roots of Islamic law, expound the indications and methods by which the rules of *fiqh* are deduced from their sources. These indications are found mainly in the Qur'an and *Sunnah*, which are the principal sources of the *Shari'ah*. The rules of *fiqh* are thus derived from the Qur'an and *Sunnah* in conformity with a body of principles and methods which are collectively known as *usul al-fiqh*. Some writers have described *usul al-fiqh* as the methodology of law, a description which is accurate but incomplete. Although the methods of interpretation and deduction are of primary concern to *usul al-fiqh*, the latter is not exclusively devoted to methodology. To say that *usul al-fiqh* is the science of the sources and methodology of the law is accurate in the sense that the Qur'an and *Sunnah* constitute the sources as well as the subject matter to which the methodology of *usul al-fiqh* is applied. The Qur'an and *Sunnah* themselves, however, contain very little by way of methodology, but rather provide the indications from which the rules of *Shari'ah* can be deduced. The methodology of *usul al-fiqh* really refers to methods of reasoning such as analogy (*qiyas*), juristic preference (*istihsan*), presumption of continuity (*istishab*) and the rules of interpretation and deduction. These are designed to serve as an aid to the correct understanding of the sources and *ijtihad*.

To deduce the rules of *fiqh* from the indications that are provided in the sources is the expressed purpose of *usul al-fiqh*. *Fiqh* as such is the end product of *usul al-fiqh*; and yet the two are separate disciplines. The main difference between *fiqh* and *usul al-fiqh* is that the former is concerned with the knowledge of the detailed rules of Islamic law in its various branches, and the latter with the methods that are applied in the deduction of such rules from their sources. *Fiqh*, in other words, is the law itself whereas *usul al-fiqh* is the methodology of the law. The relationship between the two disciplines resembles that of the rules of grammar to a language, or of logic (*mantiq*) to philosophy. *Usul al-fiqh* in this sense provides standard criteria for the correct deduction of the rules of *fiqh* from the sources of *Shari'ah*. An adequate knowledge of *fiqh* necessitates close familiarity with its sources. This is borne out in the definition of *fiqh*, which is 'knowledge of the practical rules of *Shari'ah* acquired from the detailed evidence in the sources'. [Amidi, *Ihkam*, I, 6; Shawkani, *Irshad*, P. 3.] The knowledge of the rules of *fiqh*, in other words, must be acquired directly from the sources, a requirement which implies that the *faqih* must be in contact with the sources of *fiqh*. Consequently a person who learns the *fiqh* in isolation from its sources is not a *faqih*. [Cf. Abu Zahrah, *Usul*, p. 6] The *faqih* must know not only the rule that misappropriating the property of others is forbidden but also the detailed evidence for it in the source, that is, the Qur'anic ayah (2:188) which provides: 'Devour not each other's property in defiance of the law.' This is the detailed evidence, as opposed to saying merely that 'theft is forbidden in the Qur'an'.

Knowledge of the rules of interpretation is essential to the proper understanding of a legal text. Unless the text of the Qur'an or the *Sunnah* is correctly understood, no rules can be deduced from it, especially in cases where the text in question is not self-evident. Hence rules by which one is to distinguish a speculative text from the definitive, the manifest (*zahir*) from the explicit (*nass*), the general (*'aam*) from the specific (*khaas*), the literal (*haqiqi*) from the metaphorical (*majazi*) etc., and how to understand the implications (*dalalat*) of a given text are among the subjects which warrant attention in the study of *usul al-fiqh*. An adequate grasp of the methodology and rules of interpretation also ensures the proper use of human reasoning in a system of law which originates in divine revelation. For instance, analogy (*qiyas*) is an approved method of reasoning for the deduction of new rules from the sources of *Shari'ah*. How analogy should be constructed, what are its limits, and what authority would it command in conjunction, or in conflict, with the other recognized proofs are questions which are of primary concern to *usul al-fiqh*. Juristic preference, or *istihsan*, is another rationalist doctrine and a recognized proof of Islamic law. It consists essentially of giving preference to one of the many conceivable solutions to a particular problem. The choice of one or the other of these solutions is mainly determined by the jurist in the light of considerations of equity and fairness. Which of these solutions is to be preferred and why, and what are the limits of personal preference and opinion in a particular case, is largely a question of methodology and interpretation and therefore form part of the subject matter of *usul al-fiqh*.

The principal objective of *usul al-fiqh* is to regulate *ijtihad* and to guide the jurist in his effort at deducing the law from its sources. The need for the methodology of *usul al-fiqh* became prominent when unqualified persons attempted to carry out *ijtihad*, and the risk of error and confusion in the development of *Shari'ah* became a source of anxiety for the ulema. The purpose of *usul al-fiqh* is to help the jurist to obtain an adequate knowledge of the sources of *Shari'ah* and of the methods of juristic deduction and inference. *Usul al-fiqh* also regulates the application of *qiyas*, *istihsan*, *istishab*, *istislah*, etc., whose knowledge helps the jurist to distinguish as to which method of deduction is best suited to obtaining the *hukm shar'i* of a particular problem. Furthermore, *usul al-fiqh* enables the jurist to ascertain and compare strength and weakness in *ijtihad* and to give preference to that ruling of *ijtihad* which is in close harmony with the *nusus*.

It may be added here that knowledge of the rules of interpretation, the *'Aam*, the *Khaas*, the *Mutlaq*, the *Muqayyad*, etc., is equally relevant to modern statutory law. When the jurist and the judge, whether a specialist in the *Shari'ah* or in secular law, fails to find any guidance in the clear text of the statute on a particular issue, he is likely to resort to judicial construction or to analogy. The skill, therefore, to interpret a legal text and to render judicial decisions is indispensable for a jurist regardless as to whether he sits in a *Shari'ah* court or in a court of statutory jurisdiction. A specialist in *usul al-fiqh* will thus find his skill of considerable assistance to the understanding and interpretation of any legal text. [Cf. Badran, *Usul*, pp. 37-38.]

To what extent is it justified to say that al-Shafi'i was the founder of *usul al-fiqh*? One theory has it that *usul al-fiqh* has existed for as long as the *fiqh* has been known to exist. For *fiqh* could not have come into being in the absence of its sources, and of methods with which to utilize the source materials. [Cf. Abu Zahrah, *Usul* p. 8ff.] This would in turn, imply that *usul al-fiqh* had existed long before al-Shafi'i. Numerous examples could be cited to explain how in early Islam, the Companions deduced the rules of *fiqh* from their sources. *Usul al-fiqh*, in other words, had substantially existed before the period which saw the emergence of the leading imams of jurisprudence. But it was through the works of these imams, especially al-Shafi'i, that *usul al-fiqh* was articulated into a coherent body of knowledge. Even before al-Shafi'i, we know that Abu Hanifah resorted to the use of analogy and *istihsan* while Imam Malik is known for his doctrine of the Madinese *ijma'*, subjects to which we shall have occasion to return. When al-Shafi'i came on the scene, he found a wealth of juristic thought and advanced levels of argumentation on methodological issues. But the existing works were not entirely free of discordance and diversity which had to be sifted through by the standards which al-Shafi'i articulated in his legal theory of the *usul*. He devoted his *Risalah* exclusively to this subject, and this is widely acknowledged to be the first work of authority on *usul al-fiqh*.

It is nevertheless accurate to say that *fiqh* precedes the *usul al-fiqh* and that it was only during the second Islamic century that important developments took place in the field of *usul al-fiqh*. [Khallaf, *Ilm*, p. 16; Abu Zahrah, *Usul*, p. 10.] For during the first century there was no pressing need for *usul al-fiqh*. When the Prophet was alive, the necessary guidance and solutions to problems were obtained either through divine revelation, or his direct ruling. Similarly, during the period following the demise of the Prophet, the Companions remained in close contact with the teachings of the Prophet and their decisions were mainly inspired by his precedent. Their proximity to the source and intimate knowledge of the events provided them with the authority to rule on practical problems without there being a pressing need for methodology. [Khallaf, *Ilm*, p. 16; Abu Zahrah, *Usul*, pp. 16-17] However, with the expansion of the territorial domain of Islam, the Companions were dispersed and direct access to them became increasingly difficult. With this, the possibility of confusion and error in the understanding of the textual sources became more prominent. Disputation and diversity of juristic thought in different quarters accentuated the need for clear guidelines, and the time was ripe for al-Shafi'i to articulate the methodology of *usul al-fiqh*. Al-Shafi'i came on the scene when juristic controversy had become prevalent between the jurists of Madinah and Iraq, respectively known as Ahl al-Hadith and Ahl al-Ra'y. This was also a time when the ulema of Hadith had succeeded in their efforts to collect and document the Hadith. Once the *fuqaha* were assured of the subject matter of the *Sunnah*, they began to elaborate the law, and hence the need for methodology to regulate *ijtihad* became increasingly apparent. The consolidation of *usul al-fiqh* as a *Shari'ah* discipline was, in other words, a logical conclusion of the compilation of the vast literature of Hadith. [Cf. Badran, *Usul*, P. 12.]

And finally among the factors which prompted al-Shafi'i into refining the legal theory of *usul al-fiqh* was the extensive influx of non-Arabs into Islamic territories and the disconcerting influence that this brought on the legal and cultural traditions of Islam. Al-Shafi'i was anxious to preserve the purity of the *Shari'ah* and of the language of the Qur'an. In his *Risalah*, al-Shafi'i enacted guidelines for *ijtihad* and expounded the rules governing the *Khaas* and the *'Aam*, the *nasikh* and the *mansukh*, and articulated the principles governing *ijma'* and *qiyas*. He expounded the rules of relying on the solitary Hadith (*khabar al-wa'hid*) and its value in the determination of the *ahkam*. Al-Shafi'i refuted the validity of *istihsan* and considered it to be no more than an arbitrary exercise in law-making. Admittedly al-Shafi'i was not the first to address these matters, but it is widely acknowledged that he brought a coherence to *usul al-fiqh*, which had hitherto remained scattered and unconsolidated. [Cf. Badran, *Usul*, P. 14.]

It will be noted in this connection that the Shi'i ulema have claimed that their fifth Imam, Muhammad al-Baqir, and his son and successor, Ja'far al-Sadiq, were the first to write on the subject of *usul*. According to Abu Zahrah, who has written extensively on the lives and works of the early Imams, the Shi'i Imams have written, like many others, on the subject, but neither of the two Imams have written anything of an equivalent order to that of the *Risalah*. Hence al-Shafi'i's position and contribution to *usul al-fiqh* remains unique, and he is rightly regarded as the founder of *usul at-fiqh*. [Abu Zahrah, *Usul*, p. 113. Badran, *Usul*, P. 14.]

The basic outline of the four principal sources of the law that al-Shafi'i spelled out was subsequently accepted by the generality of ulema, although each of the various schools of jurisprudence has contributed towards its further development. The Hanafis, for example, added *istihsan*, and custom (*'urf*) to the *usul al-fiqh*, and the Malikis reduced the concept of consensus (*ijma'*) to the Madinese consensus only, while the Hanbali approach to the subject closely resembled that of the Malikis. But even so, none departed significantly from the basic principles which al-Shafi'i had articulated. [Badran, *Usul*, P. 14.]

Broadly speaking, the so-called closure of the gate of *ijtihad* at around the fourth Islamic century did not affect the *usul al-fiqh* in the same way as it might have affected the *fiqh* itself. The era of imitation (*taqlid*) which followed might even have added to the strength and prominence of *usul al-fiqh* in the sense that the imitators observed, and relied on, the methodology of the *usul* as a yardstick of validity for their arguments. Consequently *usul al-fiqh* gained universal acceptance and was, in a way, utilised as a means with which to justify *taqlid*. [Badran, *Usul*, P. 14.]

A brief word may be added here regarding the difference between the *usul*, and the maxims of *fiqh* (*al-qawa'id al-fiqhiyyah*), as the two are sometimes confused with one another. The maxims of *fiqh* refer to a body of abstract rules which are derived from the detailed study of the *fiqh* itself. They consist of theoretical guidelines in the different areas of *fiqh* such as evidence, transactions, matrimonial law', etc. As such they are an integral part of *fiqh* and are totally separate from *usul al-fiqh*. Over 200 legal

maxims have been collected and compiled in works known as *al-ashbah wa al-naza'ir*; [Two well known works both bearing the title *Al-Ashbah wa al-Naza'ir* are authored by Jalal al-Din al-Suyuti and Ibn Nujaym al-Hanafi respectively.] one hundred of these, have been adopted in the introductory section (i.e. the first 100 articles) of the Ottoman *Majallah*. The name '*al-qawa'id al-fiqhiyyah*' may resemble the expression *usul al-fiqh*, but the former is not a part of the latter and the two are totally different from one another.

A comparison between *usul al-fiqh* and *usul al-qanun* will indicate that these two disciplines have much in common with one another, although they are different in other respects. They resemble one another in that both are concerned with the methodology of the law and the rules of deduction and interpretation; they are not concerned with the detailed rules of the law itself. In the case of the law of property, for example, both *usul al-fiqh* and *usul al-qanun* are concerned with the sources of the law of property and not with the detailed rules governing transfer of ownership or regulating the contract of sale. These are subjects which fall within the scope of the law of property, not the methodology of law.

Although the general objectives of *usul al-fiqh* and *usul al-qanun* are similar, the former is mainly concerned with the Qur'an, *Sunnah*, consensus, and analogy. The sources of *Shari'ah* are, on the whole, well-defined and almost exclusive in the sense that a rule of law or a *hukm shar'i* may not be originated outside the general scope of its authoritative sources on grounds, for example, of rationality (*aql*) alone. For '*aql*' is not an independent source of law in Islam. *Usul al-fiqh* is thus founded in divine ordinances and the acknowledgement of God's authority over the conduct of man.

*Usul al-qanun*, on the other hand, consist mainly of rationalist doctrines, and reason alone may constitute the source of many a secular law. Some of these are historical sources such as Roman Law or British Common Law whose principles are upheld or overruled in light of the prevailing socio-economic conditions of society. The sources of *Shari'ah* on the other hand, are permanent in character and may not be overruled on grounds of either rationality or the requirement of social conditions. There is, admittedly, a measure of flexibility in *usul al-fiqh* which allows for necessary adjustments in the law to accommodate social change. But in principle the *Shari'ah* and its sources can neither be abrogated nor subjected to limitations of time and circumstance. The role of the jurist and the *mujtahid* in *usul al-fiqh* is basically one of deduction and inference of rules which are already indicated on the sources, while this is not necessarily the case with regard to *usul al-qanun*. The Parliament or the legislative assembly of a Western state, being the sovereign authority, can abrogate an existing statute or introduce a new law as it may deem fit. The legislative organ of an Islamic state, on the other hand, cannot abrogate the Qur'an or the *Sunnah*, although it may abrogate a law which is based on *maslahah* or *istihsan*, etc. Abrogation is, on the whole, of a limited application to the definite rulings of divine revelation and has basically come to an end with the demise of the Prophet. [Cf. Badran, *Usul*, pp. 41-43]

Sovereignty in Islam is the prerogative of Almighty God alone. He is the absolute arbiter of values and it is His will that determines good and evil, right and wrong. It is neither the will of the ruler nor of any



assembly of men, not even the community as a whole, which determines the values and the laws which uphold those values. In its capacity as the vicegerent of God, the Muslim community is entrusted with the authority to implement the *Shari'ah*, to administer justice and to take all necessary measures in the interest of good government. The sovereignty of the people, if the use of the word 'sovereignty' is at all appropriate, is a delegated, or executive sovereignty (*sultan tanfidhi*) only. [Cf. Zaydan, *al-Fard wa al-Dawlah*, p. 29.]

Although the consensus or *ijma'* of the community, or of its learned members, is a recognised source of law in Islam, in the final analysis, *ijma'* is subservient to divine revelation and can never overrule the explicit injunctions of the Qur'an and *Sunnah*. The role of the ballot box and the sovereignty of the people are thus seen in a different light in Islamic law to that of Western jurisprudence.

And lastly, unlike its Western counterpart, Islamic jurisprudence is not confined to commands and prohibitions, and far less to commands which originate in a court of law. Its scope is much wider, as it is concerned not only with what a man must do or must not do, but also with what he ought to do or ought not to do, and the much larger area where his decision to do or to avoid doing something is his own prerogative. *Usul al-fiqh* provides guidance in all these areas, most of which remain outside the scope of Western jurisprudence.

## II. Two Approaches to the Study of *Usul al-fiqh*

Following the establishment of the *madhahib* the ulema of the various schools adopted two different approaches to the study of *usul al-fiqh*, one of which is theoretical and the other deductive. The main difference between these approaches is one of orientation rather than substance whereas the former is primarily concerned with the exposition of theoretical doctrines, the latter is pragmatic in the sense that theory is formulated in light of its application to relevant issues. The difference between the two approaches resembles the work of a legal draftsman when it is compared to the work of a judge. The former is mainly concerned with the exposition of principles whereas the latter tends to develop a synthesis between the principle and the requirements of a particular case. The theoretical approach to the study of *usul al-fiqh* is adopted by the Shafi'i school and the Mutakallimun, that is the ulema of *kalam* and the *Mu'tazilah*. The deductive approach is, on the other hand, mainly attributed to the Hanafis. The former is known as *usul al-Shafi'iyyah* or *tariqah al-Mutakallimin*, whereas the latter is known as *usul al-Hanafiyyah*, or *tariqah al-fuqaha'*.

Al-Shafi'i was mainly concerned with articulating the theoretical principles of *usul al-fiqh* without necessarily attempting to relate these to the *fiqh* itself. As a methodologist *par excellence*, he enacted a set of standard criteria which he expected to be followed in the detailed formulation of the rules of *fiqh*. His theoretical exposition of *usul al-fiqh*, in other words, did not take into consideration their practical

application in the area of the *furu'*. In addition, the Shafi'is and the Mutakallimun are inclined to engage in complex issues of a philosophical character which may or may not contribute to the development of the practical rules of *fiqh*. In this way subjects such as the *'ismah* of the prophets prior to their prophetic mission, and matters pertaining to the status of the individual or his duties prior to the revelation of the *Shari'ah*, and also logical and linguistic matters of remote relevance to the practical rules of *fiqh* tend to feature more prominently in the works of the Shafi'is and Mutakallimun than those of the Hanafis. The Hanafis have on the other hand attempted to expound the principles of *usul al-fiqh* in conjunction with the *fiqh* itself and tend to be more pragmatic in their approach to the subject. In short, the theoretical approach tends to envisage *usul al-fiqh* as an independent discipline to which the *fiqh* must conform, whereas the deductive approach attempts to relate the *usul al-fiqh* more closely to the detailed issues of the *furu al-fiqh*. When, for example, the Hanafis find a principle of *usul* to be in conflict with an established principle of *fiqh*, they are inclined to adjust the theory to the extent that the conflict in question is removed, or else they try to make the necessary exception so as to reach a compromise. Three of the most important works which adopt the theoretical approach to *usul al-fiqh* are *Al-Mu'tamad fi Usul al-Fiqh* by the Mu'tazili scholar, Abu al-Husayn al-Basri (d. 436), *Kitab al-Burhan* of the Shafi'i scholar, Imam al-Haramayn al-Juwayni (d. 487) and *Al-Mustasfa* of Imam Abu Hamid al-Ghazali (d. 505). These three works were later summarised by Fakhr al-Din al-Razi (d. 606) in his work entitled *Al-Mahsul*. Sayf A-Din al-Amidi's larger work, *Al-Ihkam fi usul al-Ahkam* is an annotated summary of the three pioneering works referred to above.

The earliest Hanafi work on *usul al-fiqh* is *Kitab fi al-Usul* by Abu al-Hasan al-Karkhi (d. 340) which was followed by *Usul al-Jassas* of Abu Bakr al-Razi al-Jassas (d. 370). Fakhr al-Islam al-Bazdawi's (d. 483) well-known work, *Usul al-Bazdawi*, is also written in conformity with the Hanafi approach to the study of this discipline. This was followed by an equally outstanding contribution by Shams al-Din al-Sarakhsi (d. 490) bearing the title, *Usul al-Sarakhsi*. A number of other ulema have contributed to the literature in both camps. But a difference of format which marked a new stage of development was the writing of handbooks in the form of *mukhtasars* with a view to summarise the existing works for didactic purposes.

The next phase in the development of literature on *usul al-fiqh* is marked by the attempt to combine the theoretical and deductive approaches into an integrated whole which is reflected in the works of both the Shafi'i and Hanafi ulema of later periods. One such work which attempted to combine al-Bazdawi's *Usul* and al-Amidi's *Al-Ihkam* was completed by Muzaffar al-Din al-Sa'ati (d. 694) whose title *Badi' al-Nizam al-Jami 'Bayn Usul al-Bazdawi wa al-Ihkam* is self-explanatory as to the approach the author has taken to the writing of this work. Another equally significant work which combined the two approaches was completed by Sadr al-Shari'ah, 'Abd Allah b. Mas'ud al-Bukhari (d. 747) bearing the title *Al-Tawdih*, which is, in turn, a summary of *Usul al-Bazdawi*, *Al-Mahsul*, and the *Mukhtasar al-Muntaha* of the Maliki jurist. Abu Umar Uthman b. al-Hajib (d. 646). Three other well-known works which have combined the two approaches to *usul al-fiqh* are *Jam' al-Jawami* of the Shafi'i jurist Taj al-Din al-Subki

(d. 771), *Al-Tahrir* of Kamil al-Din b. al-Humam al-Hanafi (d. 860), and *Musallam al-Thubut* of the Hanafi jurist Muhibb al-Din b. 'Abd al-Shakur (d. 1119). And finally, this list would be deficient without mentioning Abu Ishaq Ibrahim al-Shatibi's *Al-Muwafaqat*, which is comprehensive and perhaps unique in its attention to the philosophy (*hikmah*) of *tashri'* and the objectives that are pursued by the detailed rulings of the *Shari'ah*. [Abu Zahrah, *Usul*, pp. 14-20; Hitu, *Wajiz*, pp. 13-24; Zuhayr, *Usul*, I, 4.]

### III. Proofs of Shari'ah (*Al-Adillah Al-Shar'iyah*)

The *adillah Shar'iyah*, and the *ahkam*, that is, laws or values that regulate the conduct of the *mukallaf*, are the two principal themes of *usul al-fiqh*. Of these two, however, the former is by far the more important as, according to some ulema, the *ahkam* are derived from the *adillah* and are therefore subsidiary to them. It is perhaps in view of the central importance of these two topics to *usul al-fiqh* that al-Amidi defines the latter as the science of the 'Proofs of *fiqh* (*adillah al-fiqh*) and the indications that they provide in regard to the *ahkam* of the *Shari'ah*. [Amidi, *Ihkam*, I, 7; Badran, *Usul*, P. 36.]

Literally, *dalil* means proof, indication or evidence. Technically it is an indication in the sources from which a practical rule of *Shari'ah*, or a *hukm* is deduced. The *hukm* so obtained may be definitive (*qat'i*) or it may be speculative (*zanni*) depending on the nature of the subject, clarity of the text, and the value which it seeks to establish. [Amidi, *Ihkam*, I, 9; Badran, *Usul*, P. 46, Hitu, *Wajiz*, p. 99.] In the terminology of *usul al-fiqh*, *adillah Shar'iyah* refer to four principal proofs, or sources of the *Shari'ah*, namely the Qur'an, *Sunnah*, consensus and analogy. *Dalil* in this sense is synonymous with *asl*, hence the four sources of *Shari'ah* are known both as *adillah* and *usul*. There are a number of *ayah* in the Qur'an which identify the sources of *Shari'ah* and the order of priority between them. But one passage in which all the principal sources are indicated occurs in Sura al-Nisa' (4: 58-59) which is as follows: 'O you believers! Obey God and obey the Messenger and those of you who are in charge of affairs. If you have a dispute concerning any matter, refer it to God and to the Messenger,' 'Obey God' in this *ayah* refers to the Qur'an, and 'Obey the Messenger' refers to the *Sunnah*. Obedience to 'those who are in charge of affairs' is held to be a reference to *ijma'*, and the last portion of the *ayah* which requires the referral of disputes to God and to the Messenger authorises *qiyas*. For *qiyas* is essentially an extension of the injunctions of the Qur'an and *Sunnah*. The rationale or the effective cause of *qiyas* may be clearly indicated in these sources or it may be identified by way of inference (*istinbat*). In either case, *qiyas* essentially consists of the discovery of a *hukm* which is already indicated in the divine sources. [Cf. Badran, *Usul*, pp. 51-52.]

Some *fuqaha'* have drawn a distinction between *dalil* and *amarah* (lit. sign or allusion) and apply *dalil* to the kind of evidence which leads to a definitive ruling or that which leads to positive knowledge (*'ilm*). *Amarah* on the other hand is reserved for evidence or indication which only leads to a speculative

ruling. [Amidi, *Ihkam*, I, 9.] In this way, the term '*dalil*' would only apply to the definitive proofs, namely the Qur'an, *Sunnah* and *ijma'*, and the remaining proofs which comprise a measure of speculation, such as *qiyas* and *istihsan*, etc., would fall under the category of *amarat*.

The proofs of *Shari'ah* have been further divided into transmitted proofs (*adillah naqliyyah*) and rational proofs (*adillah 'aqliyyah*). The authority of the transmitted proofs is independent of their conformity or otherwise with the dictates of reason, although as we shall later elaborate, most of the transmitted proofs can also be rationally justified. However, the authority and the binding force of the Qur'an, *Sunnah* and *ijma'* are independent of any rational justification that might exist in their favour. To these are added two other transmitted proofs, namely the ruling of the Companions, and the laws revealed prior to the advent of Islam (*shara'i man qablana*) [Cf. Badran, *Usul*, PP. 54-55.]

The rational proofs are, on the other hand, founded in reason and need to be rationally justified. They can only be accepted by virtue of their rationality. *Qiyas*, *istihsan*, *istislah* and *istishab* are basically all rationalist doctrines although they are in many ways dependent on the transmitted proofs. Rationality alone is not an independent proof in Islam, which is why the rational proofs cannot be totally separated from the transmitted proofs. *Qiyas*, for example, is a rational proof, but it also partakes in the transmitted proofs to the extent that *qiyas* in order to be valid must be founded on an established *hukm* of the Qur'an, *Sunnah* or *ijma'*. However the issue to which *qiyas* is applied (i.e. the *far'*) must have a '*illah*' in common with the original *hukm*. To establish the commonality of the '*illah*' in *qiyas* is largely a matter of opinion and *ijtihad*. *Qiyas* is therefore classified under the category of *adillah aqliyyah*.

As noted above, the *adillah Shar'iyah* are on the whole in harmony with reason. This will be clear from the fact that the *Shari'ah* in all of its parts is addressed to the *mukallaf*, that is, the competent person who is in possession of his faculty of reasoning. The *Shari'ah* as a whole does not impose any obligation that would contradict the requirements of '*aql*'. Since the criterion of obligation (*taklif*) is '*aql*', and without it all legal obligations fall to the ground, it would follow that a *hukm shar'i* which is abhorrent to '*aql*' is of no consequence. [Amidi, *Ihkam*, III, 180; Badran, *Usul*, P. 50]

The *adillah Shar'iyah* have been further classified into *mustaqill* and *muqayyad*, that is independent and dependent proofs respectively. The first three sources of the *Shari'ah* are each an independent *asl*, or *dalil mustaqill*, that is, a proof in its own right. *Qiyas* on the other hand is an *asl* or *dalil muqayyad* in the sense, as indicated above, that its authority is derived from one or the other of the three independent sources. The question may arise as to why *ijma'* has been classified as an independent proof despite the fact that it is often in need of a basis (*sanad*) in the Qur'an or the *Sunnah*. The answer to this is that *ijma'* is in need of a *sanad* in the divine sources for its formulation in the first place. However, once the *ijma'* is concluded, it is no longer dependent on its *sanad* and it becomes an independent proof. Unlike *qiyas*, which continues to be in need of justification in the form of a '*illah*', a conclusive *ijma'* is not in need of justification and is therefore an independent *asl*. [Amidi, *Ihkam*, I, 260.]

The only other classification or *adillah* which needs to be mentioned is their division into definitive (*qat'i*) and speculative (*zanni*) proofs. This division of *dalil shar'i* contemplates the proofs of *Shari'ah* not only in their entirety but also in respect of the detailed rules which they contain. In, this way, the Qur'an, *Sunnah* and *ijma'* are definitive proofs in the sense that they are decisive and binding. However each of these sources contains speculative rules which are open to interpretation. A *dalil* in this sense is synonymous with *hukm*. A *Dalil* may be *qat'i* in regards to both transmission (*riwayah*) and meaning (*dalalah*). The clear injunctions of the Qur'an and *Hadith Mutawatir* are all *qat'i* in respect of both transmission and meaning. We shall have occasion later to elaborate on this subject in the context of the characteristic features of Qur'anic legislation. Suffice it here to say that the Qur'an is authentic in all of its parts, and therefore of proven authenticity (*qat'i al-thubut*). The solitary, or *ahad*, Hadith on the other hand is of speculative authenticity and therefore falls under the category of speculative proofs.

[Shawkani, *Irshad*, p. 47; Badran, *Usul*, p. 53; Hiti, *Wajiz*, p. 305.] Similarly, a ruling of *ijma'* may have reached us by continuous testimony (*tawatur*) in which case it would be definitely proven (*qat'i al-thubut*). But when *ijma'* is transmitted through solitary reports, its authenticity would be open to doubt and therefore *zanni al-thubut*.

The text of the Qur'an or the Hadith may convey a command or a prohibition. According to the general rule, a command (*amr*) conveys obligation (*wujub*), and prohibition (*nahy*) conveys *tahrim* unless there is evidence to suggest otherwise. It is in the light of the wording of the text, its subject-matter and other supportive evidence that the precise *shar'i* value of a textual ruling can be determined. A command may thus imply a recommendation (*nadb*) or a mere permissibility (*ibahah*) and not *wujub*. Likewise a prohibition (*nahy*) in the Qur'an or the *Sunnah* may be held to imply abomination (*karahah*) and not necessarily *tahrim*. Consequently, when the precise value of the *qat'i* and the *zanni* on the scale of five values is not self-evident, it is determined by supportive evidence that may be available in the sources or by *ijtihad*. The *qat'i* of the Qur'an and *Sunnah* is basically not open to interpretation. The scope of interpretation and *ijtihad* is consequently confined to the *zanni* proofs alone. [Khallaf, *Ilm*, p. 35, Abu Zahrah, *Usul*, p.

711; Shaltut, *Al-Islam*, p. 498.]

## Chapter Two: The First Source of *Shari'ah*: The Qur'an

Being the verbal noun of the root word *qara'a* (to read), 'Qur'an' literally means 'reading' or 'recitation'. It may be defined as 'the book containing the speech of God revealed to the Prophet Muhammad in Arabic and transmitted to us by continuous testimony, or *tawatur*'. [The Qur'an also calls itself by alternative names, such as *kitab*, *huda*, *furqan*, and *dhikr* (book, guide, distinguisher, and remembrance respectively). When the definite article, *al*, is prefixed to the Qur'an, it refers to the whole of the Book; but without this prefix, the Qur'an can mean either the whole or a part of the Book. Thus one may refer to a singular sura or *ayah* thereof as the Qur'an, but not as *al-Qur'an*.] It is a proof of the prophecy of Muhammad, the most authoritative guide for Muslims, and the first source of the *Shari'ah*. The ulema are unanimous on this, and some even say that it is the only source and that all other sources are explanatory to the Qur'an. The revelation of the Qur'an began with the Sura al-'Alaq (96:1) starting with the words 'Read in the name of your Lord' and ending with the *ayah* in sura al-Ma'idah (5:3): 'Today I have perfected your religion for you and completed my favour toward you, and chosen Islam as your religion. [Some disagree on this point, saying that the last *ayah* of the Qur'an was al-Baqarah 2: 281 as follows: 'Fear the day when you will be brought back to God; then every soul will be paid in full according to whatever it has earned, and they will not be treated unjustly.'] Learning and religious guidance, being the first and the last themes of the Qur'anic revelation, are thus the favour of God upon mankind.

There are 114 suras and 6235 *ayat* of unequal length in the Qur'an. The shortest of the suras consist of four and the longest of 286 *ayat*. Each chapter has a separate title. The longest suras appear first and the suras become shorter as the text proceeds. Both the order of the *ayat* within each sura, and the sequence of the suras, were re-arranged and finally determined by the Prophet in the year of his demise. According to this arrangement, the Qur'an begins with sura al-Fatihah and ends with sura al-Nas. [Hughes, *Dictionary*, P. 485ff; von Denffer, 'Ulum, p. 68ff.]

The contents of the Qur'an are not classified subject-wise. The *ayat* on various topics appear in unexpected places, and no particular order can be ascertained in the sequence of its text. To give just a few examples, the command concerning *salah* appears in the second sura, in the midst of other *ayat* which relate to the subject of divorce (al-Baqarah, 2:228-248). In the same sura, we find rules which relate to wine-drinking and war, followed by passages concerning the treatment of orphans and the marriage of unbelieving women (al-Baqarah, 216-211) Similarly the *ayat* relating to the pilgrimage of *hajj* occur both in sura al-Baqarah (196-203) and sura al-Hajj (22:26-27). Rules on marriage divorce and revocation (*rij'ah*) are found in the suras al-Baqarah, al-Talaq, and al-Nisa. From this a conclusion has been drawn that the Qur'an is an indivisible whole and a guide for belief and action which must be accepted and followed in its entirety. Hence any attempt to follow some parts or the Qur'an and abandon others will be totally invalid. This is in fact the purport of the Qur'anic text (al-Ma'idah, 5: 52) where the Prophet has been warned: 'Beware of them (i.e. the disbeliever's) lest they seduce you away from a part of that which God has sent down to you. [Shaltut, *Al-Islam*, PP. 499-500; Qattan, *Tashri'*, p. 83; Badran, *Usul*, P. 72.]

The Qur'an consists of manifest revelation (*wahy zahir*), which is defined as communication from God to the Prophet Muhammad, conveyed by the angel Gabriel, in the very words of God. Manifest revelation differs from internal revelation (*wahy batin*) in that the latter consists of the inspiration (*ilham*) of concepts only: God inspired the Prophet and the latter conveyed the concepts in his own words. All the sayings, or *ahadith*, of the Prophets fall under the category of internal revelation, and as such they are not included in the Qur'an. A brief word may be added here concerning *Hadith Qudsi*. In this variety of Hadith, the Prophet narrates a concept directly from God. *Hadith Qudsi* differs from the other varieties of Hadith in form only. The Prophet himself has not distinguished *Hadith Qudsi* from other *ahadith*: it was in fact introduced as a separate category by the ulema of Hadith at around the fifth century Hijrah. Hadith in all of its varieties consists of divine inspiration which is communicated in the words of the Prophet. No Hadith may be ranked on equal footing with the Qur'an. The *salah* cannot be performed by reciting the Hadith, nor is the recitation of Hadith considered as of the same spiritual merit as the Qur'an. [Khallaf, 'Ilm, P. 23; Abdur Rahim, *Jurisprudence*, p. 69; Abu Zahrah, *Usul*, P. 59.]

The Qur'an explicitly states that it is all communicated in pure and clear Arabic (al-Nahl, 16:30). Although the ulema are in agreement that words of non-Arabic origin occur in the Qur'an, they are, nevertheless, words which were admitted and integrated into the language of the Arabs before the revelation of the Qur'an. To give just a few examples, words such as *qistas* (scales - occurring in the Sura al-Isra', 17:35), *ghassaq* (intense cold) in Sura al-Naba' (78:2 5) and *sijjil* (baked clay - in al-Hijr, 15:74) are of Greek, Turkish and Persian origins respectively. [For an exclusive treatment of words of foreign origin in the Qur'an see Shawkani, *Irshad*, p. 22ff. See also Ghazali, *Mustasfa*, I, 68.] But this usage is confined to odd words; a phrase or a sentence of non-Arabic origin does not occur in the Qur'an. [Shaltut, *Al-Islam*, P. 486; von Denffer, 'Ulum, P. 73.] Since the Qur'an consists of manifest revelation in Arabic, a translation of the Qur'an into another language, or its commentary whether in Arabic or other languages, are not a part of the Qur'an. However, Imam Abu Hanifah has held the view that the Qur'an is the name for a meaning only, and as such, *salah* may be performed in its Persian translation. But the disciples of Abu Hanifah have disagreed with this view and it is reported that Abu Hanifah himself reversed his initial ruling, and this is now considered to be the correct view of the Hanafi school. [This report is attributed to a Nuh b. Maryam who has confirmed that Abu Hanifah changed his initial ruling. See Abu Zahrah, *Usul*, p. 60; Shaltut, *Al-Islam*, P. 478; Sabuni, *Madkhal*, P. 4.]

The Prophet himself memorised the Qur'an, and so did his Companions. This was, to a large extent, facilitated by the fact that the Qur'an was revealed piecemeal over a period of twenty-three years in relation to particular events. The Qur'an itself explains the rationale of graduality (*tanjim*) in its revelation as follows: 'The unbelievers say, why has not the Qur'an been sent down to him [Muhammad] all at once. Thus [it is revealed] that your hearts may be strengthened, and We rehearse it to you gradually, and well-arranged' [al-Furqan, 23:32].

Elsewhere we read in the text: 'It is a Qur'an We have divided into parts in order that you may recite it to people at intervals: We have revealed it by stages' (Bani Isra'il, 17:106). In yet another passage, Almighty God addresses the Prophet: 'By degrees shall We teach you to declare [the message] so that you do not forget' (al-A'la, 87:6).

Graduality in the revelation of Qur'an afforded the believers the opportunity to reflect over it and to retain it in their memories. Revelation over a period of time also facilitated continuous contact and renewal of spiritual strength so that the hostility of the unbelievers toward the new faith did not weaken the hearts of the Muslims. Furthermore, in view of the widespread illiteracy of the Arabs at the time, had the Qur'an been revealed all at once, they would have found it difficult to understand. The Qur'anic legislation concerning matters which touched the lives of the people was therefore not imposed all at once. It was revealed piecemeal so as to avoid hardship to the believers. [Sabuni, *Maadhal*, pp. 41-42.; Abu Zahrah, *Usul*, p. 61; Qattan, *Tashri'*, P. 57ff.] The ban on the consumption of alcohol affords an interesting example of the Qur'anic method of graduality in legislation, and throws light on the attitude of the Qur'an to the nature and function of legislation itself. Consumption of alcohol was apparently, subject to no restriction in the early years. Later, the following Qur'anic passage was revealed in the form of a moral advice: 'They ask you about alcohol and gambling, say: in these there is great harm and also benefit for the people, but their harm far outweighs their benefit' (al-Baqarah; 2:219). Then offering prayers while under the influence of alcohol was prohibited (al-Nisa', 4:43). Finally a total ban on wine drinking was imposed (al-Ma'idah, 5:93) and both alcohol and gambling were declared to be 'works of the devil ... the devil wants to sow enmity and rancour among you'. This shows the gradual tackling of problems as and when they arose.

The ulema are in agreement to the effect that the entire text of the Qur'an is *Mutawatir*, that is, its authenticity is proven by universally accepted testimony. It has been retained both in memory and in written record throughout the generations. Hence nothing less than *tawatur* is accepted in evidence to establish the authenticity of the variant readings of the Qur'an. Thus the variant reading of some words in a few ayat, attributed to 'Abdullah ibn Mas'ud, for example, which is not established by *tawatur* is not a part of the Qur'an. In the context of penance (*kaffarah*) of a false oath, for example, the standard text provides this to be three days of fasting. But Ibn Mas'ud's version has it as three consecutive days of fasting. Since the additional element (i.e. consecutive) in the relevant ayah in sura al-Ma'idah (5:92) is not established by *tawatur*, it is not a part of the Qur'an and is therefore of no effect. [Ghazali, *Mustafa*, I. 64; Shawkani, *Irshad*, P. 30; Shaltut, *Al-Islam*, P. 440; The same would apply to the two other instances of variant readings which are attributed to 'Abdullah ibn Mas'ud concerning the punishment of theft, and the form of divorce known as *ila* in sura al-Ma'idah (5: 38) and al-Baqarah (2: 226) respectively. Since these are only supported by solitary reports (*Ahad*) they do not constitute a part of the Qur'an.]

During the lifetime of the Prophet, the text of the Qur'an was preserved not only in memories, but also in inscriptions on such available materials as flat stones, wood and bones, which would explain why it could not have been compiled in a bound volume. Initially the first Caliph, Abu Bakr, collected the



Qur'an soon after the battle of Yamamah which led to the death of at least seventy of the memorisers of the Qur'an. Zayd b. Thabit, the scribe of the Prophet, was employed on the task of compiling the text which he accomplished between 11 and 14 Hijrah. But several versions and readings of this edition soon crept into use. Hence the third Caliph, 'Uthman, once again utilised the services of Zayd to verify the accuracy of the text and compiled it in a single Volume. All the remaining variations were then destroyed. As a result only one authentic text has remained in use to this day. [Abu Zahrah, *Usul*, p. 62; Abdur Rahim, *Jurisprudence*, P. 71.]

The Qur'an was revealed in two distinct periods of the Prophet's mission in Mecca and Madinah respectively. The larger part of the Qur'an, that is nineteen out of the total of thirty parts, was received during the first twelve and a half years of the Prophet's residence in Mecca. The remainder of the Qur'an was received after the Prophet's migration to Madinah over a period of just over nine and a half years. [To be precise, the Meccan period lasted twelve years, five months and thirteen days, and the Madinan period, nine years, seven months and seven days.] The Meccan part of the Qur'an is mainly devoted to matters of belief, the Oneness of God (*Tawhid*), the necessity of the prophethood of Muhammad, the hereafter, disputation with the unbelievers and their invitation to Islam. But the Madinese part of the Qur'an also comprised legal rules and regulated the various aspects of life in the new environment of Madinah. Since the Madinese period signified the formation of the *ummah* and of the nascent Islamic state, the Qur'anic emphasis was shifted to principles regulating the political, legal, social and economic life of the new community. During this period Islam expanded to other parts of Arabia, and the Qur'anic response to the need for rules to regulate matters of war and peace, the status and rights of the conquered people as well as the organisation of the family and principles of government feature prominently in the Madinese part of the Qur'an. [Cf. Sabuni, *Madkhal*, PP. 41-44; Khallaf, *Ilm*, P. 24.] The knowledge of the Meccan and the Madinese contents of the Qur'an gives one an insight into the context and circumstances in which the *ayat* were revealed; it is particularly relevant to the understanding of the incidence of abrogation (*naskh*) in the Qur'an. To distinguish the abrogating (*al-nasikh*) from the abrogated (*al-mansukh*) portions of the text depends on determining the chronological order in the revelation of the relevant *ayat*. Similarly, most of the general (*Amm*) rulings of the text have been qualified either by the text itself or by the Hadith. Thus the knowledge of the Makki and Madani parts of the revelation facilitates a better understanding of some of the characteristic features of the Qur'anic legislation.

A sura is considered to be Makki if its revelation had begun in Mecca, even if it contained *ayat* that were later revealed in Madinah. The Qur'an consists of eighty-five Meccan and twenty-nine Madinan suras. The differences of content and style that are observed in each are reflective of the prevailing circumstances of each period. Since Muslims were in the minority in Mecca the Meccan *ayat* may thus be especially meaningful to Muslims living in a dominantly un-Islamic environment, whereas the Madinese *ayat* may take for granted the presence of the sovereign authority of the Islamic state. The Meccan suras are generally short but rhythmical and intense in their emotional appeal to the pagan

Arabs, whereas the Madinan suras are detailed and convey a sense of serenity that marks a difference of style in the revelation of the Qur'an. [Cf. von Denffer, 'Ulum, p. 90.]

The distinction between the Meccan and Madinan parts of the Qur'an is based on the information that is provided mainly by the Companions and the following generation of the 'successors': the Prophet himself has said nothing on the subject. The distinction is also facilitated considerably by internal evidence in the Qur'an, such as the theme itself: *ayat* about warfare were, for example, revealed only after the Hijrah, but references to Abu Lahab in sura 111 and to the battle of Badr (3: 123) indicate the Meccan origin of the suras in which they occur. Similarly the form of address is often different in the two parts. The frequent address, 'O you who believe' and 'O people of the Book' indicates a Madinan origin, while 'O people' or 'O mankind' are typically Meccan. There are nineteen suras in the Qur'an which begin with abbreviated *letters (al-muqatta'at)*; all of them are known to be Meccan except two, namely al-Baqarah, and Al-Imran. All references to the *munafiqun* (hypocrites) are Madinan and all suras that contain *sajdah*, that is, an order to prostrate, are Meccan. The distinction between the Makki and Madinese portions of the text is on the whole a well-established feature of the Qur'an, which is normally indicated next to the title of each sura, and the best evidence of such distinction is internal evidence in the Qur'an itself. [Cf. von Denffer, 'Ulum, p. 91.]

With regard to distinguishing the Makki from the Madani contents of the Qur'an, the ulema have applied three different criteria: 1) The time of the revelation, meaning that the part of the Qur'an which was revealed prior to the Prophet's migration to Madinah is classified as Makki and the remaining part which was revealed after the occasion is identified as Madani regardless of the locality in which they were received. In this way the *ayat* which were actually revealed in Mecca after the Year of Victory ('*am al-fath*) or during the Farewell Pilgrimage (*hajjah al-wida*) are accounted as Madani. This is considered to be the most preferred of the three methods under discussion. 2) The place of revelation, which means that all the *ayat* that were revealed while the Prophet was in Mecca, or its neighbouring areas, are classified as Makki, and *ayat* that were actually revealed in Madinah or its surrounding areas are classified as Madani. This criterion is, however, not conclusive in that it leaves out the *ayat* which were received while the Prophet was travelling to places such as Jerusalem or Tabuk. 3) The nature of the audience, which means that all the parts of the Qur'an which are addressed to the people of Makkah are classified as Makki and those which are addressed to the people of Madinah are classified as Madani. In this way all passages which begin with phrases such as 'O mankind' or 'O people' are Makki and those which open with phrases, such as 'O believers' are typically Madani. [Cf. Qattan, 'Tashri', 69-70.]

In the sense that legal material occupies only a small portion of the bulk of its text, the Qur'an is not a legal or a constitutional document. The Qur'an calls itself *huda*, or guidance, not a code of law. Out of over 6,200 *ayat*, less than one-tenth relate to law and Jurisprudence, while the remainder are largely concerned with matters of belief and morality, the five pillars of the faith and a variety of other themes.

Its ideas of economic and social justice, including its legal Contents, are on the whole Subsidiary to its religious call.

The legal or practical contents of the Qur'an (*al-ahkam al-'amaliyyah*) constitute the basis of what is known as *fiqh al-Qur'an*, or the *Juris corpus* of the Qur'an. There are close to 350 legal *ayat* in the Qur'an, most of which were revealed in response to problems that were encountered. Some were revealed with the aim of repealing objectionable customs such as infanticide, usury, gambling and unlimited polygamy. Others laid down penalties with which to enforce the reforms that the Qur'an had introduced. But on the whole, the Qur'an confirmed and upheld the existing customs and institutions of Arab society and only introduced changes that were deemed necessary. [Cf. Abdur Rahim, *Jurisprudence*, P. 71.]

There are an estimated 140 *ayat* in the Qur'an on devotional matters such as *salah*, legal alms (*zakah*), *siyam* (fasting), the Pilgrimage of *haji*, *jihad*, charities, the taking of oaths and penances (*kaffarat*). Another seventy *ayat* are devoted to marriage, divorce, the waiting period of *'iddah*, revocation (*rij'ah*), dower, maintenance, custody of children, fosterage, paternity, inheritance and bequest. Rules concerning commercial transactions (*mu'amalat*) such as sale, lease, loan and mortgage, constitute the subject of another seventy *ayat*. There are about thirty *ayat* on crimes and penalties such as murder, highway robbery (*hirabah*), adultery and false accusation (*qadhif*). Another thirty *ayat* speak of justice, equality, evidence, consultation, and the rights and obligations of citizens. There are about ten *ayat* relating to economic matters regulating relations between the poor and the rich, workers' rights and so on. [Shaltut, *Al-Islam*, P. 494; Khallaf *'Ilm*, P32-33.]

It will be noted, however, that the *fuqaha* are not in agreement over these figures, as calculations of this nature tend to differ according to one's understanding of, and approach to, the contents of the Qur'an. [Note, for example, Ghazali, who estimates the *ayat al-ahkam* at 500. While commenting on Ghazali's estimate, Shawkani on the other hand observes that any such calculation can only amount to a rough estimate (*Mustasfa*, II, 101, and Shawkani, *Irshad*, p. 250)]

## Characteristics of Qur'anic Legislation

We have already described the phenomenon of graduality (*tanjim*) in Qur'anic legislation, its division into Makki and Madani, and also the fact that the Qur'an has been revealed entirely in pure Arabic. In the discussion below, I have also included ratiocination (*ta'lil*) among the characteristic features of Qur'anic legislation despite the fact that the Qur'an specifies the effective cause or the rationale of only some of its laws. The Qur'an is nevertheless quite expressive of the purpose, reason, objective, benefit, reward and advantage of its injunctions. Since the Qur'an addresses the conscience of the individual with a view to persuading and convincing him of the truth and divine origin of its message, it is often combined with an allusion to the benefit that may accrue by the observance of its commands or the harm that is prevented by its prohibitions. This is a feature of the Qur'anic legislation which is closely

associated with ratiocination (*ta'lil*) and provides the *mujtahid* with a basis on which to conduct further enquiry into *ta'lil*. However, of all the characteristic features of Qur'anic legislation, its division into *qat'i* and *zanni* is perhaps the most significant and far-reaching, as it relates to almost any aspect of enquiry into the Qur'anic legislation. I shall therefore take up this subject first.

## I. The Definitive (*qat'i*) and the Speculative (*zanni*)

A ruling of the Qur'an may be conveyed in a text which is either unequivocal and clear, or in language that is open to different interpretations. A definitive text is one which is clear and specific; it has only one meaning and admits of no other interpretations. An example of this is the text on the entitlement of the husband in the estate of his deceased wife, as follows: 'In what your wives leave, your share is a half, if they leave no child' (al-Nisa', 4:12). Other examples are 'The adulterer, whether a man or a woman, flog them each a hundred stripes' (al-Baqarah, 2:196), and those who accuse chaste women of adultery and fail to bring four witnesses [to prove it], flog them eighty stripes' (al-Nur, 24:4). The quantitative aspects of these rulings, namely one half, one hundred, and eighty are self-evident and therefore not open to interpretation. The rulings of the Qur'an on the essentials of the faith such as *salah* and fasting, the specified shares in inheritance and the prescribed penalties, are all *qat'i* their validity may not be disputed by anyone, everyone is bound to follow them, and they are not open to *ijtihad*.

The speculative *ayat* of the Qur'an are, on the other hand, open to interpretation and *ijtihad*. The best interpretation is that which can be obtained from the Qur'an itself, that is, by looking at the Qur'an as a whole and finding the necessary elaboration elsewhere in a similar or even a different context. The *Sunnah* is another source which supplements the Qur'an and interprets its rulings. When the necessary interpretation can be found in an authentic Hadith, it becomes an integral part of the Qur'an and both together carry a binding force. Next in this order comes the Companions who are particularly well-qualified to interpret the Qur'an in light of their close familiarity with its text, the surrounding circumstances, and the teachings of the Prophet. [Khallaf, *Ilm*, P. 35; Abu Zahrah, *Usul*, P. 71]

An example of the *zanni* in the Qur'an is the text which reads, 'Prohibited to you are your mothers and your daughters' (al-Nisa 4:23). The text is definitive in regard to the prohibition of marriage with one's mother and daughter and there is no disagreement on this point. However, the word *banatukum* ('your daughters') could be taken for its literal meaning, which would be a female child born to a person either through marriage or through *zina*, or for its juridical meaning. In the latter sense '*banatukum*' can only mean a legitimate daughter.

The jurists are in disagreement as to which of these meanings should be read into the text. The Hanafis have upheld the first of the two meanings and have ruled on the prohibition of marriage to one's illegitimate daughter, whereas the Shafi'is have upheld the second. According to this interpretation, marriage with one's illegitimate daughter is not forbidden as the text only refers to a daughter through marriage. It would follow from this that the illegitimate daughter has no right to inheritance, and the rules of guardianship and custody would not apply to her. [Sha'ban,'Manhaj', P. 31]

In a similar vein, the ulema have differed on the definition of futile, as opposed to deliberate, oaths, which occur in sura al-Ma'idah (5:92): 'God will not call you to account for what is futile (*al-laghw*) in your oaths, but He will call you to account for your deliberate oaths . . .' The text then continues to spell out the expiation, or *kaffarah*, for deliberate oaths, which consists of either feeding ten hungry persons who are in need, or setting a slave free, or fasting for three days. According to the Hanafis, a futile oath is one which is taken on the truth of something that is suspected to be true but the opposite emerges to be the case. The majority have, on the other hand, held it to mean taking an oath which is not intended, that is, when taken in jest without any intention. Similar differences have arisen concerning the precise definition of what may be considered as a deliberate oath (*yamin al-mu'qqadah*). [A typical form of a sinful oath is when a person takes an oath on the truth of something which he knows to be untrue; this is called *yamin al-ghamus*, which is a variety of *yamin al-mu'qqadah*. However the Hanafis maintain that the latter only refers to the situation where a person pledges to do something in the future but then refuses to fulfill it. He is then liable to pay the *kaffarah*.] There is also disagreement as to whether the three days of fasting should be consecutive or could be three separate days. Hence the text of this *ayah*, although definitive on the basic requirement of *kaffarah* for futile oaths, is speculative as to the precise terms of the *kaffarah* and the manner of its implementation.

To give another example of *zanni* in the Qur'an, we may refer to the phrase *yunfaw min al-ard* ('to be banished from the earth') which occurs in sura al-Ma'idah (5:33). The phrase spells out the penalty for highway robbery (*hirabah*), or according to an alternative but similar interpretation, for waging war on the community and its legitimate leadership. Banishment (*nafy*) in this *ayah* can mean exile from the place the offence is committed in the first place. This is, in fact, the obvious meaning of the phrase, and the one which has been adopted by the majority of the ulema. But the Hanafi jurists maintain that the phrase means imprisonment, not exile. According to the Hanafis, a literal approach to the interpretation of this phrase does not prove to be satisfactory: if one is to be literal, then how can one be banished from the face of the earth by any method but death? *Nafy*, or exile, on the other hand, is a penalty other than killing. Furthermore, if the offender is to be banished from one place to another within the Muslim territories, the harm is not likely to be prevented as he may commit further offences. The Hanafis have further argued that banishing a Muslim outside the territory of Islam is not legally permissible. The only proper meaning of the phrase which would achieve the *Shari'ah* purpose behind the penalty, is, therefore, imprisonment.

And lastly, the whole *ayah* of *muharabah* in which the phrase *yunfaw min al-ard* occurs is open to divergent interpretations. The *ayah* in question reads:

The punishment of those who wage war against God and His Messenger and strive to make mischief in the land is that they should be killed or crucified or their hands and their feet should be cut off on opposite sides, or they should be banished from the earth.

In this passage, confusion arises from the combination of phrases which contain differential penalties for *hirabah*. This is mainly due to the use of the article *aw*, meaning 'and' between the three phrases which provide three different penalties for the offence in question. It is thus not known for certain as to which of the three penalties are to be applied to the offender, that is, the *muharib*. The majority view is that the *muharib* is liable to execution when he actually robs and kills his victim, but if he only robs him, the offender is liable to the mutilation of hands. And finally, if there be no killing involved and no robbery, then the penalty is banishment. In the more intensified cases where the offender kills and robs his victim, the former is to be killed and crucified. According to an alternative juristic opinion, it is for the ruler to determine one or the other, or a combination of these penalties, in individual cases.

A Qur'anic injunction may simultaneously possess a definitive and a speculative meaning, in which case each of the two meanings will convey a ruling independently of the other. An example of this is the injunction concerning the requirement of ablution for prayers which reads in part ' . . . and wipe your heads' (al-Ma'idah, 5:6). This text is definitive on the requirement of wiping (*mash*) of the head in *wudu'*, but since it does not specify the precise area of the head to be wiped, it is speculative in regard to this point. Hence we find that the jurists are unanimous in regard to the first, but have differed in regard to the second aspect of this injunction. [Badran, *Usul*, p. 66.]

There are sometime instances where the scope of disagreement over the interpretation of the Qur'an is fairly extensive. Mahmud Shaltut, for example, underlines this point by noting that at times seven or eight different juristic conclusions have been arrived at on one and the same issue. And he goes on to say that not all of these views can be said to be part of the religion, nor could they be legally binding. These are *ijtihadi* opinions; *ijtihad* is not only permissible but is encouraged. For the *Shari'ah* does not restrict the liberty of the individual to investigate and express an opinion. They may be right or they may be wrong, and in either case, the diversity of opinion offers the political authority a range of choice from which to select the view it deems to be most beneficial to the community. When the ruler authorises a particular interpretation of the Qur'an and enacts it into law, it becomes obligatory for everyone to follow only the authorised version. [Shaltut, *Al-Islam*, P. 498.]

The ulema are in agreement that the specific (*Khass*) of the Qur'an (and of *Sunnah*) is definitive, but they are in disagreement as to whether the general ('*Amm*) is definitive or speculative. The Hanafis maintain that the '*Amm* is definitive and binding: but the Malikis, Shafi'is and Hanbalis hold that the '*Amm* by itself is speculative and open to qualification and specification. We need not, at this point, go into the details of the '*Amm* and the *Khass* as we shall have occasion to return to this subject later. Suffice it here to explain how the '*Amm* and *khass* may be related to *qat'i*' and *zanni*.

First we may highlight the *zanni* content of the '*Amm* by referring to the Qur'anic ruling which provides: 'Forbidden to you (in marriage) are your mothers, your daughters, your sisters, your father's sisters and your mother's sisters' (al-Nisa', 4:23). This is a general ruling in that mothers, daughters, sisters, etc. are all '*Amm* as they include, in the case of 'mother' not only the real mother but also the step-mother and even the grandmother. Similarly, 'daughters' can include real daughters, stepdaughters, granddaughters and even illegitimate daughters. The application of these terms to all of their various meanings is *qat'i* according to the Hanafis, but is *zanni* according to the majority of ulema. Whenever the *zanni* of the Qur'an is explained and clarified by the Qur'an itself or by the *Sunnah*, it may become *qat'i*, in which case the clarification becomes an integral part of the original ruling. On the subject of prohibited degrees in marriage, there is ample evidence both in the Qur'an and the *Sunnah* to specify and elaborate the '*Amm* of the Qur'an on this subject. Similarly, when the Qur'an or the *Sunnah* specifies a general ruling of the Qur'an, the part which is so specified becomes *qat'i*'.

To give another example of the '*Amm* which can be clearly seen in its capacity as *zanni* we refer to the Qur'anic proclamation that 'God has permitted sale but prohibited usury' (al-Baqarah, 2:275). This is a general ruling in the sense that sale, that is any sale, is made lawful. But there are certain varieties of sale which are specifically forbidden by the *Sunnah*. Consequently, the '*Amm* of this *ayah* is specified by the *Sunnah* to the extent that some varieties of sale, such as sale of unripened fruit on a tree, were forbidden and therefore excluded from the scope of this *ayah*. The ulema are all in agreement to the effect that once the '*Amm* has been specified even in a narrow and limited sense, the part which still remains unspecified is reduced to *zanni* and will be treated as such.

Broadly speaking, the *Khass* is definitive. When, for example, the Qur'an (al-Nur, 24:4) prescribes the punishment of eighty lashes for slanderous accusation (*qadhif*), the quantitative aspect of this punishment is specific (*Khass*) and not susceptible to any speculation. But then we find that the same passage (al-Nur, 24:4) prescribes a supplementary penalty for the slanderous accuser (*qadhif*) where it reads: 'Never accept their testimony, for they are evildoers (*fasiqun*), except for those who repent afterwards and make amends.' This text is clear and definitive on the point that the *qadhif* is to be disqualified as a witness, but then an element of doubt is introduced by the latter portion of the text which tends to render ambiguous the precise scope of its application. Having enacted both the principal and the supplementary penalties for slanderous accusers and *fasiqun* it becomes questionable whether the *qadhif* should qualify as a witness after repentance. Does the text under discussion mean that the

concession is only to be extended to the *fasiqun* and not necessarily to slanderous accusers? If the answer is in the affirmative, then once the *qadhif* is convicted of the offence, no amount of repentance will qualify him as an upright witness again. The whole confusion is due to uncertainty in the meaning of a pronoun, namely *al-ladhina* (i.e. 'those') which is not known to refer to all or only part of the preceding elements in the text. The Hanafis disqualify the *qadhif* permanently from being a witness, whereas the Shafi'is would admit him as a witness after repentance. This example also serves to show that it is not always self-evident whether a text is *qat'i* or *zanni* as this too may be open to interpretation. But the main point of citing this example is to show that although the *Khass* is *qat'i*, an aspect thereof may be *zanni* in a way that might affect the definitive character of the *Khass* as a whole.

Although in principle the *Khass* is *qat'i* and, as such, is not open to speculative interpretation, there may be exceptions to this general rule. For example, the penance (*kaffarah*) of a false oath according to a textual ruling of the Qur'an (al-Ma'idah, 5:92) is of three types, one of which is to feed ten poor persons. This is a specific ruling in the sense that 'ten poor persons' has only one meaning. But even so, the Hanafis have given this text an alternative interpretation, which is that instead of feeding ten poor persons, one such person may be fed ten times. The majority of ulema, however, do not agree with the Hanafis on this point. Be that as it may, this example will serve to show that the scope of *ijtihad* is not always confined to the '*Amm* but that even the *Khass* and definitive rulings may require elaboration which might be based on speculative reasoning.

Furthermore, the *Khass* of the Qur'an normally occurs in the form of a command or a prohibition which, as discussed below in a separate chapter, can either be *qat'i* or *zanni*. The *zanni* component of a command or a prohibition is readily identified by the fact that a command in the Qur'an may amount either to *wajib* or to *mandub* or even to a mere *mubah*. Similarly, it is not always certain whether a prohibition in the Qur'an amounts to a total ban (*tahrim*) or to a mere abomination (*karahah*).

The absolute (*Mutlaq*) and the qualified (*Muqayyad*) are also classified as the sub-varieties of *Khass*. But these too can be related to the *qat'i* *zanni* division in at least two ways. Firstly, that somewhat like the '*Amm*, the absolute is speculative in regard to the precise scope of its application. Secondly, the qualification of the absolute, the grounds on which it is qualified and the nature of the relationship between the qualified and the qualifier are not always a matter of certain knowledge. The absolute in the Qur'an is sometimes qualified on speculative grounds, which is why the jurists are not in agreement over the various aspects of qualifying the *Mutlaq*. Further detail on the subject of *Mutlaq* and *Muqayyad* and juristic disagreements over its various aspects can be found in a separate chapter below. Suffice it here to give an illustration: there are two separate rulings on the subject of witnesses in the Qur'an, one of which is absolute and the other qualified in regard to the attributes of the witness. First it is provided with regard to the transaction of sale to 'bring witnesses when you conclude a sale - *washhidu idha tabaya tum*' (al-Baqarah, 2:282). In this *ayah*, the witness is not qualified in any way whatsoever. But elsewhere we find in a reference to the subject of revocation in divorce (*rijah*), the



command to 'bring two just witnesses' (al-Talaq, 65:2). The ulema have on the whole related these two *ayat* to one another and the conclusion is drawn that the qualified terms of the second *ayah* must also be applied to the first, which would mean that witnesses must be upright and just whether it be a case of a commercial transaction or of revocation in divorce. This is the settled law, but to relate this to our discussion over the *qat'i* and the *zanni*, it will be noted that determining the precise scope of the first *ayah* is open to speculation. Does the requirement of witnesses apply only to sale or to all commercial transactions? To enter a detailed discussion on this point might seem out of place in the face of the fact that notwithstanding the clear terms of the Qur'anic injunction, the rules of *fiqh* as developed by the majority of ulema, with the exception of the Zahiris, do not require any witnesses either in sale or in the revocation of divorce. The ulema have, of course, found reasons in support of their rulings both from within and outside the Qur'an. But even the bare facts we have discussed so far are enough to show that the *Mutlaq* and *Muqayyad* are susceptible to speculative reasoning. But to discuss the foregoing example a little further, it will be noted that the juxtaposition of the two *ayat* and the conclusion that the one is qualified by the other is to a large extent based on speculative reasoning. And then the qualified terms of the second of the two *ayat* may be taken a step further, and the question is bound to be asked, as indeed it has been, as to the precise meaning of a just witness. The ulema of the various schools have differed on the attribute of '*adalah*' in a witness and their conclusions are based largely on speculative *ijtihad*,

We need not perhaps discuss in detail the point that the binary division of words into the literal (*Haqiqi*) and metaphorical (*Majazi*) which we shall elsewhere elaborate can also be related to the *qat'i* and *zanni*. Although relying on the literal meaning of a word is the norm and a requirement of certainty in the enforcement of a legal text, it may be necessary at times to depart from the literal in favour of adopting the metaphorical meaning of a word'. To give an example, *talaq* literally means release or setting free, but as a technical term, it has acquired a specific meaning, and it is the metaphorical meaning of *talaq* which is normally applied. The ulema have identified a large variety of grounds on which the *haqiqi* and the *Majazi* can be related to one another. The *Majazi* is to a large extent speculative and unreal. Some ulema have even equated the *Majazi* with falsehood, and, as such, it has no place in the Qur'an. It is thus suggested that the *Majazi* is not to be relied upon in interpreting the practical injunctions of the Qur'an. Be this as it may, the point is clear that speculative reasoning has a wide scope in determining the meaning and application of *Haqiqi* and *Majazi* in the Qur'an, and indeed in any other source of *Shari'ah*.

Furthermore, the ulema have deduced the rules of *Shari'ah* not only from the explicit word of the Qur'an, which is referred to as the *mantuq*, but also from the implicit meanings of the text through inference and logical construction, which is referred to as the implied meaning, or *mafhum*. Once again, this subject has been discussed in a separate chapter under *al-dalalat*, that is, textual implications. The only purpose of referring to this subject here is to point out that the deduction of the rules of *Shari'ah* by way of inference from the implied meaning of a text partakes in speculative reasoning and *ijtihad*.

Naturally, not all the *ahkam* deduced in this way can be classified as *zanni*. The implied meaning of a text can often command the same degree of authority as the explicit ruling of the same text. Having said this, however, to extend, for example, the requirement of expiation (*kaffarah*) for erroneous killing which is releasing a slave, or feeding sixty poor persons, or fasting for two months - to the case of intentional killing on the analysts that the purpose of *kaffarah* is compensation for a sin and that this is true of all types of homicide - is basically no more than speculative *ijtihad*. This is the implied meaning the text in sura al-Nisa', 4:92, which is explicit on the *kaffarah* of erroneous killing. But the implied meaning of this text does not command the same degree of certainty as the clear words thereof, which is why the ulema are not in agreement on it.

In the discussion of the *qat'i* and *zanni*, the Qur'an and *Sunnah* are seen as complementary and integral to one another. The reason is that the speculative of the Qur'an can be made definitive by the *Sunnah* and vice versa. The *zanni* of the Qur'an may be elevated into *qat'i* by means of corroborative evidence in the Qur'an itself or in the *Sunnah*. Similarly, the *zanni* of the *Sunnah* may be elevated into *qat'i* by means of corroborative evidence in the *Sunnah* itself or in the Qur'an. And then the *zanni* of both the Qur'an and *Sunnah* may be elevated into *qat'i* by means of a conclusive *ijma'*, especially the *ijma* of Companions.

As stated above, a speculative indication in the text of the Qur'an or Hadith may be supported by a definitive evidence in either, in which case it is as valid as one which was definitive in the first place. To illustrate this, all the solitary (*ahad*) *ahadith* which elaborate the definitive Qur'anic prohibition of usury (*riba*) in sura 2:275 are speculative by virtue of being *Ahad*. But since their substance is supported by the definitive text of the Qur'an, they become definitive despite any doubt that may exist in respect of their authenticity. Thus as a general rule, all solitary *ahadith* whose authenticity is open to speculation are elevated to the rank of *qat'i* if they can be substantiated by clear evidence in the Qur'an. [Shatibi, *Muwafaqat*, III, 9; Qattan, *Tashri'*, p. 82.] However, if the *zanni* cannot be so substantiated by the *qat'i*, it is not binding unless it can be validated by some evidence which may lead to one of the following two possibilities. Firstly, the *zanni* is found to be in conflict with a *qat'i* of the Qur'an, in which case it must be rejected. To illustrate this, it is reported that the widow of the Prophet, A'ishah, rejected the alleged Hadith that the (soul of the) deceased is tortured by the weeping of his relatives over his death, [Shatibi, *Muwafaqat*, III, 9.] the reason being that this was contrary to the definitive text of the Qur'an (al-An'am, 6:164) which provides that 'no soul may be burdened with the burden of another soul'. And secondly, the speculative indication may be such that it cannot be related to a definitive evidence in any way. The ulema have differed on this; some would advise suspension while others would apply the presumption of permissibility (*ibahah*), but the best view is that the matter is open to *ijtihad*. [Shatibi, *Muwafaqat*, III, 12.]

The *qat'i* of the Qur'an is an integral part of the dogma, and anyone who rejects or denies its validity automatically renounces Islam. But denying a particular interpretation of the *zanni* does not amount to transgression. The *mujtahid* is entitled to give it an interpretation, and so is the ruler who may select one

of the various interpretations for purposes of enforcement. [Shaltut, *Al-Islam*, PP. 498-99, Abu Zahrah, *Usul*, P. 71; Khallaf, *‘Ilm*, P. 35; Badran, *Usul*, p. 67.]

## II. Brevity and Detail (*al-ijmal wa'l-tafsil*)

By far the larger part of the Qur'anic legislation consists of an enunciation of general principles, although in certain areas, the Qur'an also provides specific details. Being the principal source of the *Shari'ah*, the Qur'an lays down general guidelines on almost every major topic of Islamic law. While commenting on this point, Abu Zahrah concurs with Ibn Hazm's assessment that 'every single chapter of *fiqh* finds its Origin in the Qur'an, which is then explained and elaborated by the *Sunnah*'. [Abu Zahrah, *Usul*, p. 80, where he quotes Ibn Hazm in support of his own view.] On a similar note, al-Shatibi makes the following observation: Experience shows that every *'alim* who has resorted to the Qur'an in search of the solution to a problem has found in the Qur'an a principle that has provided him with some guidance on the subject. [Shatibi, *Muwafaqat*, III, 219.]

The often-quoted declaration that 'We have neglected nothing in the Book' (al-An'am, 6:38) is held to mean that the *ru'us al-ahkam*, that is, the general of law and religion, are exhaustively treated in the Qur'an. [Abu Zahrah, *Usul*, P. 70.] That the Qur'an is mainly concerned with general principles is borne out by the fact that its contents require a great deal of elaboration, which is often provided, although not exhaustively, by the *Sunnah*. To give an example, the following Qur'anic *ayah* provides the textual authority for all the material sources of the *Shari'ah*, namely the Qur'an, the *Sunnah*, consensus and analogy. The *ayah* reads: 'O you who believe, obey God and obey the Messenger, and those of you who are in authority; and if you have a dispute concerning any matter refer it to God and to the Messenger . . .' (al-Nisa', 4:58). 'Obey God' in this *ayah* refers to the Qur'an as the first source, 'and obey the Messenger' refers to the *Sunnah* of the Prophet, 'and those of you who are in authority' authorises the consensus of the ulema. The last portion of the *ayah* ('and if you have a dispute. . .') validates *qiyas*. For a dispute can only be referred to God and to the Messenger by extending the rulings of the Qur'an and *Sunnah* through analogy to similar cases. In this sense one might say that the whole body of *usul al-fiqh* is a commentary on this single Qur'anic *ayah*. [Sabuni, *Muwafaqat*, P. 31. For a further discussion of this *ayah* see below in the sections of this work on the *hujjiyyah* of *Sunnah*, *ijma'* and *qiyas* respectively.]

Al-Shatibi further observes that wherever the Qur'an provides specific details it is related to the exposition and better understanding of its general principles. [Shatibi, *Muwafaqat*, III, 217] Most of the legal contents of the Qur'an consist of general rules, although it contains specific injunctions on a number of topics. Broadly speaking, the Qur'an is specific on matters which are deemed to be unchangeable, but in matters which are liable to change, it merely lays down general guidelines.

The Qur'anic legislation on civil, economic, constitutional and international affairs is, on the whole, confined to an exposition of the general principles and objectives of the law. With regard to civil transactions, for example, the *musus* of the Qur'an on the fulfillment of contracts, the legality of sale, the prohibition of usury, respect for the property of others, the documentation of loans and other forms of deferred payments are all concerned with general principles. Thus in the area of contracts, the Qur'anic legislation is confined to the bare minimum of detail, <sup>[Of the two *ayat* on the subject of contracts, one is in the form of a command and the other in the form of a question, as follows: 'O you believers, fulfill your contracts' (al-Ma'idah, 5: 1) . and 'O you believers, why do you say things which you do not carry through?' (al-Saff, 61:2). These are, in turn, confirmed by another *ayah* (al-Nisa', 4:58) where an emphasis is laid on the fulfillment of trusts and the principle of fair treatment: 'God commands you to turn over trusts to those to whom they belong, and when you judge among people, judge righteously.' Contracts must therefore not amount to a violation of justice, a breach of trust, or a departure from the moral ideals of the law.]</sup> and in the area of civil transactions and property, the believers are enjoined to 'devour not the properties of one another unlawfully, but let there be lawful trade by mutual consent' (al-Nisa, 4:29). Elsewhere we read in surah al-Baqarah (2:275) that 'God has permitted sale and prohibited usury'. The detailed varieties of lawful trade, the forms of unlawful interference with the property of others, and the varieties of usurious transactions, are matters which the Qur'an has not elaborated. Some of these have been explained and elaborated by the *Sunnah*. As for the rest, it is for the scholars and the *mujtahidun* of every age to specify them in the light of the general principles of the *Shari'ah* and the needs and interests of the people. <sup>[Cf. Badran, *Bayan*, pp. 2-3]</sup>

In the sphere of crimes and penalties, the Qur'anic legislation is specific with regard to only five offences, namely murder, theft, highway robbery, *zina* and slanderous accusation. As for the rest, the Qur'an authorises the community and those who are in charge of their affairs (i.e. the *ulu al-amr*) to determine them in the light of the general principles of *Shari'ah* and the prevailing conditions of society. Once again the Qur'an lays down the broad principles of penal law when it provides that 'the punishment of an evil is an evil like it' (al-Shura, 42:40), and 'when you decide to punish then punish in proportion to the offence committed against you' (al-Nahl, 16:126).

In the area of international relations, the Qur'an lays down rules which regulate war with the unbelievers and expound the circumstances in which their property may be possessed in the form of booty. But the general principle on which relations between Muslims and non-Muslims are to be regulated is stated in the following passage:

God does not forbid you to act considerately towards those who have never fought you over religion nor evicted you from your homes, nor [does he forbid you] to act fairly towards them. God loves the fairminded. He only forbids you to be friendly with the ones who have fought you over [your] religion and evicted you from your homes and have abetted others in your eviction. Those who befriend them are wrongdoers (al-Mumtahinah, 60:8-9).

Similarly, the Qur'anic commandments to do justice are confined to general guidelines and no details are provided regarding the duties of the judge or the manner in which testimony should be given. [Shaltut, *Al-Islam*, p. 501.] On the principles of government, such as consultation, equality and the rights of citizens, the Qur'an does not provide any details. The general principles are laid down, and it is for the community, the ulema and leaders to organise their government in the light of the changing conditions of society [Sabuni, *Madkhal*, P. 73.] The Qur'an itself warns the believers against seeking the regulation or everything by the express terms of divine revelation, as this is likely to lead to rigidity and cumbersome restrictions: 'O you believers, do not keep asking about things which, if they were expounded to you, would become troublesome for you. . .' (5:104). In this way, the Qur'an discourages the development of an over-regulated society. Besides, what the Qur'an has left unregulated is meant to be devised, in accord with the general objectives of the Lawgiver, through mutual consultation and *ijtihad*. A careful reading of the Qur'an further reveals that on matters pertaining to belief, the basic principles of morality, man's relationship with his Creator, and what are referred to as *ghaybiyyat*, that is transcendental matters which are characteristically unchangeable, the Qur'an is clear and detailed, as clarity and certainty are the necessary requirement of belief. In the area of ritual performances (*ibadat*) such as *salah*, fasting and *hajj*, on the other hand, although these too are meant to be unchangeable, the Qur'an is nevertheless brief, and most of the necessary details have been supplied by the *Sunnah*. An explanation for this is that ritual performances are all of a practical, or '*amali*', nature and require clear instructions which are best provided through practical methods and illustration. With regard to *salah*, legal *alms* (*zakat*) and *hajj*, for example, the Qur'an simply commands the believers to 'perform the *salah*, and pay the *zakat*' and states that 'pilgrimage to the house is a duty, that God has imposed on mankind' (al-Nahl, 116:44 and Al-'Imran, 3:97, respectively). With regard to *salah*, the Prophet has ordered his followers to 'perform *salah* the way you see me performing it',

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and regarding the *hajj* he similarly instructed people to 'take from me the rituals of the *hajj*'. [Shatibi, *Muwafaqat*, III, 178; Abu Zahrah, *Usul*, p. 122; Khallaf, '*Ilm*', p. 167.]

خذوا عني مناسككم

The details of *zakat* such as the quorum, the amount to be given and its numerous other conditions have been supplied by the *Sunnah*.

The Qur'an also contains detailed rules on family matters, the prohibited degrees of relationship in marriage, inheritance and specific punishments for certain crimes. These are, for the most part, associated with human nature and regulate the manner in which man's natural needs may be fulfilled. The basic objectives of the law regarding these matters are permanent. They are, however, matters which lead to disputes. The purpose of regulating them in detail is to prevent conflict among people. The specific rulings of the Qur'an in these areas also took into consideration the prevalence of certain entrenched social customs of Arabia which were overruled and abolished. The Qur'anic reforms concerning the status of women, and its rules on the just distribution of property within the family could, in view of such customs, only be effective if couched in clear and specific detail. [Cf. Sabuni, *Madkhal*, P. 72; Badran, *Bayan*, P. 4.]

The Qur'an frequently provides general guidelines on matters of law and religion, which are often specified by the Qur'an itself; otherwise the *Sunnah* specifies the general in the Qur'an and elaborates its brief and apparently ambiguous provisions. By far the larger part of Qur'anic legislation is conveyed in general terms which need to be specified in relation to particular issues. This is partly why we find that the study of the '*Amm* (general) and *Khass* (particular) acquires a special significance in the extraction of substantive legal rules from the general provisions of the Qur'an. Once again the fact that legislation in the Qur'an mainly occurs in brief and general terms has to a large extent determined the nature of the relationship between the Qur'an and *Sunnah*. Since the general, the ambiguous and the difficult portions of the Qur'an were in need of elaboration and *takhsis* (specification), the Prophet was expected to provide the necessary details and determine the particular focus of the general rulings of the Qur'an. It was due to these and other such factors that a unique relationship was forged between the *Sunnah* and the Qur'an in that the two are often integral to one another and inseparable. By specifying the general and by clarifying the *mujmal* in the Qur'an, the *Sunnah* has undoubtedly played a crucial role in the development of *Shari'ah*. It is the clear and the specific (*Khass*) in the Qur'an and *Sunnah* which provides the core and kernel of the *Shari'ah* in the sense that no law can be said to have any reality if all or most of it were to consist of brief and general provisions. To that extent, the specifying role of the *Sunnah* in its relationship to the Qur'an is of central importance to *Shari'ah*. And yet the general in the Qur'an has a value of its own. In it lies the essence of comprehensive guidance and of the permanent validity of the Qur'an. It is also the '*Amm* of the Qur'an which has provided scope and substance for an ever-continuing series of commentaries and interpretations. The ulema and commentators throughout the centuries have attempted to derive a fresh message, a new lesson or a new principle from the Qur'an that was more suitable to the realities of their times and the different phases of development in the life of the community. This was to a large extent facilitated by the fact that the Qur'an consisted for the most part of broad principles which could be related to a variety of circumstances. To give one example, on the subject of consultation (*shura*) the Qur'an contains only

two *ayat*, both of which are general. One of these commands the Prophet to 'consult them [the community] in their affairs' (Al-Imran, 3:159) and the other occurs in the form of praise to the Muslim community on account of the fact that 'they conduct their affairs by consultation among them' (Al-Shura, 42:38). The fact that both these are general proclamations has made it possible to relate them to almost any stage of development in the socio-political life of the community. The Qur'an has not specified the manner as to how the principle of *shura* should be interpreted; it has not specified any subject on which consultation must take place, nor even any person or authority who should be consulted. These are all left to the discretion of the community. In its capacity as the vicegerent of God and the locus of political authority, the community is at liberty to determine the manner in which the principle of *shura* should be interpreted and enforced. [Cf. Sha'ban, 'Manhaj', p. 29.]

### III. The Five Values

As a characteristic feature of Qur'anic legislation, it may be stated here that commands and prohibitions in the Qur'an are expressed in a variety of forms which are often open to interpretation and *ijtihad*. The question as to whether a particular injunction in the Qur'an amounts to a binding command or to a mere recommendation or even permissibility cannot always be determined from the words and sentences of its text. The subject of commands and prohibitions need not be elaborated here as this is the theme of a separate chapter of this work. It will suffice here to note the diversity of the Qur'anic language on legislation. Broadly speaking, when God commands or praises something, or recommends a certain form of conduct, or refers to the positive quality of something, or when it is expressed that God loves such-and-such, or when God identifies something as a cause of bounty and reward, all such expressions are indicative of the legality (*mashru'iyah*) of the conduct in question which partakes in the obligatory and commendable. If the language of the text is inclined on the side of obligation (*wujub*), such as when there is a definite, demand or a clear emphasis on doing something, the conduct is question in obligatory (*wajib*), otherwise it is commendable (*mandub*).

Similarly, when God explicitly declares something permissible (*halal*) or grants a permission (*idhn*) in respect of doing something, or when it is said that there is 'no blame' or 'no sin' accrued from doing something, or when God denies the prohibition of something, or when the believers are reminded of the bounty of God in respect of things that are created for their benefit, [Note, e.g., 'and He created for you ships and cattle on which you ride' (al-Zukhruf, 43:12), and 'He created cattle from which you derive warmth ... and you eat of their meat' (al-Nahl, 16:5), and 'say, who has forbidden the beautiful gifts of God which He has produced for His servants, and the clean food for their sustenance' (al-A'raf,7:32).] all such expressions are indicative of permissibility (*ibahah*) and option (*takhyir*) in respect of the conduct or the object in question.

Whenever God demands the avoidance of a certain conduct, or when He denounces a certain act, or identifies it as a cause for punishment, or when a certain conduct is cursed and regarded as the work of Satan, or when its harmful effects are emphasised, or when something is proclaimed unclean, a sin or a deviation (*ithm, fisq*) - all such expressions are indicative of prohibition which partakes in abomination (*karahah*). If the language is explicit and emphatic in regard to prohibition, the conduct/object in question becomes *haram*, otherwise it is reprehensible, or *makruh*. It is for the *mujtahid* to determine the precise value of such injunctions in the light of both the language of the text as well as the general objectives and principles of the *Shari'ah*. [Cf. Sha'bin,'Manhaj', pp. 22-23.]

This style of Qur'anic legislation, and the fact that it leaves room for flexibility in the evaluation of its injunctions, is once again in harmony with the timeless validity of its laws. The Qur'an is not specific on the precise value of its injunctions, and it leaves open the possibility that a command in the Qur'an may sometimes imply an obligation, a recommendation or a mere permissibility. The Qur'an does not employ the categories known as the five values (*al-ahkam al-khamsah*) which the *fuqaha'* have attempted to specify in juristic manuals. When an act is evaluated as obligatory, it is labeled *fard* or *wajib*; when it is absolutely forbidden, it is evaluated as *haram*. The shades of values which occur between these two extremes are primarily religious in character and provide a yardstick which can be applied to any type of human conduct. But only the two extremes, namely the *wajib* and *haram*, incorporate legal commands and prohibitions. The rest are largely non-legal and non-justiciable in a court of law. The Qur'an thus leaves open the possibility, although not without reservations, of enacting into *haram* what may have been classified by the *fuqaha'* of one age as merely reprehensible, or *makruh*. Similarly, the recommendable, or *mandub*, may be elevated into a *wajib* if this is deemed to be in the interest of the community in a different stage of its experience and development.

#### IV. Ratiocination (*ta'lil*) in the Qur'an

Literally *ta'lil* means 'causation', or 'search for the causes', and refers to the logical relationship between the cause and effect. But the ulema of jurisprudence tend to use *ta'lil* and its derivative '*illah*', for different purposes. In its juridical usage, '*illah*' (i.e. effective cause) does not exactly refer to a causal relationship between two phenomena; it rather means the *ratio* of the law, its value and its purpose. Broadly speaking, '*illah*' refers to the rationale of an injunction, and in this sense, it is synonymous with *hikmah*, that is, the purpose and the objective of the law. But there is a difference between '*illah*' and *hikmah* which I shall discuss in a subsequent chapter on analogical deduction (*qiyas*). There is another Arabic word, namely *sabab*, which is synonymous with '*illah*', and the two are often used interchangeably. Yet the ulema of *usul* tend to use *sabab* in reference to devotional matters (*ibadat*) but



use *'illah* in all other contexts. Thus it is said that the arrival of Ramadan is the cause (*sabab*) of fasting but that intoxication is the *'illah* of the prohibition in wine-drinking. [Cf. Ahmad Hasan, 'Rationality', p. 101.]

The authority of the Qur'an as the principal source of the *Shari'ah* is basically independent of ratiocination. The believers are supposed to accept its rulings regardless of whether they can be rationally explained. Having said this, however, there are instances where the Qur'an justifies its rulings with a reference to the benefits that accrue from them, or the objectives which they may serve. Such explanations are often designed to make the Qur'an easier to understand. To give an example in the context of encounters between members of the opposite sex, the believers are enjoined in sura al-Nur (24:30) 'to avert their glances and to guard their private parts'. The text then goes on to provide that in doing so they will attain greater chastity of character and conduct. To give another example, in sura al-Hashr (59:7) the Qur'an regulates the distribution of booty among the needy, the orphans and the wayfarers 'so that wealth does not merely circulate among the wealthy'. In the first *ayah*, averting the glance is justified as it obstructs the means to promiscuity and *zina*. The ruling in the second *ayah* is justified as it prevents the accumulation of wealth in a few hands. Whereas the foregoing are instances in which the text explicitly states the *'illah* of the injunctions concerned, on numerous other occasions the jurists have identified the *'illah* through reasoning and *ijtihad*. The identification of *'illah* in many of the following for example, is based on speculative reasoning on which the ulema are not unanimous: that arrival of the specified time is the cause (*sabab* or *'illah*) of the prayer, that the month of Ramadan is the cause of fasting, that the existence of the Ka'bah is the cause of *hajj*; that owning property is the cause of *zakat*, that theft is the cause of amputation of the hand, that traveling is the cause of shortening the prayer and that intentional killing is the cause of retaliation. These and other similar conclusions with regard to the assignment of *'illah* have been drawn in the light of supportive evidence in the Qur'an and *Sunnah*, but even so many of them are disputed by the ulema. These examples will in the meantime serve to show the difference between the literal/logical meaning of '*illah*' and its juridical usage among the ulema of jurisprudence. [Cf. Ahmad Hasan, 'Rationality', p. 104.]

The question arises as to whether the incidence of *ta'lil* in the Qur'an gives the *mujtahid* the green light to enquire into the causes and reasons behind its injunctions, or whether it exists simply to facilitate a better understanding of the text. The ulema have held different views on this issue. The opponents of *ta'lil* maintain that divine injunctions embodied in the clear text have no causes unless the Lawgiver provides us with clear indications to the contrary. Thus it would not only be presumptuous on the part of the *mujtahid* to adopt an inquisitive approach to divine injunctions, but searching for the cause (*'illah*) or the objective *hikmah* of the Qur'anic rules amounts to no more than an exercise in speculation. Besides, the opponents of *ta'lil* have argued that the believer should surrender himself to the will of God, which can best be done by unquestioning acceptance of God's injunctions. To look into the motive, purpose and rationale of such injunctions, and worse still, to accept them on their rational merit, is repugnant to sincerity in submission to God. Furthermore, in his attempt to identify the rationale of an injunction, the *mujtahid* can only make a reasonable guess which cannot eliminate the

possibility of error. There may even be more than one cause or explanation to a particular ruling of the Qur'an, in which case one cannot be certain which of the several causes might be the correct one. This is the view of the Zahiris. The majority of ulema have, however, held that the *ahkam* of the *Shari'ah* contemplate certain objectives, and when such can be identified, it is not only permissible to pursue them but it is our duty to make an effort to identify and to implement them. Since the realisation of the objectives (*maqasid*) of the *Shari'ah* necessitates identification of the cause/rationale of the *ahkam*, it becomes our duty to discover these in order to be able to pursue the general objectives of the Lawgiver.

[Ibn Hazm, *Ihkam*, VIII, 76ff; Sabuni, *Madkhal*, P. 75. For further discussion on *ta'lil* in the Qur'an see the section on *qiyas* below where *ta'lil* is discussed in connection with the '*illah* of *qiyas*.]

Thus it is the duty of the *mujtahid* to identify the proper causes of divine injunctions, especially in the event where more than one '*illah* can be attributed to a particular injunction. The majority view on *ta'lil* takes into account the analysis that the rules of *Shari'ah* have been introduced in order to realise certain objectives and that the Lawgiver has enacted the detailed rules of *Shari'ah*, not as an end in themselves, but as a means to realising those objectives. In this way, any attempt to implement the law should take into account not only the externalities of the law but also the rationale and the intent behind it. Thus when a man utters the credo of Islam to achieve worldly gain or to attain social prestige, his confession is not valid. The reason is that the true purpose of confession to the faith is the exaltation and worship of God, and if this is violated, a formal confession is of no value. Similarly, if a man says a prayer for the sake of display and self-commendation, it is not valid. The real purpose and value of the law is therefore of primary importance, and indeed it is necessary that the *mujtahid* identifies it so as to be able to implement the law in accordance with its purpose. The Qur'an admittedly requires unquestioning obedience to God and to His Messenger, but at the same time, it exhorts men to understand the spirit and purpose of God's injunctions. Time and time again, the Qur'an invites the believers to rational enquiry, as opposed to blind imitation, in the acceptance of its messages. [Cf. Ahmad Hasan, '*Rationality*', 102.]

*Ta'lil* acquires a special significance in the context of analogical deduction. '*Illah* is an essential requirement, indeed the *sine qua non* of analogy. To enable the extension of an existing rule of the *Shari'ah* to similar cases, the *mujtahid* must establish a common '*illah* between the original and the new case. Without the identification of a common '*illah* between two parallel cases, no analogy can be constructed. To this it may be added that there is a variety of *qiyas*, known as *qiyas mansus al-'illah*, or *qiyas* whose '*illah* is indicated in the *nass*, in which the '*illah* of the law is already identified in the text. When the '*illah* is so identified, there remains no need for the *mujtahid* to establish the effective cause of the injunction by recourse to reasoning or *ijtihad*. However, this variety of *qiyas* is limited in scope when it is compared to *qiyas* whose '*illah* is not so indicated on the *nusus*. It thus remains true to say that *ta'lil*, that is, the search to identify the 'effective cause of the *shari'ah* rules, is of central importance to *qiyas*. Further discussion on the '*illah* of analogy, the manner of its identification, and rules which govern the propriety of *ta'lil* in *qiyas* can be found in our discussion of *qiyas* in a separate chapter below.

There seems to be a confusion on the part of the opponents of *ta' lil* as to the purpose and nature of *ta' lil*. The opponents of *ta' lil* seem to have perceived this phenomenon as a sign of impudence and impropriety in belief. In reality, however, this need not be the case. One may attempt *ta' lil* while remaining totally faithful to the divine origin and essence of the Qur'an. To exercise *ta' lil* does not lessen either the binding power or the holiness of the divine injunctions. We may, for example, offer various interpretations of the cause of performing the *salah* or of giving *zakah*; but whether we can understand the reason or not, *salah* and *zakah* are still obligatory upon Muslims.

## V. Inimitability (*i'jaz*) of the Qur'an

This is reflected in at least four aspects of the Qur'an. First, in its linguistic excellence: many scholars have pointed out that there exists no piece of literature that can match the literary excellence of the Qur'an with respect to both content and form. [Note for example sura al-Baqarah (2:23) which reads: 'If you are in any doubt about what We have sent to Our servant, then bring a chapter like it and call in your witnesses besides God, if you are truthful!'] It is neither poetry nor prose; its rhythm and its genre and word structure are unique. It is the spiritual miracle of the prophethood of Muhammad, who never learned to read or write, and it is considered to have been far beyond his own ability to produce a linguistic artefact of this kind. In more than one place, the Qur'an challenges those who deny its divine origin by asking them to produce anything to match it. [Abu Zahrah, *Usul*, p. 65; Sabuni, *Madkhal*, P. 45.]

The second aspect of *i'jaz* in the Qur'an is its narration of events which took place centuries ago. The accuracy of the Qur'anic narratives concerning such events is generally confirmed by historical evidence. [von Denffer, *'Ulum*, P. 152.]

The third aspect of *i'jaz* in the Qur'an is its accurate prediction of future events, such as the victory of the Muslims in the battle of Badr (al-Anfal, 8:7), the conquest of Mecca (al-Fath, 48:27) and the eventual defeat of the Persians by the Roman empire: The Romans were defeated in a land near-by, but even after this defeat, they will be victorious in a few years (*fi bid'i sinin*; literally in a period lasting up to ten years) (al-Rum, 30:2). The Romans were defeated by the Persians when the latter took Jerusalem in 614 A.D. But seven years later the Persians were defeated when the Romans won the battle of Issus in 622. [For further details on *i'jaz* see von Denffer, *'Ulum*, PP. 152-57; Abu Zahrah, *Usul*, pp. 65-66; Khallaf, *'Ilm*, PP. 25-27.]

The fourth aspect of *i'jaz* in the Qur'an is manifested in its scientific truth concerning the creation of man, the earth and the planetary system. The tenets thus inform us:

- 'We created man from an extract of clay, then We placed him as a drop of semen in a secure resting-place. Then We turned the drop into a clot; next We turned the clot into tissue; and then We turned the tissue into bones and clothed the bones with flesh' (al-Mu'minun, 23:12-14).
- That the earth was previously a part of the sun, and only after it was separated from the sun did it become suitable for human habitation (al-Anbiya', 21:30).
- That all life originated in water (al-Anbiya', 21:30).
- That originally the universe consisted of fiery gas (Ha-mim, 41: 11).
- That matter is made up of minute particles (Yunus, 10:62).
- That fertilisation of certain plants is facilitated by the wind (al-Hijr, 15:22).

Another manifestation of *i'jaz* in the Qur'an is to be seen in its humanitarian, legal and cultural reforms that were unprecedented in the history of nations. Thus in the sphere of government, the ruler and the ruled were both equally subjected to adjudication under the rule of law. [\[For further details on the principles of government under the rule of law - also referred to as the principle of legality - see my article 'The Citizen and State', P. 30ff.\]](#)

In the area of civil transactions and commerce, the Qur'an established mutual agreement as the norm and essence of all contracts. The principal Qur'anic reform in the area of property was the introduction of the doctrine of *istikhlaf*: the Qur'an declares that all property belongs to God, and that man, in his capacity as the vicegerent of God, is a mere trustee, whose exercise of the right of ownership is subjected to the *maslahah* of society to be supervised by the government. In the sphere of international relations, treaty relations, the conduct of war, and treatment of prisoners of war; all were regulated by a set of principles which aimed at the realisation of justice and respect for human dignity. Relations among individuals were to be governed by the principles of freedom and equality, and the state was equally subjected to the observance, and indeed the protection, of these values. [\[Cf. Sabuni, \*Madkhal\*, P. 46; Abu Zahrah, \*Usul\*, p. 67; Kamali, 'The Citizen', 15ff.\]](#)

## VI. Occasions of Revelation (*asbab al-nuzul*)

*Asbab al-nuzul* deal with the phenomenology of the Qur'an, and explain the events which are related to the revelation of its particular passages. The well-known *asbab al-nuzul* have been related to us by reliable Companions. It is a condition for the reliability of such reports that the person relating it should have been present at the time or the occasion which is relevant to a particular passage. The authenticity of such reports is subject to the same rules as are applied to Hadith in general. In this way, reports from the Successors (*tabi'un*) only which do not go back to the Prophet and his Companions are considered to be weak (*da'if*). [\[von Denffer, 'Ulum, P. 93ff.\]](#)

The knowledge of *asbab al-nuzul* is necessary for anyone who wishes to acquire more than a superficial knowledge of the Qur'an, and there are at least two main reasons to explain this. One of these is that knowledge of words and concepts is incomplete without the knowledge of the context and the nature of the audience. For a form of speech - a question for example - may also convey other meanings such as elucidation, surprise, or reprimand, etc. Similarly, a command may mean a mere permissibility, a recommendation, or a threat, etc., depending on the circumstances in which it is issued and the nature of the audience. An incidental meaning or a shade of expression may at times reflect the main purpose of a particular text and this cannot be known without the knowledge of the *asbab al-nuzul*. Ignorance of the *asbab al-nuzul* may thus lead to the omission or misunderstanding of a part or even the whole of an injunction. [Shatibi, *Muwafaqat*, III, 201.] Secondly, ignorance of *asbab al-nuzul* may lead to unwarranted disagreement and even conflict. For the Qur'an comprises passages which are in the nature of probability (*zahir*) and ambiguity (*mujmal*). Such instances in the text can be clarified by reference to the circumstances in which they were received. It is reported that in a conversation with 'Abd Allah ibn 'Abbas, 'Umar ibn al-Khattab asked him: 'Why should there be disagreement among this *ummah*, all of whom follow the same Prophet and pray in the direction of the same *qiblah*?' To this Ibn 'Abbas replied, 'O Commander of the Faithful, the Qur'an was sent down to us, we read it and we know the circumstances in which it was revealed. But there may be people after us who will read the Qur'an without knowing the occasions of its revelation. Thus they will form their own opinion, which might lead to conflict and even bloodshed among them.'

'Umar disagreed with Ibn 'Abbas for saying so at first but, when the latter departed, 'Umar pondered over what he had said. He then sent for Ibn 'Abbas only to tell him that he agreed with his view. [Shatibi, *Muwafaqat*, III, p. 202.] It has been observed that by making this remark, Ibn 'Abbas was referring to certain misinterpretations of the Qur'an which had occurred owing to ignorance of the *asbab al-nuzul*. In particular, some of the Qur'anic passages had been revealed concerning the unbelievers, but were taken by some commentators to be of general application to Muslims and non-Muslims alike. There were also passages in the Qur'an which were revealed in reference to the conduct of people who had died before the revelation of certain rulings, and yet these were taken by some commentators to be of general application. [Khudari, *Usul*, pp. 209-210. Thus when Qudamah b. Maz'un was charged with the offence of wine-drinking, 'Umar b. al-Khattab decided to punish him, but the defendant cited the Qur'anic *ayah* in sura al-Ma'idah (5:95) in his own defence. This *ayah* reads 'there is no blame on those who believe and do good deeds for what they consume provided they are God-fearing believers...' Ibn Maz'un claimed that he was one of them. 'Abd Allah b. 'Abbas refuted this view and explained that this particular *ayah* had been revealed concerning people who died before wine-drinking was definitively forbidden.]

Furthermore, the knowledge of *asbab al-nuzul* is informative of the conditions of the Arab society at the time. Their customary and linguistic usages and their nuances of expression were naturally reflected in the Qur'an. The peculiarities of Arab social customs often gave exegesis of the Qur'anic text a perspective and offered solutions to some of the doubts/ambiguities which would otherwise be difficult to understand. The *asbab al-nuzul* take full cognizance of the customary practices of Arabian society and the relationship, if any, of such practices to Qur'anic legislation. To give an example, the Qur'anic

*ayah* 'Our Lord punish us not, if we forget or make a mistake' (al-Baqarah, 2:286), is held to be referring to unbelief, that is, when words which partake in unbelief are uttered inadvertently. This is forgiven just as are words of unbelief that are expressed under duress. However, the exemption here is not extended to similar pronouncements, such as statements of divorce, freeing of a slave, or sale and purchase, for freeing a slave was not known in the custom of the Arabs nor were the inhibitions over oath-taking (*ayman*). The general support of this *ayah* is thus given a concrete application in the light of the prevailing custom. [Khudari, *Usul*, p.211.]

## Chapter Three: The Sunnah

### Introduction

Literally, *Sunnah* means a clear path or a beaten track but it has also been used to imply normative practice, or an established course of conduct. It may be a good example or a bad, and it may be set by an individual, a sect or a community. <sup>[1. Thus we read in a Hadith, 'Whoever sets a good example - *man sanna sunnatan hasanatan* - he and all those who act upon it shall be rewarded till the day of resurrection; and whoever sets a bad example - *man sanna sunnatan sayyi'atan* - he and all those who follow it will carry the burden of its blame till the day of resurrection ' For details see Isnawi, *Nihayah*, II, 170; Shawkani, *Irshad*, p. 33.]</sup> In pre-Islamic Arabia, the Arabs used the word '*Sunnah*' in reference to the ancient and continuous practice of the community which they inherited from their forefathers. Thus it is said that the pre-Islamic tribes of Arabia had each their own *sunnah* which they considered as a basis of their identity and pride. <sup>[2. For details see Guraya, *Origins*, p. 8ff; Ahmad Hasan, *Early Development*, p. 85.]</sup> The opposite of *Sunnah* is *bid'ah*, or innovation, which is characterized by lack of precedent and continuity with the past. In the Qur'an the word '*Sunnah*' and its plural, *sunan*, have been used on a number of occasions (16 times to be precise). In all these instances, *sunnah* has been used to imply an established practice or course of conduct. To the ulema of Hadith, *Sunnah* refers to all that is narrated from the Prophet, his acts, his sayings and whatever he has tacitly approved, plus all the reports which describe his physical attributes and character. The ulema of jurisprudence, however, exclude the description of the physical features of the Prophet from the definition of *Sunnah*. <sup>[3. Siba'i, *Al-Sunnah*, p. 47; Azami, *Studies*, p. 3.]</sup> *Sunnah al-Nabi* (or *Sunnah al-Rasul*), that is, the Prophetic *Sunnah*, does not occur in the Qur'an as such. But the phrase *uswah hasanah* (excellent conduct) which occurs in sura-al-Ahzab (33:21) in reference to the exemplary conduct of the Prophet is the nearest Qur'anic equivalent of *Sunnah al-Nabi*. <sup>[4. The *ayah* in question addresses the believers in the following terms: 'Certainly you have, in the Messenger of God, an excellent example' (al-Ahzab, 33:21).]</sup> The *uswah*, or example of the Prophet, was later interpreted to be a reference to his *Sunnah*. The Qur'an also uses the word '*hikmah*' (lit-wisdom) as a source of guidance that accompanies the Qur'an itself. Al-Shafi'i quotes at least seven instances in the Qur'an where '*hikmah*' occurs next to *al-kitab* (the Book). In one of these passages, which occurs in sura Al-Jum'ah (62:2), for example, we read that God Almighty sent a Messenger to educate and to purify the people by 'teaching them the Book and the *hikmah*'. According to al-Shafi'i's interpretation' which also represents the view of the majority, the word '*hikmah*' in this context means the *Sunnah* of the

Prophet.<sup>[5. Shafi'i, *Risalah*, pp. 44-45; Siba'i, *Al-Sunnah*, p.50.]</sup> Both the terms '*Sunnah*' and *Sunnah Rasul Allah*' have been used by the Prophet himself and his companions. Thus when the Prophet sent Mu'adh b. Jabal as judge to the Yemen, he was asked as to the sources on which he would rely in making decisions. In reply Mu'adh referred first to the 'Book of Allah' and then to the '*Sunnah* of the Messenger of Allah'.<sup>[6. Abu Dawud, *Sunan* (Hasan's trans.), III, 1019, Hadith no. 3585.]</sup>

In another Hadith, the Prophet is reported to have said, 'I left two things among you. You shall not go astray so long as you hold on to them: the Book of Allah and my *Sunnah* (*sunnati*).'<sup>[7. Shatibi, *Muwafaqat*, III, 197; Ibn Qayyim, *I'lam*, I, 222.]</sup>

There is evidence to suggest that the *Sunnah* of the Prophet was introduced into the legal theory by the jurists of Iraq towards the end of the first century. The term '*Sunnah* of the Prophet' occurs for example, in two letters which are addressed to the Umayyad ruler, 'Abd al-Malik b. Marwan (d. 86) by the Kharijite leader 'Abd Allah b. Ibad, and al-Hasan al-Basri. But this might mean that the earliest available record on the establishment of terminology dates back to the late first century. This evidence does not necessarily prove that the terminology was not in use before then.<sup>[8. For details see Guraya, *Origins*, p. 5.]</sup>

Initially the use of the term '*Sunnah*' was not restricted to the *Sunnah* of the Prophet but was used to imply the practice of the community and precedent of the Companions. This usage of '*Sunnah*' seems to have continued till the late second century when al-Shafi'i tried to restrict it to the *Sunnah* of the Prophet alone. Sometimes the Arabic definite article '*al*' was prefixed to *Sunnah* to denote the *Sunnah* of the Prophet while the general usage of *Sunnah* as a reference to the practice of the community, or its living tradition, continued. By the end of the second century Hijrah, the technical/juristic meaning of *Sunnah* appears to have become dominant until the ulema used it exclusively to imply the normative conduct of the Prophet.<sup>[9. Cf. Azami, *Studies*, p. 4.]</sup> The ulema thus discouraged the use of such expressions as the *Sunnah* of Abu Bakr or 'Umar. In their view, the proper usages of *Sunnah* were to be confined to *Sunnah Allah*, and *Sunnah Rasul Allah*, that is the *Sunnah* of God, or His way of doing things, and the *Sunnah* of His Messenger. But there were variant opinions among the ulema which disputed the foregoing, especially in view of the Hadith in which the Prophet is reported to have said, 'You are to follow my *Sunnah* and the *Sunnah* of the Rightly-Guided caliphs.'

But again, as al-Shawkani points out, it is possible that in this Hadith, the Prophet had used '*Sunnah*' as a substitute for '*tariqah*' or the way that his Companions had shown.<sup>[10. Abu Dawud, *Sunan*, III, 1294, Hadith no. 4590; Shawkani, *Irshad*, p.33.]</sup> Al-Shawkani's interpretation might suggest that the Prophet may not have used '*Sunnah*' in the exclusive sense that the ulema later attempted to attach to this term.

In its juristic usage, '*Sunnah*' has meant different things. To the ulema of *usul al-fiqh*, *Sunnah* refers to a source of the *Shari'ah* and a legal proof next to the Qur'an. But to the ulema of *fiqh*, '*Sunnah*' primarily refers to a *shar'i* value which falls under the general category of *mandub*. Although in this sense,



*Sunnah* is used almost synonymously with *mandub*, it does not necessarily mean that *Sunnah* is confined to the *Mandub*. For in its other usage, namely as a source of *Shari'ah*, *Sunnah* may authorize and create not only a *mandub* but also any of the following: *wajib*, *haram*, *makruh* and *mubah*. Thus in the usage of *usul al-fiqh*, one might say that this or that ruling has been validated by the Qur'an or by the *Sunnah* whereas a *faqih* would be inclined to say that this or that act is *Sunnah*, which means that it is neither *fard* nor *wajib*, it is one of the five values which falls under the category of *mandub*.<sup>[11. Isnawi, *Nihayah*, II, 170; Shawkani, *Irshad*, p.33; Hiti, *Wajiz*, p. 264.]</sup>

Notwithstanding the fact that the ulema have used *Sunnah* and Hadith, almost interchangeably, the two terms have meanings of their own. Literally, *Hadith* means a narrative, communication or news consisting of the factual account of an event. The word occurs frequently in the Qur'an (23 times to be precise) and in all cases it carries the meaning of a narrative or communication. In none of these instances has Hadith been used in its technical sense, that is, the exclusive saying of the Prophet. In the early days of Islam following the demise of the Prophet, stories relating to the life and activities of the Prophet dominated all other kinds of narratives, so the word began to be used almost exclusively to a narrative from, or a saying of, the Prophet.<sup>[12. Cf. Azami, *Studies*, pp. 1-3 ]</sup>

Hadith differs from *Sunnah* in the sense that Hadith is a narration of the conduct of the Prophet whereas *Sunnah* is the example or the law that is deduced from it. Hadith in this sense is the vehicle or the carrier of *Sunnah*, although *Sunnah* is a wider concept and used to be so especially before its literal meaning gave way to its juristic usage. *Sunnah* thus preferred not only to the Hadith of the Prophet but also to the established practice of the community. But once the literal meanings of Hadith and *Sunnah* gave way to their technical usages and were both exclusively used in reference to the conduct of the Prophet, the two became synonymous. This was largely a result of al-Shafi'i's efforts, who insisted that the *Sunnah* must always be derived from a genuine Hadith and that there was no *Sunnah* outside the Hadith. In the pre-Shafi'i period, 'Hadith' was also applied to the statements of the Companions and their Successors, the *tabi'un*. It thus appears that 'Hadith' began to be used exclusively for the acts and sayings of the Prophet only after the distinction between the *Sunnah* and Hadith was set aside.<sup>[13. Cf. Ahmad Hasan, *Early Development*, p. 48; Shabir, *Authority of Hadith*, pp. 2-3.]</sup>

There are two other terms, namely *khobar* and *athar* which have often been used as alternatives to 'Hadith'. Literally, *khobar* means 'news or report', and *athar*, 'impression, vestige or impact'. The word '*khobar*' in the phrase '*khobar al-wahid*' for example, means a solitary Hadith. The majority of ulema have used Hadith, *khobar* and *athar* synonymously, whereas others have distinguished *khobar* from *athar*. While the former used synonymously with Hadith *athar* (and sometimes '*amal*') is used to imply the precedent of the Companions.<sup>[14. Cf. Azami, *Studies*, p. 3.]</sup>

The majority of ulema have upheld the precedent of the Companions as one of the transmitted (*naqli*) proofs. The jurists of the early schools of law are known to have based opinions on *athar*. Imam Malik

even went so far as to set aside the Prophetic Hadith in its favor on the strength of the argument that *athar* represented the genuine *Sunnah*, as the Companions were in a better position to ascertain the authentic *Sunnah* of the Prophet. There were indeed, among the Companions, many distinguished figures whose legal acumen and intimate knowledge of the sources equipped them with a special authority to issue *fatwas*. Sometimes they met in groups to discuss the problems they encountered, and their agreement or collective judgment is also known as *athar*. For al-Shafi'i (d. 204/819) however, *athar* does not necessarily represent the *Sunnah* of the Prophet. In the absence of a Hadith from the Prophet, al-Shafi'i followed the precedent of Companions, and in cases where a difference of opinion existed among the Companions, al-Shafi'i preferred the opinion of the first four caliphs over others, or one which was in greater harmony with the Qur'an. [15. Shafi'i, *Risalah*, pp.128-130.] According to al-Shafi'i, the *Sunnah* coming direct from the Prophet in the form of Hadith through a reliable chain of narrators is a source of law irrespective of whether it was accepted by the community or not. He emphasized the authority of the Hadith from the Prophet in preference to the opinion or practice of the companions. Al-Shafi'i contended that Hadith from the Prophet, even a solitary Hadith must take priority over the practice and opinion of the community, the Companions and the Successors. [16. Shafi'i, *Risalah*, p.177; Guraya, *Origins*, p. 29; Ahmad Hasan, *Early Development*, pp. 49-51.] Al-Shafi'i directed his efforts mainly against the then prevailing practice among jurists which gave preference to the practice of the community and the decisions of the Companions, over the Hadith. Al-Shafi'i attempted to overrule the argument, advanced by Imam Malik, for example, that the Madinese practice was more authoritative than Hadith. In his *Muwatta*, for example, Malik (d. 179/795) generally opens every legal chapter with a Hadith from the Prophet, but in determining the detailed legal issues, he does not consistently adhere to the principle of the priority of Hadith over *athar*. It is interesting to note that the *Muwatta*' contains 1,720 Hadiths, out of which 822 are from the Prophet and the remainder from the Companions, Successors and others. This would suggest that Imam Malik was not overly concerned with the distinction between Hadith, and *athar* which was to become the main theme of al-Shafi'i's endeavor to establish the overriding authority of the Prophetic Hadith. [17. Guraya, *Origins*, pp. 29-34.]

### **Proof-Value (*Hujjiyyah*) of *Sunnah***

The ulema are unanimous to the effect that *Sunnah* is a source of *Shari'ah* and that in its rulings with regard to *halal* and *haram* it stands on the same footing as the Qur'an.' [18. Shawkani, *Irshad*, p. 33.] The *Sunnah* of the Prophet is a proof (*hujjah*) for the Qur'an, testifies to its authority and enjoins the Muslim to comply with it. The words of the Prophet, as the Qur'an tells us, are divinely inspired (al-Najm, 53:3). His acts and teachings that are meant to establish a rule of *Shari'ah* constitute a binding proof.' [19. Khallaf, *Ilm*, p. 37.] While commenting on the Qur'anic *ayah* which states of the Prophet that 'he does not speak of his own desire, it is none other than *wahy* sent to him', Al-Ghazali writes that some of the divine

revelation which the Prophet received constitutes the Qur'an, whereas the remainder is *Sunnah*. The words of the Prophet are *hujjah* on anyone who heard the Prophet saying them. As for us and the generality of Muslims who have received them through the verbal and written reports of narrators, we need to ascertain their authenticity. <sup>[20. Ghazali, *Mustasfa*, I, 83.]</sup> The proof of authenticity may be definitive (*qat'i*), or it may amount to a preferable conjecture (*al-zann al-rajih*); in either case, the *Sunnah* commands obedience of the *mukallaf*. All the rulings of the Prophet, especially those which correspond with the Qur'an and corroborate its contents, constitute binding law. <sup>[21. Khallaf, *Ilm*, p. 37.]</sup>

In more than one place, the Qur'an enjoins obedience to the Prophet and makes it a duty of the believers to submit to his judgment and his authority without question. The following *ayat* are all explicit on this theme, all of which are quoted by al-Shafi'i in his renowned work, *Al-Risalah* (P. 47ff):

And whatever the Messenger gives you, take it, and whatever he forbids you, abstain from it (al-Hashr, 59:7).

Obey God and obey the Messenger and those who are in charge of affairs among you. Should you happen to dispute over something, then refer it to God and to the Messenger (al-Nisa', 4:58-59).

To refer the judgment of a dispute to God means recourse to the Qur'an, and referring it to the Messenger means recourse to the *Sunnah*. <sup>[22. Shatibi, *Muwafaqat*, IV, 7.]</sup> In another passage, the Qur'an emphasizes: 'Whoever obeys the Messenger verily obeys God' (al-Nisa 4:80). And finally, the Qur'an is categorical to the effect that the definitive rulings of the Qur'an and *Sunnah* are binding on the believers in that they are no longer at liberty to differ with the dictates of the divine will or to follow a course of their own choice: 'Whenever God and His Messenger have decided a matter' it is not for a faithful man or woman to follow another course of his or her own choice' (al-Ahzab, 33:36). In yet another place the Qur'an stresses that submission to the authority of the Prophet is not a matter of mere formalistic legality but is an integral part of the Muslim faith: 'By thy Lord, they will not believe till they make thee a judge regarding disagreements between them and find in themselves no resistance against accepting your verdict in full submission' (al-Nisa', 4:65). It is concluded from these and other similar passages in the Qur'an that the *Sunnah* is a proof next to the Qur'an in all *shar'i* matters and that conformity to the terms of Prophetic legislation is a Qur'anic obligation on all Muslims. 'The Companions have reached a consensus on this point: Both during the lifetime of the Prophet and following his demise' they eagerly obeyed the Prophet's instructions and followed his examples regardless as to whether his commands or prohibitions originated in the Qur'an or otherwise. The first two Caliphs Abu Bakr and Umar; resorted to the *Sunnah* of the Prophet whenever they knew of it. In cases when they did not know, they would

ascertain if other Companions had any knowledge of the Prophetic *Sunnah* in connection with particular issues. The Caliph Umar is also on record as having issued written instruction to his judges in which he asked them to follow the *Sunnah* of the Prophet whenever they could not find the necessary guidance in the Qur'an . [23. Shawkani, *Irshad*, p. 36; Khallaf, *'Ilm*, p. 38; Badran, *Usul*, p. 81.]

## Classification and Value: I

*Sunnah* has been classified in various ways, depending, of course, on the purpose of classification and the perspective of the investigator. However, two of the most commonly accepted criteria for such classifications are the subject matter (*matn*) of *Sunnah* and the manner of its transmission (*isnad*). This section is primarily concerned with the classification of *Sunnah* from the viewpoint of its subject matter.

To begin with, the *Sunnah* is divided into three types, namely verbal (*qawli*), actual (*fi'li*) and tacitly approved (*taqriri*). The other division of the *Sunnah* which will concern us here is its division into legal and non-legal *Sunnah*.

The verbal *Sunnah* consist of the sayings of the Prophet on any subject, such as the Hadith '*fi al-sa'imah zakah*': livestock is liable to *zakah* . [24. Abu Dawud, *Sunan*, II, 406, Hadith no. 1562; Amidi, *Ihkam*, III, 170.] The Actual *Sunnah* of the Prophet consists of his deeds and actual instructions, such as the way he performed the *salah*, the fasting, the rituals of *hajj*, or the transactions he concluded such as sale and giving loans, etc. Similarly, the fact that the Prophet authorized mutilation of the hand of the thief from the wrist illustrated, in actual terms, how the Qur'anic *ayah* (al-Ma'idah' 5:38) should be implemented. This *ayah* simply provides that the hand should be cut without specifying exactly from which part. The tacitly approved *Sunnah* consists of the acts and sayings of the Companions which came to the knowledge of the Prophet and of which he approved. The tacit approval of the Prophet may be inferred from his silence and lack of disapproval, or from his express approval and verbal confirmation. [25. Khallaf, *'Ilm*, p. 36; Abu Zahrah, *Usul*, p. 89.]

An example of such a *Sunnah* is the report that two of the Companions went on a journey, and when they failed to find water for ablution, they both performed the obligatory prayers with *tayammum*, that is, wiping the hands, face and feet with clean sand. Later, when they found water, one of them performed the prayers again whereas the other did not. Upon their return, they related their experience to the Prophet, who is reported to have approved both courses of action. Hence it became *Sunnah taqririya*. [26. Tabrizi, *Mishkat*, I, 166, Hadith no 533; Shawkani, *Irshad*, p. 41; Khallaf, *'Ilm*, p. 36.] Another example of this is the report that one of the prominent companions, 'Amr b. al-'As, said that in the campaign of Dhat al-Salasil he had had a wet dream in the night , but owing to extreme cold he did not take a bath but instead performed the morning *Salah* with *tayammum*. He then related this to the Prophet, who laughed but said

nothing, which would imply that the act in question is permissible in similar circumstances, that is, when extreme cold proves to be hazardous to health.<sup>[27. Abu Dawud, *Sunan*, I, 88, Hadith no. 334; Badran, *Usul*, pp. 69-70.]</sup>

The sayings of Companions such as, 'we used to do such and such during the lifetime of the Prophet' constitute a part of *Sunnah taqririya* only if the subject is such that it could not have failed to attract the attention of the Prophet. An example of this is the saying of Abu Sa'id al-Khudri that 'for the charity of 'id al-Fitr, we used to give a *sa'* of dates or of barley'. This is a matter that could not have remained hidden and therefore constitutes *Sunnah taqririya*. However, the statement of a companion which refers to matters of an obscure type, or when the statement itself is vague and does not specify whether the issue had arisen while the Prophet was alive - such statements do not constitute *Sunnah taqririya*.<sup>[28. Shawkani, *Irshad*, p. 61; Badran, *Bayan*, p. 74.]</sup>

The entire bulk of the *Sunnah*, that is, the sayings, acts and tacit enactments of the Prophet, may be once again divided into two types: non-legal and legal *Sunnah*.

Non-legal *Sunnah* (*Sunnah ghayr tashri'iyyah*) mainly consists of the ritual activities of the Prophet (*al-af'al al-jibilliyyah*) such as the manner which he ate, slept, dressed, and such other activities as do not seek to constitute a part of the *Shari'ah*. Activities of this nature are not of primary importance to the Prophetic mission and therefore do not constitute legal norms. According to the majority of ulema, the Prophet's preferences in these areas, such as his favorite colors, or the fact that he slept on his right side in the first place, etc., only indicate the permissibility (*ibahah*) of the acts in question.<sup>[29. Shaltut, *Al-Islam*, p. 512; Khallaf, *'Ilm*, p. 43.]</sup> The reason given is that such acts could be either *wajib* or *mandub* or merely *mubah*. The first two can only be established by means of positive evidence: *wajib* and *mandub* are normally held to be absent unless they are proved to exist. Since there is no such evidence to established that the natural activities of the Prophet fall into either of these two categories, there remains the category of *mubah* and they fall in this category for which no positive evidence is necessary.<sup>[30. Isnawi, *Nihayah*, II, 171; Hiti, *Wajiz*, p. 272. As for the report that the prominent Companion, 'Abd Allah b. 'Umar used to imitate the Prophet in his natural activities too, it is held that he did so, not because it was recommended (*mandub*), but because of his devotion and affection for the Prophet.]</sup>

On a similar note, *Sunnah* which partakes in specialized or technical knowledge such as medicine, commerce and agriculture, is once again held to be peripheral to the main function of the Prophetic mission and is therefore not a part of the *Shari'ah*. As for acts and sayings of the Prophet that related to particular circumstances such as the strategy of war , including such devices that misled the enemy forces, timing of attack, siege withdrawal these too are considered to be situational and not a part of the *Shariah*.<sup>[31. Shaltut, *Al-Islam*, p. 512; Khallaf, *'Ilm*, p. 43.]</sup>

There are certain matters which are peculiar to the person of the Prophet so that his example concerning them does not constitute general law. For instance, polygamy above the limit of four, marriage without a dower, prohibition of remarriage for the widows of the Prophet, connected fasting (*sawm al-wisal*)

and the fact that the Prophet admitted the testimony of Khuzaymah b. Thabit as legal proof. The rules of *Shari'ah* concerning these matters are as stated in the Qur'an, and remain the legal norm for the generality of Muslims.<sup>[32. In particular note suras al-Nisa' (4:3), al-Baqarah (2:282) and al-Talaq (65:2).]</sup> According to the majority opinion, the position in regard to such matters is partly determined by reference to the relevant text of the Qur'an and the manner in which the Prophet is addressed. When, for example, the Qur'an addresses the Prophet in such terms as 'O you Messenger', or 'O you folded up in garments' (al-Muzzammil, 73:1; al-Muddaththir, 74:1), it is implied that the address is to the Prophet alone unless there is conclusive evidence to suggest otherwise.<sup>[33. Hitu, *Wajiz*, p. 273; Khallaf, *Ilm*, p. 44.]</sup>

Certain activities of the Prophet may fall in between the two categories of legal and non-legal *Sunnah* as they combine the attributes of both. Thus it may be difficult to determine whether an act was strictly personal or was intended to set an example for others to follow. It is also known that at times the Prophet acted in a certain way which was in accord with the then prevailing custom of the community. For instance, the Prophet kept his beard at a certain length and trimmed his moustache. The majority of ulema have viewed this not as a mere observance of the familiar usage at the time but as an example for the believers to follow. Others have held the opposite view by saying that it was a part of the social practice of the Arabs which was designed to prevent resemblance to the Jews and some non-Arabs who used to shave the beard and grow the moustache. Such practices were, in other words, a part of the current usage and basically optional. Similarly, it is known that the Prophet used to go to the *'id* prayers (*salat al-'id*) by one route and return from the mosque by a different route, and that the Prophet at times performed the *hajj* pilgrimage while riding a camel. The Shafi'i jurists are inclined to prefer the commendable (*mandub*) in such acts to mere permissibility whereas the Hanafis consider them as merely permissible, or *mubah*.<sup>[34. Shawkani, *Irshad*, p. 35ff; Abu Zahrah, *Usul*, p. 90; Hitu, *Wajiz*, p. 273.]</sup>

The legal *Sunnah* (*Sunnah tashri'iyya*) consists of the exemplary conduct of the Prophet, be it an act, saying, or a tacit approval, which incorporates the rules and principles of *Shari'ah*. This variety of *Sunnah* may be divided into three types, namely the *Sunnah* which the Prophet laid down in his capacities as Messenger of God, as the Head of State or imam, or in his capacity as a judge. We shall discuss each of these separately, as follows: (a) In his capacity as Messenger of God, the Prophet has laid down rules which are, on the whole complementary to the Qur'an, but also established rules on which the Qur'an is silent. In this capacity, the *Sunnah* may consist of a clarification of the ambiguous (*mujmal*) parts of the Qur'an or specifying and qualifying the general and the absolute contents of the Qur'an. Whatever the Prophet has authorized pertaining the principles of religion, especially in the area of devotional matters (*ibadat*) and rules expounding the lawful and the unlawful, that is, the *Halal* and *haram*, constitutes general legislation (*tashri' 'amm*) whose validity is not restricted to the limitations of time and circumstance. All commands and prohibitions that are imposed by the *Sunnah* are binding in every Muslim regardless of individual circumstances, social status, or Political office. In acting upon these laws, the individual normally does not need any prior authorization by a religious leader or the government.<sup>[35. Shaltut, *Al-Islam*, p. 513.]</sup>

The question arises as to how it is determined that the Prophet acted in one or the other of his three capacities as mentioned above. It is not always easy to answer this question in categorical terms. The uncertainty which has arisen in answering this question in particular cases is, in fact, one of the main causes of juristic disagreement (*ikhhtilaf*) among the *fuqaha'*. The ulema have on the whole attempted to ascertain the main trust, or the direction (*jihah*) of the particular acts and saying of the prophet. An enquiry of this nature helps to provide an indication as to the value of the *Sunnah* in question: whether it constitutes an obligation, commendation, or *ibadah* on the one hand, or a prohibition or abomination (*karahah*) on the other.

When the direction of an act is known from the evidence in the sources, there remains no doubt as to its value. If, for example, the prophet attempts to explain an ambiguous ruling of the Qur'an, the explanation so provided would fall in the same category of values as the original ruling itself. According to the majority of ulema, if the ambiguous of the Qur'an is known to be obligatory' or commendable, the explanatory *Sunnah* would carry the same value. For example, all the practical instructions of the Prophet which explained and illustrated the obligatory *Salah* would be *wajib* and his acts pertaining to the superiority prayers such as *Salah* on the occasion of lunar and solar eclipse (*salat al-khusuf wa al-kusuf*) would be *mandub*.<sup>[36. Hitu, *Wajiz*, p. 274; Badran, *Bayan*, p. 41.]</sup> Alternatively, the *Sunnah* may itself provide a clear indication as to whether a particular rule which it prescribes is *wajib*, *mandub*, or merely permissible. Another method of ascertaining the value of a particular act is to draw an analogy between an undefined act and an act or saying whose value is known. Additionally, the subject-matter of the *Sunnah* may provide a sign or an indication as to its value. With regard to prayers, for example, the call to prayers, or *adhan*, and the call which immediately precedes the standing to congregational prayer (i.e. the *iqamah*) are indications as to the obligatory nature of the prayer. For it is known from the rules of *Shari'ah* that *adhan* and *iqamah* precede the obligatory *Salah* only. A *salah* which is not obligatory such as the 'id prayer, or *Salat al-istisqa'* ('prayers offered at the time of drought'), are not preceded by the preliminaries of *adhan* or *iqamah*. Another method of evaluating an act is by looking at its opposite, that is, its absence. If it is concluded that the act in question would have been in the nature of a prohibition had it not been authorized by the Prophet, then this would imply that it is obligatory. For example, circumcision is evaluated to be an obligation. Since it consists essentially of the infliction of injury for no obvious cause, had it not been made into an obligation, then it would presumably be unlawful. Its validation by the *Shari'ah*, in other words, is taken as an indication of its *wujub*. This explanation is basically applicable to all penalties that the *Shari'ah* has prescribed, although in most cases the value of the prescribed punishment is understood from the direct rulings of the relevant texts. And lastly, an act may require the belated performance (*qada'*) of a *wajib* or a *mandub*, and as such its value would correspond to that of its prompt performance (*ada*).<sup>[37. Hitu, p. 275 ]</sup>

The foregoing are the categories of acts whose direction and value can be ascertained. However, if no such verification is possible, then one must look at the intention behind its enactment. If a Prophetic act

is intended as a means of seeking the pleasure of God, then it is classified as *mandub*; and according to a variant view, as *wajib*. However, if the intention behind a particular act could not be detected either, then it is classified as *wajib*, and according to a variant view as *mandub*; but the matter is subject to interpretation and *ijtihad*.<sup>[38. Hitu, p. 276.]</sup>

(b) All the rulings of *Sunnah* which originate from the Prophet in his capacity as imam or the Head of State, such as allocations and expenditure of public funds, decisions pertaining to military strategy and war, appointment of state officials, distribution of booty, signing of treaties, etc., partake in the legal *Sunnah* which, however, does not constitute general legislation (*tashri' 'amm*). *Sunnahs* of this type may not be practiced by individuals without obtaining the permission of the competent government authorities first. The mere fact that the Prophet acted in a certain way, or said something relating to these matters, does not bind individuals directly, and does not entitle them to act on their own initiative without the express permission of the lawful authority.<sup>[39. Shaltut, *Al-Islam*, p. 513.]</sup> To give an example, according to a Hadith 'whoever kills a warrior [in battle] may take his belongings'.<sup>[40. Abu Dawud, *Sunan*, II, 758, Hadith no. 2715; Ibn Qayyim, *I'lam*, II, 223.]</sup>

The ulema have differed as to the precise import of this Hadith. According to one view, the Prophet uttered this Hadith in his capacity as Imam, in which case no-one is entitled to the belongings of his victim in the battlefield without the express authorization of the Imam. Others have held the view that this Hadith lays down a general law which entitles the soldier to the belongings of the deceased even without the permission of the Imam.<sup>[41. Shaltut, *Al-Islam*, p. 515.]</sup>

It has been observed that the Prophet might have uttered this Hadith in order to encourage the Companions to do *jihad* in the light of the then prevailing circumstances. The circumstances may have been such that an incentive of this kind was required; or it may be that it was intended to lay down a general law without any regard for particular situations. According to Imam Shafi'i, the Hadith under consideration lays down a general rule of *Shari'ah*. For this is the general norm in regards to the *Sunnah*. The main purpose of the Prophet's mission was to lay down the foundations of the *Shari'ah*, and unless there is an indication to the contrary, one must assume that the purpose of the Hadith in general is to lay down general law.<sup>[42. Shaltut, *Al-Islam*, p. 516.]</sup>

(c) *Sunnah* which originates from the Prophet in his capacity as a judge in particular disputes usually consists of two parts: the part which relates to claims, evidence and factual proof and the judgment which is issued as a result. The first part is situational and does not constitute general law, whereas the second part lays down general law, with the proviso however, that it does not bind the individual directly, and no-one may act upon it without the prior authorization of a competent judge. Since the Prophet himself acted in a judicial capacity, the rules that he has enacted must therefore be implemented by the office of the *qadi*.<sup>[43. Shawkani, *Irshad*, p. 36; Khallaf, *Ilm*, p. 44.]</sup> Hence when a person has a claim



over another which the latter denies, but the claimant knows of a similar dispute which the Prophet has adjudicated in a certain way, this would not entitle the claimant to take the law into his own hands. He must follow proper procedures to prove his claim and to obtain a judicial decision. [44 Shaltut, *Al-Islam*, p. 514.]

To distinguish the legal from non-legal *Sunnah*, it is necessary for the *mujtahid* to ascertain the original purpose and context in which a particular ruling of the *Sunnah* has been issued and whether it was designed to establish a general rule of law. The Hadith literature does not always provide clear information as to the different capacities in which the Prophet might have acted in particular situations, although the *mujtahid* may find indications that assist him to some extent. The absence of adequate information and criteria on which to determine the circumstantial and non-legal *Sunnah* from that which constitutes general law dates back to the time of the Companions. The difficulty has persisted ever since, and it is due mainly to the shortage of adequate information that disagreement has arisen among the ulema over the understanding and interpretation of the *Sunnah*. [45. Ghazali, *Mustasfa*, II, 51; Badran, *Bayan*, pp. 41-42; Mutawalli, *Mabadi'*, p. 38.]

To give another example, juristic disagreement has arisen concerning a Hadith on the reclamation of barren land which reads, 'whoever reclaims barren land becomes its owner.' [46. Abu Dawud, *Sunan* (Hasan's trans.), II, 873, Hadith no. 3067; Tabrizi, *Mishkat*, II, 889, Hadith no. 2945.]

The ulema have differed as to whether the Prophet uttered this Hadith in his prophetic capacity or in his capacity as head of state. If the former is established to be the case then the Hadith lays down a binding rule of law. Anyone who reclaims barren land becomes its owner and need not obtain any permission from the Imam or anyone else. For the Hadith would provide the necessary authority and there would be no need for official permission. If on the other hand it is established that the Prophet uttered this Hadith in his capacity as Imam, then it would imply that anyone who wishes to reclaim barren land must obtain the prior permission of the Imam. The Hadith in other words, only entitles the Imam to grant the citizen the right to reclaim barren land. The majority of jurists have adopted the first view whereas the Hanafis have held the second. The majority of jurists, including Abu Hanifa's disciple, Abu Yusuf, have held that the consent of the State is not necessary for anyone to commence reclaiming barren land. But it appears that jurists and scholars of the later ages prefer the Hanafi view which stipulates that reclaiming barren land requires the consent of the State. The Hanafi view is based on the rationale of preventing disputes among people. The Malikis on the other hand only require government consent when the land is close to a human settlement, and the Hanbalis only when it has previously been alienated by another person. [47. Abu Dawud, footnote 2534 at p. 873; Al-Marghinani, *Hedaya* (Hamilton's trans.) p. 610.]

Disagreement has also arisen with regard to the Hadith that adjudicated the case of Hind, the wife of Abu Sufyan. This woman complained to the Prophet that her husband was a tight-fisted man and that despite his affluence, he refused to give adequate maintenance to her and her child. The Prophet

instructed her to 'take [of her husband's property] what is sufficient for yourself and your child according to custom. [48. Tabrizi, *Mishkat*, II, 1000, Hadith no. 3342.]

The ulema have disagreed as to whether the Prophet uttered this Hadith so as to enact a general rule of law, or whether he was acting in the capacity of a judge. If it be admitted that the Hadith consists of a judgment addressing a particular case, then it would only authorize the judge to issue a corresponding order. Thus it would be unlawful for a creditor to take his entitlement from the property of his debtor without a judicial order. If it be established, on the other hand, that the Hadith lays down a general rule of law, then no adjudication would be required to entitle the wife or the creditor to the property of the defaulting debtor. For the Hadith itself would provide the necessary authority. If any official permission is to be required then it would have to be in the nature of a declaration or clearance only. [49. Shaltut, *Al-Islam*, p. 515.]

The Hanafis, Shafis and Hanbalis have held that when a man who is able to support his wife willfully refuses to do so, it is for the wife to take action and for the *qadi* to grant a judgment in her favor. If the husband still refuses to fulfill his duty, the *qadi* may order the sale of his property from whose proceeds the wife may obtain her maintenance. The court may even imprison a persistently neglectful husband. The wife is, however, not entitled to a divorce, the reason being that when the Prophet instructed Hind to take her maintenance from her husband's property, she was not granted the right to ask for a divorce. The Malikis are basically in agreement with the majority view, with the only difference that in the event of the husband's persistent refusal, the Malikis entitle the wife to ask for a divorce. Notwithstanding some disagreement as to whether the court should determine the quantity of maintenance on the basis of the financial status of the husband, the wife, or both, according to the majority view, the husband's standards of living should be the basis of the court decision. Thus the ulema have generally considered the Hadith under consideration to consist of a judicial decision of the Prophet, and as such it only authorizes the judge to adjudicate the wife's complaint and to specify the quantity of maintenance and the method of its payment. [50. Al-Khatib, *Mughni al-Muhtaj*, III, 442; Din, *Al-Nafaqah*, pp. 20-23.]

*Sunnah* which consists of general legislation often has the quality of permanence and universal application to all Muslims. *Sunnah* of this type usually consists of commands and prohibitions which are related to the Qur'an in the sense of endorsing, elaborating or qualifying the general provisions of the Holy Book. [51. Shaltut, *Al-Islam*, p. 516.]

## **Qur'an and *Sunnah* Distinguished**

The Qur'an was recorded in writing from beginning to end during the lifetime of the Prophet, who ascertained that the Qur'an was preserved as he received it through divine revelation. The Prophet clearly expressed the concern that nothing of his own *Sunnah* should be confused with the text of the Qur'an. This was, in fact, the main reason why he discouraged his Companions, at the early stage of his mission in any case. [52. The Prophet had initially ordered his Companions not to write anything other than the Qur'an from him. This was 'however' later amended and the Prophet permitted the writing of his *Sunnah*. Badran (*Usul*, pp. 83-84) refers to at least two instances where the Prophet allowed his instructions to be reduced into writing.] from reducing the *Sunnah* into writing lest it be confused with the Qur'an. The *Sunnah* on the other hand was mainly retained in memory by the Companions who did not, on the whole, keep a written record of the teachings of the Prophet. There were perhaps some exceptions as the relevant literature suggests that some, though a small number, of the Companions held collections of the Hadith of the Prophet which they wrote and kept in their private collections. The overall impression obtained is, however, that this was done on a fairly limited scale.

The Companions used to verify instances of doubt concerning the text of the Qur'an with the Prophet himself, who would often clarify them through clear instruction. This manner of verification is, however, unknown with regard to the *Sunnah*. [53. Shaltut, *Al-Islam*, p.511.]

The entire text of the Qur'an has come down to us through continuous testimony (*tawatur*) whereas the *Sunnah* has in the most part been narrated and transmitted in the form of solitary, or *Ahad*, reports. Only a small portion of the *Sunnah* has been transmitted in the form of *Mutawatir*.

The Qur'an in none of its parts consists of conceptual transmission, that is, transmission in the words of the narrator himself. Both the concepts and words of the Qur'an have been recorded and transmitted as the Prophet received them. The *Sunnah* on the other hand consists, in the most part, of the transmission of concepts in words and sentences that belong to the narrators. This is why one often finds that different versions of the one and the same Hadith are reported by people whose understanding or interpretation of a particular Hadith is not identical.

The scope of *ikhtilaf*, or disagreement, over the *Sunnah* is more extensive than that which may exist regarding the Qur'an. Whereas the ulema have differed in their understanding/interpretation of the text of the Qur'an, there is no problem to speak of concerning the authenticity of the contents of the Qur'an. But disagreement over the *Sunnah* extends not only to questions of interpretation but also to authenticity and proof, issues which we shall further elaborate as our discussion proceeds. [54. Shaltut, *Al-Islam*, p. 512.]

## Priority of the Qur'an over the *Sunnah*

As *Sunnah* is the second source of the *Shari'ah* next to the Qur'an, the *mujtahid* is bound to observe an order of priority between the Qur'an and *Sunnah*. Hence in his search for a solution to a particular problem, the jurist must resort to the *Sunnah* only when he fails to find any guidance in the Qur'an. Should there be a clear text in the Qur'an, it must be followed and be given priority over any ruling of the *Sunnah* which may happen to be in conflict with the Qur'an. The priority of the Qur'an over the *Sunnah* is partly a result of the fact that the Qur'an consists wholly of manifest revelation (*wahy zahir*) whereas the *Sunnah* mainly consists of internal revelation (*wahy batin*) and is largely transmitted in the words of the narrators themselves. The other reason for this order of priority relates to the question of authenticity. The authenticity of the Qur'an is not open to doubt, it is, in other words, *qati*, or decisive, in respect of authenticity and must therefore take priority over the *Sunnah*, or at least that part of *Sunnah* which is speculative (*zanni*) in respect of authenticity. The third point in favor of establishing an order of priority between the Qur'an and the *Sunnah* is that the latter is explanatory to the former. Explanation or commentary should naturally occupy a secondary place in relationship to the source.<sup>[55. Cf. Shatibi, *Muwafaqat*, IV, 3; Badran, *Usul*, p. 101.]</sup> Furthermore, the order of priority between the Qur'an and *Sunnah* is clearly established in the Hadith of Mu'adh b. Jabal which has already been quoted. The purport of this Hadith was also adopted and communicated in writing by 'Umar b. al-Kattab to two judges, Shurayh b. Harith and Abu Musa al-Ash'ari, who were ordered to resort to the Qur'an first and to the *Sunnah* only when they could find no guidance in the Qur'an.<sup>[56. Shatibi, IV, 4; Siba'i, *Sunnah*, p. 377; Badran, *Usul*, p. 82. Shatibi adds that two other prominent Companions, 'Abd Allah b. Mas'ud, and 'Abd Allah b. 'Abbas are on record as having confirmed the priority of the Qur'an over the *Sunnah* ]</sup>

A practical consequence of this order of priority may be seen in the Hanafi distinction between *fard* and *wajib*. The former is founded in the definitive authority of the Qur'an, whereas the latter is founded in the definitive *Sunnah*, but is one degree weaker because of a possible doubt in its transmission and accuracy of content. These are some of the factors which would explain the general agreement of the ulema to the effect that the authority of Qur'an overrides that of the *Sunnah*.<sup>[57. Shatibi, IV, 4.]</sup>

There should in principle be no conflict between the Qur'an and the authentic *Sunnah*. If, however, a conflict is seen to exist between them, they must be reconciled as far as possible and both should be retained. If this is not possible, the *Sunnah* in question is likely to be of doubtful authenticity and must therefore give way to the Qur'an. No genuine conflict is known to exist between the *Mutawatir* Hadith and the Qur'an. All instances of conflict between the *Sunnah* and the Qur'an, in fact, originate in the solitary, or *Ahad*, Hadith, which is in any case of doubtful authenticity and subordinate to the overriding authority of the Qur'an.<sup>[58. Cf. Badran, *Usul*, p. 102.]</sup>

It has, however, been suggested that establishing such an order of priority is anomalous and contrary to the basic role that the *Sunnah* plays in relation to the Qur'an. As the familiar Arabic phrase, *al-Sunnah qadiyah 'ala al-kitab* (*Sunnah* is the arbiter of the Qur'an) suggests, it is normally the *Sunnah* which explains the Qur'an, not vice versa. The fact that the *Sunnah* explains and determines the precise meaning of the Qur'an means that the Qur'an is more dependent on the *Sunnah* than the *Sunnah* is on

the Qur'an.<sup>[59. While quoting Awza'i on this point, Shawkani (*Irshad*, p. 33) concurs with the view that the *Sunnah* is an independent source of *Shari'ah*, and not necessarily, as it were, a commentary on the Qur'an only See also Shatibi, *Muwafaqat*, IV, 4.]</sup> In the event, for example, where the text of the Qur'an imparts more than one meaning or when it is conveyed in general terms, it is the *Sunnah* which specifies the meaning that must prevail. Again, the manifest (*Zahir*) of the Qur'an may be abandoned by the authority of the *Sunnah*, just as the *Sunnah* may qualify the absolute (*mutlaq*) in the Qur'an. The Qur'an on the other hand does not play the same role with regard to the *Sunnah*. It is not the declared purpose of the Qur'an to explain or clarify the *Sunnah*, as this was done by the Prophet himself. Since the *Sunnah* explains, qualifies, and determines the purport of the Qur'an, it must take priority over the Qur'an. If this is admitted, it would follow that incidents of conflict between the Qur'an and *Sunnah* must be resolved in favor of the latter. Some ulema have even advanced the view that the Hadith of Mu'adh b. Jabal (which clearly confirms the Qur'an's priority over the *Sunnah*) is anomalous in that not everything in the Qur'an is given priority over the *Sunnah*.<sup>[60. See Shatibi, *Muwafaqat*, IV, 5.]</sup> For one thing, the *Mutawatir* Hadith stands on the same footing as the Qur'an itself. Likewise, the manifest (*Zahir*) of the Qur'an is open to interpretation and *ijtihad* in the same way as the solitary, or *Ahad*, Hadith; which means that they are more or less equal in these respects. Furthermore, according to the majority opinion, before implementing a Qur'anic rule one must resort to the *Sunnah* and ascertain that the ruling in question has not been qualified in any way or given an interpretation on which the text of the Qur'an is not self-evident.<sup>[61. See Shatibi, *Muwafaqat*, IV, 5., see also Siba'i, *Al-Sunnah*, pp. 378-79.]</sup>

In response to the assertion that the *Sunnah* is the arbiter of the Qur'an, it will be noted, as al-Shatibi points out, that this need not interfere with the order of priority in favor of the Qur'an. For in all cases where the *Sunnah* specifies or qualifies the general or the absolute terms of the Qur'an, the *Sunnah* in effect explains and interprets the Qur'an. In none of such instances is the Qur'an abandoned in favor of the *Sunnah*. The word *qadiyah* (arbiter) in the expression quoted above therefore means *mubayyinah* (explanatory) and does not imply the priority of the *Sunnah* over the Qur'an. The textual rulings of the Qur'an concerning theft and the obligation of *zakah* have, for example, been qualified by the *Sunnah*. However, it is only proper to say that in both these cases, the *Sunnah* elaborates the general rulings of the Qur'an, and it would hardly be accurate to suggest that the *Sunnah* has introduced anything new or that it seeks to overrule the Qur'an. When an interpreter explains a particular legal text to us, it would hardly be correct to say that we act upon the words of the interpreter without referring to the legal text itself.<sup>[62. Shatibi, *Muwafaqat*, IV, 5.]</sup>

Furthermore, the explanatory role of the *Sunnah* in relationship to the Qur'an has been determined by the Qur'an itself, where we read in an address to the Prophet in sura al-Nahl (16:44): 'We have sent down to you the Remembrance so that you may explain to the people what has been revealed to them.' The correct conclusion drawn from this and similar Qur'anic passages is that the *Sunnah*, being explanatory to the Qur'an, is subordinate to it.<sup>[63. Shatibi, *Muwafaqat*, IV, 6.]</sup>

## Is *Sunnah* an Independent Source?

An adequate answer to the question as to whether the *Sunnah* is a mere supplement to the Qur'an or a source in its own right necessitates an elaboration of the relationship of the *Sunnah* to the Qur'an in the following three capacities:

Firstly, the *Sunnah* may consist of rules that merely confirm and reiterate the Qur'an, in which case the rules concerned originate in the Qur'an and are merely corroborated by the *Sunnah*. The question as to whether the *Sunnah* is an independent source is basically redundant with regard to matters on which the *Sunnah* merely confirms the Qur'an, as it is obvious that in such cases the *Sunnah* is not an independent source. A substantial part of the *Sunnah* is, in fact, of this variety: all *ahadith* pertaining to the five pillars of the faith and other such matters like the rights of one's parents, respect for the property of others, and *ahadith* which regulate homicide, theft and false testimony, etc., basically reaffirm the Qur'anic principles on these subjects. [64. Siba'i, *Al-Sunnah*, p. 379; Khallaf, *Ilm*, p. 39; Badran, *Usul*, p. 102.] To be more specific, the Hadith that "it is unlawful to take the property of a Muslim without his express consent, [65. Bayhaqi, *Al-Sunan al-Kubra'*, III, 10.] merely confirms the Qur'anic *ayah* which orders the Muslims to "devour not each other's properties unlawfully unless it is through trade by your consent (al-Nisa, 4:19). The origin of this rule is Qur'anic, and since the foregoing Hadith merely reaffirms the Qur'an, there is no room for saying that it constitutes an independent authority in its own right.

Secondly, the *Sunnah* may consist of an explanation or clarification to the Qur'an; it may clarify the ambivalent (*mujmal*) of the Qur'an, qualify its absolute statements, or specify the general terms of the Qur'an. This is once again the proper role that the *Sunnah* plays in relationship to the Qur'an: it explains it. Once again a substantial part of the *Sunnah* falls under this category. It is, for example, through this type of *Sunnah* that Qur'anic expressions like *salah*, *zakah*, *hajj* and *riba*, etc., have acquired their juridical (*shari'*) meanings. To give another example, with regard to the contract of sale the Qur'an merely declares sale to be lawful as opposed to *riba*, which is forbidden. This general principle has later been elaborated by the *Sunnah* which expounded the detailed rules of *Shari'ah* concerning sale, including its conditions, varieties, and sales which might amount to *riba*. The same could be said of the lawful and unlawful varieties of food, a subject on which the Qur'an contains only general guidelines while the *Sunnah* provides the details. [66. Ibn Qayyim, *I'lam*, II, 238; Siba'i, *Al-Sunnah*, p. 380; Badran, *Usul*, pp. 103-105.] Again, on the subject of bequest, the Qur'an provides for the basic legality of bequest and the rule that it must be implemented prior to the distribution of the estate among the heirs (al-Nisa', 4:12). The *Sunnah* supplements these principles by enacting additional rules which facilitate a proper implementation of the general principles of the Qur'an. [67. Badran, *Bayan*, p. 6.]

The foregoing two varieties of *Sunnah* between them comprise the largest bulk of *Sunnah*, and the ulema are in agreement that these two types of *Sunnah* are integral to the Qur'an and constitute a logical

whole with it. The two cannot be separated or taken independently from one another. It is considered that the *Sunnah* which qualifies or elaborates the general provisions of the Qur'an on devotional matters (*ibadat*), on the punishment of theft, on the duty of *zakat*, and on the subject of bequest, could only have originated in divine inspiration (*ilham*), for these cannot be determined by means of rationality and *ijtihad* alone. [68. Badran, *Bayan*, p. 7.]

Thirdly, the *Sunnah* may consist of rulings on which the Qur'an is silent, in which case the ruling in question originates in the *Sunnah* itself. This variety of *Sunnah*, referred to as *al-Sunnah al-muassisah*, or 'founding *Sunnah*', neither confirms nor opposes the Qur'an, and its contents cannot be traced back to the Holy Book. It is only this variety of *Sunnah* which lies in the centre of the debate as to whether the *Sunnah* is an independent source of law. To give some examples: the prohibition regarding simultaneous marriage to the maternal and paternal aunt of one's wife (often referred to as 'unlawful conjunction'), the right of pre-emption (*shuf'*), the grandmother's entitlement to a share in inheritance, the punishment of *rajm*, that is, death by stoning for adultery when committed by a married Muslim - all originate in the *Sunnah* as the Qur'an itself is silent on these matters. [69. Ibn Qayyim, *I'lam*, II, 233; Khallaf, *Ilm*, p. 40; Siba'i, *Al-Sunnah*, p. 380.]

There is some disagreement among jurists as to whether the *Sunnah*, or this last variety of it at any rate, constitutes an independent source of *Shari'ah*. Some ulema of the later ages (*al-muta'akhkhirun*), including al-Shatibi and al-Shawkani, have held the view that the *Sunnah* is an independent source. [70. Cf. Shawkani, *Irshad*, p. 33; Siba'i, *Al-Sunnah*, p. 380.] They have further maintained that the Qur'anic *ayah* in sura al-Nahl (16:44 - quoted above) is inconclusive and that despite its being clear on the point that the Prophet interprets the Qur'an it does not overrule the recognition of the *Sunnah* as an independent source. On the contrary, it is argued that there is evidence in the Qur'an which substantiates the independent status of *Sunnah*. The Qur'an, for example, in more than one place requires the believers to 'obey God and obey His Messenger, (al-Nisa. 4:58; 4:80; al-Ma'idah, 5:92). The fact that obedience to the Prophet is specifically enjoined next to obeying God warrants the conclusion that obedience to the Prophet means obeying him whenever he orders or prohibits something on which the Qur'an might be silent. For if the purpose of obedience to the Prophet were to obey him only when he explained the Qur'an, then 'obey God' would be sufficient and there would have been no need to add the phrase 'obey the Messenger'. [71. Shatibi, *Muwafaqat*, IV, 7.] Elsewhere the Qur'an clearly places submission and obedience to the Prophet at the very heart of the faith as a test of one's acceptance of Islam. This is the purport of the *ayah* which reads: 'By thy Lord, they will not believe till they make thee the judge regarding disagreements between them, and find in themselves no resistance against the verdict, but accept it in full submission' (al-Nisa, 4:65). Furthermore, the proponents of the independent status of the *Sunnah* have quoted the Hadith of Mu'adh b. Jabal in support of their argument. The Hadith is clear on the point that the *Sunnah* is authoritative in cases on which no guidance can be found in the Qur'an. The *Sunnah*, in other words, stands on its own feet regardless of whether it is substantiated by the Qur'an or not. [72. Shatibi, *Muwafaqat*, IV, 8; Siba'i, *Al-Sunnah*, p. 383.]

According to the majority of ulema, however, the *Sunnah*, in all its parts, even when it enacts original legislation, is explanatory and integral to the Qur'an. [73. Cf. Abu Zahrah, *Usul*, p. 82.] Al-Shafi'i's views on this matter are representative of the majority position. In his *Risalah*, al-Shafi'i states:

I do not know anyone among the ulema to oppose [the doctrine] that the *Sunnah* of the Prophet is of three types: first is the *Sunnah* which prescribes the like of what God has revealed in His Book; next is the *Sunnah* which explains the general principles of the Qur'an and clarifies the will of God; and last is the *Sunnah* where the Messenger of God has ruled on matters on which nothing can be found in the Book of God. The first two varieties are integral to the Qur'an, but the ulema have differed as to the third. [74. Shafi'i, *Risalah*, pp. 52-53.]

Al-Shafi'i goes on to explain the views that the ulema have advanced concerning the relationship of *Sunnah* to the Qur'an. One of these views, which receives strong support from al-Shafi'i himself, is that God has explicitly rendered obedience to the Prophet an obligatory duty (*fard*). In his capacity as Messenger of God, the Prophet has introduced laws some of which originate in the Qur'an while others do not. But all Prophetic legislation emanates in divine authority. The *Sunnah* and the Qur'an are of the same provenance, and all must be upheld and obeyed. Others have held the view that the Prophetic mission itself, that is the fact that the Prophet is the chosen Messenger of God, is sufficient proof for the authority of the *Sunnah*. For it is through the *Sunnah* that the Prophet fulfilled his divine mission. According to yet another view there is no *Sunnah* whose origin cannot be traced back to the Qur'an. This view maintains that even the *Sunnah* which explains the number and content of *salah* and the quantities of *zakah* as well as the lawful and forbidden varieties of food and trade merely elaborates general principles of the Qur'an. [75. Shafi'i, *Risalah*, pp. 52-53.] More specifically, all the *ahadith* which provide details on the lawful and unlawful varieties of food merely elaborate the Qur'anic declaration that God has permitted wholesome food and prohibited that which is unclean (al-A'raf: 7:157). [76. Cf. Siba'i, *Al-Sunnah*, p. 388.]

The majority view, which seeks to establish an almost total identity between the *Sunnah* and the Qur'an, further refers to the saying of the Prophet's widow, 'A'ishah, when she attempted to interpret the Qur'anic epithet *wa innaka la 'ala khuluqin 'azim* ('and you possess an excellent character') (al-Qalam, 68:4). 'A'ishah is quoted to have said that 'his (the Prophet's) *khuluq* was the Qur'an'. *Khuluq* in this context means the conduct of the Prophet, his acts, sayings, and all that he has approved. Thus it is concluded that the *Sunnah* is not separate from the Qur'an. [77. Qurtubi, *Tafsir*, XVIII, 227.]

Furthermore, the majority view seeks to establish an identity between the general objectives of the Qur'an and *Sunnah*: The *Sunnah* and the Qur'an are unanimous in their pursuit of the three-fold objectives of protecting the necessities (*daruriyyat*), complementary requirements (*hajiyyat*) and the 'embellishments' (*tahsiniyyat*). [78. For further discussion see Chapter xiii on *maslahah mursalah*.] It is then argued that even when the *Sunnah* broaches new ground, it is with the purpose of giving effect to one or the other of the



objectives that have been validated in the Qur'an. Thus the identity between the Qur'an and *Sunnah* is transferred, from one of theme and subject, to that of the main purpose and spirit that is common to both. [79. Cf. Siba'i, *Al-Sunnah*, p. 388-90.]

And finally, the majority explain that some of the rulings of the *Sunnah* consist of an analogy to the Qur'an. For example, the Qur'an has decreed that no one may marry two sisters simultaneously. The Hadith (cited below on page 71) which prohibits simultaneous marriage to the maternal and paternal aunt of one's wife is based on the same effective cause (*'illah*), which is to avoid the severance of close ties of kinship (*qat' al-arham*). In short, the *Sunnah* as a whole is no more than a supplement to the Qur'an. The Qur'an is indeed more than comprehensive and provides complete guidance on the broad outline of the entire body of the *Shari'ah*. [80. Cf. Siba'i, *Al-Sunnah*, p. 388-90.]

In conclusion, it may be said that both sides are essentially in agreement on the authority of *Sunnah* as a source of law and its principal role in relationship to the Qur'an. They both acknowledge that the *Sunnah* contains legislation which is not found in the Qur'an. [81. Cf. Siba'i, *Al-Sunnah*, p. 385 ] The difference between them seems to be one of interpretation rather than substance. The Qur'anic *ayat* on the duty of obedience to the Prophet, and those which assign to him the role of the interpreter of the Qur'an, are open to variant interpretations. These passages have been quoted in support of both the views, that the *Sunnah* is supplementary to the Qur'an, and that it is an independent source. The point which is basic to both these views is the authority of the Prophet and the duty of adherence to his *Sunnah*. In the meantime, both sides acknowledge the fact that the *Sunnah* contains legislation which is additional to the Qur'an. When this is recognised, the rest of the debate becomes largely redundant. For what else is there to be achieved by the argument that the *Sunnah* is an independent source? The partisans of the two views have, in effect, resolved their differences without perhaps declaring this to be the case. Since the Qur'an provides ample evidence to the effect that the Prophet explains the Qur'an and that he must be obeyed, there is no need to advance a theoretical conflict between the two facets of a basic unity. Both views can be admitted without the risk of running into a logical contradiction. The two views should therefore be seen not as contradictory but as logical extensions of one another.

## Distortion and Forgery

There is no dispute over the occurrence of extensive forgery in the Hadith literature. The ulema of Hadith are unanimous on this, and some have gone so far as to affirm that in no other branch of Islamic sciences has there been so much forgery as in the Hadith. The very existence of a bulk of literature and works by prominent ulema bearing the title *al-Mawdu'at*, or 'fabricated Hadith', bears witness to extensive forgery in this area. [82. Cf. Shabir, *Authority of Hadith*, p. 50.]

There is some disagreement over determining the historical origins of forgery in Hadith. While some observers have given the caliphate of 'Uthman as a starting point, others have dated it a little later, at around the year 40 Hijrah, when political differences between the fourth caliph, 'Ali, and Mu'awiyah led to military confrontation and the division of the Muslims into various factions. According to a third view, forgery in Hadith started even earlier, that is, during the caliphate of Abu Bakr when he waged the War of Apostasy (*riddah*) against the refusers of *zakah*. But the year 40 is considered the more likely starting point for the development of serious and persistent differences in the community, which is marked by the emergence of the Kharijites and the Shi'ah. Muslims were thenceforth divided, and hostility between them acquired a religious dimension when they began to use the Qur'an and *Sunnah* in support of their claims. When the misguided elements among them failed to find any authority in the sources for their views, they either imposed a distorted interpretation on the source materials, or embarked on outright fabrication. [83. Siba'i, *Al-Sunnah*, p. 75; Shabir, *Authority of Hadith*, p. 51.]

The attribution of false statements to the Prophet may be divided into two types: (1) deliberate forgery, which is usually referred to as *hadith mawdu'*; (2) unintentional fabrication, which is known as *hadith batil* and is due mainly to error and recklessness in reporting. For example, in certain cases it is noted that the chain of narrators ended with a Companion or a Successor only but the transmitter instead extended it directly to the Prophet. The result is all the same, and fabrication whether deliberate or otherwise must in all cases be abandoned. [84. Azami, *Studies*, pp. 68-70; Hitu, *Wajiz*, p. 292.] Our present discussion is, however, mainly concerned with deliberate fabrication in Hadith.

The initial forgery in Hadith is believed to have occurred in the context of personality cult literature (*fada'il al-ashkhas*) which aimed at crediting (or discrediting) leading political figures with exaggerated claims. The earliest forgery in this context, according to the Sunnis, was committed by the Shi'ah. This is illustrated by the Hadith of Ghadir Khumm in which the Prophet is quoted to have said that "Ali is my brother, executor and successor. Listen to him and obey him'. A similar statement attributed to the Prophet is as follows: 'Whoever wishes to behold Adam for his knowledge, Noah for his piety, Ibrahim

for his gentleness, Moses for his commanding presence and Jesus for his devotion to worship - let him behold 'Ali. [85. For details see Siba'i, *Al-Sunnah*, pp. 76-80; Azami, *Studies*, pp. 68-73.]

There are numerous fabricated *ahadith* condemning Mu'awiyah, including, for example, the one in which the Prophet is quoted to have ordered the Muslims, 'When you see Mu'awiyah on my pulpit, kill him.' The fanatic supporters of Mu'awiyah and the Umayyad dynasty are, on the other hand, known to have fabricated Hadith such as 'The trusted ones are three: I, Gabriel and Mu'awiyah. [86. Siba'i, p. 81.]

The Kharijites are on the whole considered to have avoided fabricating Hadith, which is due mainly to their belief that the perpetrator of a grave sin is no longer a Muslim. Since they saw the fabrication of Hadith in this light, they avoided indulgence in forgery as a matter of principle and a requirement of their doctrine. [87. Siba'i, p. 82.]

A group of heretic factions known as *al-Zanadiqah* (pl. of *Zindiq*), owing to their hatred of Islam, fabricated Hadith which discredited Islam in the view of its followers. Included among such are: 'eggplants are cure for every illness'; and 'beholding a good-looking face is a form of *'ibadah*'. It is reported that just before his execution, one of the notorious fabricators of Hadith, 'Abd al-Karim b. Abu al-'Awja', confessed that he had fabricated 4,000 *ahadith* in which *halal* was rendered *haram* and *haram* was rendered *halal*. It has been further reported that the *Zanadiqah* fabricated a total of 14,000 *ahadith*, [88. Siba'i, pp. 84-85; Azami, *Studies*, p. 68; Hitu, *Wajiz*, p. 290.] a report which may or may not be credible. For a statement of this nature tends to arouse suspicion as to its veracity: even in fabricated matters, it is not a facile task to invent such a vast number of Hadith on the subject of *halal* and *haram*. Could it be that exaggerated figures of this order were quote mainly for their subversive value?

Racial, tribal and linguistic fanaticism was yet another context in which Hadith were fabricated. Note for example the following: 'When ever God was angry, He sent down revelation in Arabic, but when contented, He chose Persian for this purpose.' The Arab fanatic too has matched this anathema by claiming that 'Whenever God was angry he sent down revelation in Persian, but when contented He chose to speak in Arabic. [89. For these and more examples see Siba'i, *Al-Sunnah*, p. 85ff.] These and other similar forgeries relating to the virtues of superiority of certain tribes, cities, and periods of time over others have been isolated by the ulema of Hadith and placed in the category of *al-Mawdu'at*. [90. Note e.g. Jalal al-Din al-Suyuti's (d. 911 A.H.) *Al-La'ali al-Masnu'ah fi al-Ahadith al-Mawdu'ah*; Shaykh 'Ali al-Qari al-Hanafii (d. 1014), *Al-Mawdu'at al-Kabir*, and Yahya b. 'Ali al-Shawkani (d. 1250), *Al-Fawa'id al-Majmu'ah fi'l-Ahadith al-Mawdu'ah*.]

Known among the classes of forgers are also professional story-teller and preachers (*al-qussas wa'l-wa'izun*), whose urge for popularity through arousing an emotional response in their audience led them to indulge in forgery. They made up stories and attributed them to the Prophet. It is reported that once a story-teller cited a Hadith to an audience in the mosque on the authority of Ahmad b. Hanbal and Yahya b. Ma'in which runs as follows: 'Whoever says 'there is no God but Allah', Allah will reward him, for each word uttered, with a bird in Paradise, with a beak of gold and feathers of pearls.' At the end of his sermon, the speaker was confronted by Ahmad b. Hanbal and Yahya b Ma'in who were present on the occasion and told the speaker that they had never related any Hadith of this kind.<sup>[91. Siba'i, *Al-Sunnah*, pp. 86-87; Azami, *Studies*, p. 69; Hitu, *Wajiz*, p. 291.]</sup>

Juristic and theological differences constitute another theme of forgery in Hadith. This is illustrated by the following statement attributed to the Prophet: 'Whoever raises his hands during the performance of *salah*, his *salah* is null and void.' In yet another statement, we read: 'Whoever says that the Qur'an is the created speech of God becomes an infidel [...] and his wife stands divorced from him as of that moment.'

Another category of fabricated Hadith is associated with the religious zeal of individuals whose devotion to Islam led them to the careless ascription of Hadith to the Prophet. This is illustrated by the forgeries committed by one Nuh b. Abu Maryam on the virtues of the various suras of the Qur'an. He is said to have later regretted what he did and explained that he fabricated such Hadith because he saw people who were turning away from the Qur'an and occupying themselves with the *fiqh* of Abu Hanifah and the battle stories of Muhammad b. Ishaq. Numerous other names occur in the relevant literature, including those of Ghulam Khalil and Ibn Abi 'Ayyash of Baghdad, who were both known as pious individuals, but who invented Hadith on the virtues of certain words of praise (*adhkar wa-awrad*) and other devotional matters.<sup>[92. Siba'i, *Al-Sunnah*, pp. 86-87; Azami, *Studies*, p. 69; Hitu, *Wajiz*, p. 291.]</sup>

Without wishing to go into details, other themes on which Hadith forgery has taken place included the urge on the part of courtiers who distorted an existing Hadith so as to please and flatter their overlords. Similarly, the desire to establish the permissibility or virtue of certain varieties of food, beverages, clothes and customary practices led individuals to introduce exaggerations and arbitrary changes in the Hadith.<sup>[93. See for details Siba'i, *Al-Sunnah*, p. 88; Hitu, *Wajiz*, p. 291.]</sup>

## Classification and Value: II

From the viewpoint of the continuity and completeness of their chains of transmitters, the Hadith are once again classified into two categories: continuous (*muttasil*) and discontinued (*ghayr muttasil*). A continuous Hadith is one which has a complete chain of transmission from the last narrator all the way back to the prophet. A discontinued Hadith, also known as *Mursal*, is a Hadith whose chain of transmitters is broken and incomplete. The majority of ulema have divided the continuous Hadith into the two main varieties of *Mutawatir* and *Ahad*. To this the Hanafis have added an intermediate category, namely the 'well-known', or *Mashhur*.

## I. The Continuous Hadith

### 1. The Mutawatir

*Literally, Mutawatir means 'continuously recurrent'. In the present context, it means a report by an indefinite number of people related in such a way as to preclude the possibility of their agreement to perpetuate a lie. Such a possibility is inconceivable owing to their large number, diversity of residence, and reliability.*<sup>[94 Shawkani, *Irshad*, p. 46; Abu Zahrah, *Usul*, p. 84; Mahmassani, *Falsafah* (Ziadeh's trans.), p. 74.]</sup> *A report would not be called Mutawatir if its contents were believed on other grounds, such as the rationality of its content, or that it is deemed to be a matter of axiomatic knowledge.*<sup>[95 Khudari, *Usul*, p. 214; Aghmides, *Muhammedan Theories*, p. 40.]</sup> *A report is classified as Mutawatir only when it fulfills the following conditions:*

- a. *The number of reporters in every period or generation must be large enough to preclude their collusion in propagating falsehood. Should the number of reporters in any period fall short of a reliable multitude, their report does not establish positive knowledge and is therefore not Mutawatir.*<sup>[96 Shawkani, *Irshad*, p. 47; Hiti, *Wajiz*, p. 294]</sup> *Some ulema have attempted to specify a minimum, varying from as low as four to as many as twenty, forty and seventy up into the hundreds. All of these figures are based on analogies: the requirement of four is based on the similar number of witnesses which constitute legal proof; twenty is analogous to the Qur'anic ayah in sura al-Anfal (8:65) which reads: 'If there are twenty steadfast men among you, they will overcome two hundred [fighters].' The next number, that is seventy, represents an analogy to another Qur'anic passage where we read that 'Moses chose seventy men among his people for an appointment with Us' (al-A'raf, 7:155). Some have drawn an analogy from the number of participants in the battle of Badr. However, al-Ghazali is representative of the majority opinion when he observes that all of these analogies are arbitrary and have no bearing on the point. For certainty is not necessarily a question of numbers; it is corroborative evidence, the knowledge and trustworthiness of reporters, that must be credited even in cases where the*

*actual number of reporters is not very large.* [97. Ghazali, *Mustasfa*, I, 88; Shawkani, *Irshad*, p. 47; Hiti, *Wajiz*, p. 295.]

*Thus when a reasonable number of persons report something which is supported by other evidence, their report may amount to positive knowledge.* [98. Ghazali (*Mustasfa*), I, 87-88) illustrates this as follows:

*supposing that five or six persons report the death of another, this does not amount to certainty, but when this is confirmed by seeing the father of the deceased coming out of the house while obviously grief-stricken and exhibiting signs of disturbance that are unusual for a man of his stature, then the two combined amount to positive knowledge. ]*

- b. *The reporters must base their report on sense perception. If, therefore, a large number of people report that the universe is created, their report would not be Mutawatir. The report must also be based on certain knowledge, not mere speculation. If, for example, the people of Islamabad inform us of a person they thought was Zayd, or a bird they thought was a pigeon, neither would amount to certainty.* [99. Ghazali, *Mustasfa*, I, 86; Khudari, *Usul*, p. 214.]
  
- c. *Some ulema have advanced the view that the reporters must be upright persons ('udul), which means that they must neither be infidels nor profligates (kuffar wa-fussaq). The correct view, however, is that neither of these conditions are necessary. What is essential in Mutawatir is the attainment of certainty, and this can be obtained through the reports of non-Muslims, profligates and even children who have reached the age of discernment, that is, between seven and fifteen. The position is, of course, entirely different with regard to solitary Hadith, which will be discussed later.* [100. Shawkani, *Irshad*, p.48; Hiti, *Wajiz*, p.295.]
  
- d. *That the reporters are not biased in their cause and are not associated with one another through a political or sectarian movement. And finally, all of these conditions must be met from the origin of the report to the very end.* [101. Ghazali, *Mustasfa*, I, 86; Shawkani, *Irshad*, p.48.]

What is the value (*hukm*) of the *Mutawatir*? According to the majority of ulema, the authority of a *Mutawatir* Hadith is equivalent to that of the Qur'an. Universal continuous testimony (*tawatur*) engenders certainty (*yaqin*) and the knowledge that it creates is equivalent to knowledge that is acquired through sense-perception. Most people, it is said, know their forefathers by means of *Mutawatir* reports just as they know their children through sense-perception. Similarly, no one is likely to deny that Baghdad was the seat of the caliphate for centuries, despite their lack of direct knowledge to that effect.

When the reports of a large number of the transmitters of Hadith concur in their purport but differ in wording or in form, only their common meaning is considered *Mutawatir*. This is called *Mutawatir bi'l-ma'na*, or conceptual *Mutawatir*. Examples of this kind of *Mutawatir* are numerous in the Hadith. Thus the verbal and actual *Sunnah* which explain the manner of performing the obligatory prayers, the rituals of *hajj*, fasting, the quantities of *zakah*, rules relating to retaliation (*qiyas*) and the implementation of *hudud*, etc., all constitute conceptual *Mutawatir*. For a large number of the Companions witnessed the acts and sayings of the Prophet on these matters, and their reports have been transmitted by multitudes of people throughout the ages. [102. Isnawi, *Nihayah*, II, 185; Abu Zahrah, *Usul*, p. 84; Khallaf, *’Ilm*, p. 41.] The other variety of *Mutawatir*, which is of rare occurrence compared to the conceptual *Mutawatir*, is called *Mutawatir bi'l-lafz*, or verbal *Mutawatir*. In this type of *Mutawatir*, all the reports must be identical on the exact wording of the Hadith as they were uttered by the Prophet himself. For example the Hadith which reads: 'Whoever lies about me deliberately must prepare himself for a place in Hell-fire.' [103. Abu Dawud, *Sunan* (Hasan's trans.), III, 1036, Hadith no. 3643.]

مِن كَذِبِ عَلِيٍّ مُتَعَمِّدًا فَلْيَتَّبِعُوا مَقْعَدَهُ مِنَ  
النَّارِ .

The exact number of the verbal *mutawatir* is a subject of disagreement, but it is suggested that it does not exceed ten *ahadith*. [104. Badran, *Usul*, p. 78.]

## . *The Mashhur (Well-Known) Hadith*

The *Mashhur* is defined as a Hadith which is originally reported by one, two or more Companions from the Prophet or from another Companion but has later become well-known and transmitted by an indefinite number of people. It is necessary that the diffusion of the report should have taken place during the first or the second generation following the demise of the Prophet, not later. This would mean that the Hadith became widely known during the period of the Companions or the Successors. For it is argued that after this period, all the Hadith became well-known, in which case there will be no grounds for distinguishing the *Mashhur* from the general body of Hadith. [105. Abu Zahrah, *Usul*, p. 84; Aghnides, *Muhammadan Theories*, p. 44. Shawkani's (*Irshad*, p. 49) definition of *Mashhur*, however, includes *ahadith* which became well-known as late as the second or even the third century Hijrah.]

For Abu Hanifah and his disciples, the *Mashhur* Hadith imparts positive knowledge, albeit of a lesser degree of certainty than *Mutawatir*. But the majority of non-Hanafi jurists consider *Mashhur* to be included in the category of solitary Hadith, and that it engenders speculative knowledge only. According to the Hanafis, acting upon the *Mashhur* is obligatory but its denial does not amount to disbelief. [106. Abu Zahrah, *Usul*, p. 84; Badran, *Usul*, p. 85.] The difference between the *Mutawatir* and *Mashhur* lies mainly in the fact that every link in the chain of transmitters of the *Mutawatir* consists of a plurality of reporters, whereas the first link in the case of *Mashhur* consists of one or two Companions only. As for the remaining links in the chain of transmitters, there is no difference between the *Mutawatir* and *Mashhur*. Examples of the *Mashhur* Hadith are those which are reported from the Prophet by a prominent companion and then transmitted by a large number of narrators whose agreement upon a lie is inconceivable. [107. Khallaf, *Ilm*, p. 41.] The *Mashhur*, according to the Hanafis, may qualify the 'general' of the Qur'an. Two such *ahadith* which have so qualified the Qur'an are as follows: 'The killer shall not inherit',

### لا يرث القاتل

is a *Mashhur* Hadith which qualifies the general provisions of the Qur'an on inheritance in sura al-Nisa' (4:11). Similarly the *Mashhur* Hadith which provides: 'No woman shall be married simultaneously with her paternal or maternal aunt . . .'

### لا تنكح المرأة على عمتها ولا على خالتها

has qualified the general provisions of the Qur'an on marriage where the text spells out the prohibited degrees of marriage and then declares 'it is lawful for you to marry outside these prohibitions' (al-Nisa', 4:24). [108. Darimi, *Sunan*, Kitab al-fara'id, II, 384; Ibn Majah, *Sunan*, II, 913, Hadith no. 2735; Muslim, *Sahih*, p. 212; Hadith no. 817; Badran, *Usul*, p. 85.]



The list of prohibitions provided in this *ayah* does not include simultaneous marriage with the maternal or paternal aunt of one's wife; this is supplied by the Hadith.

### 3. The Ahad (Solitary Hadith)

The Ahad, or solitary Hadith (also known as Khabar al-Wahid), is a Hadith which is reported by a single person or by odd individuals from the Prophet. Imam Shafi'i refers to it as Khabar al-Khassah, which applies to every report narrated by one, two or more persons from the Prophet but which fails to fulfill the requirements of either the Mutawatir or the Mashhur. [109. Shafi'i, Risalah, p.159ff; Abu Zahrah, Usul, p.84; Mahmassani, Falsafah, p.74.]

It is a Hadith which does not impart positive knowledge on its own unless it is supported by extraneous or circumstantial evidence. This is the view of the majority, but according to Imam Ahmad b. Hanbal and others, Ahad can engender positive knowledge. [110. Shawkani, Irshad, pp. 48-49.]

Some ulema have rejected it on the basis of an analogy they have drawn with a provision of the law of evidence, namely that the testimony of one witness falls short of legal proof. Those who unquestioningly accept the authority of Ahad, such as the Zahiri school, maintain that when the Prophet wanted to deliver a ruling in regard to a particular matter he did not invite all the citizens of Madinah to attend. The majority of jurists, however, agree that Ahad may establish a rule of law provided that it is related by a reliable narrator and the contents of the report are not repugnant to reason. [111. Amidi, Ihkam, I, 161; Mahmassani, Falsafah, p. 74.]

Many ulema have held that Ahad engenders speculative knowledge acting upon which is preferable only. In the event where other supportive evidence can be found in its favour or when there is nothing to oppose its contents, then acting upon Ahad is obligatory. [112. Shawkani, Irshad, p. 47; Abu Zahrah, Usul, p. 85.]

But Ahad may not, according to the majority of ulema, be relied upon as the basis of belief (aqidah). For matters of belief must be founded in certainty even if a conjecture (zann) may at time seem preferable. [113. Abu Zahrah, Usul, p. 85; Hitu, Wajiz, p. 305. As for the Ahad pertaining to subsidiary matters which are not essential to dogma such as the torture of the grave ('adhab al-qabr), intercession (shafa'ah), etc., these must be accepted and believed. Anyone who denies them is a sinner (fasiq) but not a kafir, as he denies something which is not decisively proven.]

As the Qur'an tells us, '*verily conjecture avails nothing against the truth*' (*al-Najm*, 53:28) Ahad, being conjectural, does not establish the truth.

According to the majority of the ulema of the four Sunni schools, acting upon Ahad is obligatory even if Ahad fails to engender positive knowledge. Thus in practical legal matters, a preferable zann is sufficient as a basis of obligation. It is only in matters of belief where conjecture '*avails nothing against the truth*'. [114. Badran, Usul, p. 91; Khudari, Usul, p. 227.] Having said this, however, Ahad may only form the basis of obligation if it fulfills the following requirements:

- a. That the transmitter is a competent person, which means that reports communicated by a child or a lunatic of whatever age are unacceptable. Women, blind persons and slaves are considered competent for purposes of reporting the Hadith; it is only in regard to being a witness that they

suffer some disability. <sup>[115. Khudari, Usul, p. 217.]</sup>

- b. The transmitter of Ahad must be a Muslim, which means that a report by a non-Muslim is unacceptable. However, the reporter must fulfill this condition only at the time of reporting the Hadith, but not necessarily at the time when he received the information. There are instances of Hadith, for example, reported by Companions pertaining to the acts of the Prophet which they observed before they had professed Islam.' <sup>[116. Khudari, Usul, p. 216.]</sup>
- c. The transmitter must be an upright person ('adl) at the time of reporting the Hadith. The minimum requirement of this condition is that the person has not committed a major sin and does not persist in committing minor ones; nor is he known for persistence in degrading profanities such as eating in the-public thoroughfare, associating with persons of ill repute and indulgence in humiliating jokes. Although the ulema are unanimous on the requirement of uprightness of character ('adalah), they are not in agreement as to what it precisely means. According to the Hanafis, a Muslim who is not a sinner (fasiq) is presumed to be upright. The Shafi'is are more specific on the avoidance of sins, both major and minor, as well as indulgence in profane mubahat. To the Maliki jurist, Ibn al-Hajib, 'adalah refers to piety, observance of religious duties and propriety of conduct. There is also some disagreement among the ulema on the definition of, and distinction between, major and minor sins. <sup>[117. For details on the conditions of Ahad see Shawkani, Irshad, pp. 48-52.; Hitu, Wajiz, p. 307ff; Abu Zahrah, Usul, p. 86; Mahmassani, Falsafah, p. 74.]</sup>

The 'adalah of a transmitter must be established by positive proof. Hence when the 'adalah of a transmitter is unknown, his report is unacceptable. Similarly, a report by an anonymous person (riwayah al-majhul) such as when the chain of transmitters reads in part that 'a man' reported such-and-such is unacceptable. The 'adalah of a narrator may be established by various means including tazkiyah, that is when at least one upright person confirms it, or when the transmitter is known to have been admitted as a witness in court, or when a faqih or a learned person is known to have relied or acted on his report. But there must be positive evidence that the faqih did not do so due to additional factors such as a desire on his part merely to be cautious. <sup>[118. Khudari, Usul, p.217.]</sup>

The qualification of 'adalah is established for all the Companions regardless of their juristic or political views. This conclusion is based on the Qur'an which declares in a reference to the Companions that 'God is well pleased with them, as they are with Him' (al-Tawbah, 9:100). A person's reputation for being upright and trustworthy also serves as a proof of his reliability.

According to some ulema of Hadith, such a reputation is even more credible than confirmation by one or two individuals. [119. Shawkani, *Irshad*, p.67; Badran, *Usul*, p. 92.] With regard to certain figures such as Imam Malik, Sufyan al-Thawri, Sufyan b. 'Uyaynah, al-Layth b. Sa'd, etc., their reputation for 'adalah is proof of reliability above the technicalities of tazkiyah. [120. Khudari, *Usul*, p.217.]

- d. The narrator of Ahad must possess a retentive memory so that his report may be trusted. If he is known for committing frequent errors and inconsistencies, his report is unacceptable. The faculty of retention, or *dabt*, is the ability of a person to listen to an utterance, to comprehend its meaning as it was originally intended and then to retain it and take all necessary precautions to safeguard its accuracy. In cases of doubt in the retentiveness of a transmitter, if his report can be confirmed by the action of his predecessors, it may be accepted. But in the absence of such verification, reports by persons who are totally obscure and whose retentiveness cannot be established are unacceptable. [121. Shawkani, *Irshad*, p. 52; Abu Zahrah, *Usul*, p. 86; Badran, *Usul*, p. 93; Khudari, *Usul*, p. 218.]
- e. That the narrator is not implicated in any form of distortion (*tadlis*) either in the textual contents (*matn*) of a Hadith or in its chain of transmitters (*isnad*). Distortion in the text is to add to the saying of the Prophet elements which did not exist, or to detract from its original content so as to distort its purport and mislead the listener. *Tadlis* in the *isnad* is to tamper with the names and identity of narrators, which is, essentially, not very different from outright forgery. [122. Shawkani, *Irshad*, p. 55.] One form of *tadlis* is to omit a link in the chain of narrators. The motive for such omission is immaterial. Sometimes it is observed, for example, that a single weak link in an otherwise reliable chain of transmitters is omitted with a view to showing the *isnad* reliable in every part. Whatever the motive may be, a *tadlis* of this kind is, for all intents and purposes, equivalent to forgery. However, if the narrator is a prominent scholar of irreproachable reputation, his report is normally accepted notwithstanding a minor omission in the chain of *isnad*. [123. Khudari, *Usul*, pp. 218-219.]
- f. The transmitter of Ahad must, in addition, have met with and heard the Hadith directly from his immediate source. The contents of the Hadith must not be outlandish (*shadhhdh*) in the sense of being contrary to the established norms of the Qur'an and other principles of Shari'ah. In addition, the report must be free of subtle errors such as rendering *ab* as *ibn* ('father' as 'son') or other such words that are similar in appearance but differ in meaning. [124. Abu Zahrah, *Usul*, p. 85.]

The three Imams, Abu Hanifah, al-Shafi'i and Ahmad b. Hanbal rely on Ahad when it fulfills the foregoing conditions. Abu Hanifah, however, has laid down certain additional conditions, one of which is that the narrator's action must not contradict his narration. It is on this ground, for example, that Abu Hanifah does not rely on the following Hadith, narrated by Abu Hurayrah: 'When a dog licks a dish, wash it seven times, one of which must be with clean sand.' [125. Muslim, Sahih Muslim, p. 41, Hadith no. 119.]

إذا ولغ الكلب في إناء أحدكم فليغسله سبعا  
أحداهن بالتراب الطاهر .

Abu Hanifah has explained this by saying that Abu Hurayrah did not act upon it himself. Since the requirement of washing is normally three times, the report is considered weak, including its attribution to Abu Hurayrah. [126. Abu Zahrah, Usul, p. 85.] The majority, on the other hand, take the view that discrepancies between the action and the report of a narrator may be due to forgetfulness or some other unknown factor. Discrepancies of this kind do not, by themselves, provide conclusive evidence to render the report unreliable.

The Hanafis further require that the subject matter of Ahad is not such that would necessitate the knowledge of a vast number of people. If, for example, we are informed, by means of a solitary report, of an act or saying of the Prophet which was supposed to be known by hundreds or thousands of people and yet only one or two have reported it, such a Hadith would not be reliable. The Hadith, for example, that 'Anyone who touches his sexual organ must take a fresh ablution', [127. Tabrizi, Mishkat, I, 104, Hadith no. 319.]

إذا مس أحدكم ذكره فليتوضأ

is not accepted by the Hanafis. The Hanafis have explained: had this Hadith been authentic, it would have become an established practice among all Muslims, which is not the case. The Hadith is therefore not reliable. The majority of ulema, however, do not insist on this requirement on the analysis that people who witness or observe an incident do not necessarily report it. We know, for example, that countless numbers of people saw the prophet performing the pilgrimage of hajj, and yet not many reported their observations. [128. Hitu, Wajiz, p. 302; Badran, Usul, p. 95.]

And finally, the Hanafis maintain that when the narrator of Ahad is not a faqih, his report is accepted only if it agrees with qiyas, otherwise qiyas would be given priority over Ahad. However, if the narrator is known to be a faqih, then his report would be preferred over qiyas. It is on this ground, for example, that the Hanafis have rejected the Hadith of musarrat, that is the animal whose milk is retained in its udders so as to impress the buyer. The Hadith is as follows: 'Do not retain milk in the udders of a she-camel or goat so as to exaggerate its yield. Anyone who buys a musarrat has the choice, for three days after having milked it, either to keep it, or to return it with a quantity [i.e. a sa'] of dates.'<sup>[129. Muslim, Sahih Muslim, p. 248, Hadith no. 928.]</sup>

لا تُصَرِّوا في الابل والغنم ، من ابتاع شاة مصرّاة  
فهو فيها بالخيار ثلاثة أيام ، إن شاء أمسكها وإن  
شاء ردها وردّ معها صاعاً من تمر

The Hanafis regard this Hadith to be contrary to qiyas, that is, to analogy with the rule of equality between indemnity and loss. Abu Hanifah has held the view that the sa' of dates may not be equal in value to the amount of milk the buyer has consumed. Hence if the buyer wishes to return the beast, he must return it with the cost of milk which was in its udders at the time of purchase, not with a fixed quantity of dates. The majority of ulema, including Malik, Shafi'i, Ibn Hanbal and the disciples of Abu Hanifah, (Abu Yusuf and Zufar), have on the other hand accepted this Hadith and have given it priority over qiyas. According to the majority view, the compensation may consist of a sa' of dates or of its monetary value. Dates were specified in the Hadith as it used to be the staple food in those days, which may not be the case any more.<sup>[130. Hitu, Wajiz, p. 304; Badran, Usul, pp. 97-98 ]</sup>

Imam Malik would rely on a solitary Hadith on condition that it does not disagree with the practice of the Madinese (amal ahl al-Madinah). For he considers the standard practice of the people of Madinah to be more representative of the conduct of the Prophet than the isolated report of one or two individuals. In his opinion, the Madinese practice represents the narration of thousands upon thousands of people from, ultimately, the Prophet. It is, in other words, equivalent to a Mashhur, or even Mutawatir. When an Ahad report contradicts the practice of the Madinese, the latter is, according to the Maliki view, given priority over the former. The Malikis have thus refused to follow the Hadith regarding the option of cancellation (khiyar al-majlis) which provides that 'the parties to a sale are free to change their minds so long as they have not left the meeting of the contract'.

إذا تباع الرجلان فكل واحد منهما بالخيار ما لم  
يتفرقا

The reason being that this Hadith is contrary to the practice of the people of Madinah. [131. Shafi'i, Risalah, p.

140; Muslim, Sahih Muslim, p. 251, Hadith no. 944; Abu Zahrah, Usul, p. 85.] The Madinese practice on this point subscribed to the view that a contract is complete when the parties express their agreement through a valid offer and acceptance. The contract is binding as of that moment regardless as to whether the 'meeting of contract' continues or not.

All the four Imams of jurisprudence have considered Ahad to be authoritative in principle, and none reject it unless there is evidence to suggest a weakness in its attribution to the Prophet, or which may contradict some other evidence that is more authoritative in their view.

The majority of ulema do not insist that the Ahad should consist of a verbatim transmission of what the narrator heard in the first place, although this is the most authoritative form of transmission in any kind of Hadith. They would instead accept the conceptual transmission of an Ahad, on condition, however, that the narrator understands the language and purport of the Hadith in full. Only then would the rendering of the Hadith in the narrator's own words, which conveys an equivalent meaning, be acceptable. However if the narrator does not possess this degree of knowledge and is unable to transmit the Hadith in its original form, all the four Sunni schools are in agreement that his own rendering of the concept of the Hadith is unacceptable. [132. Hitu, Wajiz, pp.317ff; Badran, Usul, pp. 93-94.]

Some ulema of the Hanafi and other schools have held that conceptual transmission is totally forbidden, a view which is refuted by the majority, who say that the Companions often transmitted one and the same Hadith in varying words, and no-one can deny this. One of the most prominent Companions, 'Abd Allah b. Mas'ud, is noted for having reported many ahadith from the Prophet and made it known that 'the Prophet (S) said this, or something like this, or something very close to this'. No one has challenged the validity of this manner of reporting; hence the permissibility of conceptual transmission is confirmed by the practice of the Companions, and their consensus is quoted in its support. Having said this, however, accuracy in the transmission of Hadith and retaining its original version is highly recommended. [133. Khudari, Usul, p. 229.] This is, in fact, the purport of a Hadith from the Prophet which reads: 'May God bless with success one who heard me saying something, and who conveys it to others as he heard it; and may the next transmitter be even more retentive than the one from whom he received it.' [134. Tabrizi, Mishkat, I, 78, Hadith no. 230; Khudari, Usul, p. 229.]

نَضَّرَ اللهُ امْرَأً سَمِعَ مَنَا شَيْئًا فَبَلَّغَهُ كَمَا سَمِعَهُ ،  
فَرَبَّ مَبْلَغٍ أَوْعَى لَهُ مِنْ سَامِعٍ

Sometimes the transmitter reports a Hadith but omits a part of it. The question then arises as to whether this form of transmission is permissible at all. In principle, the narrator of Hadith, of any type of Hadith, must not omit any part which is integral to its meaning. For instance: when the omitted part consists of a condition, or an exception to the main theme of the Hadith, or which makes a reference to the scope of its application. However, the narrator may omit a part of the Hadith which does not affect the meaning of the remaining part. For in this case, the Hadith at issue will be regarded, for all intents and purposes, as two ahadith. It has been a familiar practice among the ulema to omit a part of the Hadith which does not have a bearing on its main theme. But if the omission is such that it would bring the quoted part into conflict with its full version, then the issue will be determined, not under the foregoing, but under the rules of conflict and preference (al-ta'arud wa'l-tarjih). In any case, the preferred practice is not to omit any part of the Hadith, as the omitted part may well contain valuable information on some point and serve a purpose that may not have occurred to the narrator himself. [135. Khudari, Usul, p.227; Hitu, Wajiz, pp.319-320.]

In certain ahadith which are reported by a number of transmitters, there is sometimes an addition to the text of a Hadith by one transmitter which is absent in the reports of the same Hadith by others. The first point to ascertain in a discrepancy of this nature is to find out whether the Hadith in question was originally uttered in one and the same meeting/occasion or on different occasions. If the latter is the case, then there is no conflict and both versions may be accepted as they are. But if it is established that the different versions all originated in one and the same meeting, then normally the version which is transmitted by more narrators will prevail over that which is variantly transmitted by one, provided that the former are not known for errors and oversight in reporting. Consequently, the additional part of the Hadith which is reported by a single transmitter will be isolated and rejected for the simple reason that error by one person is more likely in this case than by a multitude. But if the single narrator who has reported the addition is an eminently reliable person and the rest are known for careless reporting, then his version will be preferred, although some ulema of Hadith do not agree with this. Additions and discrepancies that might be observed in the isnad, such as when a group of narrators report a Hadith as a Mursal whereas one person has reported it as a Musnad (that is, a Muttasil, or continuous) - will be determined by the same method which applies to discrepancy in the text. However, sometimes the preference of one over the other version may be determined on different grounds. To give an example, according to one Hadith, 'Whoever buys foodstuff is not to sell the same before it is delivered to him.'

من ابتاع طعاماً فلا يبيعه حتى يستوفيه

However, according to another report the Prophet has issued a more general instruction according to which the Muslims are forbidden from selling that which they do not have in their possession.

لا تبع ما ليس عندك

The Hanafis have preferred the second version, as it is conveyed in broader terms which comprise foodstuffs as well as other commodities. [136. Tabrizi, *Mishkat*, II, 863, Hadith no. 2844; Ibn Majah, *Sunan*, II, 737, Hadith no 2187; Khudari, *Usul*, p. 233; Hitu, *Wajiz*, pp. 318-319.]

## II. The Discontinued Hadith (*al-Hadith Ghayr al-Muttasil*)

This is a Hadith whose chain of transmitters does not extend all the way back to the Prophet. It occurs in three varieties: *Mursal*, *Mu'dal* and *Munqati'*. The *Mursal*, which is the main variety of discontinued Hadith, is sometimes also referred to as *Munqati'*. The *Mursal* is defined as a Hadith which a Successor (*tabi'i*) has directly attributed to the Prophet without mentioning the last link, namely the Companion who might have narrated it from the Prophet. This is the majority definition. The Hanafis, however, have defined *Mursal* as a Hadith that a reliable narrator has attributed to the Prophet while omitting a part of its *isnad*. The missing link may be a Companion or even a Successor, according to the majority, but it may be a narrator among the second generation of Successors according to the Hanafis. Since the identity of the missing link is not known, it is possible that he might have been an upright person, or not. Because of these and other similar doubts in its transmission, in principle, the ulema of Hadith do not accept the *Mursal*. [137. Hitu, *Wajiz*, p. 316; Khudari, *Usul*, p.229; Abu Zahrah, *Usul*, p.86.] According to al-Shawkani, 'The majority of ulema of *usul* have defined *Mursal* as a Hadith transmitted by one who has not met with the Prophet, (S) and yet quotes the Prophet, (S) directly. The transmitter may be a Successor or a follower (*tabi' al-tabi'i*) or anyone after that.' Imam Ahmad b. Hanbal does not rely on it, nor does Imam Shafi'i unless it is reported by a famous Successor who is known to have met with a number of Companions. Thus a *Mursal* transmitted by prominent Successors such as Said b. al-Musayyib, al-Zuhri, 'Alqamah, Masruq, al-Sha'bi, Hasan al-Basri, Qatadah, etc., is accepted, provided that it fulfills the following conditions. [138. Shawkani, *Irshad*, p. 64; Abu Zahrah, *Usul*, p. 87.]

Firstly, that the *Mursal* is supported by another and more reliable Hadith with a continuous chain of transmitters, in which case it is the latter that would represent the stronger evidence.

Secondly, that one *Mursal* is supported by another *Mursal*, and the latter is accepted and relied upon by the ulema.

Thirdly, that the *Mursal* is in harmony with the precedent of the Companions, in which case it is elevated and attributed to the Prophet. The process here is called *raf'*, and the Hadith is called *Marfu'*.



Fourthly, that the *Mursal* has been approved by the ulema, and a number of them are known to have relied on it.

Fifthly, that the transmitter of *Mursal* has a reputation not to have reported weak and doubtful Hadith. For instance the *Mursal* transmitted by Said b. al-Musayyib or any one of the prominent Successors mentioned above is normally acceptable.' [139. Badran, *Usul*, p. 100; Khudari, *Usul*, p.231; Khin, *Athar*, p.399.]

When a *Mursal* is strengthened in any of these ways, especially when the Successor who has reported it is a leading figure and has met with the Companions, Imam Shafi'i would accept it. But even so, if the *Mursal* in question is contradicted by another Hadith which is more reliable, the latter will take priority.

The foregoing basically explains al-Shafi'i's approach to the *Mursal*. Imam Abu Hanifah and Imam Malik, on the other hand, are less stringent in their acceptance of the *Mursal*. They accept not only the *Mursal* which is transmitted by a Successor, but also one which is transmitted by the second generation of Followers, known as *tabi' al-tabi'i*. In support of this they quote the Hadith in which the Prophet is reported to have said, 'Honour my Companions, for they are the best among you, then those who follow them and then the next generation; and then lying will proliferate.' [140. Shafi'i, *Risalah*, p. 904; Isnawi, *Nihayah*, II, 223; Tabrizi, *Mishkat*, III, 1695, Hadith no. 6003.]

اكرموا أصحابي فانهم خياركم ، ثم الذين  
يلونهم ، ثم الذين يلونهم ، ثم يظهر الكذب

However, both Imams Abu Hanifah and Malik add the proviso that the narrator of a *Mursal* must be a leading transmitter of Hadith, failing which his report will be unacceptable. They rely on it only when they are assured of the trustworthiness of the narrator. They have held the view that when an upright and learned man is convinced about the truth and reliability of a report, he tends to link it directly to the Prophet, saying that the Prophet said such-and-such, but when he is not so convinced, he refers to the person from whom he received it. Examples of such *Mursals* are those that are transmitted by Muhammad b. Hasan al-Shaybani who is a *tabi' al-tabi'i* but considered to be reliable. The majority of ulema are of the view that acting upon a *Mursal* Hadith is not obligatory. [141. Shafi'i, *Risalah*, p. 64; Abu Zahrah, *Usul*, p. 87; Khin, *Athar*, p. 401.]

The differential approaches that the leading Imams have taken toward the reliability of the *Mursal* may be partially explained by the fact that Shafi'i and Ahmad b. Hanbal lived at a time when the distance to the Prophet was further extended. Hence they felt the need of continuity in transmission more strongly than their predecessors, Abu Hanifah and Malik.

The remaining two varieties of disconnected Hadith that need only briefly to be mentioned are the *Munqati'* and the *Mu'dal*. The former refers to a Hadith whose chain of narrators has a single missing link somewhere in the middle. The *Mu'dal* on the other hand is a Hadith in which two consecutive links are missing in the chain of its narrators. Neither of them are acceptable; and the ulema are in agreement on this. [142. Azami, *Studies*, p. 43; Hitu, *Wajiz*, p.316.]

### ***Sahih, Hasan and Da'if***

From the viewpoint of their reliability, the narrators of Hadith have been graded into the following categories: (1) the Companions who are generally accepted to be reliable; (2.) *thiqat thabitun*, or those who rank highest in respect of reliability next to the Companions; (3) *thiqat*, or trustworthy but of a lesser degree than the first two; (4) *saduq*, or truthful, that is one who is not known to have committed a forgery or serious errors; (5) *saduq yahim*, that is truthful but committing errors; (6) *maqbul* or accepted, which implies that there is no proof to the effect that his report is unreliable; (7) *majhul*, or a narrator of unknown identity. These are followed by lower classes of persons who are classified as sinners (*fussaq*), those suspected of lying, and outright liars. [143. Azami, *Studies*, p. 60.]

Hadith is classified as *Sahih* or authentic when its narrators belong to the first three categories. [144. Azami, *Studies* p. 62.] It is defined as a Hadith with a continuous *isnad* all the way back to the Prophet consisting of upright persons who also possess retentive memories and whose narration is free both of obvious and of subtle defects. [145. Shawkani, *Irshad*; p.64; Siba'i, *Al-Sunnah*, p. 94; Hitu, *Wajiz* p.321.]

The *Hasan* Hadith differs from the *Sahih* in that it may include among its narrators a person or persons who belong to the fourth, fifth or sixth grades on the foregoing scale. It is a Hadith that falls between *Sahih* and *Da'if*, and although its narrators are known for truthfulness, they have not attained the highest degree of reliability and prominence. [146. Siba'i, *Al-Sunnah*, p. 95; Azami, *Studies*, p. 62.]

The weak, or *Daif*, is a Hadith whose narrators do not possess the qualifications required in *Sahih* or *Hasan*. It is called weak owing to a weakness that exists in its chain of narrators or in its textual contents. Its narrator is known to have had a bad memory, or his integrity and piety has been subjected to serious doubt. <sup>[147. Siba'i, *Sunnah*, loc.cit.]</sup> There are several varieties of *Daif*; *Mursal* is one of them. The ulema of Hadith, including Imam Muslim, do not consider *Mursal* to amount to a *shar'i* proof (*hujjah*). There are other categories of *Daif*, including *Shadhdh*, *Munkar* and *Mudtarib* which need not be elaborated here. Briefly, *Shadhdh* is a Hadith with a poor *isnad* which is at odds with a more reliable Hadith. *Munkar* is a Hadith whose narrator cannot be classified to be upright and retentive of memory; and *Mudtarib* is a Hadith whose contents are inconsistent with a number of other reports, none of which can be preferred over the others. <sup>[148. Siba'i, *Sunnah*, p.96.]</sup>

According to the general rule, the overall acceptability of a Hadith is determined on the weakest element in its proof. Thus the presence of a single weak narrator in the chain of *isnad* would result in weakening the Hadith altogether. If one of the narrators is suspected of lying whereas all the rest are classified as trustworthy (*thiqat*) and the Hadith is not known through other channels, then it will be graded as weak. In scrutinising the reliability of Hadith, the ulema of Hadith are guided by the rule that every Hadith must be traced back to the Prophet through a continuous chain of narrators whose piety and reputation are beyond reproach. A Hadith which does not fulfill these requirements is not accepted. A weak or *Daif* Hadith does not constitute a *shar'i* proof (*hujjah*) and is generally rejected.

### Introductory Remarks

To interpret the Qur'an or the *Sunnah* with a view to deducing legal rules from the indications that they provide, it is necessary that the language of the Qur'an and the *Sunnah* be clearly understood. To be able to utilise these sources, the *mujtahid* must obtain a firm grasp of the words of the text and their precise implications. For this purpose, the ulema of *usul* include the classification of words and their usages in the methodology of *usul al-fiqh*. The rules which govern the origin of words, their usages and classification are primarily determined on linguistic grounds and, as such, they are not an integral part of the law or religion. But they are instrumental as an aid to the correct understanding of the *Shari'ah*.

Normally the *mujtahid* will not resort to interpretation when the text itself is self-evident and clear. But by far the greater part of *fiqh* consists of rules which are derived through interpretation and *ijtihad*. As will be discussed later, *ijtihad* can take a variety of forms, and interpretation which aims at the correct understanding of the words and sentences of a legal text is of crucial significance to all forms of *ijtihad*.

The function of interpretation is to discover the intention of the Lawgiver - or of any person for that matter - from his speech and actions. Interpretation is primarily concerned with the discovery of that which is not self-evident. Thus the object of interpretation in Islamic Law, as in any other law, is to ascertain the intention of the Lawgiver with regard to what has been left unexpressed as a matter of necessary inference from the surrounding circumstances.<sup>[1. Cf. Abdur Rahim, *Jurisprudence*, p. 78.]</sup>

From the viewpoints of their clarity, scope, and capacity to convey a certain meaning, words have been classified into various types. With reference to their conceptual clarity, the ulema of *usul* have classified words into the two main categories of 'clear' and 'unclear' words. The main purpose of this division is to identify the extent to which the meaning of a word is made clear or left ambiguous and doubtful. The significance of this classification can be readily observed in the linguistic forms and implications of commands and prohibitions. The task of evaluating the precise purport of a command is greatly facilitated if one is able to ascertain the degree of clarity (or of ambiguity) in which it is conveyed. Thus the manifest (*Zahir*) and explicit (*Nass*) are 'clear' words, and yet the jurist may abandon their primary meaning in favour of a different meaning as the context and circumstances may require. Words are also classified, from the viewpoint of their scope, into homonym, general, specific, absolute and qualified.

This classification basically explains the grammatical application of words to concepts: whether a word imparts one or more than one meaning, whether a word is of a specific or general import, and whether the absolute application of a word to its subject matter can be qualified and limited in scope.

From the viewpoint of their actual use, such as whether a word is used in its primary, secondary, literal, technical or customary sense, words are once again divided into the two main categories of literal (*Haqiqi*) and metaphorical (*Majazi*). The methodology of *usul al-fiqh* tells us, for example, that commands and prohibitions may not be issued in metaphorical terms as this would introduce uncertainty in their application. And yet there are exceptions to this, such as when the metaphorical becomes the dominant meaning of a word to the point that the literal or original meaning is no longer in use.

The strength of a legal rule is to a large extent determined by the language in which it is communicated. To distinguish the clear from the ambiguous and to determine the degrees of clarity/ambiguity in word also helps the jurist in his efforts at resolving instances of conflict in the law. When the *mujtahid* is engaged in the deduction of rules from indications which often amount to no more than probabilities, some of his conclusions may turn out to be at odds with others. *Ijtihad* is therefore not only in need of comprehending the language of the law, but also needs a methodology and guidelines with which to resolve instance of conflict in its conclusions.

We shall be taking up each of these topics in the following pages, but it will be useful to start this section with a discussion of *ta'wil*.

### **Ta'wil (Allegorical Interpretation)**

It should be noted at the outset that in Arabic there are two common words for 'interpretation', namely *tafsir* and *ta'wil*. The latter is perhaps closer to 'interpretation', whereas *tafsir* literally means 'explanation'. The English equivalents of these terms do not convey the same difference between them which is indicated in their Arabic usage. 'Allegorical interpretation' is an acceptable equivalent of *ta'wil*, but I prefer the original Arabic to its English equivalent. I propose therefore to explain the difference between *tafsir* and *ta'wil* and then to use '*ta'wil*' as it is.

*Tafsir* basically aims at explaining the meaning of a given text and deducing a *hukm* from it within the confines of its words and sentences. [2. Badran, *Bayan*, p. 124 ff.] The explanation so provided is, in other words, borne out by the content and linguistic composition of the text.

*Ta'wil*, on the other hand, goes beyond the literal meaning of words and sentences and reads into them a hidden meaning which is often based on speculative reasoning and *Ijtihad*. The norm in regard to words is that they impart their obvious meaning. *Ta'wil* is a departure from this norm, and is presumed to be absent unless there is reason to justify its application. [3. Khallaf, *Ilm*, pp. 167-68.] *Ta'wil* may operate in various capacities, such as specifying the general, or qualifying the absolute terms of a given text. All words are presumed to convey their absolute, general, and unqualified meanings unless there is reason to warrant a departure to an alternative meaning.

From a juridical perspective, *ta'wil* and *tafsir* share the same basic purpose, which is to clarify the law and to discover the intention of the Lawgiver in the light of the indications, some of which may be definite and others more remote. Both are primarily concerned with speech that is not self-evident and requires clarification. Sometimes the Lawgiver or the proper legislative authority provides the necessary explanation to a legal text. This variety of explanation, known as *tafsir tashri'i*, is an integral part of the law. To this may be added *tafsir* which is based on definitive indications in the text and constitutes a necessary and logical part of it. Beyond this, all other explanations, whether in the form of *tafsir* or of *ta'wil*, partake in the nature of opinion and *ijtihad* and as such do not constitute an integral part of the law. The distinction between *tafsir* and *ta'wil* is not always clear-cut and obvious. An explanation or commentary on a legal text may partake in both, and the two may converge at certain points. It is nevertheless useful to be aware of the basic distinction between *tafsir* and *ta'wil*. We should also bear in mind that in the context of *usul al-fiqh*, especially in our discussion of the rules of interpretation, it is *ta'wil* rather than *tafsir* with which we are primarily concerned.

The ulema of *usul* have defined *ta'wil* as departure from the manifest (*Zahir*) meaning of a text in favour of another meaning where there is evidence to justify the departure. [4. Amidi, *Ihkam*, III, 53; Badran, *Usul*, p. 400.] *Ta'wil* which is attempted in accordance with the conditions that ensure its propriety is generally accepted, and the ulema of all ages, including the Companions, have applied it in their efforts at deducing legal rules from the Qur'an and *Sunnah*. *Ta'wil* which is properly constructed constitutes a valid basis for judicial decisions. But to ensure the propriety of *ta'wil*, it must fulfil certain conditions, which are as follows: (1) That there is some evidence to warrant the application of *ta'wil*, and that it is not founded on mere inclination or personal opinion. (2) That the word or words of a given text are amenable to *ta'wil*. In this way only certain types of words, including for example the manifest (*Zahir*) and explicit (*Nass*), are open to *ta'wil*, but not the unequivocal (*Mufassar*) and the perspicuous

(*Muhkam*). Similarly, the general (*'Amm*) and the absolute (*Mutlaq*) are susceptible to *ta'wil* but not the specific (*Khass*) and the qualified (*Muqayyad*), although there are cases where these too have been subjected to *ta'wil*. (3) That the word which is given an allegorical interpretation has a propensity, even if only a weak one, in favour of that interpretation. This condition would preclude a far-fetched interpretation that goes beyond the capacity of the words of a given text. (4) That the person who attempts *ta'wil* is qualified to do so and that his interpretation is in harmony with the rules of the language and customary or juridical usage. Thus it would be unacceptable if the word *qur'* in the Qur'anic text (al-Baqarah, 2:228) were to be given a meaning other than the two meanings which it bears, namely menstruation (*hayd*) and the clean period between menstruations (*tuhr*). For *qur'* cannot carry an additional meaning, and any attempt to give it one would violate the rules of the language. But *ta'wil* in the sense of a shift from the literal to the metaphorical and from the general to the specific is not a peculiarity of Arabic, in that words in any language are, in fact, amenable to these possibilities.<sup>[5]</sup>

Amidi, *Ihkam*, III, 54; Badran, *Usul*, pp. 400-401.]

There are two types of *ta'wil*, namely *ta'wil* which is remote and far-fetched, and 'relevant' *ta'wil* which is within the scope of what might be thought of as correct understanding. An example of the first type is the Hanafi interpretation of a Hadith which instructed a Companion, Firuz al-Daylami, who professed Islam while he was married to two sisters, to 'retain [*amsik*] one of the two, whichever you wish, and separate from the other'.<sup>[6]</sup> Tabrizi, *Mishkat*, III, 948, Hadith no. 3178; Amidi, *Ihkam*, II, 54; Badran, *Usul*, p. 401.]

### امسك ايتها شئت وفارق الأخرى

The Hanafis have interpreted this Hadith to the effect that al-Daylami was asked to contract a new marriage with one of the sisters, if they happened to have been married in a single contract of marriage, but that if they had been married in two separate contracts, to retain the one whom he married first, without a contract. The Hanafis have resorted to this *ta'wil* apparently because of the *Shari'ah* rule which does not permit two women to be married in a single contract. If this were to be the case, then a new contract would be necessary with the one who is to be retained.

But this is regarded as a remote interpretation, one which is not supported by the wording of the Hadith. Besides, al-Daylami was a new convert to Islam who could not be presumed to be knowledgeable of the rules of *Shari'ah*. Had the Prophet intended the meaning that the Hanafis have given to the Hadith, the Prophet would have clarified it himself. As it is, the Hanafi interpretation cannot be sustained by the contents of the Hadith, which is why it is regarded as far-fetched.<sup>[7]</sup> Amidi, *Ihkam*, III, 56; Badran, *Usul*, p. 401. See for more examples of far-fetched interpretation, Amidi, *Ihkam*, III, 55-64.]

*Ta'wil* is relevant and correct if it can be accepted without recourse to forced and far-fetched arguments. The interpretation, for example, which the majority of ulema have given to the phrase '*idha qumtum ila'l-salah*' ('when you stand for prayers') in the Qur'anic text concerning the requirement of ablution for *salah* (al-Ma'idah, 5:7) to mean 'when you intend to pray' is relevant and correct; for without it, there would be some irregularity in the understanding of the text. The passage under discussion reads, in the relevant part: 'O believers, when you stand for *salah*, wash your faces, and your hands up to the elbows. . . ' 'When you stand for *salah*' here is understood to mean 'when you intend to perform *salah*'. The fact that ablution is required before entering the *salah* is the proper interpretation of the text, as the Lawgiver could not be said to have required the faithful to perform the ablution after having started the *salah*. [8. Badran, *Usul*, p. 402.]

To set a total ban on *ta'wil*, and always to try to follow the literal meaning of the Qur'an and *Sunnah*, which is what the Zahiris have tended to do, is likely to lead to a departure from the spirit of the law and its general purpose. It is, on the other hand, equally valid to say that interpretation must be attempted carefully and only when it is necessary and justified, for otherwise the law could be subjected to arbitrariness and abuse. A correct interpretation is one for which support could be found in the *nusus*, in analogy (*qiyas*), or in the general principles of the law. Normally a correct interpretation does not conflict with the explicit injunction of the law, and its accuracy is borne out by the contents of the text itself. [9. Khallaf, *Ilm*, p. 166.]

## Classification I: Clear and Unclear Words

From the viewpoint of clarity (*wuduh*), words are divided into the two main categories of clear and unclear words. A clear word conveys a concept which is intelligible without recourse to interpretation. A ruling which is communicated in clear words constitutes the basis of obligation, without any recourse to *ta'wil*. A word is unclear, on the other hand, when it lacks the foregoing qualities: the meaning which it conveys is ambiguous/incomplete, and requires clarification. An ambiguous text which is in need of clarification cannot constitute the basis of action. The clarification so required can only be supplied through extraneous evidence, for the text itself is deficient and fails to convey a complete meaning without recourse to evidence outside its contents. A clear text, on the other hand, is self-contained, and needs no recourse to extraneous evidence.



From the viewpoint of the degree of clarity and conceptual strength, clear words are divided into four types in a ranking which starts with the least clear, namely the manifest (*Zahir*) and then the explicit (*Nass*), which commands greater clarity than the *Zahir*. This is followed by the unequivocal (*Mufassar*) and finally the perspicuous (*Muhkam*), which ranks highest in respect of clarity. And then from the viewpoint of the degree of ambiguity in their meaning, words are classified, once again, into four types which start with the least ambiguous and end by the most ambiguous in the range. We shall begin with an exposition of the clear words.

## I. 1 & 2 The *Zahir* and the *Nass*

The manifest (*Zahir*) is a word which has a clear meaning and yet is open to *ta'wil*, primarily because the meaning that it conveys is not in harmony with the context in which it occurs. It is a word which has a literal original meaning of its own but which leaves open the possibility of an alternative interpretation. For example, the word 'lion' in the sentence 'I saw a lion' is clear enough, but it is possible, although less likely, that the speaker might have meant a brave man. *Zahir* has been defined as a word or words which convey a clear meaning, while this meaning is not the principal theme of the text in which they appear. [10. Khallaf, *Ilm*, p.161; Badran, *Usul*, p. 403; Abu Zahrah, *Usul*, p. 93.]

When a word conveys a clear meaning that is also in harmony with the context in which it appears, and yet is still open to *ta'wil*, it is classified as *Nass*. The distinction between the *Zahir* and *Nass* mainly depends on their relationship with the context in which they occur. *Zahir* and *Nass* both denote clear words, but the two differ in that the former does not constitute the dominant theme of the text whereas the *Nass* does. These may be illustrated in the Qur'anic text concerning polygamy, as follows:

And if you fear that you cannot treat the orphans justly, then marry the women who seem good to you, two, three or four (al-Nisa, 4:3)

Two points constitute the principal theme of this *ayah*, one of which is that polygamy is permissible, and the other that it must be limited to the maximum of four. We may therefore say that these are the explicit rulings (*Nass*) of this text. But this text also establishes the legality of marriage between men and women, especially in the part where it reads 'marry of the women who seem good to you'. However, legalising marriage is not the principal theme of this text, but only a subsidiary point. The main theme is the *Nass* and the incidental point is the *Zahir*. [11. Abu Zahrah, *Usul*, p. 93; Badran, *Usul*, p. 402.]

The effect of the *Zahir* and the *Nass* is that their obvious meanings must be followed and action upon them is obligatory unless there is evidence to warrant recourse to *ta'wil*, that is, to a different interpretation which might be in greater harmony with the intention of the Lawgiver. For the basic rules of interpretation require that the obvious meaning of words should be accepted and followed unless there is a compelling reason for abandoning the obvious meaning. When we say that the *Zahir* is open to *ta'wil*, it means that when the *Zahir* is general, it may be specified, and when it is absolute, it may be restricted and qualified. Similarly the literal meaning of the *Zahir* may be abandoned in favour of a metaphorical meaning. And finally, the *Zahir* is susceptible to abrogation which, in the case of the Qur'an and *Sunnah*, could only occur during the lifetime of the Prophet. An example of the *Zahir* which is initially conveyed in absolute terms but has subsequently been qualified is the Qur'anic text (al-Nisa', 4:24) which spells out the prohibited degrees of relationship in marriage. The text then continues, 'and lawful to you are women other than these, provided you seek them by means of your wealth and marry them properly. . . .' The passage preceding this *ayah* refers to a number of female relatives with whom marriage is forbidden, but there is no reference anywhere in this passage either to polygamy or to marriage with the paternal and maternal aunt of one's wife. The apparent or *Zahir* meaning of this passage, especially in the part where it reads 'and lawful to you are women other than these' would seem to validate polygamy beyond the limit of four, and also marriage to the paternal and maternal aunt of one's wife. However, the absolute terms of this *ayah* have been qualified by another ruling of the Qur'an (al-Nisa', 4:3) quoted earlier which limits polygamy to four. The other qualification to the text under discussion is provided by the *Mashhur* Hadith which forbids simultaneous marriage with the maternal and paternal aunt of one's wife. [12. Abu Dawud, *Sunan* (Hasan's trans.), II, 551, Hadith no. 2060; Khallaf, *'Ilm*, p. 163; Abu Zahrah, *Usul*, p. 94.]

This illustration also serves to show an instance of conflict between the *Zahir* and the *Nass*. Since the second of the two *ayat* under discussion is a *Nass*, it is one degree stronger than the *Zahir* and would therefore prevail. This question of conflicts between the *Zahir* and *Nass* will be further discussed later.

It will be noted that *Nass*, in addition to the technical meaning which we shall presently elaborate, has a more general meaning which is commonly used by the *fuqaha'*. In the terminology of *fiqh*, *Nass* means a definitive text or ruling of the Qur'an or the *Sunnah*. Thus it is said that this or that ruling is a *Nass*, which means that it is a definitive injunction of the Qur'an or *Sunnah*. But *Nass* as opposed to *Zahir* denotes a word or words that convey a clear meaning, and also represents the principal theme of the text in which it occurs. An example of *Nass* in the Qur'an is the Qur'anic text on the priority of debts and bequests over inheritance in the administration of an estate. The relevant *ayah* assigns specific shares to a number of heirs and then provides that the distribution of shares in all cases is to take place 'after the payment of legacies and debts' (al-Nisa', 4:11). Similarly, the Qur'anic text which provides that 'unlawful to you are the dead carcass and blood' (al-Ma'idah, 5:3), is a *Nass* on the prohibition of these items for human consumption. [13. Badran, *Usul*, p. 403; Abu Zahrah, *Usul*, p. 94.] As already stated, the *Nass*, like the

*Zahir*, is open to *ta'wil* and abrogation. For example, the absolute terms of the *ayah* which we just quoted on the prohibition of dead carcasses and blood have been qualified elsewhere in the Qur'an where 'blood' has been qualified as 'blood shed forth' (al-An'am, 6:145). Similarly, there is a Hadith which permits consumption of two types of dead carcasses, namely fish and locust. [14. Tabrizi, *Mishkat*, II, 1203, Hadith no. 4132.] (See full version of this Hadith on page 131.) Another example of the *Nass* which has been subjected to *ta'wil* is the Hadith concerning the legal alms (*zakah*) of livestock, which simply provides that this shall be 'one in every forty sheep'. [15. Abu Dawud, *Sunan*, II, 410, Hadith no. 1567.]

The obvious *Nass* of this Hadith admittedly requires that the animal itself should be given in *zakah*. But it would seem in harmony with the basic purpose of the law to say that either the sheep or their equivalent monetary value may be given. For the purpose of *zakah* is to satisfy the needs of the poor, and this could equally be done by giving them the equivalent amount of money; it is even likely that they might prefer this. [16. Khallaf, *Ilm*, p. 165; Amidi (*Ihkam*, III, 57) considers this to be a *ta'wil* which is far-fetched.] The Hanafis have offered a similar interpretation for two other Qur'anic *ayat*, one on the expiation of futile oaths, and the other on the expiation of deliberate breaking of the fast during Ramadan. The first is enacted at feeding ten poor persons (al-Ma'idah, 5:92), and the second at feeding sixty such persons (al-Mujadalah, 58:4). The Hanafis have held that this text can be implemented either by feeding ten needy persons or by feeding one such person on ten occasions. Similarly, the provision in the second *ayah* may be understood, according to the Hanafis, to mean feeding sixty poor persons, or one such person sixty times. [17. Khallaf, *Ilm*, p. 166.]

As already stated, *Nass* is stronger than *Zahir*, and should there be a conflict between them, the former prevails over the latter. This may be illustrated in the following two Qur'anic passages, one of which is a *Nass* in regard to the prohibition of wine, and the other a *Zahir* in regard to the permissibility of eating and drinking in general. The two passages are as follows:

O believers! Intoxicants, games of chance and sacrificing to stones and arrows are the unclean works of Satan So avoid them . . . (al-Ma'idah, 5:93).

On those who believe and do good deeds, there is no blame for what they consume while they keep their duty and believe and do good deeds (al-Ma'idah, 5:96)

The *Nass* in the first *ayah* is the prohibition of wine, which is the main purpose and theme of the text. The *Zahir* in the second *ayah* is the permissibility of eating and drinking without restriction. The main purpose of the second *ayah* is, however, to accentuate the virtue of piety (*taqwa*) in that *taqwa* is not a question of austerity with regard to food, it is rather a matter of God-consciousness and good deeds. There is an apparent conflict between the two *ayat*, but since the prohibition of wine is established in the *Nass*, and the permissibility regarding food and drink is in the form of *Zahir*, the *Nass* prevails over the *Zahir*. [18. Abu Zahrah, *Usul*, p.95.]

To give an example of *Zahir* in modern criminal law, we may refer to the word 'night' which occurs in many statutes in connection with theft. When theft is committed at night, it carries a heavier penalty. Now if one takes the manifest meaning of 'night', then it means the period between sunset and sunrise. However this meaning may not be totally harmonious with the purpose of the law. What is really meant by 'night' is the dark of the night, which is an accentuating circumstance in regard to theft. Here the meaning of the *Zahir* is qualified with reference to the rational purpose of the law and the nature of the offence in question. [19. Cf. Khallaf, *Ilm*, p. 166.]

### I. 3 & 4 Unequivocal (*Mufassar*) and Perspicuous (*Muhkam*)

*Mufassar* is a word or a text whose meaning is completely clear and is, in the meantime, in harmony with the context in which it appears. Because of this and the high level of clarity in the meaning of *Mufassar*, there is no need for recourse to *ta'wil*. But the *Mufassar* may still be open to abrogation which might, in reference to the Qur'an and *Sunnah*, have taken place during the lifetime of the Prophet. The idea of the *Mufassar*, as the word itself implies, is that the text explains itself. The Lawgiver has, in other words, explained His own intentions with complete clarity, and the occasion for *ta'wil* does not arise. The *Mufassar* occurs in two varieties, one being the text which is self-explained, or *Mufassar bidhatih*, and the other is when the ambiguity in one text is clarified and explained by another. This is known as *Mufassar bighayrih*, in which case the two texts become an integral part of one another and the two combine to constitute a *Mufassar*. [20. Abu Zahrah, *Usul*, p. 96; Badran, *Usul*, pp. 404-405.] An example of *Mufassar* in the Qur'an is the text in sura al-Tawbah (9:36) which addresses the believers to 'fight the pagans all together (*kaffah*) as they fight you all together'. The word '*kaffah*' which occurs twice in this text precludes the possibility of applying specification (*takhsis*) to the words preceding it, namely the pagans (*mushrikin*). *Mufassar* occurs in many a modern statute with regard to specified crimes and their

penalties, but also with regard to civil liabilities, the payment of damages, and debts. The words of the statute are often self-explained and definite so as to preclude *ta'wil*. But the basic function of the explanation that the text itself provides is concerned with that part of the text which is ambivalent (*mujmal*) and needs to be clarified. When the necessary explanation is provided, the ambiguity is removed and the text becomes a *Mufassar*. An example of this is the phrase '*laylah al-qadr*' ('night of *qadr*') in the following Qur'anic passage. The phrase is ambiguous to begin with, but is then explained:

We sent it [the Qur'an] down on the Night of Qadr. What will make you realise what the Night of Qadr is like?[...] It is the night in which angels and the spirit descend [...] (al-Qadr, 97:1-4).

The text thus explains the '*laylah al-qadr*' and as a result of the explanation so provided, the text becomes self-explained, or *Mufassar*. Hence there is no need for recourse to *ta'wil*. Sometimes the ambiguous of the Qur'an is clarified by the *Sunnah*, and when this is the case, the clarification given by the *Sunnah* becomes an integral part of the Qur'an. There are numerous examples of this, such as the words *salah*, *zakah*, *hajj*, *riba*, which occur in the following *ayat*:

Perform the *salah* and pay the *zakah* (al-Nahl, 16:44)

God has enacted upon people the pilgrimage of *hajj* to be performed by all who are capable of it (Al-Imran, 3:97).

God permitted sale and prohibited usury (*riba*) (al-Baqarah, 2:275).

The juridical meanings of *salah*, *zakah*, *hajj* and *riba* could not be known from the brief references that are made to them in these *ayat*. Hence the Prophet provided the necessary explanation in the form of both verbal and practical instructions. In this way the text which was initially ambivalent (*mujmal*) became *Mufassar*. With regard to *salah*, for example, the Prophet instructed his followers to 'perform the *salah* the way you see me performing it', and regarding the *hajj* he ordered them to 'take from me the rituals of the *hajj*'. [21. Tabrizi, *Mishkat*, I, 215; Hadith no. 683; Shatibi, *Muwafaqat* III, 178; Khallaf, *Ilm*, p.167; Badran, *Usul*, p. 405.]

There are also many *ahadith* which explain the Qur'anic prohibition of *riba* in specific and elaborate detail.

The value (*hukm*) of the *Mufassar* is that acting upon it is obligatory. The clear meaning of a *Mufassar* is not open to interpretation and unless it has been abrogated, the obvious text must be followed. But since abrogation of the Qur'an and *Sunnah* discontinued upon the demise of the Prophet, to all intents and purposes, the *Mufassar* is equivalent to the perspicuous (*Muhkam*), which is the last in the range of clear words and is not open to any change.

Specific words (*al-alfaz al-khassah*) which are not open to *ta'wil* or any change in their primary meanings are in the nature of *Mufassar*. Thus the Qur'anic punishment of eighty lashes for slanderous accusation (*qadhf*) in sura al-Nur (24:4), or the *ayah* of inheritance (al-Nisa', 4:11) which prescribes specific shares for legal heirs, consist of fixed numbers which rule out the possibility of *ta'wil*. They all partake in the qualities of *Mufassar*. [22. Badran, *Usul*, p. 404.]

Since *Mufassar* is one degree stronger than *Nass*, in the event of a conflict between them, the *Mufassar* prevails. This can be illustrated in the two hadiths concerning the ablution of a woman who experiences irregular menstruations that last longer than the expected three days or so: she is required to perform the *salah*; as for the ablution (*wudu'*) for *salah*, she is instructed, according to one Hadith:

A woman in prolonged menstruations must make a fresh *wudu'* for every *salah*: [23. Abu Dawud, *Sunan*, I, 76, Hadith nos. 294, and 304 respectively.]

And according to another Hadith

A woman in prolonged menstruation must make a fresh *wudu'* at the time of every *salah*. [24. Abu Dawud, *Sunan*, I, 76, Hadith nos. 294, and 304 respectively.]

The first Hadith is a *Nass* on the requirement of a fresh *wudu'* for every *salah*, but the second Hadith is a *Mufassar* which does not admit of any *ta'wil*. The first Hadith is not completely categorical as to whether 'every *salah*' applies to both obligatory and supererogatory (*fara'id wa-nawafil*) types of *salah*. Supposing that they are both performed at the same time, would a separate *wudu'* be required for each? But this ambiguity/ question does not arise under the second Hadith as the latter provides complete instruction: a *wudu'* is only required at the time of every *salah* and the same *wudu'* is sufficient for any number of *salahs* at that particular time. [25. Khallaf, *Ilm*, p. 169; Badran, *Usul*, p. 408.]

Words and sentences whose meaning is clear beyond doubt and are not open to *ta'wil* and abrogation are called *Muhkam*. An example of this is the frequently occurring Qur'anic statement that 'God knows all things'. This kind of statement cannot be abrogated, either in the lifetime of the Prophet, or after his demise. [26. Hughes, *Dictionary of Islam*, p.518; Badran, *Usul*, p. 406; Abu Zahrah, *Usul*, p.96.] The text may sometimes explain itself in terms that would preclude the possibility of abrogation. An example of this is the Qur'anic address to the believers concerning the wives of the Prophet: 'It is not right for you to annoy the Messenger of God; nor should you ever marry his widows after him. For that is truly an enormity in God's sight' (al-Ahzab, 33:35). The prohibition here is emphasised by the word *abadan* (never, ever) which renders it *Muhkam*, thereby precluding the possibility of abrogation. The *Muhkam* is, in reality, nothing other than *Mufassar* with one difference, namely that *Muhkam* is not open to abrogation. An example of *Muhkam* in the *Sunnah* is the ruling concerning *jihad* which provides that '*jihad* (holy struggle) remains valid till the day of resurrection'. [27. Abu Dawud, *Sunan*, II, 702, Hadith no. 2526; Abu Zahrah, *Usul*, p. 96.]

The ulema of *usul* have given the Qur'anic *ayah* on slanderous accusation as another example of *Muhkam*, despite some differences of interpretation that have arisen over it among the Hanafi and Shafi'i jurists. The *ayah* provides, concerning persons who are convicted and punished for slanderous accusation (*qadhif*): 'And accept not their testimony ever, for such people are transgressors' (al-Nur, 24:4). Once again the occurrence of *abadan* ('for ever') in this text renders it *Muhkam* and precludes all possibility of abrogation. The Hanafis have held that the express terms of this *ayah* admit of no exception. A *qadhif*, that is, a slanderous accuser, may never be admitted as a witness even if he repents. But according to the Shafi'is, if the *qadhif* repents after punishment, he may be admitted as a witness. The reason for this exception, according to the Shafi'is, is given in the subsequent portion of the same text, which reads: 'Unless they repent afterwards, and rectify themselves.' The grounds of these differential interpretations need not be elaborated here. Suffice it to point out that the differences are over the understanding of the pronouns in the text, whether they refer to the *qadhif* and transgressors

both, or to the latter only. There is no difference of opinion over the basic punishment of *qadhf*, which is eighty lashes as the text provides, but only with regard to the additional penalty disqualifying them as witnesses forever. It would thus appear that these differences fall within the scope of *tafsir* rather than that of *ta'wil*.

The *Muhkam* is not open to abrogation. This may be indicated in the text itself, as in the foregoing examples, or it may be due to the absence of an abrogating text. The former is known as *Muhkam bidhatih*, or *Muhkam* by itself, and the second as *Muhkam bighayrih*, or *Muhkam* because of another factor. [28. Abu Zahrah, *Usul*, p. 96; Badran, *Usul*, p. 406.]

The purpose of the foregoing distinction between the four types of clear words is to identify their propensity or otherwise to *ta'wil*, that is, of admitting a meaning other than their obvious meaning, and whether or not they are open to abrogation. If a word is not open to either of these possibilities, it would follow that it retains its original or primary meaning and admits of no other interpretation. The present classification, in other words, contemplates the scope of *ta'wil* in that the latter is applicable only to the *Zahir* and *Nass* but not to the *Mufassar* and *Muhkam*. The next purpose of this classification is to provide guidelines for resolving possible conflicts between the various categories of words. In this way an order of priority is established by which the *Muhkam* prevails over the other three varieties of clear words and the *Mufassar* takes priority over the *Nass*, and so on. But this order of priority applies only when the two conflicting texts both occur in the Qur'an. However, when a conflict arises between, say, the *Zahir* of the Qur'an and the *Nass* of the *Sunnah*, the former would prevail despite its being one degree weaker in the order of priority. This may be illustrated by the *ayah* of the Qur'an concerning guardianship in marriage, which is in the nature of *Zahir*. The *ayah* provides: 'If he has divorced her, then she is not lawful to him until she marries (*hatta tankiha*) another man' (al-Baqarah, 2:229). This text is *Zahir* in respect of guardianship as its principal theme is divorce, not guardianship. From the Arabic form of the word '*tankiha*' in this text, the Hanafis have drawn the additional conclusion that an adult woman can contract her own marriage, without the presence of a guardian. However there is a Hadith on the subject of guardianship which is in the nature of *Nass*, which provides that 'there shall be no marriage without a guardian (*wali*). [29. Abu Dawud, *Sunan* (Hasan's trans.), II, 555 Hadith no. 2078; Badran, *Usul*, p. 408.]

This Hadith is more specific on the point that a woman must be contracted in marriage by her guardian. Notwithstanding this, however, the *Zahir* of the Qur'an is given priority, by the Hanafis at least, over the *Nass* of the Hadith. The majority of ulema have, however, followed the ruling of the *Sunnah* on this point. [30. Badran, *Usul*, p.409.]

## II. Unclear Words (*al-Alfaz Ghayr al-Wadihah*)



These are words which do not by themselves convey a clear meaning without the aid of additional evidence that may be furnished by the Lawgiver Himself, or by the *mujtahid*. If the inherent ambiguity is clarified by means of research and *ijtihad*, the words are classified as *Khafi* (obscure) and *Mushkil* (difficult). But when the ambiguity could only be removed by an explanation which is furnished by the Lawgiver, the word is classified either as *Mujmal* (ambivalent) or *Mutashabih* (intricate), as follows. <sup>[31]</sup>

Khallaf, *Ilm*, p.162; Badran, *Usul*, p. 409.]

## II. 1 *The Obscure (Khafi)*

*Khafi* denotes a word which has a basic meaning but is partially ambiguous in respect of some of the individual cases to which it is applied: the word is consequently obscure with regard to these cases only. The ambiguity in *Khafi* needs to be clarified by extraneous evidence which is often a matter of research and *ijtihad*. An example of *Khafi* is the word 'thief' (*sariq*) which has a basic meaning but which, when it is applied to cases such as that of a pickpocket, or a person who steals the shrouds of the dead, does not make it immediately clear whether 'thief' includes a pickpocket or not and whether the punishment of theft can be applied to the latter. The basic ingredients of theft are present in this activity, but the fact that the pickpocket uses a kind of skill in taking the assets of a person in wakefulness makes it somewhat different from theft. Similarly, it is not certain whether 'thief' includes a *nabbash*, that is, one who steals the shroud of the dead, since a shroud is not a guarded property (*mal muhraz*). Imam Shafi'i and Abu Yusuf would apply the prescribed penalty of theft to the *nabbash*, whereas the majority of ulema only make him liable to the discretionary punishment of *ta'zir*. There is also an *ijtihadi* opinion which authorises the application of the *hadd* of theft to the pickpocket. <sup>[32. Khallaf, *Ilm*, p.170; Badran, *Usul*, p. 410.]</sup>

The word '*qatil*' (killer) in the Hadith that 'the killer shall not inherit', <sup>[33. Shafi'i, *Al-Risalah*, p. 80.]</sup> is also *Khafi* in respect of certain varieties of killing such as 'erroneous killing' (*qatl al-khata'*). The malikis have held that erroneous killing is not included in the meaning of this Hadith, whereas according to the Hanafis, it is in the interest of safeguarding the lives of the people to include erroneous killing within the meaning of this Hadith. <sup>[34. Badran, *Usul*, p. 411.]</sup> To remove the ambiguity in *Khafi* is usually a matter of *ijtihad*, which would explain why there are divergent rulings on each of the foregoing examples. It is the duty of the *mujtahid* to exert himself so as to clarify the ambiguity in the *Khafi* before it can constitute the basis of a judicial order.

## II.2 The Difficult (*Mushkil*)

*Mushkil* denotes a word which is inherently ambiguous, and whose ambiguity can only be removed by means of research and *ijtihad*. The *Mushkil* differs from the *Khafi* in that the latter has a basic meaning which is generally clear, whereas the *Mushkil* is inherently ambiguous. There are, for example, words which have more than one meaning, and when they occur in a text, the text is unclear with regard to one or the other of those meanings. Thus the word '*qur*' which occurs in sura al-Baqarah (2:228) is *Mushkil* as it has two distinct meanings: menstruation (*hayd*) and the clean period between two menstruations (*tuhr*). Whichever of these is taken, the ruling of the text will differ accordingly. Imam Shafi'i and a number of other jurists have adopted the latter, whereas the Hanafis and others have adopted the former as the correct meaning of *qur*'.

Sometimes the difficulty arising in the text is caused by the existence of a conflicting text. Although each of the two texts may be fairly clear as they stand alone, they become difficult when one attempts to reconcile them. This may be illustrated in the following two *ayat*, one of which provides:

'Whatever good that befalls you is from God, and whatever misfortune that happens to you' is from yourself' (al-Nisa', 4:79).

Elsewhere we read in sura Al-'Imran (3:154): 'Say that the matter is all in God's hands.' A similar difficulty is noted in the following two passages. According to the first, 'Verily God does not command obscenity/evil' (al-A'raf, 7:28). And then we read in sura Bani Isra'il (17: 16), 'When We decide to destroy a population, We first send a definite order to their privileged ones, and when they transgress, the word is proven against them, then We destroy them with utter destruction.' Could it be said that total destruction is a form of evil? There is no certainty as to the correct meaning of *Mushkil*, as it is inherently ambiguous. Any explanation which is provided by the *mujtahid* is bound to be speculative. The *mujtahid* is nevertheless bound to exert himself in order to discover the correct meaning of *Mushkil* before it can be implemented and adopted as a basis of action. [35. Khallaf, *Ilm*, p.173; Badran, *Usul*, p. 413.]

## II.3 The Ambivalent (*Mujmal*)

*Mujmal* denotes a word or text which is inherently unclear and gives no indication as to its precise meaning. The cause of ambiguity in *Mujmal* is inherent in the locution itself. A word may be a homonym with more than one meaning, and there is no indication as to which might be the correct one, or alternatively the Lawgiver has given it a meaning other than its literal one, or the word may be totally unfamiliar. In any of these eventualities, there is no way of removing the ambiguity without recourse to the explanation that the Lawgiver has furnished Himself, for He introduced the ambiguous word in the first place. Words that have been used in a transferred sense, that is, for a meaning other than their literal one, in order to convey a technical or a juridical concept, fall under the category of *Mujmal*. For example, expressions such as *salah*, *riba*, *hajj*, and *siyam* have all lost their literal meanings due to the fact that the Lawgiver has used them for purposes other than those which they originally conveyed. Each of these words has a literal meaning, but since their technical meaning is so radically different from the literal, the link between them is lost and the technical meaning becomes totally dominant. A word of this type remains ambivalent until it is clarified by the Lawgiver Himself. The juridical meaning of all the Qur'anic words cited above has been explained by the Prophet, in which case, they cease to be ambivalent. For when the Lawgiver provides the necessary explanation, the *Mujmal* is explained and turns into *Mufassar*.

The *Mujmal* may sometimes be an unfamiliar word which is inherently vague, but is clarified by the text where it occurs. For example '*al-qari'ah*' and '*halu*' which occur in the Qur'an. The relevant passages are as follows:

The stunning blow (*al-qari'ah*)! What is the stunning blow? What will make you realise what the stunning blow is? It is the Day on which the people will act like scattered moths; and the mountains will be like carded wool (*al-Qari'ah*, 101:1-5).

Truly man was created restless (*halu'an*); so he panics whenever any evil touches him; and withholds when some fortune befalls him (*al-Ma'arij*, 70:20-23).

The ambivalent words in these passages have thus been explained and the text has as a result become self-explained, or *Mufassar*. The *Mujmal* turns into the *Mufassar* only when the clarification that the Lawgiver provides is complete; but when it is incomplete, or insufficient to remove the ambiguity, the *Mujmal* turns into a *Mushkil*, which is then open to research and *ijtihad*. An example of this is the word *riba* which occurs in the Qur'an (*al-Baqarah*, 2:275) in the form of a *Mujmal*, as when it reads: 'God

permitted sale and prohibited *riba'*, the last word in this text literally meaning 'increase'. Since not every increase or profit is unlawful, the text remains ambivalent as to what type of increase it intends to forbid. The Prophet has clarified the basic concept of *riba* in the Hadith which specifies six items (gold, silver, wheat, barley, salt and dates) to which the prohibition applies. But this explanation is insufficient for detailed purposes in that it leaves room for reflection and enquiry as to the rationale of the text with a view to extending the same rule to similar commodities. The Hadith thus opens the way to further *ijtihad* and analogy to the goods that it has specified. [36. Muslim, *Sahih Muslim*, I, 252, Hadith no. 949; Khallaf, *Ilm*, pp.173-175; Badran, *Usul*, pp. 414-415.]

## II.4 The Intricate (*Mutashabih*)

This denotes a word whose meaning is a total mystery. There are words in the Qur'an whose meaning is not known at all. Neither the words themselves nor the text in which they occur provide any indication as to their meaning. The *Mutashabih* as such does not occur in the legal *nusus*, but it does occur in other contexts. Some of the suras of the Qur'an begin with what is called *al-muqatta'at*, that is, abbreviated letters whose meaning is a total mystery. Expressions such as *alif-lam-mim*, *ya-sin*, *ha-mim* and many others which occur on 29 occasions in the Qur'an, are all classified as *Mutashabih*. Some ulema have held the view that the *muqatta'at* are meant to exemplify the inimitable qualities of the Qur'an, while others maintain that they are not abbreviations but symbols and names of God; that they have numerical significance; and that they are used to attract the attention of the audience. According to yet another view, the *Mutashabih* in the Qur'an is meant as a reminder of limitations in the knowledge of the believer, who is made to realise that the unseen realities are too vast to be comprehended by reason. [37. *The Holy Qur'an* (Yusuf Ali's trans.) p. 118; Denffer, 'Ulum, p. 84; Abdur Rahim, *Jurisprudence*, p. 100.]

Some ulema, including Ibn Hazm al-Zahiri, have held the view that with the exception of the *muqatta'at* there is no *Mutashabih* in the Qur'an. Others have maintained that the passages of the Qur'an which draw resemblances between God and man are also in the nature of *Mutashabih*. [38. Badran, *Usul*, p. 416.] Thus the *ayat* which provide: 'the hand of God is over their hands' (al-Fath, 48:10); and in a reference to the Prophet Noah where we read: 'build a ship under Our eyes and Our inspiration' (Hud, 11:37) and in sura al-Rahman (55:27) where the text runs 'and the face of your Lord will abide forever', are instances of *Mutashabih* as their precise meaning cannot be known. One can of course draw an appropriate metaphorical meaning in each case, which is what the Mu'tazilah have attempted, but this is neither satisfactory nor certain. To say that 'hand' metaphorically means power, and 'eyes' means supervision is no more than a conjecture. For we do not know the subject of our comparison. The Qur'an also tells us

that 'there is nothing like Him' (al-Shura, 42:11). Since the Lawgiver has not explained these resemblances to us, they remain unintelligible. [39. Khallaf, *Ilm*, p. 176.]

The existence of the *Mutashabih* in the Qur'an is proven by the testimony of the Book itself, which is as follows:

He it is who has sent down to you the Book. Some of it consist of *Muhkamat*, which are the Mother of the Book, while others are *Mutashabihat*. Those who have swerving in their hearts, in their quest for sedition, follow the *Mutashabihat* and search for its hidden meanings. But no one knows those meanings except God. And those who are firmly grounded in knowledge say: We believe in it, the whole is from our Lord. But only people of inner understanding really heed. (Al-'Imran, 3:7).

The ulema have differed in their understanding of this *ayah*, particularly with regard to the definition of *Muhkamat* and *Mutashabihat*. But the correct view is that *Muhkam* is that part of the Qur'an which is not open to conjecture and doubt, whereas the *Mutashabih* is. With regard to the letters which appear at the beginning of suras, it has been suggested that they are the names of the suras in which they occur. As for the question of whether acting upon the *Mutashabih* is permissible or not, there is disagreement, but the correct view is that no one may act upon it. This is so not because the *Mutashabih* has no meaning, but because the correct meaning is not known to any human being. [40. Ghazali, *Mustafa*, I, 68.] There is no doubt that all the *Mutashabihat* have a meaning, but it is only known to God, and we must not impose our estimations on the words of God in areas where no indication is available to reveal the correct meaning to us. [41. Shawkani, *Irshad*, pp.31-32.]

## **Classification II: The '*Amm* (General) and the *Khass* (Specific).**

From the viewpoint of their scope, words are classified into the 'general' and the 'specific'. This is basically a conceptual distinction which is not always obvious in the grammatical forms of words, although the ulema have identified certain linguistic patterns of words which assist us in differentiating the '*Amm* from the *Khass*.

'*Amm* may be defined as a word which applies to many things, not limited in number, and includes everything to which it is applicable. [42. Ghazali, *Mustasfa*, II, 12; Abdur Rahim, *Jurisprudence*, p. 79.] An example of this is the word '*insan*' (human being) in the Qur'anic *ayah*, 'verily the human being is in loss' (al-'Asr, 103:1), or the command, 'whoever enters this house, give him a dirham'. In both examples the application of 'human being' and 'whoever' is general and includes every human being without any limitation. '*Amm* is basically a word that has a single meaning, but which applies to an unlimited number without any restrictions. All words, whether in Arabic or any other language, are basically general, and unless they are specified or qualified in some way, they retain their generality. According to the reported *ijma'* of the Companions and the accepted norms of Arabic, the words of the Qur'an and *Sunnah* apply in their general capacity unless there is evidence to warrant a departure from the general to an alternative meaning. [43. Khallaf, *Ilm*, p. 178; Badran, *Usul*, p. 375.] To say that the '*Amm* has a single meaning differentiates the '*Amm* from the homonym (*Mushtarak*) which has more than one meaning. Similarly, the statement that the '*Amm* applies to an unlimited number precludes the *Khass* from the definition of '*Amm*. [44. Badran, *Usul*, p. 370.] A word may be general either by its form, such as men, students, judges, etc., or by its meaning only, such as people, community, etc., or by way of substitution, such as by prefixing pronouns like all, every, entire, etc., to common nouns. Thus the Qur'anic *ayah* which provides that 'every soul shall taste of death' (Al-'Imran, 3: 185), or the statement that 'every contract consists of two parties' are both general in their import.

The '*Amm* must include everything to which it is applicable. Thus when a command is issued in the form of an '*Amm* it is essential that it is implemented as such. In this way, if A commands his servant to give a dirham to everyone who enters his house, the proper fulfillment of this command would require that the servant does not specify the purport of A's command to, say, A's relatives only. If the servant gives a dirham only to A's relatives with the explanation that he understood that this was what A had wanted, the explanation would be unacceptable and the servant would be at fault.

When a word is applied to a limited number of things, including everything to which it can be applied, say one or two or a hundred, it is referred to as 'specific' (*Khass*). A word of this kind may denote particular individual such as Ahmad, or Zayd, or an individual belonging to a certain species such as a horse or a bird, or an individual belonging to a genus such as a human being. [45. Abdur Rahim, *Jurisprudence*, p.79.] As opposed to the general, the specific word applies to a limited number, be it a genus, or a species, or a particular individual. So long as it applies to a single subject, or specified number thereof, it is *Khass*. But if there is no such limitation to the scope of its application, it is classified as '*Amm*.

Legal rules which are conveyed in specific terms are definite in application and are normally not open to *ta'wil*. Thus the Qur'anic *ayah* which enacts the 'feeding of ten poor persons' as the expiation for futile oaths is specific and definite in that the number 'ten' does not admit of any *ta'wil*. However, if

there be exceptional reasons to warrant recourse to *ta'wil*, then the *Khass* may be open to it. For example, the requirement to feed ten poor persons in the foregoing *ayah* has been interpreted by the Hanafis as either feeding ten persons or one such person ten times. The Hanafis have, however, been overruled by the majority on this point who say that the *Khass*, as a rule, is not amenable to *ta'wil*.

In determining the scope of the '*Amm*, reference is made not only to the rules of the language but also to the usage of the people, and should there be a conflict between the two priority is given to the latter. The Arabs normally use words in their general sense. But this statement must be qualified by saying that linguistic usage has many facets. Words are sometimes used in the form of '*Amm* but the purpose of the speaker may actually be less than '*Amm* or even *Khass*. The precise scope of the '*Amm* has thus to be determined with reference to the conditions of the speaker and the context of the speech. When, for example, a person says that 'I honoured the people' or 'I fought the enemy forces', he must surely mean only those whom he met. '*Amm* as a rule applies to all that it includes especially when it is used on its own. But when it is used in combination with other words, then there are two possibilities: either the '*Amm* remains as before, or it is specified by other words. [46. Shatibi, *Muwafaqat*, III, 154.]

It thus appears that there are three types of '*Amm*, which are as follows: Firstly, the '*Amm* which is absolutely general, which may be indicated by a prefix in the form of a pronoun. Note for example the Qur'anic *ayah*, 'there is no living creature on earth [*wa ma min dabbatin fi'l-ard*] that God does not provide for' (Hud, 11:6); and 'We made everything [*kulla shay'in*] alive from water' (al-Anbiya', 21:30). In the first *ayah*, the prefix '*ma min*' ('no one', 'no living creature'), and in the second *ayah*, the word '*kull*' (i.e. 'all' or 'every') are expressions which identify the '*Amm*. Both of these *ayah* consist of general propositions which preclude specification of any kind. Hence they remain absolutely general and include all to which they apply without any exception. Secondly, there is the '*Amm* which is meant to imply a *Khass*. This usage of '*Amm* is also indicated by evidence which suggests that the '*Amm* comprises some but not absolutely all the individuals to whom it could possibly apply. An example of this is the word '*al-nas*' ('the people') in the Qur'anic *ayah*, 'pilgrimage to the House is a duty owed to God by all people who are able to undertake it' (Al-'Imran, 3:97). Here the indications provided by the text imply that children and lunatics or anyone who cannot afford to perform the required duty are not included in the scope of this *ayah*. Thirdly, there is the '*Amm* which is not accompanied by either of the foregoing two varieties of indications as to its scope. An example of this is the Qur'anic word '*al-mutallaqat*' ('divorced women') in the text which provides that 'divorced women must observe three courses upon themselves' (al-Baqarah, 2:228). This type of '*Amm* is *Zahir* in respect of its generality, which means that it remains general unless there is evidence to justify specification (*takhsis*). In this instance, however, there is another Qur'anic ruling which qualifies the general requirement of the waiting period, or '*iddah*, that the divorced women must observe. This ruling occurs in sura al-Ahzab (33:49) which is as follows: 'O believers! When you enter the contract of marriage with believing women and then divorce them before consummating the marriage, they do not have to observe any '*iddah*'. In this way, women who are divorced prior to consummating the marriage are excluded from

the general requirement of the first *ayah*. The second *ayah*, in other words, specifies the first. [47. Badran, *Usul*, pp. 386-387; Khallaf, *Ilm*, p. 185.]

In grammatical terms, the '*Amm* in its Arabic usage takes a variety of identifiable forms. The grammatical forms in which the '*Amm* occurs are, however, numerous, and owing to the dominantly linguistic and Arabic nature of the subject, I shall only attempt to explain some of the well-known patterns of the '*Amm*.

When a singular or a plural form of a noun is preceded by the definite article *al* it is identified as '*Amm*. For example the Qur'anic text which provides, 'the adulterer, whether a woman or a man, flog them one hundred lashes' (al-Nur, 24:2). Here the article *al* preceding 'adulterer' (*al-zaniyah wa'l-zani*) indicates that all adulterers must suffer the prescribed punishment. Similarly, when the plural form of a noun is preceded by *al*, it is identified as '*Amm*. The example that we gave above relating to the waiting period of the divorced women (*al-mutallaqat*) is a case in point. The *ayah* in question begins by the word '*al-mutallaqat*', that is, 'the divorced women' [48. Khallaf, *Ilm*, p. 182 ff; Badran, *Usul*, p. 371 ff; Abdur Rahim, *Jurisprudence*, p. 86 ff.] who are required to observe a waiting period of three courses before they can marry again. 'The divorced women' is an '*Amm* which comprises all to whom this expression can apply.

The Arabic expressions *jami'*, *kaffah* and *kull* ('all', 'entire'), are generic in their effect, and when they precede or succeed a word, the latter comprises all to which it is applicable. We have already illustrated the occurrence of '*kull*' in the Qur'anic text where we read 'We made everything [*kulla shay'in*] alive from water'. The word *jami'* has a similar effect when it precedes or follows another word. Thus the Qur'anic text which reads, 'He has created for you all that is in the earth' [*khalaqa lakum ma fi'l-ard jami'a*] (al-Baqarah, 2:29) means that everything in the earth is created for the benefit of man. Similarly, when a word, usually a plural noun, is prefixed by a conjunctive such as *walladhina* ('those men who') and *wallati* ('those women who'), it becomes generic in its effect. An example of this in the Qur'an occurs in sura al-Nur (24:21): 'Those who [*walladhina*] accuse chaste women of adultery and fail to bring four witnesses, flog them eighty lashes.' This ruling is general as it applies to all those who can possibly be included in its scope, and it remains so unless there is evidence to warrant specification. As it happens, this ruling has, in so far as it relates to the proof of slanderous accusation, been specified by a subsequent *ayah* in the same passage. This second *ayah* makes an exception in the case of the husband who is allowed to prove a charge of adultery against his wife by taking four solemn oaths instead of four witnesses, but the wife can rebut the charge by taking four solemn oaths herself (al-Nur, 24:6). The general ruling of the first *ayah* has thus been qualified insofar as it concerns a married couple.



An indefinite word (*al-nakirah*) when used to convey the negative is also generic in its effect. For instance the Hadith *la darar wa la dirar fi'l-Islam* ('no harm shall be inflicted or reciprocated in Islam') is general in its import, as '*la darar*' and '*la dirar*' are both indefinite words which convey their concepts in the negative, thereby negating all to which they apply.

The word '*man*' ('he who') is specific in its application, but when used in a conditional speech, it has the effect of a general word. To illustrate this in the Qur'an, we may refer to the text which provides: 'Whoever [*wa-man*] kills a believer in error, must release a believing slave' (al-Nisa', 4:92); and 'Whoever [*fa-man*] among you sees the new moon must observe the fast' (al-Baqarah, 2:185).

There is general agreement to the effect that the *Khass* is definitive (*qat'i*) in its import, but the ulema have differed as to whether the '*Amm* is definitive or speculative (*zanni*). According to the Hanafis, the application of '*Amm* to all that it includes is definitive, the reason being that the language of the law is usually general and if its application were to be confined to only a few of the cases covered by its words without a particular reason or authority to warrant such limited application, the intention of the Lawgiver would be frustrated.<sup>[49. Shatibi, *Muwafaqat*, III, 153; Abu Zahrah, *Usul*, p.124; Abdur Rahim, *Jurisprudence*, p. 82.]</sup> The majority of ulema, including the Shafi'is, Malikis and Hanbalis, maintain on the other hand that the application of '*Amm* to all that it includes is speculative as it is open to limitation and *ta'wil*, and so long as there is such a possibility, it is not definitive. The result of this disagreement becomes obvious in the event of a conflict between the '*Amm* of the Qur'an and the *Khass* of the Hadith, especially the weak or the solitary Hadith. According to the majority view, a solitary Hadith may specify a general provision of the Qur'an, for the '*Amm* of Qur'an is *zanni* and the *Khass* of a solitary Hadith, although definitive in meaning, is of speculative authenticity. A *zanni* may be specified by a *qat'i* or another *zanni*.<sup>[50. Abu Zahrah, *Usul*, p.125; Badran, *Usul*, p.381.]</sup> To the Hanafis, however, the '*Amm* of Qur'an is definite, and the solitary Hadith, or *qiyas* for that matter, is speculative. A definitive may not be limited nor specified by a speculative. The two views may be illustrated with reference to the Qur'anic text concerning the slaughter of animals, which provides 'eat not [of meat] on which God's name has not been pronounced' (al-An'am, 6: 121). In conjunction with this general ruling, there is a solitary Hadith which provides that 'the believer slaughters in the name of God whether he pronounces the name of God or not'.<sup>[51. Bayhaqi, *Al-Sunan al-Kubra*, VII, 240; Badran, *Usul*, p.383.]</sup>

According to the majority, this Hadith specifies the Qur'anic *ayah*, with the result that slaughter by a Muslim, even without pronouncing the name of God, is lawful for consumption. But to the Hanafis, it is not lawful, as the '*Amm* of the Qur'an may not be specified by solitary (*Ahad*) Hadith. This disagreement between the juristic schools, however, arises in respect of the solitary Hadith only. As for

the *Mutawatir* (and the *Mashhur*) there is no disagreement on the point that either of these may specify the general in the Qur'an just as the Qur'an itself sometimes specifies its own general provisions. <sup>[52]</sup>

Shawkani, *Irshad*, p. 157; Abu Zahrah, *Usul*, p.125.]

A general proposition may be qualified either by a dependent clause, that is, a clause which occurs in the same text, or by an independent locution. The majority of ulema consider either of these eventualities as two varieties of *takhsis*. According to the Hanafis, however, an independent locution can specify another locution only if it is established that the two locutions are chronologically parallel to one another. but if they are not so parallel, the later in time abrogates the former, and the case is one of abrogation rather than *takhsis*. In the event where the qualifying words relate to what has preceded and do not form a complete locution by themselves, they are not regarded as independent propositions. According to the majority, but not the Hanafis, a dependent clause may qualify a general proposition by introducing an exception (*istithna'*), a condition (*shart*), a quality (*sifah*), or indicating the extent (*ghayah*) of the original proposition. Each of such clauses will have the effect of limiting and specifying the operation of the general proposition. An example of specification in the form of *istithna'* is the general ruling which prescribes documentation of commercial transactions that involve deferred payments in sura al-Baqarah (2:282). This general provision is then followed, in the same *ayah*, by the exception 'unless it be a transaction handled on the spot that you pass around among yourselves in which case it will not be held against you if you did not reduce it into writing'. This second portion of the *ayah* thus embodies an exception to the first. Specification (*takhsis*) in the form of a condition (*shart*) to a general proposition may be illustrated by reference to the Qur'anic text which prescribes the share of the husband in the estate of his deceased wife. The text thus provides, 'in what your wives leave, you are entitled to one half if they have no children' (al-Nisa' , 4:12). The application of the general rule in the first portion of the *ayah* has thus been qualified by the condition which the text itself has provided in its latter part, namely the absence of children. And then to illustrate *takhsis* by way of providing a description or qualification (*sifah*) to a general proposition, we may refer to the Qur'anic text regarding the prohibition of marriage with one's step-daughter where we read '[and forbidden to you are] your step-daughters under your guardianship from your wives with whom you have consummated the marriage' (al-Nisa', 4:23). Thus the general prohibition in the first part of the *ayah* has been qualified by the description that is provided in the latter part. And lastly, to illustrate *takhsis* in the form of *ghayah*, or specifying the extent of application of a general proposition, we may refer to the Qur'anic text on ablutions for *salah*. The text prescribes the 'washing of your hands up to the elbows' (al-Ma'idah, 5:6). Washing the hands, which is a general ruling, is thus specified in regard to the area which must be covered in washing. Similarly when it is said 'respect your fellow citizens unless they violate the law', the word 'citizens' includes all, but the succeeding phrase specifies the extent of the operation of the general ruling. <sup>[53. Abdur Rahim, *Jurisprudence*, pp. 83-84; Khallaf, *'Ilm*, p.187; Badran, *Usul*, pp. 375-378.]</sup>

When the application of a general proposition is narrowed down, not by a clause which is part of the general locution itself, but by an independent locution, the latter may consist of a separate text, or of a reference to the general requirements of reason, social custom, or the objectives of *Shari'ah* (*hikmah al-tashri'*). It is by virtue of reason, for example, that infants and lunatics are excluded from the scope of the Qur'anic obligation of *hajj*, which occurs in sura Al-'Imran (3:97). Similarly, the general text of the Qur'an which reads that '[a wind] will destroy everything by the command of its Lord' (al-Ahqaf, 46:25), customarily denotes everything which is capable of destruction. Similarly, in the area of commercial transactions, the general provisions of the law are often qualified in the light of the custom prevailing among people. We have already illustrated specification of one text by another in regard to the waiting period (*'iddah*) of divorced women. The general provision that such women must observe a *'iddah* consisting of three menstrual cycles occurs in sura al-Baqarah (2:228). This has in turn been qualified by another text in sura al-Ahzab (33:49) which removes the requirement of *'iddah* in cases where divorce takes place prior to the consummation of marriage. <sup>[54. Abu Zahrah, *Usul*, p. 128; Abdur Rahim, *Jurisprudence*, p. 84; Badran, *Usul*, p. 379.]</sup> And lastly, the general provision of the Qur'an concerning retaliation in injuries on an 'equal for equal' basis (al-Ma'idah, 5:48) is qualified in the light of the objectives of the Lawgiver in the sense that the offender is not to be physically wounded in the manner that he injured his victim, but is to be punished in proportion to the gravity of his offence.

Next, there arises the question of chronological order between the general and the specifying provisions. The specifying clause is either parallel in origin to the general, or is of later origin, or their chronological order is unknown. According to the Hanafis, when the specifying clause is of a later origin than the general proposition, the former abrogates the latter and is no longer regarded as *takhsis*, but as a partial abrogation of one text by another. According to the Hanafis, *takhsis* can only take place when the *'Amm* and the *Khass* are chronologically parallel to one another; in cases where this order cannot be established between them, they are presumed to be parallel. The difference between abrogation and *takhsis* is that abrogation consists of a total or partial suspension of a ruling at a later date, whereas *takhsis* essentially limits the application of the *'Amm ab initio*. To the majority of ulema *takhsis* is a form of explanation (*bayan*) in all of its varieties, but to the Hanafis it is a form of *bayan* only when the specifying clause is independent of the general proposition, chronologically parallel to it, and is of the same degree of strength as the *'Amm* in respect of being a *qat'i* or a *zanni*. But when the specifying clause is of a later origin than the general proposition, the effect which it has on the latter, according to the Hanafis, is one of abrogation rather than *bayan*. <sup>[55. Badran, *Usul*, p. 376.]</sup> The majority view on *takhsis* thus differs from the Hanafis in that *takhsis* according to the majority may be by means of both a dependent or an independent locution, and the specifying clause need not be chronologically parallel to the general proposition. This is because in the majority opinion, the specifying clause explains and does not abrogate or invalidate the general proposition. <sup>[56. Abu Zahrah, *Usul*, pp. 128-129.]</sup>

Notwithstanding the ulema's disagreement regarding the nature of *takhsis*, it would appear that *takhsis* is not a partial invalidation of the '*Amm*, but an explanation or qualification thereof. This is the majority view, and seems to be preferable to the Hanafi view which equates *takhsis* with partial abrogation. [57. Abu Zahrah, *Usul*, p. 129.] Imam Ghazali discusses the Hanafi position at some length, and refutes it by saying that a mere discrepancy in time does not justify the conclusion that *takhsis* changes its character into abrogation. Nor is it justified to say that a discrepancy in the strength of the indication (*dalil*) determines the difference between *takhsis* and abrogation. [58. Ghazali, *Mustasfa*, II, 103-105.]

The effect of '*Amm* is that it remains in force, and action upon it is required, unless there is a specifying clause which would limit its application. In the event where a general provision is partially specified, it still retains its legal authority in respect of the part which remains unspecified. According to the majority of ulema, the '*Amm* is speculative as a whole, whether before or after *takhsis*, and as such it is open to qualification and *ta'wil* in either case. For the Hanafis, however, the '*Amm* is definitive in the first place, but when it is partially specified, it becomes speculative in respect of the part which still remains unspecified; hence it will be treated as *zanni* and would be susceptible to further specification by another *zanni*. [59. Khallaf, *'Ilm*, p. 183; Abu Zahrah, *Usul*, p. 129.]

As for the question of whether the cause of a general ruling can operate as a limiting factor in its general application, it will be noted that the cause never specifies a general ruling. This is relevant, as far as the Qur'an is concerned, to the question of *asbab al-nuzul*, or the occasions of its revelation. One often finds general rulings in the Qur'an which were revealed with reference to specific issues. Whether the cause of the revelation contemplated a particular situation or not, it does not operate as a limiting factor on the application of the general ruling. Thus the occasion of the revelation of the *ayah* of imprecation (*li'an*) in sura al-Nur (24:6) was a complaint that a resident of Madinah, Hilal ibn Umayyah, made to the Prophet about the difficulty experienced by the spouse in proving, by four eyewitnesses, the act of adultery on the part of the other spouse. The cause of the revelation was specific but the ruling remains general. Similarly, the Hadith which provides that 'when any hide is tanned, it is purified' [60. Abu Dawud, *Sunan* (Hasan's trans.), II, 1149; Hadith no. 4111; Abu Zahrah, *Usul*, p. 130.]

was, according to reports, uttered with reference to a sheepskin, but the ruling is nevertheless applicable to all types of skins. The actual wording of a general ruling is therefore to be taken into consideration regardless of its cause. If the ruling is conveyed in general terms, it must be applied as such even if the cause behind it happens to be specific. [61. Abu Zahrah, *Usul*, p.130; Khallaf, *'Ilm*, p. 189.]

## Conflict between '*Amm* and *Khass*

Should there be two textual rulings on one and the same subject in the Qur'an, one being '*Amm* and the other *Khass*, there will be a case of conflict between them according to the Hanafis, but not according to the majority. The reason is that to the Hanafis, '*Amm* and *Khass* are both definitive (*qat'i*) and as such a conflict between them is possible, whereas to the majority, only the *Khass* is *qat'i* and it would always prevail over the '*Amm*, which is *zanni*.

The Hanafis maintain that in the event of a conflict between the general and the specific in the Qur'an, one must ascertain the chronological order between them first; whether, for example, they are both Makki or Madani *ayat* or whether one is Makki and the other Madani. If the two happen to be parallel in time, the *Khass* specifies the '*Amm*. If a different chronological sequence can be established between them, then if the '*Amm* is of a later origin, it abrogates the *Khass*, but if the *Khass* is later, it only partially abrogates the '*Amm*. This is because the Hanafis maintain that the *Khass* specifies the '*Amm* only when they are chronologically parallel, both are *qat'i*, and both are independent locutions.

The majority of ulema, as already noted, do not envisage the possibility of a conflict between the '*Amm* and the *Khass*: when there are two rulings on the same point, one being '*Amm* and the other *Khass*, the latter becomes explanatory to the former and both are retained. For the majority, the '*Amm* is like the *Zahir* in that both are speculative and both are open to qualification and *ta'wil*. [62. Abu Zahrah, *Usul*, p. 131; Badran, *Usul*, p. 383.]

The two foregoing approaches to *takhsis* may be illustrated by the conflict arising in the following two *ahadith* concerning legal alms (*zakah*). One of these provides, 'whatever is watered by the sky is subject to a tithe'.

The second Hadith provides that 'there is no charity in less than five *awsaq*'. [63. Al-Tabrizi, *Mishkat*, I, 563-65, Hadith nos. 1794 & 1797; Abu Zahrah, *Usul*, p. 131.]

A *wasaq* (sing. of *awsaq*) is a quantitative measure equivalent to about ten kilograms. The first Hadith contains a general ruling in respect of any quantity of agricultural crops, but the second Hadith is specific on this point. The majority of ulema (including the Shafi'is) have held that the second Hadith explains and qualifies the first. The first Hadith lays down the general principle and the second enacts the quorum (*nisab*) of *zakah*. For the Hanafis, however, the first Hadith abrogates the second, as they consider that the first Hadith is of a later origin than the second. According to the Hanafis, when the '*Amm* is of a later origin than the *Khass*, the former abrogates the latter completely. Hence there is no case for *takhsis* and the Hanafis as a result impose no minimum quantitative limit with regard to *zakah* on produce obtained through dry farming. The two views remain far apart, and there is no meeting ground between them. However, as already indicated, the majority opinion is sound, and recourse to abrogation in cases of conflict between the '*Amm* and *Khass* is often found to be unnecessary. In modern law too one often notices that the particular usually qualifies the general, and the two can co-exist. The '*Amm* and the *Khass* can thus each operate in their respective spheres with or without a discrepancy in their time of origin and the degree of their respective strength. [64. Cf. Abu Zahrah, *Usul*, p. 132.]

### Classification III: The Absolute (*Mutlaq*) and the Qualified (*Muqayyad*)

*Mutlaq* denotes a word which is neither qualified nor limited in its application. When we say, for example, a 'book', a 'bird' or a 'man', each one is a generic noun which applies to any book, bird or man without any restriction. In its original state, the *Mutlaq* is unspecified and unqualified. The *Mutlaq* differs from the '*Amm*, however, in that the latter comprises all to which it applies whereas the former can apply to any one of a multitude, but not to all. [65. Khallaf, *Ilm*, p. 192; Badran, *Usul*, pp.351, 371.] However, the ulema have differed regarding the *Mutlaq* and the *Muqayyad*. To some ulema, including al-Baydawi, the *Mutlaq* resembles the '*Amm*, and the *Muqayyad* resembles the *Khass*. Hence anything which specifies the '*Amm* can qualify the *Mutlaq*. Both are open to *ta'wil* and *Mutlaq*/*Muqayyad* are complementary to '*Amm*/*Khass* respectively. [66. Ansari, *Ghayat al-Wusul*, p. 84.] When the *Mutlaq* is qualified by another word or words it becomes a *Muqayyad*, such as qualifying 'a book' as 'a green book', or 'a bird' as 'a huge bird' or 'a man' as 'a wise man'. The *Muqayyad* differs from the *Khass* in that the former is a word which implies an unspecified individual/s who is merely distinguished by certain attributes and qualifications. An example of *Mutlaq* in the Qur'an is the expiation (*kaffarah*) of futile oaths, which is freeing a slave (*fa-tahriru raqabatin*) in sura al-Ma'idah, (5:92). The command in this text is not limited to any kind of slaves, whether Muslim or non-Muslim. Yet in another Qur'anic passage the expiation of erroneous killing consists of 'freeing a Muslim slave' (*fa-tahriru raqabatin mu'minatin*) (al-Nisa', 4:92).

In contrast to the first text, which is conveyed in absolute terms, the command in the second *ayah* is qualified in that the slave to be released must be a Muslim.

The *Mutlaq* remains absolute in its application unless there is a limitation to qualify it. Thus the Qur'anic prohibition of marriage 'with your wives' mothers' in sura al-Nisa' (4:23) is conveyed in absolute terms, and as such, marriage with one's mother-in-law is forbidden regardless as to whether the marriage with her daughter has been consummated or not. Since there is no indication to qualify the terms of the Qur'anic command, it is to be implemented as it is. But when a *Mutlaq* is qualified into a *Muqayyad*, the latter is to be given priority over the former. Thus if we have two texts on one and the same subject, and both convey the same ruling (*hukm*) as well as both having the same cause (*sabab*) but one is *Mutlaq* and the other *Muqayyad*, the latter prevails over the former. To illustrate this in the Qur'an, we refer to the two *ayat* on the prohibition of blood for human consumption. The first of these, which occurs in absolute terms, provides, 'forbidden to you are the dead carcass and blood' (al-Ma'idah, 5:3). But elsewhere in the Qur'an there is another text on the same subject which qualifies the word 'blood' as 'blood shed forth' (*daman masfuhan*) (al-An'am, 6:145). This second *ayah* is a *Muqayyad* whereas the first is *Mutlaq*, hence the *Muqayyad* prevails. It will be noted here that the two texts convey the same ruling, namely prohibition, and that they have the same cause or subject in common (i.e. consumption of blood). When this is the case, the ulema are in agreement that the *Muqayyad* qualifies the *Mutlaq* and prevails over it. [67. Khallaf, *Ilm*, p.193; Abdur Rahim, *Jurisprudence*, pp. 91-92.]

However if there are two texts on the same issue, one absolute and the other qualified, but they differ with one another in their rulings and in their causes, or in both, then neither is qualified by the other and each will operate as it stands. This is the view of the Hanafi and Maliki schools, and the Shafi'is concur insofar as it relates to two texts which differ both in their respective rulings and their causes. However the Shafi'is maintain the view that if the two texts vary in their ruling (*hukm*) but have the same cause in common, the *Mutlaq* is qualified by the *Muqayyad*. This may be illustrated by referring to the two Qur'anic *ayat* concerning ablution, one of which reads, in an address to the believers, to 'wash your faces and your hands [*aydikum*] up to the elbows' (al-Ma'idah, 5:7). The washing of hands in this *ayah* has been qualified by the succeeding phrase, that is 'up to the elbows'. The second Qur'anic provision which we are about to quote occurs in regard to *tayammum*, that is, ablution with clean sand in the event where no water can be found, in which case the Qur'an provides, 'take clean sand/earth and wipe your faces and your hands' (al-Nisa, 4:43). The word '*aydikum*' (your hands) occurs as a *Muqayyad* in the first text but as a *Mutlaq* in the second. However the two texts have the same cause in common, which is cleanliness for *salah*. There is admittedly a difference between the two rulings, in that the first requires washing, and the second wiping, of the hands, but this difference is of no consequence. The first is a *Muqayyad* in regard to the area of the hands to be washed whereas the second is conveyed in

absolute terms. The second is therefore qualified by the first, and the *Muqayyad* prevails. Consequently in wiping the hands in *tayammum*, too, one is required to wipe them up to the elbows.

And lastly we give another illustration, again of two texts, one *Mutlaq*, the other *Muqayyad*, both of which convey the same ruling but differ in respect of their causes. Here we refer to the two Qur'anic *ayah* on the subject of witnesses. One of these, which requires the testimony of two witness in all commercial transactions, is conveyed in absolute terms, whereas the second is qualified. The first of the two texts does not qualify the word 'men' when it provides 'and bring two witnesses from among your men' (al-Baqarah, 2:282). But the second text on same subject, that is, of witnesses, conveys a qualified command when it provides and bring two just witnesses [when you revoke a divorce]' (al-Talaq, 65:2). The ruling in both of these texts is the same, namely the requirement of two witnesses, but the two rulings differ in respect of their causes. The cause of the first text, as already noted, is commercial transactions which must accordingly be testified to by two men; whereas the cause of the second ruling is the revocation of *talaq*. In the first *ayah* witnesses are not qualified, but they are qualified in the second *ayah*. The latter prevails over the former. Consequently, witnesses in both commercial transactions and the revocation of *talaq* must be upright and just. [68. Khallaf, *Ilm*, p.194; Badran, *Usul*, p.354.]

The foregoing basically represents the majority opinion. But the Hanafis maintain that when the *Muqayyad* and the *Mutlaq* differ in their causes, the one does not qualify the other and that each should be implemented independently. The Hanafis basically recognise only one case where the *Muqayyad* qualifies the *Mutlaq*, namely when both convey the same ruling and have the same cause in common. But when they differ in either of these respects or in both, then each must stand separately. In this way the Hanafis do not agree with the majority in regard to the qualification of the area of the arms to be wiped in *tayammum* by the same terms which apply to ablution by water (*wudu'*). The Hanafis argue that the *hukm* in regard to *tayammum* is conveyed in absolute terms and must operate as such. They contend that unlike *wudu'*, *tayammum* is a *shar'i* concession, and the spirit of concession should prevail in the determination of its detailed requirements, including the area of the arm that is to be wiped. [69.

Khallaf, *Ilm*, pp. 193-194.]

#### **Classification IV: The Literal (*Haqiqi*) and the Metaphorical (*Majazi*)**

A word may be used in its literal sense, that is, for its original or primary meaning, or it may be used in a secondary and metaphorical sense. When a word is applied literally, it keeps its original meaning, but when it is used in a metaphorical sense, it is transferred from its original to a secondary meaning on



grounds of a relationship between the two meanings.<sup>[70. Abdur Rahim, *Jurisprudence*, p. 93; Badran, *Usul*, p. 394.]</sup> There is normally a logical connection between the literal and the metaphorical meanings of a word. The nature of this relationship varies and extends over a wide range of possibilities. There are at least thirty to forty variations in how the metaphorical usage of a word may relate to its literal meaning.<sup>[71. See for details Shawkani, *Irshad*, pp. 23-24.]</sup> The metaphorical usage of a word thus consists of a transfer from the original to a connected meaning. Once such a transfer has taken place both the original and the metaphorical meanings of a word cannot be assigned to it at one and the same time.

Words are normally used in their literal sense, and in the language of the law it is the literal meaning which is relied upon most. Hence if a word is simultaneously used in both these senses, the literal will prevail. When, for example, a person says in his will that 'I bequeath my property to the memorisers of the Qur'an' or to 'my offspring', those who might have memorised the Qur'an but have forgotten it since will not be entitled. Similarly, 'offspring (*awlad*)' primarily means sons and daughters, not grandchildren. For applying '*awlad*' to 'grandchildren' is a metaphorical usage which is secondary to its original meaning.<sup>[72. Badran, *Usul*, p. 395; Hiti, *Wajiz*, p.115.]</sup>

Both the *Haqiqi* and the *Majazi* occur in the Qur'an, and they each convey their respective meanings. Thus when we read in the Qur'an to 'kill not [*la taqtulu*] the life which God has made sacrosanct', '*la taqtulu*' carries its literal meaning. Similarly the *Majazi* occurs frequently in the Qur'an. When, for example, we read in the Qur'an that 'God sends down your sustenance from the heavens' (Ghafir, 40:13), this means rain which causes the production of food. Some ulema have observed that *Majazi* is in the nature of a homonym which could comprise what may be termed as falsehood or that which has no reality and truth, and that falsehood has no place in the Qur'an. Imam Ghazali discusses this argument in some length and represents the majority view when he refutes it and acknowledges the existence of the *Majazi* in the Qur'an. The Qur'anic expression, for example, that 'God is the light of the heavens and the earth' (al-Nur, 24:35) and 'whenever they [the Jews] kindled the fire of war, God extinguished it' (al-Ma'idah, 5:67), God being 'the light of the universe', and God having 'extinguished the fire of war', are both metaphorical usages; and numerous other instances of the *Majazi* can be found in the Qur'an.<sup>[73. Ghazali, *Mustasfa*, 67-78.]</sup> As already stated, the *Haqiqi* and the *Majazi* both occur in the Qur'an, and they each convey their respective meanings. But this is only the case where the *Majazi* does not represent the dominant usage. In the event where a word has both a literal and a metaphorical meaning and the latter is well-established and dominant, it is likely to prevail over the former. Some ulema have, however, held the opposite view, namely that the *Haqiqi* would prevail in any case; and according to yet a third view, both are to be given equal weight. But the first of these views represents the view of the majority. To give an example, the word '*talaq*' literally means 'release' or 'removal of restriction' (*izalah al-qayd*), be it from the tie of marriage, slavery, or ownership, etc. But since the juridical

meaning of *talaq*, which is dissolution of marriage, or divorce, has become totally dominant, it is this meaning that is most likely to prevail, unless there be evidence to suggest otherwise. [74. Hiti, *Wajiz*, p. 115.]

The *Haqiqi* is sub-divided, according to the context in which it occurs, into linguistic (*lughawi*), customary (*urfî*) and juridical (*shar'i*). The linguistic *Haqiqi* is a word which is used in its dictionary meaning, such as 'lion' for that animal, and 'man' for the male gender of the human being. The customary *Haqiqi* occurs in the two varieties of general and special: when a word is used in a customary sense and the custom is absolutely common among people, the customary *Haqiqi* is classified as general, that is, in accord with the general custom. An example of this in Arabic is the word '*dabbah*' which in its dictionary meaning applies to all living beings that walk on the face of the earth, but which has been assigned a different meaning by general custom, that is, an animal walking on four legs. But when the customary *Haqiqi* is used for a meaning that is common to a particular profession or group, the customary *Haqiqi* is classified as special, that is, in accord with a special custom. For example the Arabic word *raf* ('nominative') and *nasb* ('accusative') have each acquired a technical meaning that is common among grammarians and experts in the language.

There is some disagreement as to the nature of the juridical *Haqiqi*, as some ulema consider this to be a variety of the *Majazi*, but having said this, the juridical *Haqiqi* is defined as a word which is used for a juridical meaning that the Lawgiver has given it in the first place, such as '*salah*', which literally means 'supplication' but which, in its well-established juridical sense, is a particular form of worship. Similarly, the word '*zakah*' literally means 'purification', but in its juridical sense, denotes a particular form of charity whose details are specified in the Shari'ah. [75. Badran, *Usul*, p.394; Hiti, *Wajiz*, p. 112. ]

It would take us too far afield to describe the sub-divisions of the *Majazi*, as we are not primarily concerned with technical linguistic detail. Suffice it to point out here that the *Majazi* has also been divided into linguistic, customary and juridical varieties. However, there is one other classification which merits our attention. This is the division of the *Haqiqi* and *Majazi* into plain (*Sarih*) and allusive (*Kinayah*).

If the application of a word is such that it clearly discloses the speaker's intention, it is plain, otherwise it is allusive. The highest degree of clarity in expression is achieved by the combination of the plain (*Sarih*) and the literal (*Haqiqi*) such as the sentence 'Ahmad bought a house', or 'Fatimah married Ahmad'. The plain may also be combined with the metaphorical, as in the sentence 'I ate from this tree', while it is intended to mean 'from the fruit of this tree'.

The 'allusive' or *Kinayah* denotes a form of speech, which does not clearly disclose the intention of its speaker. It can occur in combination with the literal or the metaphorical. When a person wishes, for example, to confide in his colleague in front of others, he might say 'I met your friend and spoke to him about the matter that you know'. This is a combination of the literal and the allusive in which all the words used convey their literal meanings but where the whole sentence is allusive in that it does not disclose the purpose of the speaker with clarity. Supposing that a man addresses his wife and tells her in Arabic '*taddi*' (start counting) while intending to divorce her. This utterance is allusive, as 'counting' literally means taking a record of numbers, but is used here in reference to counting the days of the waiting period of '*iddah*'. This speech is also metaphorical in that the '*iddah*' which is caused by divorce is used as a substitute for 'divorce'. It is a form of *Majazi* in which the effect is used as a substitute for the cause. [76. See for further detail on the various forms of the *Majazi*, Abdur Rahim, *Jurisprudence*, pp. 94-97; Badran, *Usul*, p. 397 ff.]

When a speech consists of plain words, the intention of the person using them is to be gathered from the words themselves, and there is no room for further enquiry as to the intention of the speaker. Thus when a man tells his wife 'you are divorced', the divorce is pronounced in plain words and occurs regardless of the husband's intention. But in the case of allusive words, one has to ascertain the intention behind them and the circumstances in which they were uttered. Thus when a man tells his wife 'you are forbidden to me', or when he asks her to 'join your relatives', no divorce will take place unless there is evidence to show that the husband intended a divorce. [77. Badran, *Usul*, p. 398]

Legal matters which require certainty, such as offences entailing the *hadd* punishment, cannot be established by language which is not plain. For example when a person confesses to such offences in allusive words, he is not liable to punishment. [78. Abdur Rahim, *Jurisprudence*, p. 98.]

The jurists are in agreement that a word may be used metaphorically while still retaining its literal meaning, such as the word '*umm*' (mother) which the Arabs sometimes use metaphorically for 'grandmother' and yet still retains its literal meaning. But there is disagreement among the ulema of *usul* as to whether both the literal and metaphorical meanings of a word can be applied simultaneously. When, for example, a man orders his servant to 'kill the lion', could this also include a brave person? The Hanafis and the Mu'tazilah have answered this question in the negative, saying that words normally carry their literal meanings unless there is evidence to warrant a departure to another meaning. The Shafi'is and the ulema of Hadith have held, on the other hand, that the literal and the metaphorical meaning of a word can be simultaneously applied. They have thus validated either of the two meanings of the Qur'anic provision 'or when you have touched women' (al-Nisa', 4:43), which could mean touching the women with the hand, or touching in the sense of having sexual intercourse. The text in

which this *ayah* occurs spells out the circumstances that break the state of purity. Thus when a Muslim 'touches a woman' he must take a fresh ablution for the next *salah*. But according to the Hanafis, the Qur'anic *ayah* on this point only conveys the metaphorical meaning of 'touching', that is, sexual intercourse. Hence when a person is in the state of ablution, and then touches a woman by the hand, his ablution remains intact. For the Shafi'is, however, the key word in this *ayah* carries both its literal and metaphorical meanings simultaneously. Consequently the state of purity is broken, not only by sexual intercourse, but also by a mere touch such as a handshake with a woman who is not of one's family.<sup>[79]</sup>

Badran, *Usul*, p. 397.]

### The Homonym (*Mushtarak*)

A homonym is a word which has more than one meaning. Some ulema, including al-Shafi'i, have held the view that the homonym is a variety of '*Amm*'. The two are, however, different in that the homonym inherently possesses more than one meaning, which is not necessarily the case with the '*Amm*'. An example of the *Mushtarak* in Arabic is the word "*ayn*" which means several things, including eye, water-spring, gold, and spy. Similarly the word "*qur*" has two meanings, namely menstruation, and the clean period between two menstruations. The Hanafis, the Hanbalis and the Zaydis have upheld the first, while the Shafi'is, Malikis and Ja'faris have upheld the second meaning of *qur*'.<sup>[80]</sup>

Abu Zahrah, *Usul*, p. 132; EI2, IV, 101.]

The plurality of meanings in a homonym may be due to the usage of different Arab tribes and communities. Some used it for one meaning, others for the other. Otherwise a word may have acquired a metaphorical meaning which became literal in course of time. When *Mushtarak* occurs in the Qur'an or *Sunnah*, it denotes one meaning alone, not more than one. For the Lawgiver does not intend more than one meaning for a word at any given time. The Shafi'is and some Mutazilah have taken exception to this view as they maintain that in the absence of any indication in support of one of the two or more meanings of a *Mushtarak*, both or all may be upheld simultaneously provided that they do not contradict one another. According to a variant view, however, plurality of meanings on a simultaneous basis is permissible in negation or denial (*nafiy*) but not in affirmation and proof (*ithbat*). If, for example, Ahmad says 'I did not see a '*ayn* (*ma ra'aytu 'aynan*)', '*ayn* in this negative statement could comprise all of its various meanings. But if Ahmad says 'I saw a '*ayn*', than '*ayn* in this statement must be used for only one of its several meanings.<sup>[81]</sup> This view, however, does not extend to commands and prohibitions which do not admit of affirmation or denial as such. The rule in regard to commands and prohibitions of the *Shari'ah* is that the Lawgiver does not intend to uphold more than one of the different meanings of a homonym at any given time. An

example of a homonym which occurs in the context of a Qur'anic command is the word '*yad*' (hand) in 'as for the thief, male or female, cut off their hands' (al-Ma'idah, 5:38). 'Hand' in this *ayah* has not been qualified in any way, hence it can mean 'hand' from the tip of the fingers up to the wrist, or up to the elbow, or even up to the shoulder; it also means left or right hand. But the ulema have agreed on the first and the last of these meanings, that is, the right hand, up to the wrist. [82. Khallaf, *Ilm*, p. 180.] To illustrate the homonym in the context of a prohibitory order in the Qur'an we refer to the word '*nakaha*' in sura al-Nisa' (4:22) which reads, 'and marry not women whom your fathers had married (*ma nakaha aba'ukum*)'. '*Nakaha*' is a homonym which means both marriage and sexual intercourse. The Hanafis, the Hanbalis, al-Awza'i and others have upheld the latter, whereas the Shafi'is and the Malikis have upheld the former meaning of *nakaha*. According to the first view, a woman who has had sexual intercourse with a man is forbidden to his children and grandchildren; a mere contract of marriage, without consummation, would thus not amount to a prohibition in this case. The Shafi'is and Malikis, however, maintain that the text under discussion only refers to the contract of marriage. Accordingly a woman who has entered a contract of marriage with one's father or grandfather is unlawful for one to marry regardless as to whether the marriage had been consummated or not. [83. Badran, *Bayan*, pp. 103-104.]

To determine which of the two or more meanings of the *Mushtarak* is to be upheld in a particular locution, reference is usually made to the context and circumstances in which it occurs. If it is a locution that pertains to the *Shari'ah*, then determining the precise purport of its words must also take into consideration the general principles and objectives of the *Shari'ah*. The *Mushtarak* is in the nature of *Mushkil* (difficult) and it is for the *Mujtahid* to determine its correct meaning by means of research and *ijtihad*; it is his duty to do so in the event where *Mushtarak* constitutes the basis of a judicial order. [84. Abu Zahrah, *Usul*, p.133; Khallaf, *Ilm*, p.179.] The *mujtahid* will normally look into the context. When, for example, a homonym has two meanings, one literal and the other juridical, and it occurs in a juridical context, then as a rule the juridical meaning will prevail. With words such as *salah* and *talaq*, for example, each possesses a literal meaning, that is 'supplication' and 'release' respectively, but when they occur in a juridical context, then their juridical meanings will take priority. As such, *salah* would be held to refer to a particular form of worship, and *talaq* would mean 'dissolution of marriage'.

Finally it will be noted in passing that *Mushtarak* as a concept is not confined to nouns but also includes verbs. In our discussion of commands and prohibitions in a separate chapter, we have shown how a word in its imperative mood can impart more than one meaning. We have also discussed and illustrated the words of the Qur'an that occur in the imperative mood, but the juridical value that they convey can either be an obligatory command, a recommendation, or mere permissibility.

The law normally requires compliance not only with the obvious meaning of its text but also with its implied meaning, and indirect indications and inferences that could be drawn from it. With reference to the textual rulings of the Qur'an and the *Sunnah*, the ulema of *usul* have distinguished several shades of meaning that a *nass* may be capable of imparting. The Hanafi jurists have distinguished four levels of meaning in an order which begins with the explicit or immediate meaning of the text. Next in this order is the 'alluded' meaning which is followed by the 'inferred' meaning, and lastly by the 'required' meaning. There is yet a fifth variety of meaning, namely the 'divergent' meaning, which is somewhat controversial but has, in principle, been accepted, as our discussion will show. The explicit meaning (*ibarah al-nass*), which is based on the words and sentences of the text, is the dominant and most authoritative meaning which takes priority over the other levels of implied meanings that might be detectable in the text. In addition to its obvious meaning, a text may impart a meaning which is indicated by the signs and allusions that it might contain. This secondary meaning is referred to as *isharah al-nass*, that is the alluded meaning. A legal text may also convey a meaning which may not have been indicated by the words or signs and yet is a complementary meaning which is warranted by the logical and juridical purport of the text. This is known as *dalalah al-nass*, or the inferred meaning, which is one degree below the alluded meaning by virtue of the fact that it is essentially extraneous to the text. But as will later be discussed, there is a difference of opinion between the Hanafi and the Shafi'i, jurists as to whether the inferred meaning should necessarily be regarded as inferior to the alluded meaning. Next in this order is the *iqtida' al-nass*, or the required meaning, which is once again a logical and necessary meaning without which the text would remain incomplete and would fail to achieve its desired purpose. [1. Khallaf, *Ilm*, p.143; Badran, *Usul*, p. 417.] When there is a conflict between the first and the second meanings, priority is given to the first. Similarly, the second will take priority over the third and the third over the fourth, as we shall presently explain.

### I. The Explicit Meaning (*Ibarah al-Nass*)

As already stated, this is the immediate meaning of the text which is derived from its obvious words and sentences. The explicit meaning represents the principal theme and purpose of the text, especially in cases where the text might impart more than one meaning and comprises in its scope a subsidiary theme or themes in addition to the one which is obvious. In its capacity as the obvious and dominant

meaning, the *'ibarah al-nass* is always given priority over the secondary and subsidiary themes or meanings of the text. To illustrate this, we refer to the Qur'anic passage on the subject of polygamy, a text which conveys more than one meaning, as follows 'And if you fear that you may be unable to treat the orphans fairly, then marry of the women who seem good to you, two, three or four. But if you fear that you cannot treat [your co-wives] equitably, then marry only one. . .' (al-Nisa', 4:3). At least three or four meanings are distinguishable in this text which are: first, the legality of marriage, a meaning which is conveyed by the phrase *fankihu ma taba lakum min al-nisa'* ('marry of the women who seem good to you'); second, limiting polygamy to the maximum of four; third, remaining monogamous if polygamy may be feared to lead to injustice; and fourth, the requirement that orphaned girls must be accorded fair treatment, a meaning which is indicated in the first part of the text. All of these are conveyed in the actual words and sentences of the text. But the first and the last are subsidiary and incidental whereas the second and the third represent the explicit themes and meanings of the text, that is, the *'ibarah al-nass*. Limiting polygamy to the maximum of four is the explicit meaning which takes absolute priority over all the implied and incidental meanings that this text might convey. <sup>[2. Khallaf, *Ilm*, p. 145.]</sup>

Most of the *nusus* of *Shari'ah* convey their rulings by way of *'ibarah al-nass*. Thus the command to perform the obligatory prayers, to observe the fast during Ramadan, to enforce the prescribed penalties for certain offences, to give specified shares to the legal heirs in inheritance, etc., are all instances of *'ibarah al-nass*. The effect of *'ibarah al-nass* is that it conveys a definitive ruling *hukm qat'i* on its own and is in no need of corroborative evidence. But if the text is conveyed in general terms, it may be susceptible to qualification, in which case it may not impart a definitive rule of law but a speculative (*zanni*) evidence only. <sup>[3. Badran, *Usul*, pp. 419-420; Khudari, *Usul*, p. 119.]</sup>

## II. The Alluded Meaning (*Isharah al-Nass*)

The text itself may not be obvious with regard to its alluded meaning, but it imparts, nevertheless, a rationally concomitant meaning which is obtained through further investigation of the signs that might be detectable therein. Since the alluded meaning does not represent the principal theme of the text and yet embodies a necessary inference, it is called *isharah al-nass*. The alluded meaning may be easily detectable in the text, or may be reached through deeper investigation and *ijtihad*. An example of the *isharah al-nass* in the Qur'an is the text concerning the maintenance of young children which provides: 'It is his [father's] duty to provide them with maintenance and clothing according to custom' (al-Baqarah, 2:233). The explicit meaning of this text obviously determines that it is the father's duty to support his child. It is also understood from the wording of the text, especially from the use of the pronoun '*lahu*' (his) that only the father and no-one else bears this obligation. This much is easily

detectable and constitutes the explicit meaning of this text. But to say that the child's descent is solely attributed to the father and his identity is determined with reference to that of the father is a rational and concomitant meaning which is derived through further investigation of the signs that are detectable in the text. [4. Abu Zahrah, *Usul*, p. 111; Khudari, *Usul*, p. 120.] Similarly, the rule that the father, when in dire need, may take what he needs of the property of his offspring without the latter's permission is yet another meaning which is derived by way of *isharah al-nass*. This meaning is derived from the combination of the text under discussion and the Hadith of the Prophet which proclaims that 'you and your property both belong to your father'. [5. Tabrizi, *Mishkat*, II, 1002, Hadith no.3354; Khallaf, *'Ilm*, p.146.]

Another example of a combination of the explicit and alluded meanings occurring in the same text is the Qur'anic *ayah* on the permissibility of divorce which provides, in an address to the believers: 'There shall be no blame on you if you divorce your wives with whom you had no sexual intercourse, nor had you assigned for them a dower' (al-Baqarah, 2:236). The explicit meaning of this text is that divorce is permissible prior to the consummation of marriage and the assignment of a dower. The alluded meaning here is the legality of concluding a contract of marriage without the assignment of a dower (*mahr*). For a divorce can only occur when there is a subsisting marriage. The text implies this to be the case' and that a marriage can legally exist even without the assignment of a *mahr*. [6. Badran, *Usul*, p. 420.]

To give yet another example of *isharah al-nass* we may refer to the Qur'anic text on consultation (*shura*) where we read, in an address to the Prophet, 'So pardon them [the Companions] and ask for [God's] forgiveness for them and consult them in affairs' (Al-Imran, 3:159). The *'ibarah al-nass* in this text requires that community affairs must be conducted through consultation. The alluded meaning of this text requires the creation of a consultative body in the community to facilitate the consultation which is required in the obvious text.

The effect of *isharah al-nass* is similar to that of *'ibarah al-nass* in that both constitute the basis of obligation, unless there is evidence to suggest otherwise. To illustrate this, we may refer once again to the Qur'anic text (al-Baqarah, 2:233) which laid down the rule that the child follows the descent of his father. This is a definitive ruling (*hukm qat'i*) which has, however, been set aside by *ijma'* in respect of slavery to the effect that the offspring of a slave does not necessarily acquire the status of his father. In this example, the *isharah al-nass* initially laid down a definitive ruling but it has been set aside in respect of slavery by another definitive evidence, namely the *ijma'*. [7. Badran, *Usul*, p. 421.]

### III. The Inferred Meaning (*Dalalah al-Nass*)



This is a meaning which is derived from the spirit and rationale of a legal text even if it is not indicated in its words and sentences. Unlike the explicit meaning and the alluded meaning which are both indicated in the words and signs of the text, the inferred meaning is not so indicated. Instead, it is derived through analogy and the identification of an effective cause (*'illah*) which is in common between the explicit meaning and the meaning that is derived through inference. This might explain why some ulema have equated *dalalah al-nass* with analogical deduction, namely *qiyas jali*. To illustrate this, we may refer to the Qur'anic text on the obligation to respect one's parents. In particular, the text provides, 'and say not *uff* to them' (al-Isra' 17:23), which obviously forbids the utterance of the slightest word of contempt to the parents. The effective cause of this prohibition is honouring the parents and avoiding offence to them. There are, of course, other forms of offensive behaviour, besides a mere contemptuous word such as *uff*, to which the effective cause of this prohibition would apply. The inferred meaning of this text is thus held to be that all forms of abusive words and acts which offend the parents are forbidden even if they are not specifically mentioned in the text under consideration. [8. Abu Zahrah, *Usul*, p. 112.]

To give another example, the Qur'an proclaims, concerning the property of orphans, that 'those who unjustly devour the property of the orphans only devour fire into their bodies' (al-Nisa', 4:10). The explicit meaning of this text forbids guardians and executors from devouring the property of their orphaned wards for their personal gain. But by way of inference the same prohibition is extended to other forms of destruction and waste which might have been caused, for example, through financial mismanagement that does not involve personal gain and yet leads to the loss and destruction of the property of the orphans. Although the text provides no indication as to the different ways in which destruction can be caused, they are nevertheless equally forbidden. As already stated, this kind of inference is equivalent to what is known as obvious analogy (*qiyas jali*) which consists of identifying the effective cause of a textual ruling, and when this is identified the original ruling is analogically extended to all similar cases. The effective cause of the ruling in the foregoing *ayah* is protection of the orphans' property, and any act which causes destruction or loss of such property falls under the same prohibition. [9. Khallaf, *Ilm*, p. 150.]

#### IV. The Required Meaning (*Iqtida' al-Nass*)

This is a meaning on which the text itself is silent and yet which must be read into it if it is to fulfill its proper objective. To give an example, the Qur'an proclaims concerning the prohibited degrees of relations in marriage: 'unlawful to you are your mothers and your daughters . . .' (al-Nisa', 4:22). This

text does not mention the word 'marriage', but even so it must be read into the text to complete its meaning. Similarly we read elsewhere in the Qur'an: 'unlawful to you are the dead carcass and blood' (al-Ma'idah, 5:3), without mentioning that these are unlawful 'for consumption'. But the text requires the missing element to be supplied in order that it may convey a complete meaning.

To give a slightly different example of *iqtida' al-nass*, we may refer to the Hadith which provides: "There is no fast (*la siyama*) for anyone who has not intended it from the night before."

The missing element could either be that the fasting is 'invalid' or that it is 'incomplete'. The Hanafis have upheld the latter whereas the Shafi'is have read the former meaning into this Hadith. Whichever meaning is upheld, the consequences that it may lead to will vary accordingly. [10. Ibn Majah, *Sunan*, I, 542, Hadith no. 1700; Badran, *Usul*, p. 424.]

To summarise, a legal text may be interpreted through the application of any one or more of the four varieties of textual implications. The meaning that is arrived at may be indicated in the words of the text, by the signs which occur therein, by inference, or by the supplementation of a missing element. These methods of legal construction may be applied individually or in combination with one another, and they are all designed to carry the text to its proper and logical conclusions.

As stated above, in the event of a conflict between the *'ibarah al-nass* and the *isharah al-nass*, the former prevails over the latter. This may be illustrated by a reference to the two Qur'anic *ayah* concerning the punishment of murder. One of these explicitly proclaims that 'retaliation is prescribed for you in cases of murder' (al-Baqarah, 2:178). But elsewhere in the Qur'an, it is provided: 'Whoever deliberately kills a believer, his punishment will be permanent hellfire' (al-Nisa', 4:93). The explicit meaning of the first *ayah* provides that the murderer must be retaliated against; the explicit meaning of the second *ayah* is that the murderer is punished with permanent hellfire. The alluded meaning of the second *ayah* is that retaliation is not a required punishment for murder; instead the murderer will, according to the explicit terms of this *ayah* be punished in the hereafter. There is no conflict in the explicit meanings of the two texts, but only between the explicit meaning of the first and the alluded meaning of the second. A conflict thus arises as to which of the two punishments are to be upheld. But since the first ruling constitutes the explicit meaning of the text and the second is an alluded meaning, the former prevails over the latter. [11. Abu Zahrah, *Usul*, p.115; Khallaf, *Ilm*, p.150.]

For another illustration of a conflict between the explicit and the alluded meanings, we refer to the Qur'anic text which informs the believers of the dignified status of the martyrs, as follows: 'And think

not of those who are slain in God's way as dead; they are alive, finding their sustenance in the presence of God' (Al-'Imran, 3:169). The explicit terms of this text obviously declare the martyrs to be alive and that anyone who thinks they are dead is mistaken. The alluded meaning of this text is held to be that no funeral prayer is necessary for the martyr as he is deemed to be still alive. However, this conclusion conflicts with the explicit meaning of another Qur'anic text which orders, concerning the dead in general, to 'pray on their behalf [*salli 'alayhim*] as your prayers are a source of tranquility for them' (al-Tawbah, 9:103). This text explicitly requires prayers for everyone, martyr or otherwise, as they are dead literally and juridically and their property may be inherited by their legal heirs, etc. This is the explicit meaning of this second text and it prevails over the alluded meaning of the first. [12. Badran, *Usul*, p. 428.]

To illustrate the conflict between the alluded meaning and the inferred meaning, we refer firstly to the Qur'anic text on the expiation of erroneous homicide which provides: 'The expiation (*kaffarah*) of anyone who erroneously kills a believer is to set free a Muslim slave' (al-Nisa', 4:92). The explicit meaning of this *ayah* is that erroneous homicide must be expiated by releasing a Muslim slave. By way of inference, it is further understood that freeing a Muslim slave would also be required in intentional homicide. For the purpose of *kaffarah* is compensation and atonement for a sin. It is argued that the murderer is also a sinner and has committed a sin far greater than the one who kills as a result of error. The inferred meaning derived in this way is that the murderer is liable, at least, to the same *kaffarah* which is required in erroneous homicide. However, according to the next *ayah* in the same passage, to which reference has already been made: 'Whoever deliberately kills a believer, his punishment is permanent hellfire' (al-Nisa', 4:93). The alluded meaning of this text is that freeing a slave is not required in intentional killing. This meaning is understood from the explicit terms of this *ayah* which provide that the punishment of deliberate homicide is a permanent abode in hell. This would in turn imply that murder is an unpardonable sin, and as such there is no room for *kaffarah* in cases of murder. This is the alluded meaning of the second *ayah*; and a conflict arises between this and the inferred meaning of the first *ayah*. The alluded meaning, which is that the murderer is not required to pay a *kaffarah*, takes priority over the inferred meaning that renders him liable to payment. [13. Badran, *Usul*, p. 429; Khallaf, *Ilm*, p. 153.]

The Shafi'is are in disagreement with the Hanafis on the priority of the alluded meaning over the inferred meaning. According to the Shafi'is, the inferred meaning takes priority over the alluded meaning. The reason given for this is that the former is founded in both the language and rationale of the text whereas the latter is not; that the alluded meaning is only derived from a sign which is basically weaker than the words and the rationale of the text, and that the inferred meaning is a closer meaning and should therefore be given priority over the alluded meaning. It is on the basis of this analysis that, in the foregoing example, the Shafi'is have given priority to the inferred meaning of the text with the result that the murderer is also required to pay the *kaffarah*. [14. Abu Zahrah, *Usul*, p.115.]

## V. Divergent Meaning (*Mafhum al-Mukhalafah*) and the Shafi'i Classification of *al-Dalalat*

The basic rule to be stated at the outset here is that a legal text never implies its opposite meaning, and that any interpretation which aims at reading a divergent meaning into a given text is unwarranted and untenable. If a legal text is at all capable of imparting a divergent meaning, then there needs to be a separate text to validate it. But any attempt to obtain two divergent meanings from one and the same text is bound to defy the very essence and purpose of interpretation. This argument has been more forcefully advanced by the Hanafis, who are basically of the view that *mafhum al-mukhalafah* is not a valid method of interpretation. <sup>[15. Khallaf, *Ilm*, p.153.]</sup> Having said this, however, *mafhum al-mukhalafah* is upheld on a restrictive basis not only by the Shafi'is but even by the Hanafis; they have both laid down certain conditions which must be fulfilled so as to ensure the proper use of this method.

*Mafhum al-mukhalafah* may be defined as a meaning which is derived from the words of the text in such a way that it diverges from the explicit meaning thereof. <sup>[16. Hiti, *Wajiz*, p. 125.]</sup> To give an example, the Qur'an proclaims the general rule of permissibility (*ibahah*) of foodstuffs for human consumption with a few exceptions which are specified in the following text: 'Say, I find nothing in the message that is revealed to me forbidden for anyone who wishes to eat except the dead carcass and blood shed forth' (*daman masfuhan*) (al-An'am, 6:145). With reference to the latter part of this text, would it be valid to suggest that blood which is not shed forth (*dam ghayr masfuh*) is lawful for human consumption? The answer to this question is in the negative. For otherwise the text would be subjected to an interpretation which is most likely to oppose its obvious meaning. As for the permissibility of unspilt blood such as liver and spleen, which consist of clotted blood, this is established, not by the *ayah* under consideration, but by a separate text. Liver and spleen are lawful to eat by virtue of the Hadith of the Prophet which proclaims that 'lawful to us are two types of corpses and two types of blood. These are the fish, the locust, the liver and the spleen.' <sup>[17. Tabrizi, *Mishkat*, II, 203, Hadith no. 4132; Khallaf, *Ilm*, p. 154.]</sup>

As already indicated, the Shafi'is have adopted a different approach to *mafhum al-mukhalafah*. But to put this matter in its proper perspective, we would need to elaborate on the Shafi'i approach to textual implications (*al-dalalat*) as a whole, and in the course of this general discussion, we shall turn to *mafhum al-mukhalafah* in particular.

Unlike the Hanafi classification of textual implications into four types, the Shafi'is have initially divided *al-dalalat* into the two main varieties of *dalalah al-mantuq* (pronounced meaning) and *dalalah al-mafhum* (implied meaning). Both of these are derived from the words and sentences of the text. The former form the obvious text and the latter come through logical and juridical construction thereof. An example of *dalalah al-mantuq* is the Qur'anic *ayah* which proclaims that 'God has permitted sale and prohibited usury' (al-Baqarah, 2:275). This text clearly speaks of the legality of sale and the prohibition of usury. *Dalalah al-mantuq* has in turn been subdivided into two types, namely *dalalah al-iqtida* (required meaning), and *dalalah al-isharah* (alluded meaning). Both of these are either indicated in the words of the text or constitute a necessary and integral part of its meaning. As will be noted, even from this brief description, the difference between the Shafi'i and Hanafi approaches to the classification of *al-dalalat* is more formal than real. [18. Badran, *Usul*, p. 429; Khudari, *Usul*, pp. 121-122; Hitu, *Wajiz*, p. 120.] Abu Zahrah has aptly observed that essentially all of the four Hanafi varieties of *al-dalalat* are, in one way or another, founded in the actual words and sentences of the text. Despite the technical differences that might exist between the four types of implications, they are basically all founded in the text. In this way all of the four-fold Hanafi divisions of *al-dalalat* can be classified under *dalalah al-mantuq*. [19. Abu Zahrah, *Usul*, p. 116.]

*Dalalah al-mafhum* is an implied meaning which is not indicated in the text but is arrived at by way of inference. This is to a large extent concurrent with what the Hanafis have termed *dalalah al-nass*. But the Shafi'is have more to say on *dalalah al-mafhum* in that they sub-divide this into the two types of *mafhum al-muwafaqah* (harmonious meaning) and *mafhum al-mukhalafah* (divergent meaning). The former is an implicit meaning on which the text may be silent but is nevertheless in harmony with its pronounced meaning. This harmonious meaning (*mafhum al-muwafaqah*) may be equivalent to the pronounced meaning (*dalalah al-mantuq*), or may be superior to it. If it is the former, it is referred to as *lahn al-khitab* (parallel meaning) and if the latter, it is known as *fahwa al-khitab* (superior meaning). For example, to extend the Qur'anic ruling in sura al-Nisa' (4:10) which only forbids 'devouring the property of orphans' to other forms of mismanagement and waste, is a 'parallel' meaning (*lahn al-khitab*). But to extend the Qur'anic text which forbids the utterance of '*uff*', that is the slightest word of contempt, to, for instance, physical abuse of one's parents, is a meaning which is 'superior' to the pronounced meaning of the text. [20. Hitu, *Wajiz*, p.124; Salih, *Mabahith*, p. 301.] The validity of these forms of harmonious meanings is approved by the ulema of all schools (except the Zahiris) who are generally in agreement with the basic concept of *mafhum al-muwafaqah*. But this is not the case with regard to *mafhum al-mukhalafah*, on which the ulema have disagreed. [21. Badran, *Usul*, p. 430.]

As noted above, *mafhum al-mukhalafah* diverges from the pronounced meaning (*dalalah al-mantuq*) of the text, which may, however, be either in harmony or in disharmony with it. It is only when *mafhum al-mukhalafah* is in harmony with the pronounced meaning of the text that it is accepted as a valid form of interpretation, otherwise it is rejected. For an example of the divergent meaning which is in harmony

with the pronounced meaning of the text, we may refer to the Hadith which provides: 'When the water reaches the level of *qullatayn* (approximately two feet) it does not carry dirt.' [22. Ibn Majah, *Sunan* I, 172, Hadith no. 518.]

In this way when a polluting substance falls into water of this depth, it is still regarded as clean for purposes of ablution. This is the pronounced, or explicit, meaning of the text. By way of *mafhum al-mukhalafah*, it is understood that water below this level is capable of 'retaining' dirt. This is an interpretation which is deemed to be in harmony with the pronounced meaning of the Hadith. [23. Zuhayr, *Usul*, II, 114.]

According to the Shafi'is, deduction by way of *mafhum al-mukhalafah* is acceptable only if it fulfills certain conditions, which are as follows: firstly, that the divergent meaning does not exceed the scope of the pronounced meaning. For example, the Qur'anic *ayah* which prohibits 'saying *uff*' to one's parents may not be given a divergent meaning so as to make physical abuse of them permissible. Secondly, that the divergent meaning has not been left out in the first place for a reason such as fear or ignorance; for example, if a man orders his servant to 'distribute this charity among the Muslims', but by saying so he had actually intended people in need, whether Muslims or non-Muslims, and yet omitted to mention the latter for fear of being accused of disunity by his fellow Muslims. Should there be evidence as to the existence of such a fear, then no divergent meaning should be deduced. A similar case would be when a person says that 'maintenance is obligatory for ascendants and descendants', while he did not know that collaterals are also entitled to maintenance. Should there be evidence as to his ignorance on this point, then no divergent meaning should be attempted to the effect, for example, of saying that maintenance is not obligatory for collaterals. Thirdly, that the divergent meaning does not go against that which is dominant and customary in favour of something which is infrequent and rare. To give an example: the Qur'an provides concerning the prohibited degrees of relationship in marriage: 'and forbidden to you are [...] your step-daughters who live with you, born of your wives with whom you have consummated the marriage; but there is no prohibition if you have not consummated the marriage' (al-Nisa', 4:23). This text is explicit on the point that marriage to a step-daughter who is under the guardianship of her step-father is forbidden to the latter. By way of *mafhum al-mukhalafah*, this *ayah* might be taken to mean that a step-daughter who does not live in the house of her mother's husband may be lawfully married by the latter. But this would be a meaning which relies on what would be a rare situation. The probable and customary situation in this case would be that the step-daughter lives with her mother and her step-father, which is why the Qur'an refers to this qualification, and not because it was meant to legalise marriage with the step-daughter who did not live with him. [24. Hiti, *Wajiz*, p.125; Badran, *Usul*, p. 433.] Fourthly, that the original text is not formulated in response to a particular question or event. For instance, the Prophet

was once asked if free-grazing livestock was liable to *zakah*; and he answered in the affirmative. But this answer does not imply that the stall-fed livestock is not liable to *zakah*. The answer was originally given to a question which specified the free-grazing livestock and not in order to exempt the stall-fed variety from *zakah*. Fifthly, that the divergent meaning does not depart from the reality, or the particular state of affairs, which the text is known to have envisaged. For example the Qur'an provides in a reference to relations between Muslims and non-Muslims: 'Let not the believers befriend the unbelievers to the exclusion of their fellow believers' (Al-'Imran, 3:28). This *ayah* apparently forbids friendship with the unbelievers, but this is not the purpose of the text. This *ayah* was, in fact, revealed in reference to a particular state of affairs, namely concerning a group of believers who exclusively befriended the unbelievers, and they were forbidden from doing this; it did not mean to impose a ban on friendship with unbelievers. The text, in other words, contemplated a particular situation and not the enactment of a general principle, and should therefore not be taken out of context by recourse to *mafhum al-mukhalafah*.<sup>[25. Hitu, *Wajiz*, p. 126; Badran, *Usul*, p.434.]</sup> Sixthly, that the divergent meaning does not lead to a conclusion that would oppose another textual ruling. To give an example, we refer to the Qur'anic text on the requirement of retaliation which provides: 'Retaliation is prescribed for you in cases of murder: the free for the free, the slave for the slave, the woman for the woman [ ... ]' (alBaqarah, 2:178). This text may not be taken by way of *mafhum al mukhalafah* to mean that a man is not retaliated against for murdering a woman. For such a conclusion would violate the explicit ruling of another Qur'anic text which requires retaliation for all intentional homicides on the broadest possible basis of 'life for life' (al-Ma'idah, 5:45).

The main restriction that the Hanafis have imposed on *mafhum al mukhalafah* is that it must not be applied to a revealed text, namely the Qur'an and the *Sunnah*. As a method of interpretation, *mafhum al mukhalafah* is thus validated only with regard to a non-revealed text. Only in this context, that is, in regard to rational proofs and man-made law, can it provide a valid basis of *hukm* and *ijtihad*. The main reason that the Hanafis have given in support of this view is that the Qur'an itself discourages reliance on *mafhum al-mukhalafah*, for there are many injunctions in the Qur'an and *Sunnah* whose meaning will be distorted if they were to be given divergent interpretation. To give an example, we read in the Qur'an, in a reference to the number of months that God enacted on the day He created the universe, that there shall be twelve months in a year. The text then continues to provide that 'four of them are sacred, so avoid committing acts of oppression [*zulm*] therein' (al Tawbah, 9:36).<sup>[26. These are the months of Muharram, Dhu al-Hijjah, Dhu al-Qi'dah and Rajab]</sup> By way of *mafhum al-mukhalafah*, this text could be taken to mean that acts of oppression are permissible during the rest of the year. This would obviously corrupt the purpose of this text, as oppression is always forbidden regardless of the time in which it is committed.<sup>[27. Badran, *Usul*, p. 435.]</sup> Similarly, there is a Hadith which instructs the believers that 'none of you may urinate in permanently standing water nor may you take a bath therein to cleanse yourselves of major pollution (*janabah*)'.<sup>[28. Tabrizi, *Mishkat*, I, 148; Hadith no. 474.]</sup>

By way of *mafhum al mukhalafah*, this text could be taken to mean that taking a bath other than the one specifically for *janabah* is permissible in such water, or that urinating is permissible in flowing water, neither of which would be correct. Bathing in small ponds below a certain depth is not permitted whether it be for *janabah* or otherwise.

The Hanafis have further concluded that whenever necessary the Qur'an itself has stated the divergent implications of its own rulings, and when this is the case, the divergent meaning becomes an integral part of the text and must be implemented accordingly. This style of the Qur'anic legislation suggests that if recourse to *mafhum al-mukhalafah* were generally valid, there would be no need for it to be explicitly spelled out in the Qur'anic text. The Qur'an, in other words, is self-contained and does not leave it to us to deduce the law from it by recourse to divergent interpretation. Note, for example, the text which instructs the husband to avoid sexual intercourse with his wife during her menstruation. The text then immediately follows on to specify its own divergent implication: 'And approach them not until they are clean. But when they have purified themselves, you may approach them' (al-Baqarah, 2:223). In the same sura, there is another text, to which reference has already been made, concerning the prohibition of marriage between the step-daughter and her step-father who has consummated the marriage with her mother. The text then continues to specify its divergent meaning by providing that 'there is no prohibition if you have not consummated the marriage' (al-Baqarah, 2:23). The Hanafis have thus concluded that *mafhum al-mukhalafah* is not applicable to the *nusus* of the Qur'an and *Sunnah*. We only deduce from the *nusus* such rules as are in harmony with their explicit terms. <sup>[29. Abu Zahrah, *Usul*, pp. 117-118.]</sup>

The Shafi'is and the Malikis who validate the application of *mafhum al-mukhalafah* to the *nusus* have, in addition to the conditions that were earlier stated, imposed further restrictions which consist of specifying exactly what forms of linguistic expressions are amenable to this method of interpretation. For this purpose the Shafi'is have sub-divided *mafhum al-mukhalafah* into four types. The main purpose of this classification is to introduce greater accuracy into the use of *mafhum al-mukhalafah*, specifying that it is an acceptable method of deduction only when it occurs in any of the following forms but not otherwise:

1. ***Mafhum al-Sifah*** (Implication of the Attribute). When the ruling of a text is dependent on the fulfillment of a quality or an attribute then the ruling in question obtains only when that quality is present; otherwise it lapses. This can be shown in the Qur'anic text on the prohibited degrees of



relations in marriage which includes 'the wives of your sons proceeding from your loins' (al-Nisa' 4:23). The pronounced meaning of this text is the prohibition of the wife of one's own son in marriage. The son has thus been qualified in the text by the phrase 'proceeding from your loins'. By way of *mafhum al-mukhalafah*, it is concluded from this qualification that the wife of an adopted son, or of a son by fosterage (*rada'a*), that is a child who has suckled the breast of one's wife, is not prohibited. <sup>[30. Badran, *Usul*, p. 432; Salih, *Mabahith*, p. 302; Khudari, *Usul*, p. 123.]</sup>

2. ***Mafhum al-Shart*** (Implication of the Condition). When the ruling of a text is contingent on a condition, then the ruling obtains only in the presence of that condition, and lapses otherwise. An example of this is the Qur'anic text on the entitlement to maintenance of divorced women who are observing their waiting period (*'iddah*). The text proclaims: 'If they are pregnant, then provide them with maintenance until they deliver the child' (al-Talaq, 65:6). The condition here is pregnancy and the *hukm* applies only when this condition is present. By way of *mafhum al-mukhalafah*, it is concluded, by those who validate this method at least, that maintenance is not required if the divorced woman, who is finally divorced, is not pregnant. Similarly, the Qur'anic text which provides for a concession in regard to fasting is conveyed in conditional terms. Having laid down the duty of fasting, the text then continues: 'but if any one is ill or traveling, the prescribed fasting should be observed later' (al-Baqarah, 2:185). By way of *mafhum al-mukhalafah*, it is concluded that the concession to break the fast does not apply if one is neither ill nor traveling, which is a valid interpretation. <sup>[31. Hitu, *Wajiz*, p. 127;]</sup>

3. ***Mafhum al-Ghayah*** (Implication of the Extent). When the text itself demarcates the extent or scope of the operation of its ruling, the latter will obtain only within the scope of the stated limits and will lapse when the limit is surpassed. To illustrate this, the Qur'anic text on the time of fasting provides the farthest limit beyond which one must stop eating and drinking during Ramadan: 'Eat and drink until you see the white streak [of dawn in the horizon] distinctly from the black' (al-Baqarah, 2:187). By way of *mafhum al-mukhalafah*, it is concluded that when whiteness appears in the horizon, one may neither eat nor drink. <sup>[32. Khudari, *Usul*, p. 123]</sup>

4. ***Mafhum al-Adad*** (Implication of the Stated Number). When the ruling of a text is conveyed in terms of a specified number, the number so stated must be carefully observed. Thus the Qur'anic text on the punishment of adultery is clearly stated to be one hundred lashes (al-Nur, 24:2) By way of *mafhum al-mukhalafah* this text is taken to mean that it is not permissible either to increase or decrease the stated number of lashes. <sup>[33. Khudari, *Usul*, p. 123.]</sup>

In conclusion, it may be said that the foregoing methods are generally designed to encourage rational enquiry in the deduction of the *ahkam* from the divinely revealed sources. They provide the jurist and the *mujtahid* with guidelines so as to ensure the propriety of interpretation and *ijtihad*. The restrictions

that are imposed on the liberty of the *mujtahid* are obvious enough in that the textual rulings of the Qur'an and *Sunnah* must be treated carefully so that they are not stretched beyond the limits of their correct implications. Yet the main thrust of the guidelines that are provided is one of encouragement to the exercise of rational enquiry in the understanding and implementation of the *nusus*. The rules of interpretation that are discussed under this and the preceding chapter are once again indicative of the primacy of revelation over reason, and yet they are, at the same time, an embodiment of the significant role that reason must play side by side with the revelation. The two are substantially concurrent and complementary to one another.

## Chapter Six: Commands and Prohibitions

The language of the Qur'an (and the *Sunnah*) differs from that of modern statutes in that Qur'anic legislation is not confined to commands and prohibitions and their consequences, but is often coupled with an appeal to the conscience of the individual. This moral appeal may consist of a persuasion or a warning, an allusion to the possible benefit or harm that may accrue from observing or violating an injunction, or a promise of reward/punishment in the hereafter. Modern laws are often devoid of such appeals, as they are usually confined to an exposition of imperative rules and their tangible results. <sup>[1. Cf. Shaltut, *Islam*, p. 499.]</sup>

Commands and prohibitions in the Qur'an occur in a variety of forms. While an injunction is normally expected to be in the imperative mood, there are occasions where a simple past is used as a substitute. For example, the injunctions that 'retaliation is prescribed for you in cases of murder' and that 'fasting is prescribed for you' (al-Baqarah, 2:178 and 183) are both expressed in the past tense. Similarly, a Qur'anic injunction may occur in the form of a moral condemnation of a certain form of conduct, such as the rule on the sanctity of private dwellings which provides: 'It is no virtue to enter houses from the back' (al-Baqarah, 2: 189) <sup>[2. This is one of the several *ayah* which occur in the Qur'an concerning the privacy of one's home.]</sup> Also, a Qur'anic command/prohibition may be conveyed in the form of an allusion to the consequences of a form of conduct, such as a promise of reward or punishment in the hereafter. For example, after expounding the rules of inheritance in sura al-Nisa' (4:13-14) the text goes on to promise to those who observe them a reward, and warns violators of a punishment, in the hereafter.

### I. Commands

A command proper (*amr*) is defined as a verbal demand to do something issued from a position of superiority over who is inferior. <sup>[3. Badran, *Usul*, p. 360.]</sup> Command in this sense differs from both supplication (*du`a'*) and request (*iltimas*) in that the former is a demand from an inferior to one who is superior, whereas a request is a demand among people of equal or near-equal status. Since a verbal command can mean different things, namely an obligatory order, a mere recommendation, or even permissibility, the ulema have differed as to which of these is the primary and which the secondary meaning of a command. Some have held the view that *amr* is in the nature of a homonym (*mushtarak*) which imparts all of these meanings. Others have held that *amr* partakes in only two of these concepts, namely obligation and recommendation, but not permissibility. Still others have held that *amr* implies a

permission to do something and that this is the widest meaning of *amr*, which is common to all three of the foregoing concepts. [4. Badran, *Usul*, p. 361; Shawkani, *Irshad*, p. 91.]

According to the majority opinion, however, a command by itself, that is, when it is not attended by clues or circumstances that might give it a particular meaning, implies obligation or an emphatic demand only. But this may change in the event of other indications being present, which might reduce a command to permissibility, recommendation, or indeed to a variety of other meanings. Thus when we read in the Qur'an commands such as *kulu wa'shrabu* ('eat and drink') (al-A'raf, 7:31), the indications are that they amount to no more than permissibility (*Ibahah*). For eating and drinking are the necessities of human life, and a command in respect of them must logically amount to a permissibility only. Similarly the Qur'anic permission in respect of hunting after the completion of the *hajj* ceremonies given in sura al-Ma'idah (5:2 - *wa idha halaltum fastadu*) and its address to the believers to 'scatter in the land' (*fa'ntashiru fi'l-ard*) after performing the Friday prayers (al-Jumu'ah, 62:10) are both in the imperative form. But in both cases the purpose is to render these activities permissible only. [5. Cf. Shatibi, *Muwafaqat*, III, 88.]

A command may likewise convey a recommendation should there be indications to warrant this conclusion. This is, for example, the case with regard to the Qur'anic command which requires the documentation of loans: 'When you give or take a loan for a fixed period, reduce it into writing' (al-Baqarah, 2:282). However, from an indication which occurs in the next ayah in the same sura, it is concluded that the command here implies a recommendation (*nadb*) only. This ayah reads: 'and if one of you deposit a thing on trust, let the trustee [faithfully] discharge his trust'. Here the use of the word 'trust' (*amanah*) signifies that the creditor may trust the debtor even without any writing. [6. Khallaf, *Ilm*, p.111.] The majority of ulema have held the same view regarding the requirement of witnesses in commercial contracts, which is the subject of another Qur'anic command occurring in the same passage, known as the *ayah al-mudayanah* (2: 282): 'Whenever you enter a contract of sale, let it be witnessed and let neither the scribe nor the witness suffer harm.' The Zahiri ulema have upheld the obvious meaning of these provisions and have made documentation a requirement of every loan, or any form of deferred payment, and have made witnesses a requirement of every contract of sale. This, in their view, is more conducive to the fulfillment of contracts and the prevention of disputes among people. [7. Abu Zahrah, *Usul*, p.75; Badran, *Usul*, p.362.]

A command may, according to the indications provided by the context and circumstances, imply a threat, such as the Qur'anic address to the unbelievers: 'Do what you wish' (*i`malu ma shi'tum-al-Nur*, 24: 33) and to the devil: 'Lead to destruction those that you can' (*wastafziz man intata'ta*) (Bani Isra'il, 17:64). A command may similarly imply contempt (*ihanah*) such as the Qur'anic address to the unbelievers on the Day of Judgment: 'Taste [the torture], you mighty and honourable!' A command may sometimes imply supplication when someone says, for example, 'O Lord grant me forgiveness', and indeed a host of other meanings which may be understood in the light of the context and surrounding

circumstances.<sup>[8. Badran, *Usul*, p.363; Hiti, *Wajiz*, pp.134-138]</sup> As already noted, the majority of ulema have held that a command normally conveys an obligation unless there are indications to suggest otherwise.

The Lawgiver may at times order something which has hitherto been prohibited. The question then arises as to the nature of a command which follows a prohibition (*al-amr ba'd al-hazar*); does it convey an obligation or a mere permissibility? The majority of ulema have held the view that a command following a prohibition means permissibility, not obligation. Two examples of such a command in the Qur'an have already been given above in the context of the permission to hunt following its prohibition during the *hajj* ceremonies and the permission to conduct trade following its prohibition at the time of the Friday prayers (al-Ma'idah, 5:2; and al-Jumu'ah, 62:10 respectively)<sup>[9. Badran, *Usul*, p.363; Hiti, *Wajiz*, p.141.]</sup> An example of such a command in the *Sunnah* is the Hadith in which the Prophet is reported to have said: 'I had forbidden you from ,visiting the graves. Nay, visit them, for it reminds you of the hereafter'.<sup>[10.</sup>

Ghazali, *Mustasfa*, I, 83; Amidi, *Ihkam*, IV, 211; Tabrizi, *Mishkat*, I, 554, Hadith no.1769.]

كنت نهيتكم عن زيارة القبور ، الا فزوروها فانها  
تذكر الآخرة

The next question which arises in this connection is whether a command requires a single compliance or repetition. According to the majority view, this question can only be determined in the light of indication, which might specify that repeated performance is required. However in the absence of such indications, a single instance of performance is the minimum requirement of a command. Among the indications which determine repetition is when a command is issued in conditional terms. For example, the Qur'anic provision 'if you are impure then clean yourselves' (al-Ma'idah, 5:7), or the text which provides: 'The adulterer and adulteress, flog them each one hundred lashes', that is, if they commit adultery (al-Nur, 24:2). Since the command to take a bath in the first *ayah* is conditional on *janabah*, that is, on sexual intercourse, then a bath must be taken following every instance of sexual intercourse. Similarly when a command is dependent on a cause or an attribute, then it must be fulfilled whenever the cause or the attribute is present. The Qur'anic command, for example, which reads: 'Perform the *salah* at the decline of the sun' (Bani Isra'il, 17:18), requires repeated performance at every instance when the cause for it is present, that is, when the specified time of *salah* arrives.<sup>[11. Shawkani, *Irshad*, pp 98-99;</sup>

Badran, *Usul*, p.364.]

As for the question whether a command requires immediate or delayed performance, it is once again observed that the command itself merely consists of a demand, and the manner of its performance must be determined in the light of indications and surrounding circumstances. When, for example, A tells B to 'do such and such now', or alternatively orders him to 'do such and such tomorrow', both orders are valid and there is no contradiction. However, if a command were to require immediate execution then

the word 'now' in the first order would be superfluous just as the word 'tomorrow' in the second order would be contradictory. When a person commands another to 'bring me some water' while he is thirsty, then by virtue of this indication, the command requires immediate performance just as the order to 'collect the rent' when it is given, say, in the middle of the month while the rent is collected at the end of each month, must mean delayed performance.

It is thus obvious that the commandant may specify a particular time in which the command must be executed. The time limit may be strict or it may be flexible. If it is flexible, like the command to perform the obligatory *salah*, then performance may be delayed until the last segment of the prescribed time. But if the command itself specifies no time limit, such as the order to perform an expiation (*kaffarah*), then execution may be delayed indefinitely within the expected limits of one's lifetime. However, given the uncertainty of the time of one's death, an early performance is recommended, regard to kaffarat. [12. Shawkani, *Irshad*, pp.99-100; Badran, *Usul*, pp.365-366.]

And lastly the question arises as to whether a command to do something implies the prohibition of its opposite. According to the majority view, a command to do something does imply the prohibition of its opposite regardless as to whether the opposite in question consists of a single act or of a plurality of acts. Thus when a person is ordered to move, he is in the meantime forbidden to remain still; or when a person is ordered to stand, he is forbidden from doing any of a number of opposing acts such as sitting, crouching, lying down, etc. However, some ulema, including al-Juwayni, al-Ghazali, Ibn al-Hajib and the Mu'tazilah, have held that a command does not imply the prohibition of its opposite. A group of the Hanafi and Shafi'i ulema have held that only one of the several opposing acts, whether known or unknown, is prohibited, but not all. [13. Shawkani, *Irshad*, pp.101-102.] The result of such differences would obviously have a bearing on whether the person who commits the opposite of a command must be penalized, and if so, to what extent. Specific answers to such questions can obviously only be determined in the light of the surrounding circumstances and the state of mind of the individual concerned, as well as the general objectives of the Lawgiver/commander that can be ascertained in a given command.

## II. Prohibitions

Prohibition (*nahy*), being the opposite of a command, is defined as a word or words which demand the avoidance of doing something addressed from a position of superiority to one who is inferior. [14. Badran, *Usul*, p.366.] The typical form of a prohibitory order in Arabic is that of a negative command beginning with *la* such as *la taf'al* (do not), or the Qur'anic prohibition which reads 'slay not [*la taqtulu*] the life which God has made sacred' (al-An'am, 6:151). A prohibition may be expounded in a statement (*jumlah*

*khabariyyah*) such as occurs, for example, in the Qur'an (al-Baqarah, 2:221): 'prohibited to you are the flesh of dead corpses and blood'. It may sometimes occur in the form of a command which requires the avoidance of something, such as the Qur'anic phrase *wa dharu al-bay'* ('abandon sale', that is during the time of Friday *salah*-al-Jumu'ah, 62:100), or *wa'jtanibu qawl al-zur* ('avoid lying') in sura al-Hajj (22:30), or may occur in a variety of other forms that are found in the Qur'an.

A prohibition, like a command, may convey a variety of meanings. Although the primary meaning of *nahy* is illegality, or *tahrim*, *nahy* is also used to imply a mere reprehension (*karahiyyah*), or guidance (*irshad*), or reprimand (*ta'dib*), or supplication (*du'a*). An example of *nahy* which implies reprehension is the Qur'anic *ayah* addressing the believers to 'prohibit not [*la tuharrimu*] the clean foods that God has made lawful to you' (al-Ma'idah, 5:87). *Nahy* which conveys moral guidance may be illustrated by the Qur'anic *ayah* addressing the believers to 'ask not questions about things which, if made plain to you, may cause you trouble' (al-Ma'idah, 5:104). An example of *nahy* which implies a threat is when a master tells his recalcitrant servant: 'Don't follow what I say and you will see.' An example of *nahy* which conveys supplication in the Qur'an occurs in sura al-Baqarah (2:286) which reads: 'Our Lord, condemn us not if we forget.' Since *nahy* can convey several meanings, the ulema have differed as to which of these is the primary (*haqiqi*) as opposed to the secondary or metaphorical meanings of *nahy*. Some have held that illegality (*tahrim*) is the primary meaning of *nahy* while others consider reprehension (*karahiyyah*) to be the original meaning of *nahy*. According to yet another view, *nahy* is a homonym in respect of both. The maturity (*jumhur*) of ulema have held the view that *nahy* primarily implies *tahrim*, a meaning which will be presumed to prevail unless there are indications to suggest otherwise. An example of *nahy* in the Qur'an which has retained its primary meaning is the phrase '*la taqtulu*' in the *ayah* which provide, 'slay not life which God has made sacred'. There is no indication in this text to warrant a departure from the primary meaning of *la taqtulu*, which must therefore prevail. The primary meaning of *nahy* may be abandoned for a figurative meaning if there is an indication to justify this. Hence the phrase *la tu'akhidhna* ('condemn us not') implies supplication, as the demand here is addressed to Almighty God, and is hence a demand from a position of inferiority, which indicates that the correct meaning of *nahy* in this context is supplication, or *du'a*.<sup>[15. Shawkani, *Irshad*, pp.109-</sup>

110;Badran, *Usul*, pp. 366-368; Hitu, *Wajiz*, p. 150.]

### III. Value of Legal Injunctions

The object of a prohibition may be to prevent an act such as adultery (*zina*), or it may be to prevent the utterance of words such as those purporting to effect the sale of dead corpses, or of a freeman, by means of offer and acceptance. In either case, the prohibition does not produce any rights or legal effects whatsoever. Hence no right of paternity is established through *zina*; on the contrary, the perpetrator is

liable to punishment. Similarly, no contract is concluded and no right of ownership is proven as a result of the sale of a corpse.

If the object of prohibition is an act, and it is prohibited owing to an extraneous attribute rather than the essence of the act itself, such as fasting on the day of *id*, then the act is null and void (*batil*) according to the Shafi'is but is irregular (*fasid*) according to the Hanafis. The act, in other words, can produce no legal result according to the Shafi'is, but does create legal consequences according to the Hanafis, although it is basically sinful. The Hanafis consider such acts to be defective and must be dissolved by means of annulment (*faskh*), or must be rectified if possible. If the prohibition consists of words such as concluding a contract of sale which partakes in usury, it is still *batil* according to the Shafi'is but *fasid* according to the Hanafis, which means that it should be either revoked or amended to the extent that it is purified of its usurious content.

The position is, however, different with regard to devotional matters (*ibadat*) whose purpose is seeking the pleasure of God. The *fasid* in this area is equivalent to *batil*. Hence there is no merit to be gained by fasting on the day of *id*, nor will it be taken into account in compensation to the fasting owed by the *mukallaf*.

But if the prohibition is due to an external factor such as a sale concluded at the time of the Friday prayer, or when *salah* is performed in usurped land (*al-ard al-maghsubah*), the ulema are generally in agreement that all the legal consequences will follow from the prohibited act, although the perpetrator would have incurred a sin. Thus the sale so concluded will prove the right of ownership and the *salah* is valid and no compensatory performance of the same *salah* will be required. <sup>[16. Shawkani, *Irshad*, p.110; Badran, *Usul*, p. 369.]</sup> Further detail on the *fasid* and *batil* can be found in our discussion of the *ahkam*, which is the subject of a separate chapter.

As for the question of whether a prohibition requires both immediate as well as repeated compliance, the ulema are generally in agreement that it does and that this is the only way a prohibition can be observed. Unless the object of a prohibition is avoided at all times, the prohibition is basically not observed. It is therefore necessary to avoid the prohibited act as from the moment it is issued and whenever it is applicable. This is the case with regard to prohibitions that are not qualified in any way, such as the Qur'anic text concerning the property of the orphans which provides: 'Do not approach [*la taqrabu*] the property of the orphan except in the way that is best' (al-An'am, 6:152). However if a prohibition is qualified by a condition that overrules immediate compliance, then it has to be observed within the meaning of that condition. An example of this occurs in the Qur'an (al-Mumtahinah, 60:10) which reads, in an address to the believers: 'When there come to you believing women refugees, examine [and test] them. God knows best as to their faith. If you find that they are believers, then send them not back to the unbelievers.' In this *ayah*, the prohibition (not to send them back) is conditional upon finding that they are believers, and until then the prohibition must remain in abeyance. <sup>[17. Badran, *Usul*,</sup>



p.370.] There is a difference between a command and a prohibition in that the purpose of the former is to create something or to establish the existence of something, and this is realized by a single instance of execution, and there is basically no need for repetition. A prohibition on the other hand aims at the absence of something, and this cannot be realized unless it is absent all the time. A single instance of absence is thus not enough to fulfill the purpose of a prohibition. [18. Hitu, *Wajiz*, p.151.]

As already stated, a command which succeeds a prohibition conveys permissibility only. The position is once again different with regard to a prohibition: whenever a prohibition succeeds a command, it conveys illegality or *tahrim*, not a mere permissibility. [19. Hitu, *Wajiz*, p.151.]

Injunctions, whether occurring in the Qur'an or the *Sunnah*, are of two types: explicit (*sarih*) and implicit (*ghayr sarih*). Explicit commands and prohibitions require total obedience without any allowance for individual circumstances and regardless as to whether they are found to be rational or not. For it is in the essence of devotion (*ibadah*) that obedience does not depend on the rationality or otherwise of an injunction. The question arises as to whether one should adopt a literal approach to the enforcement of commands and prohibitions, or should allow considerations of rationality and *maslahah* to play a part in the manner of their implementation. For example, the Hadith which provides that the owners of livestock must give 'one in forty sheep' in *zakah* [20. Abu Dawud, *Sunan*, II, 410, Hadith no.1567; Ghazali, *Mustasfa*, 159.]: should this provision be followed literally, or could we say that the equivalent price of one or many sheep could also be given in *zakah*? Similarly, when the Qur'an enjoins the Muslims concerning attendance at the Friday congregational prayers to 'rush to the remembrance of God and abandon sale' (al-Jumu'ah, 62:9), should the word rush (*fa's aw*) be taken literally or in the sense of an emphasis on diligence at attending the Friday prayers? A similar question can be raised with regard to the second part of the same *ayah* which commands the Muslims to 'abandon sale' (*wa dharu'l-bay'*). Should this be taken to imply that a sale which has occurred at the specified time is actually unlawful and void, or should it once again be taken as an order that requires perseverance and consistent observance? Should one follow the main objective of the Lawgiver or the literal requirements of the text which convey a command or a prohibition? These are but some of the questions which are asked concerning the correct understanding of Qur'anic injunctions. [21. For a detailed treatment of commands and prohibitions see Shatibi, *Muwafaqat*, III, 90-140]

The implicit injunctions are also divided into two types. The first of these is when a ruling of the Qur'an is conveyed in implicit terms but has been substantiated by the explicit terms of the Hadith, in which case it becomes equivalent to an explicit ruling. [22. Shatibi, *Muwafaqat*, III, 92.] The second type of implicit injunction is when a ruling of the Qur'an occurs, not in the form of a command or a prohibition, but as praise or condemnation of a certain conduct. The precise import of such provisions cannot always be ascertained as to whether they convey an injunction or a mere warning or recommendation as the case may be. Note for example the text which reads that 'God does not love the prodigals (*al-musrifin*)' (al-A'raf, 7:31). The text of this *ayah* does not indicate the precise legal or religious enormity of extravagance, and it cannot be ascertained whether extravagance is prohibited or merely disapproved.

Another question which merits attention in the study of commands and prohibitions is related to the means that lead to the performance of a command, or the avoidance of a prohibition. The question is whether the means should also be covered by the rules which regulate their ends. Briefly, the answer to this question is in the affirmative. The means which lead to the observance of commands and prohibitions are covered by the same ruling which applies to the command/prohibition in the first place.

[23. Shatibi, *Muwafaqat*, 93.]

A *mujtahid* who deduces the law from a given text must be adequately familiar with the language of the Qur'an and must know that the *ahkam* are not only expressed in the imperative but that a praise or a promise of reward may in effect be equivalent to a command. Similarly, a mere denunciation, a threat of punishment in the hereafter, or a reference to the adverse consequences of a form of conduct, may be equivalent to a prohibition.<sup>[24. Abu Zahrah, *Usul*, p.72.]</sup> The distinction as to whether a command in the Qur'an conveys an obligation (*wujub*), a recommendation (*nadb*) or mere permissibility (*ibahah*) must be determined in the light of the objectives of the *Shari'ah* as well as by looking at the meaning of the words of the Qur'an. To determine the value (*hukm*) of a command, attention is paid not only to the grammatical form of the words in which it is conveyed, but also to the general objectives of the law. This is equally true of a prohibitory text. To determine whether a prohibition conveys actual *tahrim*, or mere reprehension (*karahah*) is not always easily understood from the words of the *nusus*. Only a portion of the *nusus* convey a precise meaning by virtue of clarity of their language. In Shatibi's estimation, a much larger portion of the *nusus* of the Qur'an cannot be determined by reference only to the linguistic forms in which they are expressed. The *mujtahid* must therefore be fully informed of the general principles and objectives of the *Shari'ah* so as to be able to determine the precise values of the *nusus* and the commands or prohibitions that they contain.<sup>[25. Shatibi, *Muwafaqat*, III, 90.]</sup>

## Chapter Seven: *Naskh* (Abrogation)

Literally, *naskh* means 'obliteration', such as in *nasakhat al-rih athar al-mashy*, meaning 'the wind obliterated the footprint'. *Naskh* also means transcription or transfer (*al-naql wa al-tahwil*) of something from one state to another while its essence remains unchanged. In this sense, '*naskh*' has been used in the Qur'anic *ayah* which reads: *inna kunna nastansikhu ma kuntum ta'malun*, that is, 'verily We write all that you do' (al-Jathiyah, 45:29). This usage of *naskh* can also be seen in the familiar Arabic expressions, *tanasukh al-arwah* (reincarnation), and *tanasukh al-mawarith*, the transfer of inheritance from persons to persons. The ulema have differed as to which of these two meanings of *naskh* is the literal (*haqiqi*) as opposed to that which might be metaphorical (*majazi*). Some ulema, including Abu Bakr al-Baqillani and al-Ghazali, have held that '*naskh*' is a homonym and applies equally to either of its two meanings. According to the majority view, however, obliteration (*al-raf wa al-izalah*) is the primary, and transcription/transfer is the secondary, meaning of *naskh*. [1. Ghazali, *Mustasfa*, I, 69; Amidi, *ahkam*, III, 102ff; Hitu, *Wajiz*, p.241.]

*Naskh* may be defined as the suspension or replacement of one *Shari'ah* ruling by another, provided that the latter is of a subsequent origin, and that the two rulings are enacted separately from one another. According to this definition, *naskh* operates with regard to the rules of *Shari'ah* only, a proviso which precludes the application of *naskh* to rules that are founded in rationality (*aql*) alone. The *hukm*, or ruling, in this definition not only includes commands and prohibitions but also the three intermediate categories of recommended, reprehensible and *mubah*. The requirement that the two rulings must be separate means that each must be enacted in a separate text. For when they both occur in one and the same passage, it is likely that one complements or qualifies the other, or that one may embody a condition or an exception to the other. [2. Badran, *Usul*, p. 442.]

Abrogation applies almost exclusively to the Qur'an and the *Sunnah*; its application to *ijma`* and *qiyas*, as will later be explained, has been generally overruled. And even then, the application of *naskh* to the Qur'an and *Sunnah* is confined, in terms of time, to one period only, which is the lifetime of the Prophet. There is, in other words, no *naskh* after the demise of the Prophet. But during his lifetime, there were instances when some of the rulings of the Qur'an and *Sunnah* were either totally or partially repealed by subsequent rulings. This was due mainly to the change of circumstances in the life of the community and the fact that the revelation of the Qur'an spanned a period of twenty-three years. The ulema are unanimous on the occurrence of *naskh* in the *Sunnah*. It is, however, with regard to the occurrence of *naskh* in the Qur'an on which there is some disagreement both in principle as well as on the number of instances in which *naskh* is said to have occurred. [3. Khallaf, *Ilm*, p. 222; Abu Zahrah, *Usul*, p.148.]

Abrogation is by and large a Madinese phenomenon which occurred as a result of the changes that the Muslim community encountered following the Prophet's migration to Madinah. Certain rules were introduced, at the early stage of the advent of Islam, which were designed to win over the hearts of the people. An example of this is the number of daily prayers which was initially fixed at two but was later increased to five. Similarly, *mut`ah*, or temporary marriage, was initially permitted but was subsequently prohibited when the Prophet migrated to Madinah. [4. Shatibi, *Muwafaqat*, III, 63; Badran, *Usul*, p. 447.]

These and similar changes were effected in the *nusus* at a time when the Muslim community acquired sovereign authority and fresh legislation was deemed necessary to regulate its life in the new environment of Madinah.

Some Hanafi and Mu'tazili scholars have held the view that *ijma* can abrogate a ruling of the Qur'an or the *Sunnah*. The proponents of this view have claimed that it was due to *ijma`* that `Umar b. al-Khattab discontinued the share of the *mu'allafah al-qulub* in the *zakah*. These were persons of influence whose friendship and co-operation was deemed to be beneficial to Islam. [5. Taj, *Siyasah*, p.14.] The Qur'an assigned them a share in *zakah* (al-Tawbah, 9:60), but this was discontinued apparently because the *mujtahidun* of the time reached a unanimous agreement to that effect. The correct view, however, is that owing to differences of opinion that are recorded on this matter, no *ijma`* could be claimed to have materialized. [6. Badran, *Usul*, p.458.] Besides, the majority of ulema have held that *ijma`* neither abrogates nor can be abrogated itself; and at any rate *ijma* cannot abrogate a *nass* of the Qur'an or the *Sunnah*. For a valid *ijma'* may never be concluded in contradiction to the Qur'an or the *Sunnah* in the first place. Al-Amidi elaborates this as follows: the *hukm* which the *ijma`* seeks to repeal might be founded in a *nass*, another *ijma*, or *qiyas*. The first is not possible, for the *ijma`* which seeks to abrogate the *nass* of Qur'an or *Sunnah* is either based on an indication (*dalil*) or not. If it is not based on any *dalil*, then it is likely to be erroneous, and if it is based on a *dalil* this could either be a *nass* or *qiyas*. If the basis (*sanad*) of *ijma`* is a *qiyas*, then abrogation is not permissible (as we shall explain later); and if the *sanad* of *ijma`* is a *nass*, then abrogation is by that *nass*, not by *ijma`*. The share of the *mu'allafah al-qulub* was discontinued by Umar b. al-Khattab on the grounds of the *Shari'ah*-oriented policy (*al-siyasah al-shar`iyyah*), which is explained in the caliph's widely-quoted phrase that 'God has exalted Islam, which is no longer in need of their favor.' [7. Amidi, *Ihkam*, III, 161; Taj, *Siyasah*, p. 28.]

According to the general rule a Qur'anic *nass* or a *Mutawatir* Hadith cannot be abrogated by a weaker Hadith, by *ijma'* or by *qiyas*. For they are not of equal authority to the *nass*. This is, in fact, the main argument in support of the rule, already referred to, that no abrogation of the *nusus* is possible after the demise of the Prophet, for the Qur'an and the *Sunnah* ceased to be revealed with his demise. Since nothing weaker than the Qur'an and *Sunnah* can abrogate anything in either of these sources, abrogation, to all intents and purposes, came to an end with the death of the Prophet. *Ijma`*, *qiyas* and *ijtihad*, being weaker in comparison to the *nusus*, cannot abrogate the rules of divine revelations. [8. Khallaf, *Ilm*, p. 228.]

It is in view of these and similar considerations that the ulema have arrived at the general rule that *ijma'* can neither abrogate anything nor be abrogated itself. Abrogation in other words is generally not relevant to *ijma'*. The preferable view, however, is that *ijma'* cannot abrogate the rulings of the Qur'an, the *Sunnah*, or of another *ijma'* which is founded in the Qur'an, *Sunnah*, or *qiyas*. However, a subsequent *ijma'* may abrogate an existing *ijma'* which might be founded in considerations of public interest, or *maslahah mursalah*. This would in theory appear to be the only situation in which *ijma'* could operate as an abrogator. [9. Badran, *Usul*, p. 459.]

And finally, since the principal function of *qiyas* is to extend the rulings of the Qur'an and *Sunnah* to similar cases, it may never operate in the opposite direction, namely, to repeal a text of the Qur'an or *Sunnah*. Broadly speaking, *qiyas* has no place in the theory of *naskh*: *qiyas* cannot be an abrogator, basically because it is weaker than the *nass* and *ijma* and thus cannot abrogate either. Nor can *qiyas* itself be abrogated, for *qiyas* is normally based on a textual ruling and is bound to remain valid for as long as the original text remains valid. It is thus inconceivable that a *qiyas* be abrogated while the text to which it is founded remains in force. Furthermore, an established analogy is not exactly abrogated by a subsequent analogy. If the first analogy is based on the Qur'an, or *Sunnah*, then a conflicting analogy would presumably be erroneous. Besides, the two analogies can coexist and be counted as two *ijtihadi* opinions without the one necessarily abrogating the other. For the rule concerning *ijtihad* is that the *mujtahid* deserves a reward for his effort even if his *ijtihad* is incorrect. In short, *naskh* basically applies to binding proofs, and *qiyas* is not one of them. [10. Amidi, *Ihkam*, III, 163ff; Badran, *Usul*, p. 459.]

In his *Risalah*, Imam Shafi'i has maintained the view that *naskh* is not a form of annulment (*ilgha'*); it is rather a suspension or termination of one ruling by another. *Naskh* in this sense is a form of explanation (*bayan*) which does not entail a total rejection of the original ruling. [11. Shafi'i, *Risalah*, p. 103, Abu Zahrah, *Usul*, p. 148.] *Naskh* is explanatory in the sense that it tells us of the termination of a particular ruling, the manner and the time of its termination, whether the whole of a ruling or only a part of it is terminated, and of course, the new ruling which is to take its place. However, the majority of ulema do not accept the view that *naskh* is a form of *bayan*. The fact that *naskh* terminates and puts an end to a ruling differentiates it from *bayan*, and when a ruling is terminated, it cannot be explained.

There may be instances of conflict between two texts which, after scrutiny, may turn out to be apparent rather than real, and it may be possible to reconcile them and to eliminate the conflict. One of the two texts may be general (*'amm*) and the other specific (*khass*), in which case the rules of interpretation and *takhsis* (specification) must be applied so as to eliminate the conflict as far as possible. If the two texts cannot be so reconciled, then the one which is stronger in respect of authenticity (*thubut*) is to be preferred. If, for example, there be a conflict between the Qur'an and a solitary Hadith, the latter is weaker and must therefore give way to the Qur'an. The solitary, or *Ahad*, Hadith may also be abrogated by the *Mutawatir*, the *Mashhur*, or another *Ahad*, which is dearer in meaning or which is supported by a stronger chain of narration (*isnad*). But if the two texts happen to be equal on all of these points, then

the prohibitory text is to be given priority over the permissive. Furthermore, in all instances of conflict, it is essential to determine the time factor. If this can be determined, then the later in time abrogates the earlier. The chronological sequence between the two rulings can, however, only be established by means of reliable reports, not by rational argumentation or analogical reasoning. [12. Ghazali, *Mustasfa*, I, 83; Badran, *Usul*, p. 455.]

As a general rule, *naskh* is not applicable to the 'perspicuous' texts of the Qur'an and Hadith, known as *muhkamat*. A text of this nature is often worded in such a way as to preclude the possibility of repeal. There are also certain subjects to which abrogation does not apply. Included among these are provisions pertaining to the attributes of God, belief in the principles of the faith, and the doctrine of *tawhid* and the hereafter, which could not be subjected to abrogation. Another subject is the *Shari'ah* of Islam itself, which is the last of the revealed laws and can never be abrogated in its entirety. [13. Ghazali, *Mustasfa*, I, 72.] The ulema are also in agreement that rational matters and moral truths such as the virtue of doing justice or being good to one's parents, and vices such as the enormity of telling lies, are not changeable and are therefore not open to abrogation. Thus a vice cannot be turned into a virtue or a virtue into a vice by the application of *naskh*. Similarly the *nusus* of the Qur'an and *Sunnah* which relate the occurrence of certain events in the past are not open to abrogation. To give an example, the following Qur'anic text is not amenable to the application of *naskh*: 'As for the Thamud, they were destroyed by a terrible storm, whereas the 'Ad were destroyed by a furious and violent wind' (al-Haqqah, 69:5-6). To apply *naskh* to such reports would imply the attribution of lying to its source, which cannot be entertained. [14. Badran, *Usul*, p.454; Hiti, *Wajiz*, p. 244.]

To summarise the foregoing: no abrogation can take place unless the following conditions are satisfied. First, that the text itself has not precluded the possibility of abrogation. An example of this is the Qur'anic provision concerning persons who are convicted of slanderous accusation (*qadhf*) that they may never be admitted as witnesses (al-Nur, 24:4). Similarly the Hadith which proclaims that '*jihad* shall remain valid till the day of resurrection', obviously precludes the possibility of abrogating the permanent validity of *jihad*. [15. Abu Dawud, *Sunan*, II, 702, Hadith no. 2526; Abu Zahrah, *Usul*, p. 150.] Second, that the subject is open to the possibility of repeal. Thus the attributes of God and the principles of belief, moral virtues and rational truths, etc., are not open to abrogation. Third, that the abrogating text is of a later origin than the abrogated. Fourth, that the two texts are of equal strength in regard to authenticity (*thubut*) and meaning (*dalalah*). Thus a textual ruling of the Qur'an may be abrogated either by another Qur'anic text of similar strength or by a *Mutawatir* Hadith, and, according to the Hanafis, even by a *Mashhur* Hadith, as the latter is almost as strong as the *Mutawatir*. By the same token, one *Mutawatir* Hadith may abrogate another. However, according to the preferred (*rajih*) view, neither the Qur'an nor the *Mutawatir* Hadith may be abrogated by a solitary Hadith. According to Imam Shafi'i, however, the *Sunnah*, whether as *Mutawatir* or *Ahad*, may not abrogate the Qur'an. [16. Shafi'i, *Risalah*, p.54; Amidi, *Ihkam*, III,146ff.] Fifth, that the two texts are genuinely in conflict and can in no way be reconciled with one another. And lastly, that the two texts are separate and are not related to one another in the sense of one being the

condition (*shart*), qualification (*wasf*) or exception (*istithna'*) to the other. For when this is the case, the issue is likely to be one of specification (*takhsis*), or qualification (*taqyid*) rather than abrogation.<sup>[17. Hitu, *Wajiz*, p.244; Khallaf, *Ilm*, p. 223.]</sup>

## Types of *Naskh*

Abrogation may either be explicit (*sarih*), or implicit (*dimni*). In the case of explicit abrogation, the abrogating text clearly repeals one ruling and substitutes another in its place. The facts of abrogation, including the chronological order of the two rulings, the fact that they are genuinely in conflict, and the nature of each of the two rulings, and so forth, can be ascertained in the relevant texts. An example of this is the Hadith which provides: 'I had forbidden you from visiting the graves. Nay, visit them, for they remind you of the hereafter.'<sup>[18. Tabrizi, *Mishkat*, I, 552, Hadith no.1762; Muslim, *Sahih*, p.340.]</sup>

In another Hadith the Prophet is reported to have said, 'I had forbidden you from storing away the sacrificial meat because of the large crowds. You may now store it as you wish.'<sup>[19. Ghazali, *Mustasfa*, I, 83. Amidi, *Ihkam*, III, 181.]</sup>

The initial order not to store the sacrificial meat during the *id* festival (*'id al-Adha*) was given in view of the large number of visitors who attended the festival in Madinah, where the Prophet desired that they should be provided with necessary foodstuffs. The restriction was later removed as the circumstances had changed. In both these examples, the text leaves no doubt as to the nature of the two rulings and all the other relevant facts of abrogation. An example of explicit abrogation in the Qur'an is the passage in sura al-Baqarah (2: 142-144) with regard to the change in the direction of the *qiblah* from Jerusalem to the Ka'bah. The relevant text of the Qur'an as to the direction of the *qiblah* before and after the new ruling is clear, and leaves no doubt with regard to the facts of abrogation and the nature of the change which was effected thereby.<sup>[20. Another instance of explicit *naskh* in the Qur'an is the passage in sura al-Anfal (8:65-66) which encouraged the Muslims to fight the unbelievers. The passage reads as follows: 'If there be of you twenty steadfast persons, they shall overcome two hundred, and if there be one hundred of you, they shall overcome one thousand.' The subsequent *ayah* reviewed these figures as follows: 'Now Allah has lightened your burden [...] if there be of you one hundred steadfast persons, they shall overcome two hundred, and if there be of you one thousand, they shall overcome two thousand.'</sup>

In the case of implicit abrogation, the abrogating text does not clarify all the relevant facts. Instead we have a situation where the Lawgiver introduces a ruling which is in conflict with a previous ruling and the two cannot be reconciled, while it remains somewhat doubtful whether the two rulings present a genuine case for abrogation. An example of implicit abrogation is the ruling in sura al-Baqarah (2:180) which permitted bequests to one's parents and relatives. This was subsequently abrogated by another text (al-Nisa, 4:11) which entitled the legal heirs to specific shares in inheritance. Notwithstanding the

fact that the two rulings are not diametrically opposed to one another and could both be implemented in certain cases, [21. Shafi'i (*Risalah*, p. 69) has observed concerning these *ayah* that the abrogation of bequest to relatives by the *ayah* of inheritance is a probability only, but he adds that the ulema have held that the *ayah* of inheritance has abrogated the *ayah* of bequests. On the same page, Shafi'i quotes the Hadith that 'there shall be no bequest to an heir.' It thus appears that in his view, the abrogation of bequest to legal heirs in the Qur'an is a probability which has been confirmed and explained by this and other *ahadith* on the subject.] the majority of ulema have held that the initial ruling which validated bequests to relatives has been abrogated by the rules of inheritance. They have held that the *ayah* of inheritance prescribes specific portions for legal heirs which can be properly implemented only if they were observed in their entirety, and that the Qur'anic scheme of inheritance is precise and self-contained, and any outside interference is likely to upset the individual shares as well as the overall balance between them. Since bequest to legal heirs is seen as a principal source of such interference it is totally forbidden. This analysis is substantiated by the explicit ruling of a Hadith in which the Prophet is reported to have said, 'God has assigned a portion to all who are entitled. Hence there shall be no bequest to legal heirs.' [22. Shafi'i, *Risalah*, p. 69; Abu Dawud, *Sunan*, II, 808, Hadith no. 2864; Khallaf, *Ilm*, p. 224.]

Implicit abrogation has been sub-divided into two types, namely total abrogation (*naskh kulli*) and partial abrogation (*naskh juzi*). In the case of the former, the whole of a particular *nass* is abrogated by another, and a new ruling is enacted to replace it. This may be illustrated by a reference to the two Qur'anic texts concerning the waiting period (*iddah*) of widows, which was initially prescribed to be one year but was subsequently changed to four months and ten days. The two texts are as follows:

1. Those of you who are about to die and leave widows should bequeath for their widows a year's maintenance and residence; but if they leave the residence, you are not responsible for what they do of themselves (al-Baqarah, 2:240).
- 2.
3. Those of you who die and leave widows, the latter must observe a waiting period of four months and ten days; when they have fulfilled their term, you are not responsible for what they do of themselves (al-Baqarah, 2:234)
- 4.

As can be seen, the provision concerning the waiting period of widows in the first *ayah* has been totally replaced by the new ruling in the second. There is no doubt on the point that both of these rulings are exclusively concerned with the same subject, namely, the widows. Both *ayah* require them to observe a waiting period, whose length varies in each, and only one must be observed, not both. The two passages are thus in conflict and the latter abrogates the former. But this is a case, as already noted, of an implicit *naskh*, in that the two *ayah* do not expound, with complete clarity, all the facts of abrogation and it is not certain whether they are genuinely in conflict, for the term 'a year's maintenance and residence' in the first *ayah* does not recur in the second. There is, in fact, no reference to either maintenance or residence in the second *ayah*. This would, for example, introduce an element of doubt concerning whether the two



*ayat* are concerned with different subjects of maintenance and *`iddah* respectively. There is, in other words, a level of discrepancy which might make it possible to apply each of the two rulings to different situations. This is not to argue against the majority view which seems to be the settled law, but merely to explain why an abrogation of this type has been classified as implicit *naskh*.

Partial abrogation (*naskh juz'i*) is a form of *naskh* in which one text is only partially abrogated by another, while the remaining part continues to be operative. An example of this is the Qur'anic *ayah* of *qadhf* (slandering accusation) which has been partially repealed by the *ayah* of imprecation (*li'an*). The two texts are as follows:

1. Those who accuse chaste women [of adultery] and then fail to bring four witnesses to prove it shall be flogged with eighty lashes (al-Nur, 24:4).
2. Those who accuse their spouses and have no witnesses, other than their own words, to support their claim, must take four solemn oaths in the name of God and testify that they are telling the truth (al-Nur, 24:6).

The first *ayah* lays down the general rule that anyone, be it a spouse or otherwise, who accuses chaste women of *zina* must produce four witnesses for proof. The second *ayah* provides that if the accuser happens to be a spouse who cannot provide four witnesses and yet insists on pursuing the charge of *zina*, he may take four solemn oaths to take the place of four witnesses. This is to be followed, as the text continues, by a statement in which the husband invokes the curse of God upon himself if he tells a lie. The ruling of the first text has thus been repealed by the second text insofar as it concerns a married couple. [23. Shafi'i, *Risalah*, p. 72; Khallaf, *Ilm*, p.227.]

It will be noted that the text of the Qur'an has two distinctive features, namely, the words of the text, and the ruling, or the *hukm*, that it conveys. Reading and reciting the words of the Qur'an, even if its ruling is abrogated, still commands spiritual merit. The words are still regarded as part of the Qur'an and *salah* can be performed by reciting them. It is on the basis of this distinction between the words and the rulings of the Qur'an that *naskh* has once again been classified into three types. The first and the most typical variety of abrogation is referred to as *naskh al-hukm*, or *naskh* in which the ruling alone is abrogated while the words of the text are retained. All the examples which we have given so far of the incidence of *naskh* in the Qur'an fall into this category. Thus the words of the Qur'anic text concerning bequests to relatives (al-Baqarah, 2:180) and the one concerning the *`iddah* of widows (al-Baqarah, 2:240) are still a part of the Qur'an despite the fact that they have both been abrogated. We still recite

them as such, but do not apply the law that they convey. The other two varieties of *naskh*, respectively referred to as *naskh al-tilawah* (sometimes as *naskh al-qira'ah*), that is, abrogation of the words of the text while the ruling is retained, and *naskh al-hukm wa al-tilawah*, that is, abrogation of both the words and the ruling - are rather rare and the examples which we have are not supported by conclusive evidence. Having said this, however, we might add that, except for a minority of Mu'tazili scholars, the ulema are generally in agreement on the occurrence of abrogation in both these forms.<sup>[24. Amidi, *Ihkam*, III,141.]</sup>

An example of *naskh al-tilawah* is the passage which, according to a report attributed to `Umar b. al-Khattab, was a part of the Qur'an, although the passage in question does not appear in the standard text. However the ruling conveyed by the passage in question still represents authoritative law. The reported version of this text provides: `When a married man or a married woman commits *zina*, their punishment shall be stoning as a retribution ordained by God.'<sup>[25. The Arabic version reads '*al-Shaykhu wa'l-shaykhatu idha zanaya farjumuhuma albattatas nakalan min Allah.*' Both Ghazali (*Mustasfa*, I, 80, and Amidi, *Ihkam* III, 141) have quoted it. 'Umar b. al-Khattab is quoted to have added: 'Had it not been for fear of people saying that `Umar made an addition to the Qur'an, I would have added this to the text of the Qur'an']</sup>

In the event where the words of the text, and the law that they convey, are both repealed, then the text in question is of little significance. According to a report which is attributed to the Prophet's widow, `A'ishah, it had been revealed in the Qur'an that ten clear suckings by a child, make marriage unlawful between that child and others who drank the same, woman's milk. Then it was abrogated and substituted by five suckings and it was then that the Messenger of God died. The initial ruling which required ten suckings was read into the text of the Qur'an. The ruling was then repealed and the words in which it was conveyed were also omitted from the text. However since neither of these reports is established by *tawatur*, they are not included in the Qur'an. The position now, according to the majority of ulema, is that either five clear suckings, or any amount which reaches the stomach, even if it be one large sucking, constitutes the grounds of prohibition.<sup>[26. Amidi, *Ihkam*, IV, 154; Ghazali, *Mustasfa*, I, 80; Denffer *'Ulum*, p. 108.]</sup>

According to the majority (*jumhur*) view, the Qur'an and the *Sunnah* may be abrogated by themselves or by one another. In this sense, abrogation may be once again classified into the following varieties: (1) Abrogation of the Qur'an by the Qur'an, which has already been illustrated. (2) Abrogation of the *Sunnah* by the *Sunnah*. This too has been illustrated by the two *ahadith* which we quoted under the rubric of explicit abrogation. (3) Abrogation of the Qur'an by *Sunnah*. An example of this is the *ayah* of bequest in sura al-Baqarah (2:180) which has been abrogated by the Hadith which provides that `there shall be no bequest to an heir'. It is generally agreed that `the Qur'an itself does not abrogate the *ayah* of bequest and there remains little doubt that it has been abrogated by the *Sunnah*'.<sup>[27. Hitu, *Wajiz*, p. 252. See also Qadri, *Islamic Jurisprudence*, p. 230.]</sup> (4) Abrogation of the *Sunnah* by the Qur'an. An example of this is the initial ruling of the Prophet which determined the *qiblah* in the direction of Jerusalem. When the Prophet migrated to Madinah, he ordered the believers to pray in the direction of Jerusalem. This was later repealed by the Qur'an (al-Baqarah, 2:144) which ordered the Muslims to turn their faces toward the holy mosque of the Ka'bah.<sup>[28. Hitu, *Wajiz*, p. 252. See also Qadri, *Islamic Jurisprudence*, p. 230.]</sup> The Qur'an, in other words, abrogated a practice that was initially authorised by the *Sunnah*.

The main exception to the foregoing classification of *naskh* is taken by Imam Shafi'i, the majority of the Mu'tazilah, and Ahmad b. Hanbal (according to one of two variant reports), who have validated the first two types of abrogation, but have overruled the validity of the remaining two. In their view, abrogation of the Qur'an by the *Sunnah* and vice versa is not valid.<sup>[29. Amidi, *Ihkam*, III,153]</sup> This is the conclusion that al-Shafi'i has drawn from his interpretation of a number of Qur'anic *ayat* where it is indicated that the Qur'an can only be abrogated by the Qur'an itself.<sup>[30. Shafi'i, *Risalah*, p.54ff; Amidi, *Ihkam*, III,156ff.]</sup> Thus we read in sura al-Nahl (16:101):

And when We substitute one *ayah* in place of another *ayah* [*ayatun makana ayatin*],  
and God knows best what He reveals.

This text, according to al-Shafi'i, is self-evident on the point that an *ayah* of the Qur'an can only be abrogated or replaced by another *ayah*. The fact that the *ayah* occurs twice in this text provides conclusive evidence that the Qur'an may not be abrogated by the *Sunnah*. In another place, the Qur'an reads:

None of our revelations do We abrogate [*ma nansakh min ayatin*] or cause to be forgotten unless We substitute for them something better or similar (at-Baqarah,2:106).

The text in this *ayah* is once again clear on the point that in the matter of *naskh*, the Qur'an refers only to itself. The Qur'an, in other words, is self-contained in regard to *naskh*, and this precludes the possibility of it being abrogated by the *Sunnah*. *Naskh* in the Qur'an, according to al-Shafi'i, is a wholly internal phenomenon, and there is no evidence in the Qur'an to suggest that it can be abrogated by the *Sunnah*. Indeed the Qur'an asks the Prophet to declare that he himself cannot change any part of the Qur'an. This is the purport of the text in sura Yunus (10:15) which provides: 'Say: it is not for me to change it of my own accord. I only follow what is revealed to me.' It is thus not within the Prophet's terms of reference to abrogate the Qur'an at his own initiative. 'The *Sunnah* in principle', writes al-Shafi'i, 'follows, substantiates, and clarifies the Qur'an; it does not seek to abrogate the Book of God'.<sup>[31. Shafi'i, *Risalah*, p. 54.]</sup> All this al-Shafi'i adds, is reinforced in yet another passage in the Qur'an where it is provided: 'God blots out or confirms what He pleases. With Him is the Mother of the Book' (al-Ra'd, 13: 39). The reference here is again to *naskh* and the source in which it originates is the Mother of the Book, that, is the Qur'an itself. The *Sunnah*, even the *Mutawatir Sunnah*, may not abrogate the Qur'an. Al-Shafi'i is equally categorical on the other limb of this theory, namely that the Qur'an does not abrogate the *Sunnah* either. Only the *Sunnah* can abrogate the *Sunnah*: *Mutawatir* by *Mutawatir* and

*Ahad* by *Ahad*. *Mutawatir* may abrogate the *Ahad*, but there is some disagreement on whether the *Ahad* can abrogate the *Mutawatir*. According to the preferred view, which is also held by al-Shafi'i, the *Ahad*, however, can abrogate the *Mutawatir*. To illustrate this, al-Shafi'i refers to the incident when the congregation of worshippers at the mosque of Quba' were informed by a single person (*khbar al-wahid*) of the change of the direction of the *qiblah* from Jerusalem to the Ka'bah; they acted upon it and turned their faces toward the Ka'bah. The fact that Jerusalem was the *qiblah* had been established by continuous, or *mutawatir*, *Sunnah*, but the congregation of Companions accepted the solitary report as the abrogator of *Mutawatir*.<sup>[32. Shafi'i, *Risalah*, p.177; Ghazali, *Mustasfa*, I, 81.]</sup>

Al-Shafi'i elaborates his doctrine further. If there existed any occasion for the *Sunnah* to abrogate the Qur'an or vice versa, the Prophet would be the first to say so. Thus in all cases where such an abrogation is warranted, there is bound to be a *Sunnah* of the Prophet to that effect, in which case the matter automatically becomes a part of the *Sunnah*. The *Sunnah* in other words is self-contained, and covers all possible cases of conflict and abrogation of the Qur'an by the *Sunnah* and vice versa. If any *Sunnah* is meant to be abrogated, the Prophet himself would do it by virtue of another *Sunnah*, hence there is no case for the abrogation of *Sunnah* by the Qur'an.<sup>[33. Shafi'i, *Risalah*, p. 102.]</sup>

Al-Shafi'i considers it necessary for the abrogation of *Sunnah* that the Prophet should have informed the people specifically about it. If the Qur'an were to abrogate the *Sunnah*, while the Prophet has not indicated such to be the case, then, to give an example, all the varieties of sale which the Prophet had banned prior to the revelation of the Qur'anic *ayah* on the legality of sale (al-Baqarah, 2:275) would be rendered lawful with the revelation of this *ayah*. Similarly, the punishment of stoning for *zina* which is authorised by the Prophet would be deemed abrogated by the variant ruling of one hundred lashes in sura al-Nur (24:2). In the case of theft, too, the Prophet did not punish anyone for theft below the value of one-quarter of a dinar, nor did he apply the prescribed punishment to the theft of unguarded (*ghayr muhraz*) property. These would all be deemed abrogated following the revelation of the *ayah* in sura al-Ma'idah (5:83) which prescribes mutilation of the hand for theft without any qualification whatsoever. If we were to open this process, it would be likely to give rise to unwarranted claims of conflict and a fear of departure from the *Sunnah*.<sup>[34. Shafi'i, *Risalah*, pp. 57-58. In raising the fear of departure from the *Sunnah*, Shafi'i was probably thinking of the doubts that would arise with regard to establishing the precise chronological order between the Qur'an and *Sunnah* in all possible cases of conflict. Since the Qur'an is generally authentic, any doubts of this nature are likely to undermine the *Sunnah* more than the Qur'an.]</sup>

Notwithstanding the strong case that al-Shafi'i has made in support of his doctrine, the majority opinion, which admits abrogation of the Qur'an and *Sunnah* by one another is preferable, as it is based on the factual evidence of having actually taken place. Al-Ghazali is representative of the majority opinion on this when he writes that identity of source (*tajanus*) is not necessary in *naskh*. The Qur'an and *Sunnah* may abrogate one another as they issue both from the same provenance. While referring to al-Shafi'i's doctrine, al-Ghazali comments: 'how can we sustain this in the face of the evidence that the Qur'an never validated Jerusalem as the *qiblah*; it was validated by the *Sunnah*, but its abrogating text occurs in

the Qur'an? Likewise, the fasting of 'Ashura' was abrogated by the Qur'anic provision concerning the fasting of Ramadan while the former was only established by the *Sunnah*. Furthermore, the Qur'anic *ayah* which permitted conjugal intercourse at night-time in Ramadan (al-Baqarah, 2:178) abrogated the prohibition that the *Sunnah* had previously imposed on conjugal relations during Ramadan'.<sup>[35. Ghazali, *Mustasfa*, I, 81; see also Amidi, *Ihkam*, III, 150ff.]</sup>

### Abrogation, Specification (*Takhsis*) and Addition (*Taz'id*)

*Naskh* and *takhsis* resemble one another in that both tend to qualify or specify an original ruling in some way. This is particularly true, perhaps, of partial *naskh*, which really amounts to qualification / specification rather than repeal. We have already noted al-Shafi'i's perception of *naskh* which draws close to the idea of the coexistence of two rulings and an explanation of one by the other. A certain amount of confusion has also arisen between *naskh* and *takhsis* due to conceptual differences between the Hanafis and the majority of ulema regarding *naskh* in that they tend to view *naskh* differently from one another. These differences of perspective have, however, been treated more pertinently in our discussion of the *Amm* and the *Khass*. In this section, we shall outline the basic differences between *naskh* and *takhsis* without attempting to expound the differences between the various schools on the subject.

*Naskh* and *takhsis* differ from one another in that there is no real conflict in *takhsis*. The two texts, namely the general text and the specifying text, in effect complement one another. This is not, however, the case with *naskh*, in which it is necessary that the two rulings are genuinely in conflict and that they could not coexist. Another difference between *naskh* and *takhsis* is that *naskh* can occur in respect of either a general or a specific ruling whereas *takhsis* can, by definition, occur in respect of a general ruling only.<sup>[36. Ghazali, *Mustasfa*, I,71; Badran, *Usul*, p.452.]</sup>

As already stated, *naskh* is basically confined to the Qur'an and *Sunnah* and could only be effected by the explicit rulings of divine revelation. *Takhsis* on the other hand could also occur by means of rationality and circumstantial evidence. *Naskh*, in other words, can only occur by *shar'* whereas *takhsis* can occur by rationality (*aql*), custom (*urf*) and other rational proofs. It would follow from this that *takhsis* (i.e. the specification or qualification of a general text) is possible by means of speculative evidence such as *qiyas* and solitary Hadith. But in the case of *naskh*, a definitive ruling, that is, a *qat'i*, can only be abrogated by another *qat'i* ruling. Abrogation, in other words, is basically not operative with regard to speculative rulings.<sup>[37. Amidi, *Ihkam*, III, 113; Badran, *Usul*, p.453.]</sup>

As already stated, in *naskh* it is essential that the abrogator (*al-nasikh*) be later in time than the ruling which it seeks to abrogate. There can be no *naskh* if this order is reversed, nor even when the two rulings are known to have been simultaneous. But this is not a requirement of *takhsis*. With regard to *takhsis*, the Hanafis maintain that the *'Amm* and the *Khass* must in fact be either simultaneous or parallel in time. But according to the majority, the *'Amm*, and the *Khass*, can precede or succeed one another and they need not be in any particular chronological order.

Lastly, *naskh* does not apply to factual reports of events (*akhbar*) whereas *takhsis* could occur in regard to factual reports. Thus a news report may be specified or qualified, but cannot be abrogated. The closest concept to abrogation in regard to reports is that they can be denied.

Another issue which arises concerning *naskh* is whether a subsequent addition (*taz'id*) to an existing text, which may be at variance with it, amounts to its abrogation. When new materials are added to an existing law, the added materials may fall into one of the following two categories: (1) The addition may be independent of the original text but relate to the same subject, such as adding a sixth *salah* to the existing five. Does this amount to the abrogation of the original ruling? The majority of ulema have answered this question in the negative, holding that the new addition does not overrule the existing law but merely adds a new element to it. (2) The new addition may not be independent of the original text in that it may be dealing with something that constitutes an integral part of the original ruling. A hypothetical example of this would be to add another unit (*rak'ah*), or an additional prostration (*sajdah*) to one or more of the existing obligatory prayers. Another example would be to add to the existing requirement of releasing a slave in expiation for breaking the fast, a new condition that the slave has to be a Muslim. Does this kind of addition amount to the abrogation of the existing law? The ulema have differed on this, but once again the majority have held the view that it does not amount to abrogation as it does not seek to overrule the original text. The Hanafis have held, however, that such an addition does amount to abrogation. It is on this ground that the Hanafis have considered the ruling of the *Ahad* Hadith on the admissibility of one witness plus a solemn oath by the claimant to be abrogating the Qur'anic text which enacts two witnesses as standard legal proof (al-Baqarah, 2:282). The abrogation, however, does not occur, not because the Hanafis consider the new addition to be immaterial, but because the *Ahad* cannot repeal the *Mutawatir* of the Qur'an.<sup>[38. Amidi, *Ihkam*, III, 170; Hiti, *Wajiz*, p.256.]</sup> The majority opinion does not regard this to be a case for abrogation. For the Qur'anic text on the requirement of two witnesses does not preclude the possibility of proof by other methods. Since the original Qur'anic text does not impose an obligatory command, it leaves open the possibility of recourse to alternative methods of proof.<sup>[39. Amidi, *Ihkam*, III, 175; Hiti, *Wajiz*, p. 257.]</sup>

## The Argument Against *Naskh*

As already stated, the ulema are not unanimous over the occurrence of *naskh* in the Qur'an. While al-Suyuti has claimed, in his *Itqan fi 'Ulum al-Qur'an*, twenty-one instances of *naskh* in the Qur'an, Shah Wali Allah (d. 1762) has only retained five of al-Suyuti's twenty-one cases as genuine, stating that the rest can all be reconciled.<sup>[40. Subhi al-Salih (*Mabahith*, p.280) records the view that only ten of al-Suyuti's twenty-one instances of *naskh* in the</sup>

<sup>Quran are genuine and that all the rest can be reconciled.]</sup> Another scholar, Abu Muslim al-Isfahani (d. 934) has, on the other hand, denied the incidence of abrogation in the Qur'an altogether.<sup>[41. Abu Zahrah, *Usul*, p.155; Denffer, '*Ulum*, p.110.]</sup> The majority of ulema have nevertheless acknowledged the incidence of *naskh* in the Qur'an on the authority of the Qur'an itself. This is the conclusion that the majority have drawn from the relevant Qur'anic passages. However, it will be noted that the counter-argument is also based on the same Qur'anic passages which have been quoted in support of *naskh*. The following two *ayat* need to be quoted again:

None of our revelations do We abrogate nor cause to be forgotten unless We substitute for them something better or similar [*ma nansakh min ayatina aw nunsihana na'ti bi-khayrin minha aw mithliha*] (al-Baqarah, 2:106).

Elsewhere we read in sura al-Nahl (16:106):

When We substitute one revelation for another, and God knows best what He reveals [*wa idha baddalna ayatan makana ayatin wa' Llahu a'lam bima yunazzil*].

To some commentators, the word '*ayah*' to these passages refers, not to the text of the Qur'an itself, but to previous scriptures including the Torah and the Gospel. An interpretation of this type would, of course, render the *ayah* under discussion irrelevant to the occurrence of *naskh* in the Qur'an. Abu Muslim al-Isfahani, a Mu'tazili scholar and author of a Qur'an commentary (Jami al-Ta'wil), has held the view that all instances of so-called abrogation in the Qur'an are in effect no more than qualifications and *takhsis* of one text by another.<sup>[42. Subhi al-Salih, *Mabahith*, p.274.]</sup> To al-Isfahani, the word '*ayah*' in these passages means not a portion of the Qur'anic text, but 'miracle'. To read this meaning in the first of the two passages quoted above would imply that God empowered each of His Messengers with miracles that none other possessed; that God provided each of His Messengers with superior miracles, one better than the other. That this is the correct meaning of the text is substantiated, al-Isfahani adds, by the subsequent portion of the same passage (i.e. al-Baqarah, 2:106) which reads: 'Do you not know that God is all-powerful?' (*ala kulli shay'in qadir*). Thus this particular attribute of God relates more appropriately in this context to the subject of miracles rather than abrogation of one *ayah* by another. This interpretation finds further support in yet another portion of the same passage (i.e. 2:108) which

provides in an address to the Muslim community: 'Would you want to question your Prophet as Moses was questioned before?' It is then explained that Moses was questioned by the Bani Isra'il regarding his miracles, not the abrogation as such?<sup>[43. Amidi, *Ihkam*, III, 120.]</sup> The word '*ayah*', in the second passage (i.e. al-Nahl, 16:101) too means 'miracle'. For after all, '*ayah*' literally means 'sign' and a miracle is a sign. Al-Isfahani further argues: *Naskh* is equivalent to *ibtal*, that is, 'falsification' or rendering something invalid, and *ibtal* as such has no place in the Qur'an. This is what we learn from the Qur'an itself which reads in sura Ha-Mim (41:42): 'No falsehood can approach it [the Book] from any direction [*la ya'tihi al-batil min bayn yadayhi wa la min khalfih*].' In response to this, however, it is said that *naskh* is not identical with *ibtal*; that *naskh* for all intents and purposes means suspension of a textual ruling, while the words of the text are often retained and not nullified.<sup>[44. Amidi, *Ihkam*, III, 124.]</sup>

Two other points that al-Isfahani has added to his interpretation are as follows. Supposing that the passages under consideration do mean abrogation, even then they do not confirm the actual occurrence of *naskh* but merely the possibility of it, and there is a difference between the two. Lastly, al-Isfahani maintains that all instances of conflict in the Qur'an are apparent rather than real, and can be reconciled and removed. This, he adds, is only logical of the *Shari'ah*, which is meant to be for all times; this is just another way of saying that it is not open to abrogation.<sup>[45. Abu Zahrah, *Usul*, p.155; Badran, *Usul*, p.448.]</sup>

Having explained al-Isfahani's refutation of the theory of *naskh*, it remains to be said that according to the majority of ulema, the occurrence of *naskh* in the Qur'an is proven, although not in so many instances as has often been claimed. The proponents of *naskh* have stated that the incidence of *naskh* in the Qur'an is proven, not only by the Qur'an itself, but also by a conclusive *ijma*. Anyone who opposes it is thus going against the dictates of *ijma*.<sup>[46. Al-Ghazali, *Mustasfa*, I, 72.]</sup> In the face of the foregoing disagreements, it is admittedly difficult to see the existence of a conclusive *ijma'* on the point. But according to the rules of *ijma'*, once an *ijma'* is properly concluded, any subsequent differences of opinion would not invalidate it. Divergent views such as that of al-Isfahani seem to have been treated in this light, and almost totally ignored.

In his book *The Islamic Theory of International Relations: New Directions For Islamic Methodology and Thought* (originally a doctoral dissertation), Abdul Hamid Abu Sulayman is critical of the classical approach to *naskh* and calls for a fresh and comprehensive understanding 'of the technique of *naskh* [...] on a systematic and conceptual basis, not a legalistic one'<sup>[47. Abu Sulayman, *The Islamic Theory*, p.84.]</sup> The author is of the view that the classical exposition of *naskh* is unnecessarily restrictive as it tends to narrow down the 'rich Islamic and Qur'anic experience', and also indulges, in some instances at least, in a measure of exaggeration and excess.<sup>[48. Abu Sulayman, *The Islamic Theory*, p. 107.]</sup>

The author maintains that abrogation was primarily an historical, rather than juridical, phenomenon and ought to have been read in that context. This may be part of the reason why the jurists have found it difficult to establish the validity of abrogation by the direct evidence of the Qur'an or *Sunnah*. The



argument runs that the facts of *naskh* in regard to, for example, the *ayah* of the sword, as discussed below, were historical and were largely dictated by the prevailing pattern of relationship between Muslims and non-Muslims at the time. Now, instead of understanding *naskh* as a circumstance of history, the ulema turned it into a juridical doctrine of permanent validity. This classical concept of permanent abrogation is oblivious of the space-time element which, if taken into account, would have restricted the application of *naskh* to those circumstance alone. <sup>[49. Abu Sulayman, *The Islamic Theory*, p. 73.]</sup>

The broad sweep of *naskh* was, however, taken so far as to invalidate a major portion of the Qur'an. This is precisely the case with regard to the *ayah* of the sword (*ayah al-sayf*) which reads, in the relevant part: 'And fight the polytheists all together as they fight you all together, and know that God is with those who keep their duty [to Him]' (al-Tawbah, 9:36). Influenced by the prevailing pattern of hostile relations with non-Muslims, 'some jurists took an extreme position in interpreting this *ayah*,' and claimed that it abrogated all preceding *ayat* pertaining to patience, tolerance and the right of others to self-determination. <sup>[50. Abu Sulayman, *The Islamic Theory*, p. 36.]</sup> Although scholars are not in agreement as to the exact number of *ayat* that were abrogated as a result, Mustafa Abu Zayd has found that the *ayah* of the sword abrogated no less than 140 *ayat* in the holy Book. <sup>[51. Abu Zayd, *Al-Nasikh wa al-Mansukh*, I, 289 ff and II, 503 ff.]</sup> Jurists who were inclined to stress the aggressive aspect of *jihad* could only do so by applying abrogation to a large number of Qur'anic *ayat*, and 'using abrogation in this manner has, Abu Sulayman contests, 'indeed narrowed the Qur'anic experience' <sup>[52. Abu Sulayman, *The Islamic Theory*, p.36.]</sup> and undermined the egalitarian substance of its teachings. In many passages the Qur'an calls for peace, compassion and forgiveness, and promotes a set of moral values such as moderation, humility, patience and tolerance whose scope could not be said to be confined to relations among Muslims alone.

The Muslim jurists of the second *hijrah* century, as al-Zuhayli informs us, considered war as the norm, rather than the exception, in relations with non-Muslims, and they were able to do so partly because of a certain exaggeration in the use and application of *naskh*. The reason behind this attitude was the need, which was then prevalent, to be in a state of constant readiness for battle in order to protect Islam. <sup>[53. Wahbah al-Zuhayli, *Athar al-Harb*, p.130.]</sup> Under such political circumstances, it is not difficult to understand how abrogation was utilised as a means by which to strengthen the morale of the Muslim in facing their enemies. <sup>[54. Abu Sulayman, *The Islamic Theory*, p.74.]</sup> It is to be noted further that the position of the classical jurists which characterised war as the permanent pattern of relationship with non-Muslims, as al-Zuhayli points out, is not binding on anyone, and is not supported by the balance of evidence in the Qur'an and *Sunnah*. <sup>[55. Al-Zuhayli, *Athar al-Harb*, p.135.]</sup>

It is therefore important, Abu Sulayman tells us, 'to put the concept of *naskh* back in proper context' and confine its application only to clear cases, such as the change of *qiblah* from the direction of Jerusalem to the Ka'bah. As for the rest, the rules and teachings of Islam are valid and applicable in unlimited combinations as they meet the needs and benefits of mankind, in the light of the broader values and

objectives that the Qur'an and *Sunnah* have upheld.<sup>[56. cf. Abu Sulayman, *The Islamic Theory*, p. 107; cf. the review of his book by James Piscatori, in *Journal of the Institute of Muslim Minority Affairs*, 10.2 (July 1989), pp. 542-3.]</sup>

It must be noted at the outset that unlike the Qur'an and *Sunnah*, *ijma* does not directly partake in divine revelation. As a doctrine and proof of *Shari'ah*, *ijma'* is basically a rational proof. The theory of *ijma'* is also clear on the point that it is a binding proof. But it seems that the very nature of this high status that is accorded to *ijma`* has demanded that only an absolute and universal consensus would qualify although absolute consensus on the rational content of *ijma'* has often been difficult to obtain. It is only natural and reasonable to accept *ijma`* as a reality and a valid concept in a relative sense, but factual evidence falls short of establishing the universality of *ijma`*. The classical definition and the essential requirements of *ijma`*, as laid down by the ulema of *usul*, are categorical on the point that nothing less than a universal consensus of the scholars of the Muslim community as a whole can be regarded as conclusive *ijma'*. There is thus no room whatsoever for disagreement, or *ikhtilaf*, within the concept of *ijma'*. The theory of *ijma'* is equally unreceptive to the idea of relativity, or a preponderance of agreement within its ranks.

The notion of a universal *ijma`* was probably inspired by the ideal of the political unity of the *ummah*, and its unity in faith and *tawhid*, rather than total consensus on juridical matters. As evidence will show, *ijma'* on particular issues, especially on matters that are open to *ijtihad*, is extremely difficult to prove. Thus the gap between the theory and practice of *ijma`* remains a striking feature of this doctrine. A universal *ijma`* can only be said to exist, as al-Shafi'i has observed, on the obligatory duties, that is, the five pillars of the faith, and other such matters on which the Qur'an and the *Sunnah* are unambiguous and decisive. However, the weakness of such an observation becomes evident when one is reminded that *ijma`* is redundant in the face of a decisive ruling of the Qur'an or the *Sunnah*.

The *Shari'ah* has often been considered as 'a diversity within unity'. This is true in a general sense, in that there is unity to the essentials and in the broad outlines of the *ahkam*. But the same cannot be said of the detailed rulings of the jurists. It is admittedly true to say, again in a general sense, that the *ikhtilaf* of individual jurists, or of the various schools of law, are different manifestations of the same divine will and may therefore be regarded as an essential unity. But to expect universal consensus on *ijtihadi* matters is totally unrealistic, as many prominent ulema have recognised.

The gap between the theory and practice of *ijma`* is reflected in the difficulty that many jurists have acknowledged to exist over implementing its theoretical requirements. The absolute terms of the classical definition of *ijma`* have hardly been fulfilled by conclusive factual evidence that would eliminate all levels of *ikhtilaf*. *Ijma'* has often been claimed for rulings on which only a majority consensus had existed within or beyond a particular school. The proof and authenticity of *ijma`* has, on

the other hand, not received the kind of attention that has been given to the authentication of Hadith through a reliable *isnad*. The only form of *ijma'* which has been generally upheld is that of the Companions of the Prophet, which is partly due to their special status and not always due to their participation and consensus. With these introductory remarks, then, we may begin to examine the meaning and definition of *ijma'*, and then proceed to discuss some of the issues we have raised.

*Ijma'* is the verbal noun of the Arabic word *ajma'a*, which has two meanings: to determine, and to agree upon something. To give an example of the former, the expression *ajma' a fulan 'ala kadha*, means 'so-and-so decided upon such-and-such'. This usage of *ajma'a* is found both in the Qur'an and in the Hadith. [1. In the Qur'an the phrase *fajma'u amrakum* which occurs in sura Yunus (10:71) means 'determine your plan'. Similarly *fajma'u kaydakum* in sura Taha (20:64), where the Prophet Noah addresses his estranged followers, means 'determine your trick'. The Hadith *la siyama liman lam yajma'al-siyama min al-layl* means that fasting is not valid unless it is determined (or intended) in advance; i.e. from the night before. For details see Amidi, *Ihkam*, I, 195; Shawkani, *Irshad*, p.70.] The other meaning of *ajma'a* is 'unanimous agreement'. Hence the phrase *ajma'a al-qawm ala kadha* means 'the people reached a unanimous agreement on such-and-such'. The second meaning of *ijma'* often subsumes the first, in that whenever there is a unanimous agreement on something, there is also a decision on that matter.

*Ijma'* is defined as the unanimous agreement of the *mujtahidun*, of the Muslim community of any period following the demise of the Prophet Muhammad on any matter. [2. Amidi, *Ihkam*, I, 196, Shawkani, *Irshad*, p.71. Abu Zahrah and 'Abd al-Wahhab Khallaf's definition of *ijma'* differs with that of Amidi and Shawkani on one point, namely the subject matter of *ijma'*, which is confined to *shar'i* matters only (see Abu Zahrah, *Usul*, p.156 and Khallaf, 'Ilm, p. 45).] In this definition, the reference to the *mujtahidun* precludes the agreement of laymen from the purview of *ijma'*. Similarly, by reference to the *mujtahidun* of any period, is meant a period in which there exist a number of *mujtahidun* at the time an incident occurs. Hence it would be of no account if a *mujtahid* or a number of *mujtahidun* become available only after the occurrence of an incident. The reference in the definition to any matter implies that *ijma'* applies to all juridical (*shar'i*), intellectual (*aqli*), customary (*urfi*,) and linguistic (*lughawi*) matters. [3. Shawkani, *Irshad*, p.71.] Furthermore, *shar'i*, in this context is used in contradistinction to *hissi*, that is, matters which are perceptible to the senses and fall beyond the scope of *ijma'*. Some ulema have confined *ijma'* to religious, and others to *shar'i* matters, but the majority of ulema do not restrict *ijma'* to either. Although the majority of jurists consider dogmatics (*itiqadiyahat*) to fall within the ambit of *ijma'*, some have expressed the view that *ijma'* may not be invoked in support of such subjects as the existence of God or the truth of the prophet hood of Muhammad. The reason is that such beliefs precede *ijma'* itself. *Ijma'* derives its validity from the *nusus* on the infallibility (*'ismah*) of the *ummah*. These *nusus*, in turn, take for granted the existence of God and the Prophethood of Muhammad. Now if one attempts to cite *ijma'* in support of these dogmas, this would amount to circumlocution. To illustrate the point further, it may be said that the Qur'an cannot be proved by the *Sunnah*, because the Qur'an precedes the *Sunnah*. [4. According to one view, attributed to the Qadi 'Abd al-Jabbar, matters pertaining to warfare, agriculture, commerce, politics and administration are described as worldly affairs, and *ijma'* is no authority regarding them. One reason given in support of this view is that the Prophet himself precluded these matters from the scope of the *Sunnah* and the same rule is to be applied to *ijma'*. Amidi, however, confirms the majority view when he adds (in his

*Ihkam*, I, 284) that these restrictions do not apply to *ijma'*.] Matters of a practical type which do not partake in the nature of *tashri'* (legislation) do not constitute the proper subject of *ijma'*. For example, the agreement of the Companions to send out troops to Syria or to Persia, or their agreement on setting up certain government departments, etc., did not constitute *ijma'*. For these were practical decisions which were valid in connection with particular circumstances and did not bind the succeeding generations of Muslims. *Ijma'* on a *shar'i* ruling, on the other hand, has a quality of permanence and its validity is not confined by a time limit. [5. Abu Zahrah, *Usul*, p. 165.]

Although the theory refuses to impose any restriction on the subject-matter of *ijma'*, in actual terms the application of *ijma'* is bound to be subject to some reservations. For example, *ijma'* must be of a somewhat limited application in regard to rational and linguistic matters. To say that lying is evil, or that 'hand' also means 'power', need not be supported by *ijma'*. In actual terms, *ijma'* has always been selective in determining its own subject-matter. It was perhaps in view of the dynamic nature of *ijma'* and its infallibility that the ulema were persuaded not to impose any advance reservations on its scope.

It is clear from its definition that *ijma'* can only occur after the demise of the Prophet. For during his lifetime, the Prophet alone was the highest authority on *Shari'ah*, hence the agreement or disagreement of others did not affect the overriding authority of the Prophet. In all probability, *ijma'* occurred for the first time among the Companions in the city of Madinah. Following the demise of the Prophet, the Companions used to consult each other over the problems they encountered, and their collective agreement was accepted by the community. After the Companions, this leadership role passed on to the next generation, the Successors (*tabi'un*) and then to the second generation of Successors. When these latter differed on a point, they naturally referred to the views and practices of the Companions and the Successors. In this way, a fertile ground was created for the development of the theory of *ijma'*. [6. Cf. Aghnides, *Muhammedan Theories*, pp. 37-38.] The essence of *ijma'* lies in the natural growth of ideas. It begins with the personal *ijtihad* of individual jurists and culminates in the universal acceptance of a particular opinion over a period of time. Differences of opinion are tolerated until a consensus emerges, and in the process there is no room for compulsion or the imposition of ideas upon the community.

*Ijma'* plays a crucial role in the development of *Shari'ah*. The existing body of *fiqh* is the product of a long process of *ijtihad* and *ijma'*. Since *ijma'* reflects the natural evolution and acceptance of ideas in the life of the community, the basic notion of *ijma'* can never be expected to discontinue. The idea that *ijma'* came to a halt after the first three generations following the advent of Islam seems to be a by-product of the phenomenon known as the closure of the gate of *ijtihad*. Since *ijma'* originates in *ijtihad*, with the closure of the gate of *ijtihad*, it was expected that *ijma'* also came to a close. This is, however, no more than a superficial equation, as in all probability *ijma'* continued to play a role in consolidating and unifying the law after the supposed termination of *ijtihad*. [7. Cf Ahmad Hasan, *Early Development*, p.160ff.]

*Ijma'* ensures the correct interpretation of the Qur'an, the faithful understanding and transmission of the *Sunnah*, and the legitimate use of *ijtihad*. The question as to whether the law, as contained in the divine sources, has been properly interpreted is always open to a measure of uncertainty and doubt, especially in regard to the deduction of new rules by way of analogy and *ijtihad*. Only *ijma'* can put an end to doubt, and when it throws its weight behind a ruling, this becomes decisive and infallible. *Ijma'* has primarily been regarded as the instrument of conservatism and of preserving the heritage of the past. This is obvious enough in the sense that whatever is accepted by the entire Muslim community as true and correct must be accepted as such. However, *ijma'* is also an instrument of tolerance and of the evolution of ideas in such directions as may reflect the vision of the scholars to the light of the fresh educational and cultural achievements for the community. According to one observer, 'clearly this principle (i.e. *ijma'*) provides Islam with a potential for freedom of movement and a capacity for evolution. It furnishes a desirable corrective against the dead letter of personal authority. It has proved itself, at least in the past, an outstanding factor in the adaptability of Islam.'<sup>[8. Goldziher, *Introduction*, p.52.]</sup>

*Ijma'* enhances the authority of rules which are of speculative origin. Speculative rules do not carry a binding force, but once an *ijma'* is held in their favour, they become definite and binding. Instances can be cited, for example, where the Companions have, by their *ijma'*, upheld the ruling of a solitary Hadith. In such cases, the ruling in question is elevated into a binding rule of law. For example, the prohibition concerning unlawful conjunction, that is, simultaneous marriage to the close relatives of one's wife, is a definitive ruling which is based on *ijma'*, despite the fact that the basis of this *ijma'* is a solitary Hadith - namely the Hadith that prohibits simultaneous marriage to the maternal or paternal aunt of one's wife. Similarly, the grandmother is entitled to a share in inheritance, and this is a *qat'i* ruling of *ijma'* which is based on a solitary Hadith. The Hadith in question is reported by al-Mughirah b. Shu'bah to the effect that the Prophet assigned to the grandmother the portion of one-sixth. *Ijma'* has also played a role in regard to *ahadith* that were not equally known to all the *mujtahidun* especially during the period preceding the collection and compilation of Hadith. It was through *ijma'* that some scholars were informed of the existence of certain *ahadith*.<sup>[9. Muslim, *Sahih*, p.212, Hadith no. 817; Ibn Majah, *Sunan*, II, 910, Hadith no. 2724; Abu Zahrah, *Usul*, pp.159-161.]</sup>

And lastly, *ijma'* represents authority. Once an *ijma'* is established it tends to become an authority in its own right, and its roots in the primary sources are gradually weakened or even lost. It then becomes common practice to quote the law without a reference to the relevant sources. It is partly due to the significance of *ijma'* that the incentive to quote the authority tends to weaken. This is according to Shah Wali Allah, one of the reasons which induced the jurists to recognize *ijma'* as the third source of the *Shari'ah*.<sup>[10. Shah Wali Allah, *Qurrah*, p.40.]</sup>

## Essential Requirements (*Arkan*) of *Ijma'*

Whenever an issue arises and attracts the attention of the *mujtahidun* of the Muslim community at the time of its incidence, and they reach a unanimous agreement on its ruling, it is implied that the ruling so agreed upon is the correct and authoritative ruling of the *Shari'ah*, provided that the following conditions are fulfilled:

1. That there are a number of *mujtahidun* available at the time when the issue is encountered. For consensus can never exist unless there is a plurality of concurrent opinion. Should there be a situation where a plurality of *mujtahidun* could not be obtained, or when there is only a single *mujtahid* in the community, no *ijma'* could be expected to materialise. [11. For details on the essential requirements of *ijma'* see Khallaf, *Ilm*, p.45ff; Shawkani, *Irshad*, p.71ff.]
- 2.
3. According to the majority of ulema, unanimity is a prerequisite of *ijma'*. All the *mujtahidun*, regardless of their locality, race, colour and school or following, must reach a consensus on a juridical opinion at the time an issue arises. The presence of a dissenting view, even on the part of a small minority, precludes the possibility of *ijma'*. If, for example, the *mujtahidun* of Mecca and Madinah, or those of Iraq, or the *mujtahidun* of the family of the Prophet, or the Sunni ulema without the agreement of their Shi'i counterparts agree upon a ruling, no *ijma'* will materialise.

The majority of ulema maintain that lay opinion is not taken into account: in every field of learning, only the opinion of the learned is relevant to *ijma'*. Al-Amidi, however, prefers the minority view, attributed to Abu Bakr al-Baqillani and others, to the effect that *ijma'* includes the agreement of both the laymen and the *mujtahidun*, the reason being that *'ismah*, which is the doctrinal basis of *ijma'*, is a grace of God bestowed on the whole of the community. It would therefore be improper to turn the property of the entire community into a privilege of the *mujtahidun*. The majority view is, however, based on the analysis that the *mujtahidun*, in their capacity as the constituents of *ijma'*, merely represent the community, and therefore no change is proposed in the original locus of *'ismah*. [12. Amidi, *Ihkam*, I, 226. Bazdawi, however, distinguishes matters which do not require specialised knowledge from other matters, and suggests that no discrimination should be made between the layman and the jurists regarding the essentials of the faith. *Ijma'* is thus confined to the *mujtahidun* only in regard to matters which require expert knowledge. See for details, Bazdawi, *Usul*, III, 239.]

- 4.
5. The agreement of the *mujtahidun* must be demonstrated by their expressed opinion on a particular issue. This may be verbal or in writing, such as by giving a *fatwa* in either of these forms, or it may be actual, when, for example, a judge adjudicates the issue in question; or it

may be that every *mujtahid* expresses an opinion, and after gathering their views, they are found to be in agreement. Similarly the *mujtahidun* may give their views collectively when, for example, the *mujtahidun* of the Muslim world assemble at the time an issue is encountered and reach a consensus over its ruling.

6.

7. As a corollary of the second condition above, *ijma'* consists of the agreement of all the *mujtahidun*, and not a mere majority among them. For so long as a dissenting opinion exists, there is the possibility that one side is in error, and no *ijma'* can be envisaged in that situation, for *ijma'* is a decisive proof, which must be founded on certainty. However, according to Ibn Jarir al-Tabari, Abu Bakr al-Razi, one of the two views of Ahmad Ibn Hanbal and Shah Wali Allah, *ijma'* may be concluded by a majority opinion. But al-Asmidi prefers the majority view on this point, which requires the participation of all *mujtahidun*.<sup>[13. Amidi, *Ihkam*, I, 235.]</sup>

8.

In regard to the rules of *fiqh*, it is the *ijma'* of the *fuqaha* alone which is taken into account.<sup>[14. Shawkani, *Irshad*, p.71.]</sup> The question naturally arises whether *fuqaha* belonging to certain factions like the Khawarij, the Shi'ah, or those who might have been charged with heresy and *bid'ah* are qualified to participate in *ijma'*. According to the majority view, if a *faqih* is known to have actively invited the people to *bid'ah*, he is excluded from *ijma'*;

otherwise he is included in the ranks of *ahl al-ijma'*.<sup>[15. Abu Zahrah, *Usul*, p.162.]</sup> The Hanafis preclude a transgressor (*fasiq*) and one who does not act upon his doctrine from being among the *ahl al-ijma'*, whereas the Shafi'is and some Malikis maintain that a mere transgression is no disqualification.<sup>[16. Amidi, *Ihkam*, I, 261; `Abdur Rahim, *Jurisprudence*, p.122.]</sup> Some *fuqaha* have held that *ijma'* is concluded only with the disappearance of the generation (*inqirad al-'asr*), that is, when the *mujtahidun* who took part in it have all passed away. For if any of them is known to be alive, there would still be a possibility that he may change his view, in which case the *ijma'* would collapse. A corollary of this rule is that *ijma'* is retrospective, in that it only binds succeeding generations but not its own constituents.<sup>[17. Abu Zahrah, *Usul*, p.164.]</sup>

The majority of jurists, however, maintain that this is not a condition of *ijma'* and that *ijma'* not only binds the next generation but also its own participants, as it would only be reasonable to expect that if *ijma'* did not bind its participants, it should not bind anyone else either.<sup>[18. Shawkani, *Irshad*, p.71.]</sup> With regard to the tacit *ijma'* (for which see below), too, some jurists have held that it is concluded only after the death of its participants, so that it can be established that none of them have subsequently expressed an opinion. For when they break their silence they will no longer be regarded as silent participants, and may even turn a tacit *ijma'* into an explicit one.



The majority of ulema, nevertheless, refuse to place any importance on the 'disappearance of the generation', for in view of the overlapping of generations (*tadakhul al-a'sar*), it is impossible to distinguish the end of one generation from the beginning of the next. Thus the period of the Companions cannot be clearly distinguished from that of the Successors, nor can any other period be so distinguished from its preceding or succeeding generations. [19. Amidi, *Ihkam*, I, 257; Ibn Hazm, *Ihkam*, IV, 154.]

However, al-Ghazali, to all intents and purposes, has resolved this question by stating that 'for the formation of *ijma`*', it is enough that agreement should have taken place, even if only for an instant'. [20. Ghazali, *Mustasfa*, I, 121.]

When *ijma`* fulfills the foregoing requirements, it becomes binding (*wajib*) on everyone. Consequently, the *mujtahidun* of a subsequent age are no longer at liberty to exercise fresh *ijtihad* over the same issue. For once it is concluded, *ijma`* is not open to amendment or abrogation (*naskh*). The rules of *naskh* are not relevant to *ijma`* in the sense that *ijma`* can neither repeal nor be repealed. This is the majority view, although some jurists have stated that the constituents of *ijma`* themselves are entitled to repeal their own *ijma`* and to enact another one to its place. But once an *ijma`* is finalised, especially when all of its constituents have passed away, no further *ijma`* may be concluded on the same subject. Should there be a second *ijma`* on the same point, it will be of no account. [21. Khallaf, *Ilm*, pp. 46-47; Abu Zahrah, *Usul*, p. 167.]

### Proof (*Hujjiyyah*) of *Ijma`*

What proof is there that *ijma`* is a source of law? The ulema have sought to justify *ijma`* on the authority of the Qur'an, the *Sunnah*, and reason. We shall presently discuss the *ayat* and the *ahadith* that have been quoted in support of *ijma`*. It should be noted at the outset, however, that the ulema have on the whole maintained the impression that the textual evidence in support of *ijma`* does not amount to a conclusive proof. Having said this, one might add that both al-Ghazali and al-Amidi are of the view that when compared to the Qur'an, the *Sunnah* provides a stronger argument in favour of *ijma`*. [22. Ghazali, *Mustasfa*, I, III, Amidi, *Ihkam*, I, 219.]

#### 1. *Ijma`* in the Qur'an:

The Qur'an (al-Nisa', 4:59) is explicit on the requirement of obedience to God, to His Messenger, and 'those who are in charge of affairs', the *ulu al amr*. [23. The *ayah* (4:59) provides: 'O you who believe, obey God, and obey the Messenger, and those charged with authority among you.'] It is also suggested that this *ayah* lends support to the infallibility of *ijma`*. According to al-Fakhr al-Razi, since God has commanded obedience to the *ulu al-amr*, the

judgement of the *ulu al-amr* must therefore be immune from error. For God cannot command obedience to anyone who is liable to committing errors. [24. Razi, *Tafsir*, III, 243.] The word '*amr*' in this context is general and would thus include both secular and religious affairs. The former is discharged by the political rulers, whereas the latter is discharged by the ulema. According to a commentary attributed to Ibn 'Abbas, *ulu al-amr* in this *ayah* refers to ulema, whereas other commentators have considered it to be a reference to the *umara*, that is, 'rulers and commanders'. The *zahir* of the text includes both, and enjoins obedience to each in their respective spheres. Hence, when the *ulu al-amr* in juridical matters, namely the *mujtahidun*, reach a consensus on a ruling, it must be obeyed. [25. Khallaf, *Ilm*, p. 47.]

Further support for this conclusion can be found elsewhere in sura al-Nisa' (4:83) which once again confirms the authority of the *ulu al-amr* next to the Prophet himself. [26. The *ayah* (4:83) provides: 'If they would only refer it to the Messenger and those among them who hold command, those of them who investigate matters would have known about it.' (Irving's translation, p. 45.)]

The one *ayah* which is most frequently quoted in support of *ijma'* occurs in sura al-Nisa' (4:115), which is as follows:

*And anyone who splits off from the Messenger after the guidance has become clear to him and follows a way other than that of the believers, We shall leave him in the path he has chosen, and land him in Hell. What an evil refuge!*

The commentators observe that 'the way of the believers' in this *ayah* refers to their 'agreement and the way that they have chosen', in other words, to their consensus. Adherence to the way of the community is thus binding, while departure from it is forbidden. Departing from the believers' way has been approximated to disobeying the Prophet, both of which are forbidden. There are several points that the commentators have highlighted concerning this *ayah*. However, before elaborating further, a brief discussion of the other Qur'anic passages which are quoted in support of consensus would be useful.

The Qur'an is expressive of the dignified status that God has bestowed on the Muslim community. Thus we read in sura Al-'Imran (3:109): 'You are the best community that has been raised for mankind. You enjoin right and forbid evil and you believe in God.' This *ayah* attests to some of the outstanding merits of the Muslim community. It is thus argued that had the community been capable of agreeing on an error, the Qur'an would not have praised it in such terms. It is further noted that the contents of this *ayah* give some indication as to the meaning of the phrase 'the believers' way'.

On the same theme, we read in sura al-Baqarah (2:143): 'Thus We have made you a middle nation [*ummata wasatan*], that you may be witnesses over mankind.' Literally, *wasat* means 'middle', implying justice and balance, qualities which merit recognition of the agreed decision of the community

and the rectitude of its way. Furthermore, it is by virtue of uprightness that God has bestowed upon the Muslim community the merit of being a 'witness over mankind'.<sup>[27. Amidi, *Ihkam*, I, 211.]</sup>

In yet another reference to the *ummah*, the Qur'an proclaims in sura al-A'raf (7:181): 'And of those We created are a nation who direct others with truth and dispense justice on its basis.' There are three other *ayat* which need to be quoted. These are:

Al-'Imran (3:102): 'Cling firmly together to God's rope and do not separate.'

This *ayah* obviously forbids separation (*tafarruq*). Since opposition to the *ijma'* is a form of *tafarruq*, it is therefore prohibited.<sup>[28. Amidi, *Ihkam*, I, 217; Ghazali, *Mustasfa*, I, 111.]</sup>

Al-Shura (42:10): 'And in whatever you differ, the judgment remains with God', 'which implicitly approves that in which the community is in agreement.'<sup>[29. Ghazali, *Mustasfa*, I, 111.]</sup>

Al-Nisa' (4:59): 'Then if you dispute over something, refer it to God and the Messenger.'

By implication (i.e., divergent implication -*mafhum al-mukhalafah*), this *ayah* too upholds the authority of all that is agreed upon by the community.<sup>[30. Ghazali, *Mustasfa*, I, 111.]</sup>

Having quoted all the foregoing *ayat*, al-Ghazali observes that 'all of these are apparent indications (*zawahir*) none of which amounts to a clear *nass* on the subject of *ijma'*.' Al-Ghazali adds that of all these, the *ayah* at 4:115 is closest to the point. For it renders adherence to the 'believers' way' an obligation. Al-Shafi'i has also quoted it, and has drawn the conclusion that this *ayah* provides a clear authority for *ijma'*. According to him, following a way other than that of the believers is *haram*, and following the believers' way is *wajib*.<sup>[31. Ghazali, *Mustasfa*, I, 111.]</sup> But despite this, al-Ghazali explains that the main theme of this *ayah* is a warning against disobedience to the Prophet and hostility against the believers. It requires the believers to give the Prophet active support and defend him against enemies. It is not enough for a believer merely to avoid causing hardship (*mashaqqa*) to the Prophet; he must actively help him and obey all his commands and prohibitions. This is the main theme of the *ayah*. The Prophet himself has not given it a specific interpretation to warrant a departure from its manifest (*zahir*) meaning. The Prophet, in other words, has not made any reference to *ijma'* in this context. From this

analysis, it would appear that al-Ghazali does not agree with the conclusion that al-Shafi'i has drawn from this *ayah*.

Jalal al-Din al-Suyuti's interpretation of the same *ayah* is broadly in line with what al-Ghazali had to say. There is no indication in al-Suyuti's *Tafsir al-Jalalayn* to the effect that this *ayah* provides an explicit authority for *ijma'*.<sup>[32. Suyuti, *Tafsir*, I, 87.]</sup>

Following a path other than that of the believers', according to both al-Suyuti and al-Shawkani, means abandoning Islam. Al-Shawkani adds: 'A number of ulema have drawn the conclusion that this *ayah* provides the authority for *ijma'*. But this is an unwarranted conclusion, as following a way other than that of the believers means unbelief, that is, renouncing Islam in favour of another religion.' Al-Shawkani further suggests that the occasion of revelation (*sha'n al-nuzul*) of this *ayah* relates to the context of apostasy. Specifically, it is reported that one Tu'mah b. Ubayraq had accused a Jew of a theft which Tu'mah had committed himself. As a result of the revelation of this *ayah*, the Jew was cleared of the charge but Tu'mah himself renounced Islam and fled to Mecca.<sup>[33. Shawkani, *Fath al-Qadir*, I, 515; idem., *Irshad*, p.</sup>

<sup>75. For a good summary of Shawkani's views on the subject see Sadr, *ijma'*, pp. 30-40.]</sup>

Muhammad 'Abduh and his disciple, Rashid Rida have observed that the *ayah* under discussion was revealed concerning the 'way of the believers' during the lifetime of the Prophet, and its application must be confined to that period. For hostility toward the Prophet was only possible when he was alive. 'Abduh further remarks that to quote this

*ayah* in support of *ijma'* leads to irrational conclusions, for it would amount to drawing a parallel between those who are threatened with the punishment of Hell and a *mujtahid* who differs with the opinion of others. A *mujtahid*, even when he takes an exception to the prevalent opinion, or to the path followed by other *mujtahidun*, is still a Muslim, and even merits a reward for his efforts. 'Abduh concludes that the *sha'n al-nuzul* of this *ayah* does not lend support to the conclusion that al-Shafi'i has drawn from it.<sup>[34. Rashid Rida, *Tafsir al-Manar*, V, 201. For a similar view see Sadr, *ijma'*, p.40.]</sup>

It is further suggested that the threat in the *ayah* under discussion is primarily concerned with the first part of the *ayah*, namely, disobeying the Prophet, and not necessarily with the second. Hence divergence from the believers' way is lawful in the absence of opposition to the Prophet. The validity of this critique is, however, disputed, as the *ayah* itself does not distinguish between the two parts as such, and therefore the threat applies equally to both.<sup>[35. Amidi, *Ihkam*, I, 205.]</sup>

Al-Amidi discusses the Qur'anic *ayat* concerning *ijma'*, and concludes that they may give rise to a probability (*zann*) but they do not impart positive knowledge. If we assume that *ijma'* is a decisive proof, then establishing its authority on the basis of speculative evidence is not enough. Speculative

evidence would suffice only if *ijma'* were deemed to be a speculative doctrine, which is not the case.<sup>[36]</sup>  
Amidi, *Ihkam*, I, 218.]

## 2. The Sunnah on *Ijma'*

The Hadith which is most frequently quoted in support of *ijma'* reads:

'My community shall never agree on an error.'<sup>[37. Ibn Majah, *Sunan*, II, 1303. Hadith no. 3950. This and a number of other *ahadith* on *ijma'* have been quoted by both Ghazali and Amidi as shown in footnote 41 below.]</sup>

The last word in this Hadith, namely *al-dalalah*, is rendered in some reports as *al-khata*.' The jurists have used the two words interchangeably, but in the classical Hadith collections this Hadith has been recorded with the word *al-dalalah*.<sup>[38. Cf. Ahmad Hasan, *Doctrine*, p.60.]</sup>

Al-Ghazali has pointed out that this Hadith is not *mutawatir*, and as such, it is not an absolute authority like the Qur'an. The Qur'an on the other hand is *mutawatir* but contains no *nass* on *ijma'*. Having said this, however, al-Ghazali adds that a number of prominent Companions have reported *ahadith* from the Prophet, which although different in their wording, are all in consonance on the theme of the infallibility of the community and its immunity from error.<sup>[39. Ghazali, *Mustasfa*, I, 111.]</sup>

Leading figures among the Companions such as 'Umar b. al-Khattab, 'Abdullah b. Mas'ud, Anas b. Malik, 'Abdullah b. Umar, Abu Said al-Khudri, Abu Hurayrah, Hudhayfah and others have reported *ahadith* which include the following:

1. My community shall never agree upon an error (*al-khata'*):
2. God will not let my community agree upon an error:
3. I beseeched Almighty God not to bring my community to the point of agreeing on *dalalah* and He granted me this:
4. Those who seek the joy of residing in Paradise will follow the community. For Satan can chase an individual but he stands farther away from two people:

5. The hand of God is with the community and (its safety) is not endangered by isolated oppositions:

6. Whoever leaves the community or separates himself from it by the length of a span is breaking his bond with Islam:

7. A group of my *ummah* shall continue to remain on the right path. They will be the dominant force and will not be harmed by the opposition of opponents:

8. Whoever separates himself from the community and dies, dies the death of ignorance (*jahiliyyah*):

9. And finally, the well-known saying of 'Abdullah b. Mas'ud which is as follows:

'Whatever the Muslims deem to be good is good in the eyes of God.'<sup>[40. Amidi considers this to be a Hadith whose chain of narration goes back to the Prophet (see his *Ihkam*, I, 214). Ahmad Hasan points out that Muhammad b. Hasan al-Shaybani initially reported this as a Hadith, but later it was attributed to Ibn Mas'ud (see his *Doctrine*, p. 37).]</sup>

Having quoted these (and other) *ahadith*, both al-Ghazali and al-Amidi observe that their main theme and purport has not been opposed by the Companions, the Successors and others throughout the ages, and that everyone has agreed on their broad outline. The ulema have continued to rely on them in their exposition of the general and detailed rules of the *Shari'ah*. In answer to the point that all these are solitary (*ahad*) reports which do not amount to a definitive proof, the same authors observe that the main purport of these *ahadith* nevertheless conveys positive knowledge, and that the infallibility of the *ummah* is sustained by their collective weight<sup>[41. Ghazali, *Mustasfa*; I, 111; Amidi, *Ihkam*, I, 220-221.]</sup> The point may be illustrated by saying that we know the courage of `Ali, the generosity of Hatim, the erudition of al-Shafi'i in *fiqh*, and the esteem in which the Prophet held his Companions, despite the absence of *Mutawatir* reports on these subjects. Although the foregoing *ahadith* are all *Ahad* and could be subjected to doubt if taken individually, their collective import may, nevertheless, not be denied.<sup>[42. Ghazali, *Mustasfa*, I, 112.]</sup>

As to the question whether '*dalalah*' and '*khata*', in these *ahadith* (especially in the first four) could mean disbelief (*kufir*) and heresy (*bid'ah*) with the view that the Prophet might have meant that his

community shall not fall into disbelief, it is observed that *khata'* is general and could include *kufir* but that *dalalah* does not, for *dalalah* only means an error or erroneous conduct. [43. Ghazali, *Mustasfa*, I, 112: We find in the Qur'an, for example, in an address to the Prophet Muhammad: And He found then wondering [*dallan*] and gave thee guidance (al-Duha, 93:7). In another place the Qur'an relates of Moses the following words: 'He said: I did it then [i.e. slayed the Egyptian] when I was in error' (al-Shu'ara', 26:20). In both these instances *dalal* does not imply disbelief. Similarly the Arabic expression *dalla fulan 'an al-tariq* (so-and-so lost his way) confirms the same meaning of *dalal*.] If

*dalalah* meant disbelief, then the *ahadith* under discussion would fail to provide an authority for the infallibility of the *ummah*, but if it meant an error only, then they could provide such authority. [44. Ghazali, *Mustasfa*, I, 112: We find in the Qur'an, for example, in an address to the Prophet Muhammad: And He found then wondering [*dallan*] and gave thee guidance (al-Duha, 93:7). In another place the Qur'an relates of Moses the following words: 'He said: I did it then [i.e. slayed the Egyptian] when I was in error' (al-Shu'ara', 26:20). In both these instances *dalal* does not imply disbelief. Similarly the Arabic expression *dalla fulan 'an al-tariq* (so-and-so lost his way) confirms the same meaning of *dalal*.]

It is further observed that the article '*la*' in the Hadith under discussion could either imply negation (*nafy*) or prohibition (*nahy*). If the latter, it would simply prohibit the people from deviation, and as such the Hadith could not sustain the notion of infallibility for the *ummah*. [45 Sadr *Ijma'*, p. 43: An example of the '*la* of prohibition' is the Qur'anic prohibition concerning adultery which reads *la taqrabu al-zina* (do not approach *zina*). With a *la* of prohibition the Hadith would simply instruct the community not to agree upon an error.] According to another observer, the manifest (*zahir*) meaning of the Hadith is that the *ummah* abstains from a collective agreement on an error. The Hadith, in other words, precludes a general agreement on an error, but not the error itself. These are some of the doubts which have been expressed concerning the precise meaning of the Hadith. They may or may not be correct, but so long as the Hadith is open to such doubts, it cannot provide a decisive proof (*dalil qat'i*) for *ijma'*. [46. Sadr. *Ijma'*, 43.] Muhammad 'Abduh has observed that the Hadith in question does not speak of *ijma'* at all, nor does it sustain the notion of infallibility for the community. It is an exaggerated claim to read *ijma'* into this Hadith regardless of whether reference is made to the agreement of the jurists or to that of the community at large. [47. Rida, *Tafsir al-Manar*, V, 205.]

It is further suggested that some of the foregoing *ahadith* (nos. 4, 5 and 6 in particular) simply encourage fraternity and love among the members of the community, and, as such, do not en-visage the notion of *ijma'* as a source of law. As for our Hadith number seven, although al-Ghazali quotes it, it is not relevant to *ijma'*, as it obviously means that a group of the *ummah* shall remain on the right path, not the *ummah* as a whole. The Shi'ah Imamiyyah have quoted this Hadith in support of their doctrine of the *ijma'* of *ahl al-bayt*, which refers to the members of the family of the Prophet. [48. Sadr, *Ijma'*, pp. 44-45.]

The word '*ummah*' (or *jama'ah*) in the foregoing *ahadith* means, according to one view, the overwhelming majority of Muslims. This view is supported in a number of statements from the Companions. According to another view, *jama'ah* refers to the scholars of the community only. The masses, it is argued, look up to the scholars from whom they acquire knowledge of law and religion, and it is the latter whose consensus is contemplated in the relevant *ahadith*. According to yet another opinion, *ummah* (and *jama'ah*) refers only to the Companions, who are the founding fathers of the

Muslim community. According to this interpretation, *ummah* and *jama'ah* in all the foregoing *ahadith* refer to the Companions only.<sup>[49. Cf. Hasan, *Doctrine*, p.59.]</sup>

And finally, *ummah* and *jama'ah* refer to the whole of the Muslim community and not to a particular section thereof. The grace of *'ismah*, according to this view, is endowed on the whole of the community without any reservation or specification. Thus is the view of al-Shafi'i, who wrote in his *Risalah*: 'And we know that the people at large cannot agree on an error or on what may contradict the *Sunnah* of the Prophet.'<sup>[50. Shafi'i's *Risalah* (trans. Khadduri), p.285.]</sup>

Having discussed the *ahadith* relating to *ijma'*, Ahmad Hasan observes that they are inconclusive. All of them emphasise unity and integration. Some of them are predictive and others circumstantial: They may mean *ijma'*, or something else.' Hence the argument that they provide the authority for *ijma'* is 'definitely subjective'. The same author elaborates that:

- a. There was no idea of *ijma'* as a doctrine of jurisprudence in the early period;
- b.
- c. The jurists could not determine a definite meaning for '*ummah*' or *Jama'ah* ; and
- d.
- e. *Ahadith* which convey a general meaning should not be restricted to a particular point of view.<sup>[51. Hasan, *Doctrine*, pp.59-60.]</sup>
- f.

Notwithstanding the doubts and uncertainties in the *nusus*, the majority of ulema have concluded that the consensus of all the *mujtahidun* on a particular ruling is a sure indication that the word of truth has prevailed over their differences; that it is due to the strength of that truth that they have reached a consensus. This rational argument in support of *ijma'* has been further advanced to the effect that consensus upon a *shar'i* ruling is bound to be founded on sound *ijtihad*. In exercising *ijtihad*, the *mujtahid* is normally guided by certain rules and guidelines. *Ijtihad* often consists of an interpretation of the *nass*, or of a rational extension of its ruling. Even in the absence of a *nass*, *ijtihad* still observes both the letter and spirit of the sources which the *mujtahid* has mastered through his general knowledge. Since *ijtihad* is founded on sound authority in the first place, the unanimous agreement of all the *mujtahidun* on a particular ruling indicates that there is clear authority in the *Shari'ah* to sustain their consensus. In the event of this authority being weak or speculative, we can only expect disagreement (*ikhtilaf*), which would automatically preclude consensus. *Ijma'* in other words, accounts for its own authority.



A number of ulema, including the Mu'tazili leader Abraham al-Nazism and some Shi'i ulema, have held that *ijma'* in the way defined by the *jumhur* ulema is not feasible. To ascertain the consensus of the ulema on any matter which is not obvious is just as impossible as their unanimity at any given moment on what they utter and what they eat. [52. Shawkani, *Irshad*, p. 79; Khallaf, *'Ilm*, p.48.] It may be possible to ascertain the broad outline of an agreement among the *mujtahidun* on a particular matter, but to say that their consensus could be ascertained in such a way- as to impart positive knowledge is not feasible. Since the *mujtahidun* would normally be located in distant places, cities and continents, access to all of them and obtaining their views is beyond the bounds of practicality. Difficulties are also encountered in distinguishing a *mujtahid* from a non-*mujtahid*. Since it is the *mujtahidun* whose consensus constitutes *ijma'*, one must be able to identify them with certainty. Apart from the absence of clear criteria concerning the attributes of a *mujtahid*, there are some among them who have not achieved fame. Even granting that they could be known and numbered, there is still no guarantee to ensure that the *mujtahid* who gives an opinion will not change it before an *ijma'* is reached. So long as this is possible, no *ijma'* can be realised, for it is a condition of *ijma'* that all the *mujtahidun* be simultaneously in agreement. [53. Khallaf, *'Ilm*, p.49.] It is mainly due to these reasons that al-Shafi'i confines the occurrence of *ijma'* to the obligatory duties alone as he considers that on matters other than these, *ijma'* is not a realistic proposition at all. [54. Shafi'i, *Risalah*, p.205; Abu Zahrah, *Usul*, p.158.]

It is due partly to their concern over the feasibility of *ijma'* that according to the Zahiris and Imam Ahmad ibn Hanbal *ijma'* refers to the consensus of the Companions alone. Imam Malik on the other hand confines *ijma'* to the people of Madinah, and the Shi'ah Imamiyyah recognise only the agreement of the members of the Prophet's family (*ahl al-bayt*). In Shi'i jurisprudence, *ijma'* is inextricably linked with the *Sunnah*. For the agreement of the *ahl al-bayt* (that is, their recognised Imams), automatically becomes an integral part of the *Sunnah*. 'In the Shi'ite view', as Mutahhari explains, 'consensus goes back to the *Sunnah* of the Prophet [...]. Consensus is not genuinely binding in its own right, rather it is binding inasmuch as it is a means of discovering the *Sunnah*.' [55. Amidi, *Ihkam*, I, 230. Mutahhari, *Jurisprudence*, p.20.] In support of their argument that *ijma'* is confined to the *ahl al-bayt*, the Shi'i ulema have referred to the Qur'an (al-Ahzab 33:33): 'God wishes to cleanse you, the people of the house [of the Prophet], of impurities.' The Shi'i doctrine also relies on the Hadith in which the Prophet is reported to have said, 'I am leaving among you two weighty things, which, if you hold by them, you will not go astray: The Book of God, and my family.'

The reference in this Hadith, according to its Shi'i interpreters, is to 'Ali, Fatimah, Hasan and Husayn. The Sunnis have maintained, however, that the *ayah* in sura al-Ahzab was revealed regarding the wives of the Prophet and that the context in which it was revealed is different. Similarly, while quoting the

foregoing Hadith, al-Amidi observes: `doubtlessly the *ahl al-bayt* enjoy a dignified status, but dignity and descent are not necessarily the criteria of one's ability to carry out *ijtihad*.<sup>[56. Amidi, *Ihkam*, I, 246ff.]</sup>

There is yet another argument to suggest that *ijma'* is neither possible nor, in fact, necessary. Since *ijma'* is founded on *ijtihad*, the *mujtahid* must rely on an indication (*dalil*) in the sources which is either decisive (*qat'i*) or speculative (*zanni*). If the former is the case, the community is bound to know of it, for a decisive indication in the *nusus* could not remain hidden from the entire community. Hence there would be no need for *ijma'* to substantiate the *nass* or to make it known to the people. Furthermore, when there is *qat'i* indication, then that itself is the authority, in which case *ijma'* would be redundant.<sup>[57. Khallaf, *'Ilm*, p. 49.]</sup> *Ijma'*, in other words, can add nothing to the authority of a decisive *nass*. But if the indication in the *nass* happens to be speculative, then once again there will be no case for *ijma'*: a speculative indication can only give rise to *ikhtilaf*, not *ijma'*.<sup>[58. Khallaf, *'Ilm*, p. 49; Shawkani, *Irshad*, p.79.]</sup>

According to a report, `Abdullah b. Ahmad b. Hanbal quoted his father to have said: It is no more than a lie for any man to claim the existence of *ijma'*. Whoever claims *ijma'* is telling a lie.<sup>[59. Amidi, *Ihkam*, I, 198; Shawkani, *Irshad*, p.73.]</sup> The *jumhur* ulema, however, maintain that *ijma'* is possible and has occurred in the past, adding that those who deny it are only casting doubt on the possibility of something which has occurred. Note for example the *ijma'* of the Companions on the exclusion of the son's son from inheritance, when there is a son; and their *ijma'* on the rule that land in the conquered territories may not be distributed to the conquerors; or their ruling that consanguine brothers are counted as full brothers in the absence of the latter.<sup>[60. Abu Zahrah, *Usul*, p.159.]</sup> This last rule is based on a Hadith in which the Prophet counted them both as brothers without distinguishing the one from the other.<sup>[61. Abu Zahrah, *Usul*, p.165.]</sup> The *ijma'* that is recorded on these issues became standard practice during the period of the first four caliphs, who often consulted the Companions and announced their collective decisions in public.<sup>[62. Hasan, *Doctrine*, p.164.]</sup>

`Abd al-Wahhab Khallaf is of the view that an *ijma'* in accordance with its classical definition is not feasible in modern times. Khallaf adds that it is unlikely that *ijma'* could be effectively utilised if it is left to Muslim individuals and communities without there being a measure of government intervention. But *ijma'* could be feasible if it were to be facilitated by the ruling authorities. The government in every Muslim country could, for example, specify certain conditions for attainment to the rank of *mujtahid*, and make this contingent upon obtaining a recognised certificate. This would enable every government to identify the *mujtahidun* and to verify their views when the occasion so required. When the views of all the *mujtahidun* throughout the Islamic lands concur upon a ruling concerning an issue, this becomes *ijma'*, and the ruling so arrived at becomes a binding *hukm* of the *Shari'ah* upon all the Muslims of the world.<sup>[63. Khallaf, *'Ilm*, pp. 49-50.]</sup>

The question is once again asked whether the classical definition of *ijma'* has ever been fulfilled at any period following the demise of the Prophet. Khallaf answers this question in the negative, although

some ulema maintain that the *ijma'* of the Companions did fulfill these requirements. Khallaf observes that anyone who scrutinises events during the period of the companions will note that their *ijma'* consisted of the agreement of the learned among them who were present at the time when an issue was deliberated, and the ruling which followed was a collective decision of the *shura*. When the caliph Abu Bakr could not find the necessary guidance for settling a dispute in the Qur'an or the *Sunnah*, he would convene the community leaders for consultation, and if they agreed on an opinion, he would act upon it. The community leaders so convened did not include everyone; many were, in fact, on duty in Mecca, Syria, the Yemen, etc. There is nothing in the reports to suggest that Abu Bakr postponed the settlement of disputes until a time when all the *mujtahidun* of the age in different cities reached an agreement. He would instead act on the collective decision of those who were present. The practice of 'Umar b. al-Khattab corresponded with that of his predecessor, and this is what the *fuqaha* have referred to as *ijma'*. This form of *ijma'* was only practiced during the period of the Companions, and intermittently under the Umayyads in al-Andalus when in the second Islamic century they set up a council of ulema for consultation in legislative affairs (*tashri'*). References are found, in the works of some ulema of the Andalus, to the effect that so-and-so was the 'learned member' of the council.

With the exception of these periods in the history of Islam, no collective *ijma'* is known to have taken place on any medical matter. The *mujtahidun* were engaged in their juridical activities as individuals, whose views either agreed or disagreed with those of the other *mujtahidun*. The most that a particular *mujtahid* was able to say on any particular matter was that 'no disagreement is known to exist on the *hukm* of this or that incident'.<sup>[64. Khallaf, 'Ilm, p.50.]</sup>

## Types of *Ijma'*

From the viewpoint of the manner of its occurrence, *ijma'* is divided into two types:

- a. Explicit *ijma'* (*al-ijma' al-sarih*) in which every *mujtahid* expresses his opinion either verbally or by an action; and
- b.
- c. Tacit *ijma'* (*al-ijma' al-sukuti*) whereby some of the *mujtahidun* of a particular age give an expressed opinion concerning an incident while the rest remain silent.
- d.

According to the *jumhur* ulema, explicit *ijma'* is definitive and binding. Tacit *ijma'* is a presumptive *ijma'* which only creates a probability (*zann*) but does not preclude the possibility of fresh *ijtihad* on the

same issue. Since tacit *ijma`* does not imply the definite agreement of all its participants, the ulema have differed over its authority as a proof. The majority of ulema, including al-Shafi'i, have held that it is not a proof and that it does not amount to more than the view of some individual *mujtahidun*. But the Hanafis have considered tacit *ijma`* to be a proof provided it is established that the *mujtahid* who has remained silent had known of the opinion of other *mujtahidun* but then, having had ample time to investigate and to express an opinion, still chose to remain silent. If it is not known that the silence was due to fear or *taqiyyah* (hiding one's true opinion), or wariness of inviting disfavour and ridicule, then the silence of a *mujtahid* on an occasion where he ought to express an opinion when there was nothing to stop him from doing so would be considered tantamount to agreeing with the existing opinion.<sup>[65]</sup>

Khallaf, *Ilm*, p. 51; Shawkani, *Irshad*, p.72.]

The proponents of tacit *ijma`* have further pointed out that explicit agreement or open speech by all the *mujtahidun* concerning an issue is neither customary nor possible. In every age, it is the usual practice that the leading ulema give an opinion which is often accepted by others. Suppose that the entire *ummah* gathered in one place and shouted all at once saying that, 'we agree on such-and-such'. Even if this were possible, it would still not impart positive knowledge. For some of them might have remained silent due to fear, uncertainty, or *taqiyyah*.<sup>[66. Shawkani, *Irshad*, p.72, Abu Zahrah, *Usul*, p.163.]</sup>

Further, the Hanafis draw a distinction between the 'concession' (*rukhsah*) and 'strict rule' (*azimah*), and consider tacit *ijma`* to be valid only with regard to the former. In order to establish a strict rule, *ijma`* must be definitely stated or expressed by an act. The Hanafis are alone in validating tacit *ijma`*. The Zahiris refuse it altogether, while some

Shafi'is like al-Juwayni, al-Ghazali and al-Amidi allow it with certain reservations. 'It is *ijma`*', al-Ghazali tells us, 'provided that the tacit agreement is accompanied by indications of approval on the part of those who are silent.'<sup>[67. Ghazali, *Mustasfa*, I, 121; *Encyclopedia of Islam* (New Edition) III, 1024.]</sup>

The majority opinion on this matter is considered to be preferable. For the silence of a *mujtahid* could be due to a variety of factors, and it would be arbitrary to lump them all together and say that silence definitely indicates consent. But despite the controversy it has aroused, tacit *ijma`* is by no means an exceptional case. On the contrary, it is suggested that most of what is known by the name of *ijma`* falls under this category.<sup>[68. Khallaf, *Ilm*, p.51.]</sup>

The next topic that needs to be taken up in this context is the 'Madinese consensus', or *ijma` ahl al-Madinah*.

According to the Maliki ulema, since Madinah was the centre of Islamic teaching, the 'abode of hijrah' (*dar al-hijrah*) and the place where most of the Companions resided, the consensus of its people is bound to command high authority. Although the majority of ulema have held that the Madinese *ijma`* is

not a proof on its own, Imam Malik held that it is. There is some disagreement among the disciples of Malik as to the interpretation of the views of their Imam. Some of these disciples have observed that Imam Malik had only meant that the *ijma`* of the people of Madinah is a proof 'from the viewpoint of narration and factual reporting' (*min jihah al-naql wa'l-riwayah*) as they were closest to the sources of the *Shari'ah*. Other Maliki jurists have held that Malik only meant the Madinese *ijma`* to be preferable but not exclusive. There are still others who say that Malik had in mind the *ijma`* of the Companions alone. The proponents of the Madinese

*ijma`* sought to substantiate their views with *ahadith* which include the following: 'Madinah is sacred, and throws out its dross as fire casts out the dross of metal,' and 'Islam will cling to Madinah as a serpent clings to its hole.' [69. Bukhari, *Sahih* (Istanbul edn.), II, 221; Muslim, *Sahih*, p.17, Hadith no.38; Amidi, *Ihkam*, I, 243. Ibn Hazm discusses *ijma` ahl al-Madinah* in some length, but cites none of the *ahadith* that are quoted by Amidi and others. He merely points out that some of the *ahadith* which are quoted in support of the Maliki doctrine are authentic (*sahih*), while others are mere fabrications (*makdhub/mawdu`*) reported by one 'Muhammad ibn Hasan ibn Zabalah' (*Ihkam* IV, 154-155)]

The majority of jurists, however, maintain that these *ahadith* merely speak of the dignity of Madinah and its people. Even if the *ahadith* are taken to rule out the presence of impurity in Madinah, they do not mean that the rest of the *ummah* is impure, and even less that the Madinese *ijma`* alone is authoritative. Had the sacred character of a place been a valid criterion, then one might say that the consensus of the people of Mecca would command even greater authority, as Mecca is the most virtuous of cities (*afdal al-bilad*) according to the *nass* of the Qur'an. Furthermore, knowledge and competence in *ijtihad* are not confined to any particular place. This is the purport of the Hadith in which the Prophet said: 'My Companions are like stars. Whomsoever of them that you follow will guide you to the right path.'

This Hadith pays no attention whatsoever to the place where a Companion might have resided. [70. Amidi, *Ihkam*, I, 243ff.] To this analysis, Ibn Hazm adds the point that there were, as we learn from the Qur'an, profligates and transgressors (*fussaq wa'l-munafiqun*) in Madinah just like other cities. The Companions were knowledgeable in the teachings of the Prophet wherever they were, within or outside Madinah, and staying in Madinah by itself did not necessarily enhance their standing in respect of knowledge, or the ability to carry out *ijtihad*. [71. Ibn Hazm, *Ihkam*, IV, 155.]

### Basis (*Sanad*) of *Ijma`*

According to the majority of ulema, *ijma`* must be founded in a textual authority or in *ijtihad*. Al-Amidi points out that it is unlikely that the *ummah* might reach unanimity over something that has no foundation in the sources. [72. Amidi, *Ihkam*, I, 261.] The ulema are in agreement that *ijma`* may be based on the

Qur'an or the *Sunnah*. There is, however, disagreement as to whether *ijma'* can be based on a ruling in the secondary proofs such as *qiyas* or *maslahah*.

There are three views on this point, the first of which is that *ijma'* may not be founded on *qiyas*, for the simple reason that *qiyas* itself is subject to a variety of doubts. Since the authority of *qiyas* as a proof is not a subject on which the ulema are in agreement, how then could *ijma'* be founded on it? It is further noted that the Companions did not reach a consensus on anything without the authority of the Qur'an or the *Sunnah*. In all cases in which the Companion are known to have reached a consensus, at the root of it there has been some authority in the primary sources. <sup>[73. Abu Zahrah, *Usul*, pp.165-166.]</sup>

The second view is that *qiyas* in all of its varieties may form the basis of consensus. For *qiyas* itself consists of an analogy to the *nass*. Relying on *qiyas* is therefore equivalent to relying on the *nass*, and when *ijma'* is based on a *qiyas*, it relies not on the personal views of the *mujtahidun* but on the *nass* of the *Shari'ah*.

The third view on this subject is that when the effective cause (*'illah*) of *qiyas* is clearly stated in the *nass*, or when the *'illah* is indisputably obvious, then *qiyas* may validly form the bases of *ijma'*. But when the *'illah* of *qiyas* is hidden and no clear indication to it can be found in the *nusus*, then it cannot form a sound foundation for *ijma'*. Abu Zahrah considers this to be a sound opinion: when the *'illah* of *qiyas* is indicated in the *nusus*, reliance on *qiyas* is tantamount to relying on the *nass* itself. <sup>[74. Abu Zahrah, *Usul*, pp.165-166.]</sup>

Instances could be cited of *ijma'* which is founded upon analogy. To give an example, a father is entitled to guardianship over the person and property of his minor child. By *ijma'* this right is also established for the grandfather regarding his minor grandchild. This ruling of *ijma'* is founded upon an analogy between the father and grandfather. A similar example is given regarding the assignment of punishment for wine drinking (*shurb*). This penalty is fixed at eighty lashes, and an *ijma'* has been claimed in its support. When the Companions were deliberating the issue, 'Ali b. Abi Talib drew an analogy between *shurb* and slanderous accusation (*qadhf*). Since *shurb* can lead to *qadhf*, the prescribed penalty for the latter was, by analogy, assigned to the former. The alleged *ijma'* on this point has, however, been disputed in view of the fact that 'Umar b. al-Khattab determined the *hadd* of *shurb* at forty lashes, a position which has been adopted by Ahmad b. Hanbal. To claim an *ijma'* on this point is therefore unwarranted. <sup>[75 Abu Zahrah, *Usul*, pp.166, 193.]</sup>

## Transmission of *Ijma'*

The issue to be examined here is the nature of the evidence by which the fact that a particular question has been determined by *ijma'* may be proved. From this perspective, *ijma'* is divided into two types, namely 'acquired' (*muhasal*) and 'transmitted' (*manqul*). The first is concluded with the direct participation of the *mujtahid* without the mediation of reporters or transmitters. The *mujtahid* thus gains direct knowledge of the opinions of other *mujtahidun* when they all reach a consensus on a ruling. But transmuted *ijma'* is established by means of reports which may either be solitary (*ahad*) or conclusive (*mutawatir*). In the case of transmission by *tawatur* there is no problem of proof, and *ijma'* which is transmitted by *tawatur* is proven in the same way as acquired *ijma'*. But there is disagreement regarding *ijma'* which is transmitted by way of solitary reports. Al-Ghazali points out that a solitary report is not sufficient to prove *ijma'*, although some *fuqaha* have held otherwise. The reason is that *ijma'* is a decisive proof whereas an *ahad* report amounts to no more than speculative evidence; thus, it cannot establish *ijma'*. [76. Ghazali, *Mustasfa*, I, 127; Sadr, *Ijma'*, pp. 97-98.]

Al-Amidi explains that a number of the ulema of the Shafi'i, Hanafi and Hanbali schools validate the proof of *ijma'* by means of solitary reports whereas another group of Hanafi and Shafi'i ulema do not. All have nevertheless agreed that anything which is proved by means of a solitary report is speculative of proof (*thubut*) even if definitive in respect of content (*matn*). [77. Amidi, *Ihkam*, I, 281.]

Proof by means of *tawatur* can only be claimed for the *ijma'* of the Companions; no other *ijma'* is known to have been transmitted by *tawatur*. This is the main reason why the *fuqaha* have differed in their views concerning any *ijma'* other than that of the Companions. A large number of the ulema of *usul* have maintained that transmission through solitary reports amounts to speculative evidence only. When *ijma'* is based on such evidence, it loses its value and the *hukm* for which *ijma'* is claimed must be referred back to the source from which it was derived in the first place. [78. Abu Zahrah, *Usul*, pp.167-68.]

## Reform Proposals

The modern critics of *ijma'* consider that *ijma'* according to its classical definition fails to relate to the search for finding solutions to the problems of the community in modern times. *Ijma'* is hence retrospective and too slow a process to accommodate the problems of social change. These and other considerations concerning the relevance of *ijma'* to social realities have prompted a response from modern scholars. We have already discussed the view of `Abd al-Wahhab Khallaf in regard to the feasibility of *ijma'*. Khallaf, however, was not the first to criticise *ijma'*.

An early- critique of *ijma'* was advanced by Shah Wali Allah Dihlawi (d. 1176/1762), who tried to bring *ijma'* closer to reality and came out in support of 'relativity' in the concept of *ijma'*. Dihlawi

overruled the notion of universal consensus in favour of relative *ijma`*. Dihlawi is also critical of the interpretation that is given to the *ahadith* concerning *ijma`*. He argues that the Hadith, 'My community shall never agree upon an error' did not envisage *ijma`* at all. Hence the correct meaning of this Hadith may be determined in the light of another Hadith which provides that 'a section of my community will continue to remain on the right path [...]' *Ijma`* in other words does not mean a universal agreement but only the consensus of a limited number of *mujtahidun*. With regard to the other *ahadith* that are quoted in support of *ijma`*, Dihlawi maintains that the two principal aims of these *ahadith* are the political unity of the *ummah*, and the integrity of the *Shari'ah*. The same author maintains that *ijma`* can be justified on the bases of all such *ahadith* that protect the unity and integrity of the community. But he adds that *ijma`* has never been meant to consist of the universal agreement of every member of the community (or of every learned member of the community for that matter), as this is plainly impossible to achieve. It has neither happened in the past nor could it conceivably happen in the future. *Ijma`*, according to Shah Wali Allah, is the consensus of the ulema and men of authority in different towns and localities. In this sense, *ijma`* can be held anywhere at any time. The *ijma`* of the Companions during the caliphate of Umar b. al-Khattab, and the *ijma`* that was concluded in Mecca and Madinah under the pious caliphs, are all examples of *ijma`* in its relative sense. <sup>[79. Shah Wali Allah, *Izalah*, I, 266.]</sup>

Muhammad Iqbal is primarily concerned with the question of how to utilise the potentials of *ijma`* in the process of modern statutory legislation. He considers it an important doctrine, but one which has remained largely theoretical. 'It is strange,' Iqbal writes, that this important notion 'rarely assumed the form of a permanent institution'. He then suggests that the transfer of the power of *ijtihad`* from individual representatives of schools to a Muslim legislative assembly [...] is the only possible form *ijma`* can take in modern times'. <sup>[80. Iqbal, *Reconstruction*, pp. 173, 174.]</sup> In such an assembly, the ulema should play a vital part, but it must also include in its ranks laymen who happen to possess a keen insight into affairs. Furthermore Iqbal draws a distinction between the two functions of *ijma`*, namely:

Discovering the law and implementing the law. The former function is related to the question of facts and the latter relates to the question of law. In the former case, as for instance, when the question arose whether the two small suras known as '*Mu'awwazatain*' formed part of the Qur'an or not, and the Companions unanimously decided that they did, we are bound by their decision, obviously because the Companions alone were in a position to know the fact. In the latter case, the question is one of interpretation only, and I venture to think, on the authority of Karkhi, that later generations are not bound by the decisions of the Companions. <sup>[81. Iqbal, *Reconstruction*, p.175.</sup> Iqbal goes on to quote the Hanafi jurist Abu'l-Hasan al-Karkhi as saying: 'The *Sunnah* of the companions is binding in matters which cannot be cleared up by *qiyas*, but it is not so in matters which can be established by *qiyas*'. (No specific reference is given to al-Karkhi's work.)]



It is thus clear that Iqbal retains the binding character of *ijma'* only insofar as it relates to points of fact, but not with regard to *ijma'* that is based on juridical *ijtihad*. This distinction between the factual and juridical *ijma'* will presumably not apply to the *ijma'* that Iqbal has proposed: the collective decisions of the legislative assembly will naturally be binding on points of law.

Iqbal's proposed reform has been fairly widely supported by other scholars. It is a basically sound proposal. But to relate this to the idea of a distinction between the factual and *ijtihadi ijma'* seems questionable. Apart from the difficulty that might be involved in distinguishing a factual from a juridical *ijma'* one can expect but little support for the view that the *ijma'* of the Companions on *ijtihadi* matters is not binding.

Iqbal's views have, however, been criticised on other grounds. S. M. Yusuf has observed that Iqbal was mistaken in trying to convert *ijma'* into a modern legislative institution. Yusuf argues that *ijtihad* and *ijma'* have never been the prerogatives of a political organisation, and any attempt to institutionalise *ijma'* is bound to alter the nature of *ijma'* and defeat its basic purpose. For *ijtihad* is a non-transferable right of every competent scholar, and a *mujtahid* is recognised by the community by virtue of his merits known over a period of time, not through election campaigns or awards of official certificates. The process of arriving at *ijma'* is entirely different from that of legislation in a modern state assembly. *Ijma'* passes through a natural process which resembles that of the 'survival of the fittest'. No attempt is made in this process to silence the opposition or to defeat the minority opinion. Opposition is tolerated until the truth emerges and prevails. *Ijma'* is a manifestation of the conscience of the community, and it is due mainly to the natural strength of *ijma'* and the absence of rigid organisation 'that no one is able to lay his hands on Islam; when anyone tries to hammer Islam, he ultimately finds to his chagrin that he has only been beating in the air'.<sup>[82. Yusuf, *Studies*, pp. 212-218.]</sup>

Ahmad Hasan finds some weaknesses in Yusuf's criticism of Iqbal, and observes that 'Dr Yusuf has probably not understood Iqbal's view correctly.' Hasan finds Iqbal's view to the effect that *ijtihad* should be exercised collectively instead of being a preserve of the individual *mujtahidun*, to be basically sound. '*Ijtihad* today cannot be exercised in isolation. Modern conditions demand that it should be exercised collectively. A *mujtahid* may be expert in Islamic learnings, but he cannot claim to be perfectly acquainted with the social conditions of a country and the diverse nature of its problems.'<sup>[83. Hasan, *Doctrine*, p. 244.]</sup> Ahmad Hasan goes on to point out that the legislative assembly is 'the right place' for the purpose of collective *ijtihad*, which would in turn provide an effective method of finding solutions to urgent problems.<sup>[84. Hasan, *Doctrine*, p. 244.]</sup>

The late Shaykh of al-Azhar, Mahmud Shaltut, observes that the conditions of a conclusive *ijma'*, especially the one which requires the agreement of all the *mujtahidun* of the *ummah*, is no more than a theoretical proposition which is never expressed in reality. *Ijma'*, in reality, has often meant either the absence of disagreement (*adam al-ilm bi'l-mukhalif*), or the agreement of the majority only (*ittifaq al-*

*kathrah*). Both of these are acceptable propositions which may form the basis of general legislation. Shaltut goes on to quote in support the Qur'anic *ayah* in sura al-Baqarah (2:286) that 'God does not assign to any soul that which falls beyond its capacity.' Shaltut is not opposed to the institutionalization of *ijma`* provided that this does not violate the freedom of opinion which must in all eventualities be granted to the constituents of *ijma`*. Consensus must never be subjected to a condition which subjugates freedom of opinion to the arbitrary exercise of political power. Shaltut further adds that since the realization of *maslahah* through consensus is the objective of *ijma`*, *maslahah* as bound to vary according to circumstances of time and place. Hence the *mujtahidun* who participate in *ijma`*, and their successors, should all be able to take into consideration a change of circumstances and it should be possible for them to review a previous *ijma`* if this is deemed to be the only way to realize the *maslahah*. Should they arrive at a second *ijma`*, this will nullify and replace the first, and constitute a binding authority on all members of the community. [85. Shaltut, *Islam*, pp. 558-559.]

## Conclusion

Under their classical definitions, *ijma`* and *ijtihad* were both subject to conditions that virtually drove them into the realm of utopia. The unreality of these formulations is reflected in modern times in the experience of Muslim nations and their efforts to reform certain areas of the *Shari'ah* through the medium of statutory legislation. The juristic basis for some of the modern reforms introduced in the areas of marriage and divorce, for example, has been sought through novel interpretations of the relevant passages of the Qur'an. Some of these reforms may rightly be regarded as instances of *ijtihad* in modern times. Yet in none of these instances do the statutory texts or their explanatory memoranda make an open reference to *ijtihad* or *ijma`*. The total absence of these terms in modern statutes is a sad reflection of the unreality that is encountered in the strict definitions of these concepts. The classical definitions of *ijtihad* and *ijma`* might, at one time, have served the purpose of discouraging excessive diversity which was felt to be threatening the very existence and integrity of the *Shari'ah*. But there is no compelling reason to justify the continued domination of a practice which was designed to bring *ijtihad* to a close. *Ijtihad* and *ijma`* were brought to a standstill, thanks to the extremely difficult conditions that were imposed on them, conditions which often ran counter to the enterprising and creative spirit that characterised the period of the pious caliphs and the early imams of jurisprudence.

Dr Yusuf's criticism of Iqbal's proposed reform is based on the dubious assumption that an elected legislative assembly will not reflect the collective conscience of the community and will unavoidably be used as an instrument of power politics. Although the cautious advice of this approach may be persuasive, the assumption behind it goes counter to the spirit of *maslahah* and of the theory of *ijma`* which endows the community with the divine trust of having the capacity and competence to make the

right decisions. If one is to observe the basic message of the textual authority in support of the *'ismah* of the community, then one must trust the community itself to elect only persons who will honour their collective conscience and their *maslahah*. In addition, Dr Yusuf's critique of Iqbal merely suggests that nothing should be done to relate *ijma'* to the realities of contemporary life. The critic is content with the idea of letting *ijma'* and *ijtihad* remain beyond the reach of the individuals and societies of today. On the contrary, the argument for taking a positive approach to *ijma'* is overwhelming. The gap between the theory and practice of *Shari'ah* law has grown to alarming proportions, and any attempt at prolonging it further will have to be exceedingly persuasive. While the taking of every precaution to safeguard the authentic spirit and natural strength of *ijma'* is fully justified, this should not necessarily mean total inertia. The main issue in institutionalizing *ijma'*, as Shaltut has rightly assessed, is that freedom of opinion should be vouchsafed the participants of *ijma'*. This is the essence of the challenge which has to be met, not through a *laissez-faire* attitude toward *ijtihad* and *ijma'*, but by nurturing judicious attitudes and by evolving correct methods and procedures to protect freedom of opinion. The consensus that is arrived at in this spirit will have kept a great deal, if not all, of the most valuable features of *ijma'*.

## Chapter Nine: *Qiyas* (Analogical Deduction)

Literally, *qiyas* means measuring or ascertaining the length, weight, or quality of something, which is why scales are called *miqyas*. Thus the Arabic expression, *qasat al-thawb bi'l-dhira'* means that 'the cloth was measured by the yardstick'.<sup>[1. Amidi, *Ihkam*, III, 183.]</sup> *Qiyas* also means comparison, with a view to suggesting equality or similarity between two things. Thus the expression *Zayd yuqas ila Khalid fi 'aqlihi wa nasabih* means that 'Zayd compares with Khalid in intelligence and descent'.<sup>[2. Ghazali, *Mustasfa*, II, 54.]</sup> *Qiyas* thus suggests an equality or close similarity between two things, one of which is taken as the criterion for evaluating the other.

Technically, *qiyas* is the extension of a *Shari'ah* value from an original case, or *asl*, to a new case, because the latter has the same effective cause as the former. The original case is regulated by a given text, and *qiyas* seeks to extend the same textual ruling to the new case.<sup>[3. Shawkani, *Irshad*, p. 198.]</sup> It is by virtue of the commonality of the effective cause, or '*illah*', between the original case and the new case that the application of *qiyas* is justified.

A recourse to analogy is only warranted if the solution of a new case cannot be found in the Qur'an, the *Sunnah* or a definite *ijma'*. For it would be futile to resort to *qiyas* if the new case could be resolved under a ruling of the existing law. It is only in matters which are not covered by the *nusus* and *ijma'* that the law may be deduced from any of these sources through the application of analogical reasoning.<sup>[4. Cf. Abdur Rahim, *Jurisprudence*, p.137.]</sup>

In the usage of the *fuqaha'*, the word '*qiyas*' is sometimes used to denote a general principle. Thus one often comes across statements that this or that ruling is contrary to an established analogy, or to a general principle of the law without any reference to analogy as such.

Analogical deduction is different from interpretation in that the former is primarily concerned with the extension of the rationale of a given text to cases which may not fall within the terms of its language. *Qiyas* is thus a step beyond the scope of interpretation. The emphasis in *qiyas* is clearly placed on the identification of a common cause between two cases which is not indicated in the language of the text. Identifying the effective cause often involves intellectual exertion on the part of the jurist, who determines it by recourse not only to the semantics of a given text but also to his understanding of the general objectives of the law.

Since it is essentially an extension of the existing law, the jurists do not admit that extending the law by the process of analogy amounts to establishing a new law. *Qiyas* is a means of discovering, and perhaps

of developing, the existing law. Although *qiyas* offers considerable potential for creativity and enrichment, it is basically designed to ensure conformity with the letter and the spirit of the Qur'an and the *Sunnah*. In this sense, it is perhaps less than justified to call *qiyas* one of the sources (*masadir*) of the *Shari'ah*; it is rather a proof (*hujjah*) or an evidence (*dalil*) whose primary aim is to ensure consistency between revelation and reason in the development of the *Shari'ah*. *Qiyas* is admittedly a rationalist doctrine, but it is one in which the use of personal opinion (*ra'y*) is subservient to the terms of the divine revelation. The main sphere for the operation of human judgment in *qiyas* is the identification of a common '*illah*' between the original and the new case. Once the '*illah*' is identified, the rules of analogy then necessitate that the ruling of the given text be followed without any interference or change. *Qiyas* cannot therefore be used as a means of altering the law of the text on grounds of either expediency or personal preference.

The jurist who resorts to *qiyas* takes it for granted that the rules of *Shari'ah* follow certain objectives (*maqasid*) which are in harmony with reason. A rational approach to the discovery and identification of the objectives and intentions of the Lawgiver necessitates recourse to human intellect and judgment in the evaluation of the *ahkam*. It is precisely on this ground, namely the propriety or otherwise of adopting an inquisitive approach to the injunctions of the Lawgiver, referred to as *ta'lil*, that *qiyas* has come under attack by the Mu'tazilah, the Zahiri, the Shi'i and some Hanbali ulema. Since an enquiry into the causes and objectives of divine injunctions often involves a measure of juristic speculation, the opponents of *qiyas* have questioned its essential validity. Their argument is that the law must be based on certainty, whereas *qiyas* is largely speculative and superfluous. If the two cases are identical and the law is clearly laid down in regard to one, there is no case for *qiyas*, as both will be covered by the same law. If they are different but bear a similarity to one another, then it is impossible to know whether the Lawgiver had intended the subsidiary case to be governed by the law of the original case. It is once again in recognition of this element of uncertainty in *qiyas* that the ulema of all the juristic schools have ranked *qiyas* as a 'speculative evidence'. With the exception, perhaps, of one variety of *qiyas*, namely where the '*illah*' of *qiyas* is clearly identified in the text, *qiyas* in general can never be as high an authority as the *nass* or a definite *ijma'*, for these are decisive evidences (*adillah qat'iyah*), whereas *qiyas* in most cases only amounts to a probability. It is, in other words, merely probable, but not certain, that the result of *qiyas* is in conformity with the intentions of the lawgiver. The propriety of *qiyas* is thus always to be measured by the degree of its proximity and harmony with the *nusus*. In our discussion of the methodology of *qiyas* it will at once become obvious that the whole purpose of this methodology is to ensure that under no circumstances does analogical deduction operate independently of the *nusus*. It would be useful to start by giving a few examples.

1) The Qur'an (al-Jumu'ah, 62:9) forbids selling or buying goods after the last call for Friday prayer until the end of the prayer. By analogy this prohibition is extended to all kinds of transactions, since the effective cause, that is, diversion from prayer, is common to all. [5. Khallaf, *Ilm*, p.52, Abdur Rahim, *Jurisprudence*, p. 138.]

2) The Prophet is reported to have said, 'The killer shall not inherit [from his victim]'

By analogy this ruling is extended to bequests, which would mean that the killer cannot benefit from the will of his victim either. [6. Ibn Qayyim, *I'lam*, II, 242; Khallaf, *'Ilm*, p.53.]

3) According to a Hadith, it is forbidden for a man to make an offer of betrothal to a woman who is already betrothed to another man unless the latter permits it or has totally abandoned his offer.

The '*illah*' of this rule is to obviate conflict and hostility among people. By analogy the same rule is extended to all other transactions in which the same '*illah*' is found to be operative. [7. Abu Dawud *Sunan* (Hasan's trans. ) II, 556, Hadith no. 2075; Tabrizi, *Mishkat*, II, 940, Hadith no.3144; Musa, *Ahkam*, p. 45.]

The majority of ulema have defined *qiyas* as the application to a new case (*far'*), on which the law is silent, of the ruling (*hukm*) of an original case (*asl*) because of the effective cause ('*illah*) which is in common to both. [8. Amidi, *Ihkam*, III, 186.] The Hanafi definition of *qiyas* is substantially the same, albeit with a minor addition which is designed to preclude certain varieties of *qiyas* (such as *qiyas al-awla* and *qiyas al-musawi*, [q.v,]) from the scope of *qiyas*. The Hanafi jurist, Sadr al-Shari'ah, in his *Tawdih*, as translated by Aghnides, defines *qiyas* as 'extending the (*Shari'ah*) value from the original case over to the subsidiary (*far'*) by reason of an effective cause which is common to both cases and cannot be understood from the expression (concerning the original case) alone.' [9. 'Ubaydullah ibn Mas'ud Sadr al-Shari'ah, *al-Tawdih fi Hall Ghawamid al-Tanqih*, p. 444; Aghnides, *Muhammedan Theories*, p. 49.] The essential requirements of *qiyas* which are indicated in these definitions are as follows:

1) The original case, or *asl*, on which a ruling is given in the text and which analogy seeks to extend to a new case.

2) The new case (*far'*) on which a ruling is wanting.

3) The effective cause ( '*illah*) which is an attribute (*wasf*) of the *asl* and is found to be in common between the original and the new case.

4) The rule (*hukm*) governing the original case which is to be extended to the new case. [10. Amidi (*Ihkam*, III, 193) is however of the view that the result of *qiyas*, that is the ruling which is to be applied to the new case (i.e. *hukm al-far'*), should not be included in the essential requirements (*arkan*) of *qiyas*. For the *hukm* is only arrived at the end of the process; it should therefore not be *rukn*. Isnawi has on the other hand included the *hukm al-far'* among the essentials of *qiyas*. The disagreement is perhaps mainly theoretical as the *hukm* of the new case is, for all intents and purposes, identical with the *hukm* of the original case. Cf. Zuhayr, *Usul*, IV, 58-59.]

To illustrate these, we might adduce the example of the Qur'an (al-Ma'idah, 5:90), which explicitly forbids wine drinking. If this prohibition is to be extended by analogy to narcotic drugs, the four pillars of analogy in this example would be:

*asl*            *far`*            *`Illah*            *hukm*

wine drinking   taking drugs   the intoxicating effect   prohibition

Each of the four essentials (*arkan*) of analogy must, in turn, qualify a number of other conditions which are all designed to ensure propriety and accuracy in the application of *qiyas*. It is to these which we now turn.

## I. Conditions Pertaining to the Original Case (*Asl*)

*Asl* has two meanings. Firstly, it refers to the source, such as the Qur'an or the *Sunnah*, which reveals a particular ruling. The second meaning of *asl* is the subject-matter of that ruling. In the foregoing example of the prohibition of wine in the Qur'an, the *asl* is both the Qur'an, which is the source, and wine, which is the original case or the subject-matter of the prohibition. However, to all intents and purposes, the two meanings of *asl* are convergent. We tend to use *asl* to imply the source as well as the original case, for the latter constitutes the subject-matter of the former, and the one cannot be separated from the other. [11. Shawkani, *Irshad*, pp.204-205; Abu Zahrah, *Usul*, p. 180.]

The ulema are in unanimous agreement that the Qur'an and the *Sunnah* constitute the sources, or the *asl*, of *qiyas*. According to the majority of jurists, *qiyas* may also be founded on a rule that is established by *ijma`* validates guardianship over the property of minors, a rule which has been extended by analogy to authorise the compulsory guardianship (*wilayah al-ijbar*) of minors in marriage. [12. Abu Zahrah, *Usul*, p. 181.]

There is, however, some disagreement as to whether *ijma`* constitutes a valid *asl* for *qiyas*. Those who dispute the validity of *ijma`* as a basis of analogical deduction argue that the rules of consensus do not require that there should be a basis (*sanad*) for *ijma`*. In other words, *ijma`* does not always explain its own justification or rationale. In the absence of such information, it is difficult to construct an analogy. In particular it would be difficult to identify the *`illah*, and *qiyas* cannot be constructed without the *`illah*. [13. Khallaf, *Ilm*, p.53, Shawkani, *Irshad*, p.210.] But this view is based on the assumption that the *`illah* of *qiyas* is always identified in the sources, which is not the case.

The *`illah* may at times be specified in the sources, but when this is not so, it is for the *mujtahid* to identify it in the light of the objectives (*maqasid*) of the Lawgiver. The *mujtahid*, in other words, is faced with the same task whether he derives the *`illah* from *ijma`* or from the *nusus*. Furthermore, the majority view which validates the founding of analogy on *ijma`* maintains that consensus itself is a

basis (*sanad*) and that the effective cause of a ruling which is based on consensus can be identified through *ijtihad*.<sup>[14. Abu Zahrah, *Usul*, p.128.]</sup>

According to the majority of ulema, one *qiyas* may not constitute the *asl* of another *qiyas*. This is explained in reference to the effective cause on which the second analogy is founded. If this is identical with the original *'illah*, then the whole exercise would be superfluous. For instance, if it be admitted that the quality of edibility is the effective cause which would bring an article within the scope of usury (*riba*) then it would justify an analogy to be drawn between wheat and rice. But an attempt to draw a second analogy between rice and edible oil for the purpose of extending the rules of *riba* to the latter would be unnecessary, for it would be preferable to draw a direct analogy between wheat and edible oil, which would eliminate the intermediate analogy with the rice altogether.<sup>[15. Ghazali, *Mustasfa*, II, 87; Shawkani, *Irshad*, p 205.]</sup>

However, according to the prominent Maliki jurist, Ibn Rushd (whose views are here representative of the Maliki school) and some Hanbali ulema, one *qiyas* may constitute the *asl* of another: when one *qiyas* is founded on another *qiyas*, the *far'* of the second becomes an independent *asl* from which a different *'illah* may be deduced. This process may continue *ad infinitum* with the only proviso being that in cases where an analogy can be founded in the Qur'an, recourse may not be had to another *qiyas*.<sup>[16. Ibn Rushd, *Bidayah*, I, 4-5; Abu Zahrah, *Usul*, p. 183; Nour, '*Qiyas*', 29.]</sup> But al-Ghazali rejects the proposition of one *qiyas* forming the *asl* of another altogether. He compares this to the work of a person who tries to find pebbles on the beach that look alike. Finding one that resembles the original, he then throws away the original and tries to find one similar to the second, and so on. By the time he finds the tenth, it would not be surprising if it turned out to be totally different from the first in the series. Thus, for al-Ghazali, *qiyas* founded on another *qiyas* is like speculation built upon speculation, and the further it continues along the line, the more real becomes the possibility of error.<sup>[17. Ghazali, *Mustasfa*, II, 87.]</sup>

Having discussed Ibn Rushd's view at some length, however, Abu Zahrah observes that from a juristic viewpoint, one has little choice but to agree with it. This is reflected, for example, in modern judicial practice where court decisions are often based on the analogical extension of the effective cause (i.e. *ratio decidendi*) of an existing decision to a new case. The new decision may be based on the rationale of a previous case but may differ with it in some respect. In this event the new case is likely to constitute an authority in its own right. When, for example, the Cassation Court (*mahkamah al-naqd*) in Egypt approves a judicial ruling, it becomes a point of reference in itself, and an analogy upon it is made whenever appropriate without further inquiry into its origin. What Abu Zahrah is saying is that the doctrine of *stare decisis*, which is partially adopted in some Islamic jurisdictions, takes for granted the validity of the idea that one *qiyas* may become the *asl* of another *qiyas*.<sup>[18. Cf. Abu Zahrah, *Usul*, p. 184.]</sup>

According to the Syrian jurist Mustafa al-Zarqa, the formula that one *qiyas* may be founded on another *qiyas* has in it the seeds of enrichment and resourcefulness. No unnecessary restrictions should



therefore be imposed on *qiyas* and on its potential contribution to the *Shari'ah*.<sup>[19. Since al-Zarqa's work is not available to me, my knowledge of his views is confined to the extent that he is quoted by Nour, 'Qiyas, 29.]</sup>

## II. Conditions Pertaining to the *Hukm*

A *hukm* is a ruling, such as a command or a prohibition, which is dispensed by the Qur'an, the *Sunnah* or *ijma'*, and analogy seeks its extension to a new case. In order to constitute the valid basis of an analogy, the *hukm* must fulfill the following conditions.

1) It must be a practical *shar`i* ruling, for *qiyas* is only operative in regard to practical matters inasmuch as this is the case with *fiqh* as a whole. *Qiyas* can only be attempted when there is a *hukm* available in the sources. In the event where no *hukm* can be found in any of the three sources regarding a case, and its legality is determined with reference to a general maxim such as original freedom from liability (*al-bara'ah al-asliyyah*), no *hukm* could be said to exist. Original freedom from liability is not regarded as a *hukm shar`i* and may not therefore form the basis of *qiyas*.<sup>[20. Shawkani, *Irshad*, p. 205; Khudari, *Usul*, p. 295]</sup>

2) The *hukm* must be operative, which means that it has not been abrogated. Similarly, the validity of *hukm* which is sought to be extended by analogy must not be the subject of disagreement and controversy.<sup>[21. Amidi, *Ihkam*, III, 196-97.]</sup>

3) The *hukm* must be rational in the sense that the human intellect is capable of understanding the reason or the cause of its enactment, or that the *`illah* is clearly given in the text itself. For example, the effective cause of prohibitions such as those issued against gambling and misappropriating the property of another is easily discernable. But when a *hukm* cannot be so understood, as in the case of the number of prostrations in *salah*, or the quantity of *zakah*, etc., it may not form the basis of analogical deduction. Ritual performances, or *`ibadat*, on the whole, are not the proper subject of *qiyas* simply because their effective causes cannot be ascertained by the human intellect. Although the general purpose of *`ibadat* is often understandable, this is not sufficient for the purpose of analogy. Since the specific causes (*al-`ilal al-juz'iyah*) of *`ibadat* are only known to Almighty God, no analogy can be based upon them.

All the rational *ahkam* (*al-ahkam al-ma`qulah*), that is, laws whose causes are perceivable by human intellect, constitute the proper basis of *qiyas*. According to Imam Abu Hanifah, who represents the majority opinion, all the *nusus* of *Shari'ah* are rational and their causes can be ascertained except where it is indicated that they fall under the rubric of *`ibadat*. The Zahiris, and 'Uthman al-Batti, a contemporary of Abu Hanifah have, on the other hand, held that the effective causes of the *nusus* cannot be ascertained without an indication in the *nusus* themselves. This view clearly discourages

enquiry into the causes of the rules of *Shari'ah* and advises total conformity to them without any search for justification or rationale.<sup>[22. Abu Zahrah, *Usul*, p. 185; Khallaf, *Ilm*, pp. 61-62.]</sup> 'We do not deny,' writes Ibn Hazm, 'that God has assigned certain causes to some of His laws, but we say this only when there is a *nass* to confirm it.' He then goes on to quote a Hadith of the Prophet to the effect that 'the greatest wrong-doer in Islam is one who asks about something, which is not forbidden, and it is then forbidden because of his questioning'.

Ibn Hazm continues: we firmly deny that all the *ahkam* of *Shari'ah* can be explained and rationalised in terms of causes. Almighty God enacts a law as He wills. The question of 'how and why' does not and must not be applied to His will. Hence it is improper for anyone to enquire, in the absence of a clear text, into the causes of divine laws. Anyone who poses questions and searches for the causes of God's injunctions 'defies Almighty God and commits a transgression'.<sup>[23. Ibn Hazm, *Ihkam*, VIII, 102; Muslim, *Sahih Muslim*, I, 423, Hadith no, 1599.]</sup> For he would be acting contrary to the purport of the Qur'an where God describes Himself, saying, 'He cannot be questioned for His acts, but they will be questioned for theirs' (al-Anbiya', 21:21). It is thus known, Ibn Hazm concludes, that causes of any kind are nullified from the acts and words of God. For justification and *ta'lil* is the work of one who is weak and compelled (*mudtarr*), and God is above all this.<sup>[24. Ibn Hazm, *Ihkam*, VIII, 103.]</sup>

The issue of causation acquires a special significance in the context of divinely-ordained laws, simply because the revelation was discontinued with the demise of the Prophet, who is no longer present to explain and identify the causes of the revealed laws. The Muslim jurists, like other believing Muslims, have shown a natural reluctance to be too presumptuous in their efforts to identify the causes of the divine laws. But the Issue does not pose itself in the same way regarding secular or man-made law. The norm in regard to modern laws is that they all have identifiable causes which can be ascertained with reasonable certainty. As such, analogical deduction in the context of modern law is a relatively easier proposition. But there are certain restrictions which discourage a liberal recourse to analogy even in modern law. For one thing, the operation of analogy in modern law is confined to civil law, as in the area of crimes the constitutional principle of legality discourages the analogical extension of the text. It should be further noted that owing to extensive reliance on statutory legislation, there is no crime and no punishment in the absence of a statutory text which clearly defines the offence or the penalty in question. Crimes and penalties are thus to be governed by the text of the law and not by the analogical extension of the text. It will thus be noted that owing to the prevalence of statutory legislation in modern legal systems the need for recourse to analogy has been proportionately diminished. This would in turn explain why *qiyas* tends to play a more prominent role in the *Shari'ah* than in modern law.

But in *Shari'ah* law too, as we shall later elaborate, there are restrictions on the operation of *qiyas* in regard to crimes and penalties. The *qadi*, as a result, may not draw analogies between, for example, wine-drinking and hashish owing to the similar effects that they- might have on the human intellect.

Nor may the crime of *zina* be made the basis of analogy so as to apply its penalty to similar cases.<sup>[25]</sup>  
Shawkani, *Irshad*, p. 222; Abu Zahrah, *Usul*, p. 185.]

4) The fourth requirement concerning the *hukm* is that it must not be confined to an exceptional situation or to a particular state of affairs. *Qiyas* is essentially designed to extend the normal, not the exceptional, rules of the law. Thus when the Prophet admitted the testimony of Khuzaymah alone to be equivalent to that of two witnesses, he did so by way of an exception. The precedent in this case is therefore not extendable by analogy.<sup>[26. The relevant Hadith reads: 'If Khuzaymah testifies for anyone, that is sufficient as a proof.' Ghazali, *Mustasfa*; II, 88; Abu Dawud, *Sunan*, III, 1024, Hadith no.3600.]</sup> Some of the rulings of the Qur'an which relate exclusively to the Prophet, such as polygamy beyond the maximum of four, or the prohibition in regard to marriage for the widows of the Prophet (al-Ahzab, 33:53) are similarly not extendable by analogy. The legal norms on these matters have elsewhere been laid down in the Qur'an which enacts the minimum number of witnesses at two, the maximum for polygamy at four, and allow a widow to remarry after the expiry of the *'iddah* waiting period.

5) And lastly, the law of the text must not represent a departure from the general rules of *qiyas* in the first place. For example, traveling during Ramadan is the cause of a concession which exonerates the traveler from the duty of fasting. The concession is an exception to the general rule which requires everyone to observe the fast. It may therefore not form the basis of an analogy in regard to other types of hardship. Similarly the concession granted in *wudu'* (ablution) in regard to wiping over boots represents a departure from the general rule which requires washing the feet. The exception in this case is not extendable by way of analogy to similar cases such as socks.

But according to the Shafi'is, when the *'illah* of a ruling can be clearly identified, analogy may be based on it even if the ruling was exceptional in the first place. For example, the transaction of *'araya*, or the sale of fresh dates on the tree in exchange for dry dates, is exceptionally permitted by a Hadith notwithstanding the somewhat usurious nature of this transaction; the rules of *riba* forbidding exchange of identical commodities of unequal quantity. The *'illah* of this permissibility is to fulfill the need of the owner of unripe dates for the dried variety. By way of analogy, the Shafi'is have validated the exchange of grapes for raisins on the basis of a similar need. The Hanafis have, however, disagreed, as the riding of *'araya* is exceptional in the first place.<sup>[27. Muslim, *Sahih Muslim*, p. 247, Hadith no. 920; Sha'ban, *Usul*, p 130.]</sup>

### III. The New Case (*Far'*)

The *far'* is an incident or a case whose ruling is sought by recourse to analogy. The *far'* must fulfill the following three conditions.

1) The new case must not be covered by the text or *ijma`*. For in the presence of a ruling in these sources, there will be no need for a recourse to *qiyas*. However, some Hanafi and Maliki jurists have at times resorted to *qiyas* even in cases where a ruling could be found in the sources. But they have done so only where the ruling in question was of a speculative type, such as a solitary Hadith. We shall have occasion to elaborate on this point later.

2) The effective cause of analogy must be applicable to the new case in the same way as to the original case. Should there be no uniformity, or substantial equality between them, the analogy is technically called *qiyas ma'al-fariq*, or '*qiyas with a discrepancy*', which is invalid. If, for example, the '*illah*' in the prohibition of wine is intoxication then a beverage which only causes a lapse of memory would differ with wine in respect of the application of '*illah*', and this would render the analogy invalid. [28. Shawkani, *Irshad*, p. 209.]

To give another example, according to the Hanafis, a sane and adult woman is competent to conclude a contract of marriage on her own behalf. They have inferred this by an analogy to the Qur'anic ruling (al-Nisa, 4:6) which entitles her to enter business transactions at her own free will. The majority of jurists, however, disagree, as they consider the analogy in question to be *qiyas with a discrepancy*. Marriage differs from other transactions; business transactions are personal matters but marriage concerns the family and the social status of the parents and guardians. Hence an analogy between marriage and other transactions is unjustified. [29. Sha'ban, *Usul*, p. 134.]

3) The application of *qiyas* to a new case must not result in altering the law of the text, for this would mean overruling the text by means of *qiyas* which is *ultra vires*. An example of this is the case of false accusation (*qadhif*) which by an express *nass* (sura al-Nur, 24:4) constitutes a permanent bar to the acceptance of one's testimony. Al-Shafi'i has, however, drawn an analogy between false accusation and other grave sins (*kaba'ir*): a person who is punished for a grave sin may be heard as a witness after repentance. In the case of false accusation, too, repentance should remove the bar to the admission of testimony. To this the Hanafis have replied that an analogy of this kind would overrule the law of the text which forever proscribes the testimony of a false accuser. [30. Aghnides, *Muhammedan Theories*, p.62.]

On a similar note, the validity of the contract of *salam* has been established in a Hadith which defines it as the advance sale of an article to be delivered at a fixed date. But when the Shafi'i's hold that such a contract is lawful even if no date is fixed for delivery, they are charged with introducing a change in the law of the text. [31. Bukhari, *Sahih* (Istanbul edn.), III, 44 (*Kitab al-Salam*, Hadith no. 3); Sarakhsi (*Usul*, p. 152) writes: The Prophet forbade the sale of an object which does not exist at the time of sale but permitted *salam* as an exception. *Salam* is valid on condition that the time of delivery is stipulated and that the parties are able to meet the conditions of their agreement. See also Abdur Rahim, *Jurisprudence*, p. 145]

#### IV. The Effective Cause ('*Illah*)

This is perhaps the most important of all the requirements of *qiyas*. '*Illah* has been variously defined by the ulema of *usul*. According to the majority, it is an attribute of the *asl* which is constant and evident and bears a proper (*munasib*) relationship to the law of the text (*hukm*). It may be a fact, a circumstance, or a consideration which the Lawgiver has contemplated in issuing a *hukm*. In the works of *usul*, the '*illah* is alternatively referred to as *manat al-hukm* (i.e. the cause of the *hukm*), the sign of the *hukm* (*amarah al-hukm*), and *sabab*.<sup>[32. Shawkani, *Irshad*, p. 207; Abu Zahrah, *Usul*, p. 188.]</sup> Some ulema have attached numerous conditions to the '*illah*, but most of these are controversial and may be summarised in the following five.<sup>[33. Note, for example, Shawkani, (*Irshad*, p. 207-208) who has listed 24 conditions for the '*illah* whereas the Maliki jurist, Ibn Hajib has recorded only eleven.]</sup>

1) According to the majority of ulema, the '*illah* must be a constant attribute (*mundabit*) which is applicable to all cases without being affected by differences of persons, time, place and circumstances. The Malikis and the Hanbalis, however, do not agree to this requirement as they maintain that the '*illah* need not be constant, and that it is sufficient if the '*illah* bears a proper or reasonable relationship to the *hukm*. The difference between the two views is that the majority distinguish the effective cause from the objective (*hikmah*) of the law and preclude the latter from the scope of the '*illah*.<sup>[34. Khallaf, *Ilm*, 64; Abu Zahrah, *Usul*, p. 188.]</sup>

The '*illah* is constant if it applies to all cases regardless of circumstantial changes. To give an example, according to the rules of pre-emption (*shuf'*) the joint, or the neighbouring, owner of a real property has priority in buying the property whenever his partner or his neighbour wishes to sell it. The '*illah* in pre-emption is joint ownership itself, whereas the *hikmah* of this rule is to protect the partner/neighbour against a possible harm that may arise from sale to a third party. Now the harm that the Lawgiver intends to prevent may materialise, or it may not. As such, the *hikmah* is not constant and may therefore not constitute the '*illah* of pre-emption. Hence the '*illah* in pre-emption is joint ownership itself, which unlike the *hikmah* is permanent and unchangeable, as it does not fluctuate with such changes in circumstances.

The majority view maintains that the rules of *Shari'ah* are founded in their causes ('*ilal*), not in their objectives (*hikmah*). From this, it would follow that a *hukm shar'i* is present whenever its '*illah* is present even if its *hikmah* is not, and a *hukm shar'i* is absent in the absence of its '*illah* even if its *hikmah* is present. The jurist and the judge must therefore enforce the law whenever its '*illah* is known to exist regardless of its *hikmah*. Hence it would be a mistake for the judge to entitle to the right of pre-emption a person who is neither a partner nor a neighbouring owner on the mere assumption that he may be harmed by the sale of the property to a certain purchaser.<sup>[35. Shawkani, *Irshad*, pp. 207-208, Khallaf, *Ilm*, pp.88-97.]</sup>

The Malikis and the Hanbalis, on the other hand, do not draw any distinction between the *'illah* and the *hikmah*. In their view, the *hikmah* aims at attracting an evident benefit or preventing an evident harm, and this is the ultimate objective of the law. When, for example, the law allows the sick not to observe the fast, the *hikmah* is the prevention of hardship to them. Likewise the *hikmah* of retaliation (*qisas*) in deliberate homicide, or of the *hadd* penalty in theft, is to protect the lives and properties of the people. Since the realisation of benefit (*maslahah*) and prevention of harm (*mafsadah*) is the basic purpose of all the rules of *Shari'ah*, it would be proper to base analogy on the *hikmah*.<sup>[36. Abu Zahrah, *Usul*, p. 188.]</sup>

The Hanafis and the Shafi'is however maintain that the *'illah* must be both evident and constant. In their view the *'illah* secures the *hikmah* most of the time but not always. Their objection to the *hikmah* being the basis of analogy is that the *hikmah* of the law is often a hidden quality which cannot be detected by the senses, and this would in turn render the construction of analogy upon them unfeasible. The *hikmah* is also variable according to circumstances, and this adds further to the difficulty of basing analogy on it. The *hikmah*, in other words, is neither constant nor well-defined, and may not be relied upon as a basis of analogy.

To give an example, the permission granted to travelers to break the fast while traveling is to relieve them from hardship. This is the *hikmah* of this ruling. But since hardship is a hidden phenomenon and often varies according to persons and circumstances, it may not constitute the effective cause of an analogy. The concession is therefore attached to traveling itself which is the *'illah* regardless of the degree of hardship that it may cause to individual travelers.<sup>[37. Khallaf, *'Ilm*, p.64.]</sup>

To give another example, the *'illah* in the prohibition of passing a red traffic light is the appearance of the red light itself. The *hikmah* is to prevent traffic irregularities and accidents. Anyone who passes a red light is committing an offence even if no accident is caused as a result. The *'illah* and *hikmah* can as such exist independently of one another,

the latter being less easily ascertainable than the former. On a similar note, the *'illah* in awarding a law degree is passing one's final examinations and obtaining the necessary marks therein. The *hikmah* may be the acquisition of a certain standard of knowledge in the disciplines concerned. Now it is necessary that university degrees are awarded on a constant and reliable basis, which is passing the exams. The acquisition of legal knowledge often, but not always, goes hand in hand with the ability to pass exams, but this by itself is not as readily ascertainable as are the exam results.

2) As already stated, the effective cause on which analogy is based must also be evident (*zahir*). Hidden phenomena such as intention, goodwill, consent, etc., which are not clearly ascertainable may not constitute the *'illah* of analogy. The general rule is that the *'illah* must be definite and perceptible to the senses. For example, since the consent of parties to a contract is imperceptible in its nature, the law proceeds upon the act of offer and acceptance. Similarly the *'illah* in establishing the paternity of a

child is matrimonial cohabitation (*qiyam firash al-zawjiyyah*), or acknowledgement of paternity (*iqrar*), both of which are external phenomena and are susceptible to evidence and proof. Since conception through conjugal relations between the spouses is not an obvious phenomenon, it may not form the 'illah of paternity. On a similar note, the law adopts as the 'illah of legal majority, not the attainment of intellectual maturity, but the completion of a certain age, which is evident and susceptible to proof.<sup>[38]</sup>

Shawkani, *Irshad*, p. 207, Abdur Rahim, *Jurisprudence*, p.149; Abu Zahrah, *Usul*, p. 189; Khallaf, *'Ilm*, p. 69.]

3) The third condition of 'illah is that it must be a proper attribute (*al-wasf al-munasib*) in that it bears a proper and reasonable relationship to the law of the text (*hukm*). This relationship is *munasib* when it serves to achieve the objective (*hikmah*) of the Lawgiver, which is to benefit the people and to protect them against harm. For example, killing is a proper ground on which to exclude an heir from inheritance. For the basis of succession is the tie of kinship which relates the heir to the deceased, and is severed and nullified by killing. Similarly, the intoxicating effect of wine is the proper cause of its prohibition. An attribute which does not bear a proper relationship to the *hukm* does not qualify as an 'illah. To give an example, murder must be retaliated for, not because the perpetrator happens to be a Negro or an Arab, but because he has deliberately killed another. Similarly, wine is prohibited not because of its colour or taste but because it is an intoxicant.<sup>[39]</sup>

Abu Zahrah, *Usul*, p. 189; Khallaf, *'Ilm*, pp. 69-70.]

4) The 'illah must be 'transient' (*muta'addi*), that is, an objective quality which is transferable to other cases. For analogy cannot be constructed on a 'illah which is confined to the original case only. As the Hanafis explain, the very essence of 'illah, as much as that of *qiyas* in general, is its capability of extension to new cases, which means that the 'illah must be a transferable attribute. Traveling, for example, is the 'illah of a concession in connection with fasting. As such, it is an 'illah which is confined to the *asl* and cannot be applied in the same way to other devotional acts (*ibadat*). Similarly, if we were to confine the 'illah in the prohibition of wine to that variety which is derived from grapes, we would be precluding all the other varieties of wine from the scope of the prohibition.

Transferability (*ta'diyah*) of the effective cause is not, however, required by the Shafi'is, who have validated *qiyas* on the basis of an 'illah which is confined to the original case (i.e. 'illah *qasirah*). The Shafi'is (and the Hanafi jurist, Ibn al-Humam) have argued that *ta'diyah* is not a requirement of the 'illah: when the 'illah is confined to the original case, it is probable that the Lawgiver had intended it as such. The probability may not be ignored merely for lack of *ta'diyah*. It is a requirement which is intellectually conceived without due regard for the precise terms of the law itself. The Shafi'is have further argued that the utility of the 'illah is not to be sought solely in its transferability. There is thus no inherent objection to the possibility of an 'illah being confined to the original case. The ulema are, however, in agreement that the textually prescribed causes must be accepted as they are regardless as to whether they are inherently transient or not.

The requirement of *ta'diyah* would imply that the *'illah* of analogy must be an abstract quality and not a concrete activity or object. To illustrate this, we may again refer to the foregoing examples. Traveling, which is a concession in connection with fasting, is a concrete activity, whereas intoxication is an abstract quality which is not confined in its application. Similarly in the Hadith, regarding usury (*riba*), the *'illah* of its ruling which prohibits quantitative excess in the sale of the six specified articles is the quality of such articles being saleable by the measurement of weight or capacity and not their particular species. The Hadith thus provides that 'gold for gold, silver for silver, wheat for wheat, barley for barley, dates for dates and salt for salt must be equal for equal, hand to hand [...] Transaction in these commodities must, in other words, be without excess on either side and delivery shall be immediate, otherwise the transaction would amount to usury, which is forbidden. The *'illah* of this prohibition is none of the concrete objects that are specified but an attribute or a concept which applies to all, namely their sale ability by capacity or weight. <sup>[40. Muslim, *Sahih Muslim*, p. 252. Hadith no. 949; Ghazali, *Mustasfa*, II, 98; Khudari, *Usul*, p. 320; Abu Zahrah, *Usul*, p.190; Abdur Rahim, *Jurisprudence*, p. 151-2.]</sup>

5) And finally, the effective cause must not be an attribute which runs counter to, or seeks to alter, the law of the text. To illustrate this we may refer to the story of a judge, Imam Yahya of al-Andalus, who was asked by an Abbasid ruler as to the penance (*kaffarah*) of having conjugal relations during daytime in Ramadan. The judge responded that the *kaffarah* in this case was sixty days of fasting. This answer was incorrect as it sought to introduce a change in the text of the Hadith which enacted the *kaffarah* to be freeing a slave, or sixty days of fasting, or feeding sixty poor persons. The *fatwa* given by the judge sought to change this order of priority on the dubious assumption that freeing a slave (or feeding sixty persons) was an easy matter for a ruler and he should therefore be required to observe the fasting only. The *'illah* of the penance in this case is held to be the breaking of the fast itself and not any disrespect to the sanctity of Ramadan, nor having sexual intercourse with one's wife, which might have occurred to the judge while formulating his *fatwa*. <sup>[41. Abu Zahrah, *Usul*, pp. 187, 190, 194.]</sup>

Our next discussion concerning the *'illah* relates to the question of how the *'illah* can be identified. Are there any methods which the jurist may utilise in his search for the correct cause/rationale of a given law?

### Identification of the *'Illah*

The effective cause of a ruling may be clearly stated, or suggested by indications in the *nass*, or it may be determined by consensus. When the *'illah* is expressly identified in the text, there remains no room for disagreement. Differences of opinion arise only in cases where the *'illah* is not identified in the



sources. An example of the *'illah* which is expressly stated in the text occurs in sura al-Nisa (4:43): 'O you believers! Do not approach *salah* while you are drunk.' This *ayah* was revealed prior to the general prohibition of wine-drinking in sura al-Ma'idah (5:93), but it provides, nevertheless, a clear reference to intoxication, which is also confirmed by the Hadith 'every intoxicant is *khamr* [wine] and every *khamr* is forbidden'. [42. Abu Dawud, *Sunan*, III, 1043, Hadith no. 3672.]

In another place, the Qur'an explains the effective cause of its ruling on the distribution of one-fifth of war booty to the poor and the needy 'so that wealth does not accumulate in the hands of the rich' (al-Hashr, 59:7).

Instances are also found in the Hadith where the text itself identifies the rationale of its ruling. Thus the effective cause of asking for permission when entering a private dwelling is stated in the Hadith which provides that 'permission is required because of viewing'.

The *'illah* of asking for permission is thus to protect the privacy of the home against unsolicited viewing. [43. Muslim, *Sahih*, p. 375, Hadith no. 1424; Ghazali, *Mustasfa*, II, 74; Ibn Hazm, *Ihkam*, VIII, 91; Abu Zahrah, *Usul*, p. 193. There are also passages in the Qur'an on the subject of *isti'shan*, or asking permission before entering a private home. Note, for example, sura al-Nur (24:27) which enjoins: 'O you believers, do not enter houses other than your own unless you act politely and greet their occupants.'] In these examples, the occurrence of certain Arabic expressions such as *kay-la* (so as not to), *li-ajli* (because of), etc., are associated with the concept of ratiocination (*ta'lil*) and provide definite indications as to the *'illah* of a given ruling. [44. Shawkani lists a number of other expressions such as *li-alla*, *min ajli*, *la'allahu kadha*, *bi-sahab kadha*, etc. all of which are associated with the idea of explaining the causes (*Irshad*, p. 211).]

Alternatively, the text which indicates the *'illah* may be a manifest *nass* (*al-nass al-zahir*) which is in the nature of a probability or an allusion (*al-ima' wa'l-isharah*). Indications of this type are also understood from the language of the text and the use of certain Arabic particles such as *li*, *fa*, *bi*, *anna* and *inna*, which are known to be associated with *ta'lil*. For example, in the Qur'anic text (al-Ma'idah, 5:38): 'as to the thieves, male and female, cut off [*fa'qta'u*] their hands,' theft itself is the cause of the punishment. Instances of this type are also found in sura al-Nur (24:2 and 4) regarding the punishment of adultery and false accusation respectively. In sura al-Nisa' (4:34) we find another example, as follows: 'As for women whose rebellion [*nushuz*] you fear, admonish them (*fa-'izzuhunna*) and leave them alone in their beds, and physically punish them.' In this text, *nushuz* is the effective cause of the punishment. [45. Imam Malik has by analogy extended the same penalties to a husband who ill-treats his wife. He must first be admonished; if he continues, he must continue paying the wife her maintenance but she is not required to obey him; finally he may be subjected to physical punishment. See Abu Zahrah, *Usul*, p.193.] The writers on *usul* give numerous examples of instances where the Qur'an provides an indication, however indirect, as to the *'illah* of its rulings. [46. Note, for example, sura al-Baqarah (2:222) concerning conjugal relations with one's wife during her menstruation, which are to be avoided. The text indicates menstruation to be the *'illah* of its ruling. Shawkani, (*Irshad*, pp. 212-213) provides an exhaustive list of the particles of *ta'lil* with their illustrations from the Qur'an and the Hadith.]

The text of a Hadith may allude to the *'illah* of its ruling, There is, for example, a Hadith which provides that the saliva of cats is clean 'for they are usually around you in the homes'.

Their domesticity, in other words, is the effective cause of the concession. Thus by way of analogy, all domestic animals would be considered clean, unless it is indicated otherwise And lastly, in the Hadith which provides that 'the judge who is in a state of anger may not adjudicate,' anger itself is the *'illah* of the prohibition. [47. Abu Dawud, *Sunan*, III, 1018, Hadith no 3582; Ghazali, *Mustasfa*, II, 75; Shawkani, *Irshad*, pp. 210, 212.] By analogy, the Companions have extended the ruling of this Hadith to anything which resembles anger in its effect such as extreme hunger and depression. [48. Sha'ban, *Usul*, p. 151.]

Sometimes the word *sabab* is used as a substitute for *'illah*. Although *sabab* is synonymous with *'illah* and many writers have used them as such, nevertheless, *sabab* is normally reserved for devotional acts (*ibadat*) whose rationale is not perceptible to the human intellect. The text may sometimes provide an indication as to its *sabab*. Thus we find in sura al-Isra' (17:78) which enjoins, 'Perform the *salah* from the decline of the sun [*li-duluk al-shams*] until twilight at night,' the *sabab* (cause) of *salah* is the time when the *salah* is due. Since the cause of the ruling in this text is not discernable to human intellect, it is referred to as a *sabab* but not as an *'illah*. From this distinction, it would appear that every *'illah* is concurrently a *sabab*, but not every *sabab* is necessarily an *'illah*. [49. Khallaf, *'Ilm*, pp. 67-68.]

Next, the effective cause of a ruling may be established by consensus. An example of this is the priority of germane over consanguine brothers in inheritance, the *'illah* for which is held to be the former's superior tie with the mother. This ruling of *ijma'* has subsequently formed the basis of an analogy according to which the germane brother is also given priority over the consanguine brother in respect of guardianship (*wilayah*). *Ijma'* has also determined the *'illah* of the father's right of guardianship over the property of his minor child to be the minority of the child. Once again this right has, by analogy, been acknowledged for the grandfather. [50. Shawkani, *Irshad*, p.210.] No *ijma'* can, however, be claimed to exist in regard to *'illah* of the father's right of guardianship over the property of his minor daughter. While the majority of ulema consider the *'illah* in this case to be minority, for the Shafi'is, the *'illah* in *ijbar* is virginity. The right of *ijbar* thus terminates upon loss of virginity even if the girl is still a minor. [51. Nawawi, *Minhaj* (Howard's trans.), p. 284.]

When the *'illah* is neither stated nor alluded to in the text, then the only way to identify it is through *ijtihad*. The jurist thus takes into consideration the attributes of the original case, and only that attribute which is considered to be proper (*munasib*) is identified as the *'illah*. For example, in the Hadith referred to above concerning the penance of conjugal relations during daytime in Ramadan, it is not precisely known whether the *'illah* of the penance is the breaking of the fast (*iftar*), or sexual intercourse. Although intercourse with one's wife is lawful, it may be that in this context it is regarded as a form of contempt for the sanctity of Ramadan. But it is equally reasonable to say that intercourse in this context is no different to other forms of *iftar*, in which case it is the *iftar* itself that is the *'illah* of

penance. [52. Ghazali, *Mustasfa*, II, 54; Abu Zahrah, *Usul*, p. 194; Khallaf, *’Ilm*, p. 78.] The method of reasoning which the *mujtahid* employs in such cases is called *tanqih al-manat*, or isolating the *’illah*, which is to be distinguished from two other methods referred to as *takhrij al-manat* (extracting the *’illah*) and *tahqiq al-manat* (ascertaining the *’illah*) respectively. This process of enquiry is roughly equivalent to what is referred to by some ulema of *usul* as *al-sidr wa’l-taqsim*, or elimination of the improper and assignment of the proper *’illah* to the *hukm*.

*Tanqih al-manat* implies that a ruling may have more than one cause, and the *mujtahid* has to identify the one that is proper (*munasib*), as was the case in the foregoing examples. Literally, *tanqih*, means 'purifying', whereas *manat* is another word for *’illah*. Technically, *tanqih al-manat* means 'connecting the new case to the original case by eliminating the discrepancy between them' (*ilhaq al-far’ bi’l-asl bi-ilgha’ al-fariq*). [53. Shawkani, *Irshad*, pp. 221-22; Abu Zahrah, *Usul*, p. 194]

Extracting the *’illah*, or *takhrij al-manat*, is in fact the starting point to the enquiry concerning the identification of *’illah*, and often precedes *tanqih al-manat*. In all areas where the text or *ijma’* does not identify the effective cause, the jurist extracts it by looking at the relevant causes via the process of *ijtihad*. He may identify more than one cause, in which case he has completed the step involved in *takhrij al-manat* and must move on to the next stage, which is to isolate the proper cause. To illustrate this, the prohibition of usury (*riba*) in wheat and five other articles is laid down in the Hadith. When the jurist seeks to draw an analogy between wheat and raisins-to determine for example whether one should apply the tax of one tenth by analogy to raisins-the *’illah* may be any of the following: that both of them sustain life, that they are edible, that they are both grown in the soil, or that they are sold by measure. Thus far the jurist has completed the first step, namely extracting the *’illah*. But then he proceeds to eliminate some of these by recourse to *tanqih al-manat*. The first *’illah* is eliminated because salt, which is one of the six articles, does not sustain life; the second is also eliminated because gold and silver are not edible; and so is the third as neither salt nor precious metals are grown in the soil. The *’illah* is therefore the last attribute, which comprises all the specified items in the Hadith of *riba*. The difference between the two stages of reasoning is that in *takhrij al-manat* the jurist is dealing with a situation where the *’illah* is not identified, whereas in *tanqih al-manat*, more than one cause has been identified and his task is to select the proper *’illah*. [54. Ghazali, *Mustasfa*, II, 55; Khallaf, *’Ilm*, p. 77.]

Ascertaining the *’illah*, or *tahqiq al-manat*, follows the two preceding stages of investigation in that it consists of ascertaining the presence of an *’illah* in individual cases. For purposes of drawing an analogy between wine and a herbal drink, for example, the investigation which leads to the conclusion that the substance in question has the intoxicating quality in common with wine is in the nature of *tahqiq al-manat*. Similarly, in the case of drawing an analogy between a thief and a pickpocket, the investigation as to whether or not the latter falls under the definition of theft is in the nature of *tahqiq al-manat*. [55. Abu Zahrah, *Usul*, p.195; Khallaf, *’Ilm*, p. 78. For other examples see Shawkani, *Irshad*, p. 222.]

## Varieties of *Qiyas*

From the viewpoint of the strength or weakness of the '*illah*', the Shafi'i jurists have divided *qiyas* into three types:

a) 'Analogy of the Superior' (*qiyas al-awla*). The effective cause in this *qiyas* is more evident in the new case than the original case, which is why it is called *qiyas al-awla*. For example, we may refer to the Qur'anic text in sura al-Isra' (17:23) which provides regarding parents: 'say not to them *uff*' [i.e. a single word of contempt] nor repel them, but address them in dignified terms'. By analogy it may be deduced that the prohibition against lashing or beating them is even more obvious than verbal abuse. Similarly, the penance (*kaffarah*) of erroneous killing is, by way of analogy, applicable to intentional killing as the transgression which invokes the *kaffarah* is even more evident in the latter. This is the Shafi'i view, but the Hanafis do not consider the first example to be a variety of *qiyas* but a mere implication of the text (*dalalah al-nass*) which falls within the scope of interpretation rather than analogy. Likewise the Hanafis do not require *kaffarah* for deliberate killing, a ruling which has been determined on grounds of interpretation rather than *qiyas*.<sup>[56. Muslim, *Sahih Muslim*, p. 41, Hadith no. 119; Ibn Hazm, *Ihkam*, VII, 54-55; Abu Zahrah, *Usul*, p.195-196. Zuhayr, *Usul*, IV, p. 44.]</sup>

b) 'Analogy of Equals' (*qiyas al-musawi*). The '*illah*' in this type of *qiyas* is equally effective in both the new and the original cases, as is the ruling which is deduced by analogy. We may illustrate this by reference to the Qur'an (al-Nisa', 4:2) which forbids 'devouring the property of orphans'. By analogy, it is concluded that all other forms of destruction and mismanagement which lead to the loss of such property are equally forbidden. But this is once again regarded by the Hanafis to fall within the scope of interpretation rather than analogy. To give another example, according to a Hadith, a container which is licked by a dog must be washed seven times.

The Shafi'is extend the same ruling by analogy to a container which is licked by swine. The Hanafis, however, do not allow this Hadith in the first place.<sup>[57. Muslim, *Sahih Muslim*, p. 41, Hadith no. 119; Ibn Hazm, *Ihkam*, VII, 54-55; Abu Zahrah, *Usul*, p.195-196. Zuhayr, *Usul*, IV, p. 44.]</sup>

c) 'Analogy of the Inferior' (*qiyas al-adna*). The effective cause in this form of *qiyas* is less clearly effective in the new case than the original case. Hence it is not quite so obvious whether the new case falls under the same ruling which applies to the original case. For example, the rules of *riba*, prohibit the exchange of wheat and of other specified commodities unless the two amounts are equal and delivery is immediate. By analogy this rule is extended to apples, since both wheat and apples are edible (according to Shafi'i) and measurable (according to Hanafi) jurists. But the '*illah*' of this *qiyas* is

weaker in regard to apples which, unlike wheat, are not a staple food. [57. Muslim, *Sahih Muslim*, p. 41, Hadith no. 119; Ibn Hazm, *Ihkam*, VII, 54-55; Abu Zahrah, *Usul*, p.195-196. Zuhayr, *Usul*, IV, p. 44.]

This type of *qiyas* is unanimously accepted as *qiyas* proper, but, as earlier stated, the Hanafis and some Zahiris consider the first two varieties to fall within the meaning of the text. It would appear that the Hanafis apply the term '*qiyas*' only to that type of deduction which involves a measure of *ijtihad*. The first two varieties are too direct for the Hanafis to be considered as instances of *qiyas*. [58. Zuhayr, *Usul*, IV, 44-45; Nour, '*Qiyas*', 24-45.]

*Qiyas* has been further divided into two types, namely 'obvious analogy' (*qiyas jali*) and 'hidden analogy' (*qiyas khafi*). This is mainly a Hanafi division. In the former, the equation between the *asl* and *far`* is obvious and the discrepancy between them is removed by clear evidence. An example of this is the equation the ulema have drawn between the male and the female slave with regard to the rules of manumission. Thus if two person, jointly own a slave and one of them sets the slave free to the extent of his own share, it is the duty of the Imam to pay the other part-owner his share and release the slave. This ruling is explicit regarding the male slave, but by an 'obvious analogy' the same rule is applied to the female slave. The discrepancy of gender in this case is of no consequence in regard to their manumission. [59. Shawkani, *Irshad*, 222; Ibn Qayyim, *I'lam*, I, 178; Zuhayr, *Usul*, IV, 45.]

The 'hidden analogy' (*qiyas khafi*) differs from the 'obvious' variety in that the removal of discrepancy between the *asl* and the *far`* is by means of a probability (*zann*). Shawkani illustrates this with a reference to the two varieties of wine, namely *nabidh*, and *khamr*. The former is obtained from dates and the latter from grapes. The rule of prohibition is analogically extended to *nabidh* despite some discrepancy that might exist between the two. [60. Shawkani, *Irshad*, 222; Ibn Qayyim, *I'lam*, I, 178; Zuhayr, *Usul*, IV, 45.] Another example of *qiyas khafi* is the extension, by the majority of ulema (excepting the Hanafis), of the prescribed penalty of *zina* to sodomy, despite a measure of discrepancy that is known to exist between the two cases. And finally, the foregoing analysis would suggest that *qiyas khafi* and *qiyas al-adna* are substantially concurrent.

### Proof (*Hujjiyyah*) of *Qiyas*

Notwithstanding the absence of a clear authority for *qiyas* in the Qur'an, the ulema of the four Sunni schools and the Zaydi Shi'ah have validated *qiyas* and have quoted several Qur'anic passages in support of their views. Thus, a reference is made to sura al-Nisa' (4:59) which reads, in an address to the believers: 'should you dispute over something, refer it to God and to the Messenger, if you do believe in God'.

The proponents of *qiyas* have reasoned that a dispute can only be referred to God and to the Prophet by following the signs and indications that we find in the Qur'an and *Sunnah*. One way of achieving this is to identify the rationale of the *ahkam* and apply them to disputed matters, and this is precisely what *qiyas* is all about [61. Ibn Qayyim, *I'lam*, I, 197; Abu Zahrah, *Usul*, p.175; Khallaf, *Ilm*, p.54.] The same line of reasoning has been advanced with regard to a text in sura al-Nisa' (4:105) which proclaims: 'We have sent to you the Book with the Truth so that you may judge among people by means of what God has shown you.' A judgment may thus be based on the guidance that God has clearly given or on that which bears close similarity to it. [62. Ghazali, *Mustasfa*, II, 64; Shatibi, *Muwafaqat*, III, 217; Ibn Qayyim, *I'lam*, I, 198.] The Qur'an often indicates the rationale of its laws either explicitly or by reference to its objectives. The rationale of retaliation, for example, is to protect life, and this is clearly stated in the text (al-Baqarah. 2:79). Likewise, the rationale of *zakah* is to prevent the concentration of wealth in a few hands, which is clearly stated in the Qur'an (al-Hashr, 59:7). Elsewhere in the Qur'an, we read in a reference to the permissibility of *tayammum* (ablution with sand in the absence of water) that 'God does not intend to impose hardship on you' (al-Ma'idah, 5:6).

In all these instances, the Qur'an provides clear indications which call for recourse to *qiyas*. In the absence of a clear ruling in the text, *qiyas* must still be utilised as a means of achieving the general objectives of the Lawgiver. It is thus concluded that the indication of causes and objectives, similitudes and contrasts, would be meaningless if they were not observed and followed as a guide for conduct in the determination of the *ahkam*. [63. Abu Zahrah, *Usul*, p. 176.]

The proponents of *qiyas* have further quoted, in support of their views, a verse in sura al-Hashr (59:2) which enjoins: 'Consider, O you possessors of eyes!' 'Consideration' in this context means attention to similitudes and comparison between similar things. Two other *ayat* which are variously quoted by the ulema occur in sura al-Nazi'at, that 'there is a lesson in this for one who fears' (79:26); and in Al-Imran (3:13) which provides: 'in their narratives there was a lesson for those who possessed vision'.

There are two types of indication in the *Sunnah* to which the proponents of *qiyas* have referred:

1) *Qiyas* is a form of *ijtihad*, which is expressly validated in the Hadith of Mu`adh b. Jabal. It is reported that the Prophet asked Mu`adh upon the latter's departure as judge to the Yemen, questions in answer to which Mu`adh told the Prophet that he would resort to his own *ijtihad* in the event that he failed to find guidance in the Qur'an and the *Sunnah*, and the Prophet was pleased with this reply. Since the Hadith does not specify any form of reasoning in particular, analogical reasoning falls within the meaning of this Hadith. [64. Abu Dawud, *Sunan* (Hasan's trans.) III, 109 (Hadith 1038), Khallaf, *Ilm*, p.56.]

2) The *Sunnah* provides evidence that the Prophet resorted to analogical reasoning on occasions when he did not receive a revelation on a particular matter. On one such occasion, a woman known as al-Khath 'amiyyah came to him and said that her father had died without performing the *hajj*. Would it

benefit him if she performed the *hajj* on her father's behalf? The Prophet asked her: 'Supposing your father had a debt to pay and you paid it on his behalf, would this benefit him?' To this her reply was in the affirmative, and the Prophet said, 'The debt owed to God merits even greater consideration.'<sup>[65. Ghazali, *Mustasfa*, II, 64; Shawkani, *Irshad*, p. 212; Ibn Qayyim, *I'lam*, I, 200.]</sup>

It is also reported that Umar b. al-Khattab asked the Prophet whether kissing vitiates the fast during Ramadan. The Prophet asked him in return: 'What if you gargle with water while fasting?' Umar replied that this did not matter. The Prophet then told him that 'the answer to your first question is the same'.<sup>[66. Ibn Hazm, *Ihkam*, VII, 100; Ibn Qayyim, *I'lam*, I, 200; Khallaf, *Ilm*, p. 57.]</sup>

The Companions are said to have reached a consensus on the validity of *qiyas*. We find, for example, that the first Caliph, Abu Bakr, drew an analogy between father and grandfather in respect of their entitlements in inheritance. Similarly, 'Umar ibn al-Khattab is on record as having ordered Abu Musa al-Ash'ari 'to ascertain the similitudes for purposes of analogy'.<sup>[67. Ibn Hazm, *Ihkam*, VII, 147; Abu Zahrah, *Usul*, p. 177.]</sup>

Furthermore, the Companions pledged their fealty (*bay'ah*) to Abu Bakr on the strength of the analogy that Umar drew between two forms of leadership: 'Umar had asked the Companions, 'Will you not be satisfied, as regards worldly affairs, with the man with whom the Prophet was satisfied as regards religious affairs?' And they agreed with Umar, notwithstanding the fact that the issue of succession was one of the utmost importance.<sup>[68. Ibn Hazm, *Ihkam*, VII, 160; Ibn Qayyim, *I'lam*, I, 182.]</sup> Again, when the Companions held a council to determine the punishment of wine-drinking, 'Ali b. Abi Talib suggested that the penalty of false accusation should be applied to the wine drinker, reasoning by way of analogy, 'When a person gets drunk, he raves and when he raves, he accuses falsely'.<sup>[69. Shawkani, *Irshad*, p. 223; Abu Zahrah, *Usul*, p.177]</sup> It is thus concluded that *qiyas* is validated by the Qur'an, the *Sunnah*, and the *ijma'* of the Companions.

## The Argument Against *Qiyas*

This has been advanced mainly by the Zahiri school, and some Mu'tazilah, including their leader, Ibrahim al-Nazzam. The leading Zahiri jurist, Ibn Hazm, is the most outspoken against *qiyas*. The main points of his argument may be summarised as follows:

1) The rules of the *Shari'ah* are conveyed in the form of commands and prohibitions. There are also the intermediate categories of 'recommended' (*mandub*) and 'reprehensible' (*makruh*), which are essentially two varieties of *mubah* (permissible). There are thus only three types of *ahkam*: command, prohibition, and permissibility. Should there be no clear text in respect of any matter, then it would fall under the principle of *ibadah* (permissibility) which is established to the Qur'an.<sup>[70. Two of the Qur'anic *ayat* which validate *ibahah* are as follows: It is He who has created for you all things that are on earth' (al-Baqarah, 2:29); and 'O you believers! Make not unlawful the good things which God</sup>

has made lawful to you' (al-Ma'idah, 5:90).] Commands and prohibitions are determined by the clear authority of the Qur'an, the *Sunnah*, or *ijma'*, in whose absence

nothing else can determine an obligatory or a prohibitory injunction, and the matter would automatically fall under the category of *mubah*. There is thus no room for analogy in the determination of the *ahkam*. [71. Ibn Hazm, *Ihkam*, VIII, 3.]

2) The supporters of analogy, according to Ibn Hazm, proceed on the assumption that the *Shari'ah* fails to provide a *nass* for every matter, an assumption which is contrary to the explicit provisions of the Qur'an. Ibn Hazm goes on to quote the following to this effect: 'We have neglected nothing in the Book' (al-An'am, 6:89); and 'We revealed the Book as an explanation for everything' (al-Nahl, 16:89). In yet another passage, we read in the Qur'an: 'This day, I perfected your religion for you, and completed My favour upon you' (al-Ma'idah, 5:4).

Since the *ahkam* of the Lawgiver are all-inclusive and provide complete guidance for all events, our only duty is to discover and implement them. To consider *qiyas* as an additional proof would be tantamount to an acknowledgement that the Qur'an fails to provide complete guidance. [72. Ibn Hazm, *Ihkam*, VIII, 18.]

3) *Qiyas* derives its justification from an '*illah*' which is common to both the original and the new case. The '*illah*' is either indicated in the text, in which case the ruling is derived from the text itself and *qiyas* is redundant; or alternatively, where the '*illah*' is not so indicated, there is no way of knowing it for certain. *Qiyas* therefore rests on conjecture, which must not be allowed to form the basis of a legal ruling. This is, according to Ibn Hazm, the purport of the Qur'anic *ayah* (al-Najm, 53:28) which proclaims that 'conjecture avails nothing against the truth.' Identifying the '*illah*' in *qiyas* is an exercise in speculation, whereas the Qur'an enjoins us to 'pursue not that of which you have no knowledge' (al-Isra', 17:36). [73. Ibn Hazm, *Ihkam*, VIII, 9.]

4) And lastly, Ibn Hazm holds that *qiyas* is clearly forbidden in the Qur'an. [74. Ibn Hazm, *Ihkam*, VIII, 9] Thus we read in sura al-Hujurat (49:1): 'O you believers! Do not press forward before God and His Messenger, and fear God [...]', which means that the believers must avoid legislating on matters on which the lawgiver has chosen, to remain silent. The same point is conveyed in the Hadith where the prophet ordered the believers as follows:

Ask me not about matters which I have not raised. Nations before you were faced with destruction because of excessive questioning and disputation with their prophets. When I command you to do something, do it to the extent that you can, and avoid what I have forbidden. [75. Ibn Hazm, *Ihkam*, VIII, 15.]



Thus in regard to matters on which the *nass* is silent, it is not proper for a Muslim to take the initiative in issuing a *hukm*, for he is ordered not to do so. *Qiyas* therefore violates the express terms of the Qur'an and the *Sunnah*.

To sum up, Ibn Hazm's argument is based on two main points, one of which is that the *nusus* of the Qur'an and *Sunnah* provide for all event, and the other is that *qiyas* is an unnecessary addition to the *nusus*. Regarding the first point, the majority of ulema hold the view that the *nusus* do admittedly cover all events, either explicitly or through indirect indications. However, the Zahiris rely only on the explicit *nusus* and not on these indirect indications. The majority, on the other hand, go beyond the confines of literalism and validate *qiyas* in the light of the general objectives of the *Shari'ah*. For the majority, *qiyas* is not an addition or a superimposition on the *nusus*, but their logical extension. Hence the Zahiri argument that *qiyas* violates the integrity of the *nusus* is devoid of substance.<sup>[76. Abu Zahrah, *Usul*, pp. 179-80.]</sup>

With reference to some of the Qur'anic passages that the opponents of *qiyas* have quoted, especially on the use of speculative evidence in law, it is contended that the *ayat* in question forbid recourse to speculation (*zann*) in matters of belief only. As for the practical rules of *fiqh*, most of them partake in *zann*, and a great deal of the *nusus* are themselves speculative in their purport and implication (*zanni al-dalalah*). But this does not necessarily mean that action upon them must be suspended. On the contrary, a measure of diversity and variation in the practical rules of *Shari'ah* is not only tolerated, but is considered to be a sign of the bounty of Almighty God, and the essence of flexibility in the *Shari'ah*.<sup>[77. Khallaf, *'Ilm*, p 79.]</sup>

In principle, the Shi'ah Imamiyyah do not recognise the validity of *qiyas*, as they maintain that *qiyas* is pure conjecture which must be avoided. In addition, the Qur'an, the *Sunnah* and the rulings of the Imams, according to the Shi'i ulema, provide sufficient guidance for conduct, and any reference to analogy is unnecessary and unwarranted.<sup>[78. Mutahhari, *Jurisprudence*, p. 21.]</sup> This is definitely the view of the Akhbari branch of the Twelve Shi'ah, whose refutation of *qiyas* closely resembles that of the Zahiris. But the Usuli branch of the Shi'ah validate action upon certain varieties of *qiyas*, namely *qiyas* whose *'illah* is explicitly stated in the text (*qiyas mansus al-'illah*), analogy of the superior (*qiyas al-awla*) and obvious analogy (*qiyas jali*). These varieties of *qiyas*, in their view, are not mere speculations; they either fall within the meaning of the text or else constitute a strong probability (*al-zann al-qawi*) which may be adopted as a guide for conduct. But they validate this through recourse to *ijtihad* and *'aql* rather than *qiyas* per se.<sup>[79. For further details see Asghari, *Qiyas*, pp.119,139.]</sup>

## **Qiyas in Penalties**

The ulema of the various schools have discussed the application of *qiyas* to juridical, theological, linguistic, rational and customary matters, but the main question which needs to be discussed here is the application of analogy in regard to prescribed penalties (*hudud*) and penances (*kaffarat*).

The majority of ulema do not draw any distinction in this respect, and maintain the view that *qiyas* is applicable to *hudud* and *kaffarat* in the same way as it is to other rules of the *Shari'ah*. This is explained by reference to the Qur'anic passages and the *ahadith* which are quoted in support of *qiyas*, which are all worded in absolute terms, none drawing any distinction in regard to penalties: and since the evidence in the sources does not impose any restriction on *qiyas*, it is therefore applicable in all spheres of the *Shari'ah*.<sup>[80. Zuhayr, *Usul*, IV, 51; Abu Zahrah, *Usul*, p. 205.]</sup> An example of *qiyas* in regard to the *hudud* is the application of the punishment of theft to the *nabbash*, or thief who steals the shroud of the dead, as the common *'illah* between them is taking away the property of another without his knowledge. A Hadith has also been quoted in support of this ruling.<sup>[81. The following Hadith is recorded in Abu Dawud (*Sunan*, III, 1229, Hadith no. 4395): 'The hand of one who rifles the grave should be amputated, as he has entered the house of deceased.']</sup> Similarly the majority of ulema (excluding the Hanafis) have drawn an analogy between *zina* and sodomy, and apply the *hadd* of the former by analogy to the latter.<sup>[82. Shawkani, *Irshad*, p. 222.]</sup>

The Hanafis are in agreement with the majority to the extent that *qiyas* may validly operate in regard to *ta'zir* penalties, but they have disagreed as to the application of *qiyas* in the prescribed penalties and *kaffarat*. They would not, for example, approve of an analogy between abusive words (*sabb*) and false accusation (*qadhf*), nor would they extend the *hadd* of *zina* by analogy to other sexual offences. These, according to the Hanafis, may be penalised under *ta'zir* but not by analogy to the *hudud*. The main reason that the Hanafis have given is that *qiyas* is founded on the *'illah*, whose identification in regard to the *hudud*, involves a measure of speculation and doubt. There is a Hadith which provides: 'drop the *hudud* in cases of doubt as far as possible. If there is a way out, then clear the way, for in penalties, if the Imam makes an error on the side of leniency, it is better than making an error on the side of severity'.<sup>[83. Tabrizi, *Mishkat*, II, 1061, Hadith No 3570; Abu Yusuf, *Kitab al Kharaj*, p. 152; Ibn Qayyim, *I'lam*, I, 209.]</sup>

It is thus concluded that any level of doubt in ascertaining the *'illah* of *hadd* penalties must prevent their analogical extension to similar cases.<sup>[84. Abu Zahrah, *Usul*, p. 205.]</sup>

As stated above, the majority validate the application of *qiyas* in regard to *kaffarat*. Thus the analogy between the two forms of breaking the fast (*iftar*), namely deliberate eating during daytime in Ramadan, and breaking the fast by having sexual intercourse, would extend the *kaffarah* of the latter to the former. Similarly the majority have validated the analogy between deliberate killing and erroneous homicide for purposes of *kaffarah*. The Qur'an only prescribes a *kaffarah* for erroneous killing, and this is extended by analogy to deliberate homicide. The common *'illah* between them is the killing of another human being. If *kaffarah* is required in erroneous killing, then by way of a superior analogy (*qiyas al-awla*) the *'illah* is even more evident in the case of a deliberate killing. Both are therefore

liable to the payment of *kaffarah*, which is releasing a slave, or two months of fasting, or feeding sixty persons. The Hanafis are once again in disagreement with the majority, as they maintain that for purposes of analogy, the *kaffarah* resembles the *hadd*. Since doubt cannot be totally eliminated in the identification of their effective causes, *kaffarat* may not be extended by means of analogy. [85. Zuhayr, *Usul*, IV, 51.]

Notwithstanding the fact that the jurists have disagreed on the application of *qiyas* in penalties, it will be noted that the ulema have on the whole discouraged recourse to *qiyas* in the field of criminal law. Consequently, there is very little actual *qiyas* to be found in this field. This is also the case in modern law, which discourages analogy in respect of penalties. The position is somewhat different to regard to civil transactions (*mu`amalat*), in which *qiyas* is generally permitted. [86. Abu Zahrah, *Usul*, p. 206.]

### Conflicts between *Nass* and *Qiyas*

Since the '*illah*' in analogy is a general attribute which applies to all similar cases, there arises the possibility of *qiyas* coming into conflict with the *nusus*. The question to be asked is how such a conflict should be removed. Responding to this question, the ulema have held two different views, which may be summarised as follows:

1) According to Imam Shafi'i, Ahmad b. Hanbal, and one view which is attributed to Abu Hanifah, whenever there is a *nass* on a matter *qiyas* is absolutely redundant. *Qiyas* is only applicable when no explicit ruling could be found in the sources. Since recourse to *qiyas* in the presence of *nass* is *ultra vires* in the first place, the question of a conflict arising between the *nass* and *qiyas* is therefore of no relevance. [87. Abu Zahrah, *Usul*, p. 200.]

2) The second view, which is mainly held by the Malikis, also precludes the possibility of a conflict between *qiyas* and a clear text, but does not dismiss the possibility of a conflict arising between a speculative text and *qiyas*. Analogy could, according to this view, come into conflict with the '*Amm*' of the Qur'an and the solitary Hadith.

The Hanafis have maintained that the '*Amm*' is definitive in implication (*qat`i al-dalalah*), whereas *qiyas* is speculative. As a rule, a speculative item cannot qualify a definitive one, which would mean that *qiyas* does not specify the '*Amm*' of the Qur'an. The only situation where the Hanafis envisage a conflict between *qiyas* and the '*Amm*' of the Qur'an is where the '*illah*' of *qiyas* stated in a clear *nass*. For in this case, a conflict between the '*Amm*' of the Qur'an and *qiyas* would be that of one *qat`i* with another. However, for the most part *qiyas* is a speculative evidence, and as such may not specify the '*Amm*' of the

Qur'an. But once the '*Amm*' is specified, on whatever grounds, then it becomes speculative itself, at least in respect of that part which remains unspecified. After the first instance of specification (*takhsis*), in other words, the '*Amm*' becomes speculative, and is then open to further specification by means of *qiyas*. For example, the word *bay'* (sale) in the Qur'anic text stating that 'God has permitted sale and prohibited usury' (al-Baqarah, 2:275) is '*Amm*', but has been qualified by solitary *ahadith* which prohibit certain types of sale. Once the text has been so specified, it remains open to further specification by means of *qiyas*.<sup>[88. Abu Zahrah, *Usul*, pp. 201-202.]</sup>

This was the Hanafis' view of conflict between a general text and *qiyas*. But the Malikis who represent the majority view, consider the '*Amm*' of the Qur'an to be speculative in the first place. The possibility is therefore not ruled out, according to the majority, of a conflict arising between the *nass* and *qiyas*. In such an event, the majority would apply the rule that one speculative principle may be specified by another. Based on this analysis, *qiyas*, according to most of the jurists, may specify the '*Amm*' of the Qur'an and the *Sunnah*.<sup>[89. Abu Zahrah, *Usul*, p. 203.]</sup>

As for conflict between *qiyas* and a solitary Hadith, it is recorded that Imam Shafi'i, Ibn Hanbal and Abu Hanifah do not give priority to *qiyas* over such a Hadith. An example of this is the vitiation of ablution (*wudu'*) by loud laughter during the performance of *salah*, which is the accepted rule of the Hanafi school despite its being contrary to *qiyas*. Since the rule here is based on the authority of a solitary Hadith, the latter has been given priority over *qiyas*, for *qiyas* would only require vitiation of *salah*, not the *wudu'*.<sup>[90. Bukhari, *Sahih* (Istanbul edn.), I, 51 (*Kitab al-Wudu'*, Hadith no. 34); Khan, *Athar*, p. 403.]</sup>

Although the three Imams are in agreement on the principle of giving priority to solitary Hadith over *qiyas*, regarding this particular Hadith, only the Hanafis have upheld it. The majority, including Imam Shafi'i, consider it to be *Mursal* and do not act on it.

Additionally, there are other views on the subject which merit brief attention. Abu'l Husayn al-Basri, for example, divides *qiyas* into four types, as follows:

1) *Qiyas* which is founded in a decisive *nass*, that is, when the original case and the effective cause are both stated in the *nass*. This type of *qiyas* takes priority over a solitary Hadith.

2) *Qiyas* which is founded in speculative evidence, that is, when the *asl* is a speculative text and the '*illah*' is determined through logical deduction (*istinbat*). This type of *qiyas* is inferior to a solitary Hadith and the latter takes priority over it. Al-Basri has claimed an '*ijma*' on both one and two above.

3) *Qiyas* in which both the *asl* and the '*illah*' are founded in speculative *nusus*, in which case it is no more than a speculative form of evidence and, should it conflict with a solitary Hadith, the latter takes priority. On this point al-Basri quotes Imam Shafi'i in support of his own view.

4) *Qiyas* in which the *`illah* is determined through *istinbat* but whose *asl* is a clear text of the Qur'an or *Mutawatir* Hadith. This type of *qiyas* is stronger than two and three above, and the ulema have differed as to whether it should take priority over a solitary Hadith. [91. Basri, *Mu'tamad*, II, 162-64.]

The Malikis, and some Hanbali ulema, are of the view that in the event of a conflict between a solitary Hadith and *qiyas*, if the latter can be substantiated by another principle or *asl* of the *Shari'ah*, then it will take priority over a solitary Hadith. If for example the *`illah* of *qiyas* is 'removal of hardship', which is substantiated by several texts, then it will add to the weight of *qiyas*, and the latter will take priority over a solitary Hadith. For this kind of evidence is itself an indication that the Hadith in question is weak in respect of authenticity. [92. Abu Zahrah, *Usul*, p. 204.] Similarly, some Hanafis have maintained that when a solitary Hadith, which is in conflict with *qiyas*, is supported by another *qiyas*, then it must be given priority over the conflicting *qiyas*. This is also the view which Ibn al-'Arabi has attributed to Imam Malik, who is quoted to the effect that whenever a solitary Hadith is supported by another principle, then it must take priority over *qiyas*. But if no such support is forthcoming, then the solitary Hadith must be abandoned. For example, the following Hadith has been found to be in conflict with another principle: 'When a dog licks a container, wash it seven times, one of which should be with clean sand.' [93. Ibn Hazm, *Ihkam*, VIII, 79; Abu Zahrah, *Usul*, p. 205.]

It is suggested that this solitary Hadith is in conflict with the permissibility of eating the flesh of game which has been fetched by a hunting dog. The game is still lawful for consumption notwithstanding its having come into contact with the dog's saliva. There is, on the other hand, no other principle that could be quoted in support of either of the two rulings, so *qiyas* takes priority over the solitary Hadith. Our second example is of a solitary Hadith which is in conflict with one principle but stands in accord with another. This is the Hadith of *'araya*, which provides that 'the Prophet (upon whom be peace) permitted the sale of dates on the palm tree for its equivalent in dry dates'. This is permitted despite its being in conflict with the rules of *riba*, However the permissibility in this case is supported by the principle of *daf' al-haraj* 'removal of hardship' in that the transaction of *araya* was permitted in response to a need, and, as such, it takes priority over the *qiyas* which might bring it under the rules of *riba*. [94. Abu Dawud, *Sunan* (Hasan's trans.) II, 955, Hadith 3355; Ibn Hazm, *Ihkam*, VIII, 106; Zuhayr, *Usul*, IV, 50-58; Abu Zahrah, *Usul*, p. 205.]

In principle, all divinely revealed laws emanate from one and the same source, namely, Almighty God, and as such they convey a basic message which is common to them all. The essence of belief in the oneness of God and the need for divine authority and guidance to regulate human conduct and the values of morality and justice constitute the common purpose and substance of all divine religions. This essential unity is confirmed in more than one place in the Qur'an, which proclaims in an address to the Holy Prophet: 'He has established for you the same religion as that which He enjoined upon Noah, and We revealed to you that which We enjoined on Abraham, Moses and Jesus, namely, that you should remain steadfast in religion and be not divided therein' (al-Shura, 42:13). More specifically, in a reference to the Torah, the Qur'an confirms its authority as a source of inspiration and guidance: We revealed the Torah in which there is guidance (*huda*) and light; and prophets who submitted to God's will have judged the Jews by the standards thereof' (al-Ma'idah, 5:44). It is thus observed that Muhammad, being one of the Prophets, is bound by the guidance that is found in the Torah. Further confirmation for the basic harmony of the divinely revealed laws can be found in the Qur'anic *ayah* which, in a reference to the previous Prophets, directs the Prophet of Islam to follow their guidance: 'Those are the ones to whom God has given guidance, so follow their guidance [*hudahum*]' (al-Anam 6:90). Basing themselves on these and similar proclamations in the Qur'an, the ulema are unanimous to the effect that all the revealed religions are different manifestations of an essential unity. [1. Abu Zahrah, *Usul*, p. 241; Qasim, *Usul*, p. 173.] This is, of course, not to say that there are no differences between them. Since each one of the revealed religions was addressed to different nations at different points of time, they each have their distinctive features which set them apart from the rest. In the area of *halal* and *haram*, for example, the rules that are laid down by different religions are not identical. Similarly, in the sphere of devotional practices and the rituals of worship, they differ from one another even if the essence of worship is the same. The *Shari'ah* of Islam has retained many of the previous laws, while it has in the meantime abrogated or suspended others. For example, the law of retaliation (*qisas*) and some of the *hadd* penalties which were prescribed in the Torah have also been prescribed in the Qur'an. [2. Abu Zahrah, *Usul*, p. 242; Badran, *Usul*, p.237.]

The general rule to be stated here is, however, that notwithstanding their validity in principle, laws that were revealed before the advent of Islam are not applicable to the Muslims. This is especially so with regard to the practical rules of *Shari'ah*, that is, the *ahkam*, in which the *Shari'ah* of Islam is self-contained. The jurists are also in agreement to the effect that the laws of the previous religions are not to be sought in any source other than that of the *Shari'ah* of Islam itself. For the rules of other religions do not constitute a binding proof as far as the Muslims are concerned. The *Shari'ah*, in other words, is the exclusive source of all law for the Muslims.

In view of the ambivalent character of the evidence on this subject, however, the question has arisen as to the nature of the principle that is to be upheld: whether to regard the laws preceding the *Shari'ah* of Islam as valid unless they are specifically abrogated by the *Shari'ah*, or whether to regard them as basically nullified unless they are specifically upheld. In response to this, it is said that laws that were introduced in the previous scriptures but which are not upheld by the *Shari'ah*, and on which no ruling is found in the Qur'an or the *Sunnah* are not, according to general agreement, applicable to the Muslims. The correct rule regarding the enforcement of the laws of the previous revelations is that they are not to be applied to the followers of Islam unless they are specifically upheld by the *Shari'ah*. [3. Badran, *Usul*, p.234; Isma'il, *Adillah*, p.320.]

Once again the question arises as to whether the foregoing statement is in harmony with the Qur'anic proclamations that were quoted above. The general response given to this is that the Prophet of Islam was ordered to follow the previous revelations as a source of guidance only in regard to the essence of the faith, that is, belief in God and monotheism. It has thus been pointed out that the word *huda* 'guidance' in the second *ayah*, and *hudahum* 'their guidance' in the third *ayah* quoted above only mean *tawhid*, or belief in the oneness of God, which is undoubtedly the norm in the *Shari'ah* of Islam. Their guidance cannot be upheld *in toto* in the face of clear evidence that some of their laws have been abrogated. The reference is therefore to that aspect of guidance which is in common between Islam and the previous religions, namely *tawhid*. It has been further suggested that the reference to 'Prophets' in the second *ayah* above is confined, as the text itself suggests, to the Prophets of Bani Isra'il, and the holy Prophet Muhammad is not one of them. [4. Ghazali, *Mustasfa*, I, 134; Abu Zahrah, *Usul*, p. 242; Isma'il, *Adillah*, p.325]

The Qur'an on many occasions refers to the rules of previous revelations on specific issues, but the manner in which these references occur is not uniform. The Qur'an alludes to such laws in the following three forms:

1. The Qur'an (or the *Sunnah*) may refer to a ruling of the previous revelation and simultaneously make it obligatory on the Muslims, in which case there remains no doubt that the ruling so upheld becomes an integral part of the *Shari'ah* of Islam. An example of this is the Qur'anic text on the duty of fasting which provides: 'O believers, fasting is prescribed for you as it was prescribed for those who came before you' (al-Baqarah, 2:183). To give a similar example in the *Sunnah*, which confirms the ruling of a previous religion, we may refer to the Hadith which makes sacrifice by slaughtering animals lawful for Muslims. The believers are thus instructed to 'Give sacrifice, for it is the tradition of your ancestor, Abraham, peace be upon him'. [5. Tabrizi, *Mishkat*, I, 466, Hadith no.1476; Badran, *Usul*, p. 235.]

2. The Qur'an or the *Sunnah* may refer to a ruling of the previous revelation but at the same time abrogate and suspend it, in which case the ruling in question is to be abandoned and discontinued. An example of this can be found in the Qur'an where a reference is made to the prohibition of certain

varieties of food to the Jews while at the same time the prohibitions are lifted from the Muslims. The text thus provides: 'And to the Jews We forbade every animal having claws and of oxen and sheep, We forbade the fat [. . .] Say: nothing is forbidden to eat except the dead carcass, spilled blood, and pork' (al-An'am, 16:146). The second portion of this text clearly removes the prohibitions that were imposed upon the Jews. For a similar example in the *Sunnah*, we may refer to the Hadith concerning the legality of spoils of war where the Prophet has proclaimed: 'Taking booty has been made lawful to me, but it was not lawful to anyone before me.'<sup>[6. Muslim, *Sahih*, p.301, Hadith no. 1137; Badran, *Usul*, p.234.]</sup>

Likewise, the expiation (*kaffarah*) for sins was not acceptable under the Torah; and when a garment became unclean, the unclean portion had to be cut out according to the rules of Judaism. But these restrictions were lifted with the effect that the *Shari'ah* of Islam validated expiation for sins, and clothes can be cleaned by merely washing them with clean water.<sup>[7. Khallaf, *Ilm*, p.93; Isma'il, *Adillah*, p.320.]</sup>

3. The Qur'an or the *Sunnah* may refer to a ruling of the previous revelation without clarifying the position as to whether it should be abandoned or upheld. Unlike the first two eventualities, on which there is little disagreement among jurists, the present situation has given rise to wider differences of opinion. To give an example, we read in the Qur'an, in a reference to the law of retaliation which was enacted in the Torah: 'We ordained therein for them life for life, eye for eye, nose for nose, tooth for tooth and wounds equal for equal' (al-Ma'idah, 5:48). Here there is no clarification as to whether the same law has to be observed by the Muslims. In yet another passage in the same sura the Qur'an stresses the enormity of murder in the following terms: 'We ordained for the children of Israel that anyone who slew a person, unless it be for murder or mischief in the land, it would be as if he slew the whole of mankind' (al-Ma'idah, 5:35). Once again, this *ayah* narrates a law of the previous revelation but does not specify whether this also constitutes a part of the *Shari'ah* of Islam.

The majority of Hanafi, Maliki, Hanbali and some Shafi'i jurists have held the view that the foregoing is a part of the *Shari'ah* of Islam and the mere fact that the Qur'an refers to it is sufficient to make the law of retaliation binding on the Muslims. For the Lawgiver spoke of the law of the Torah to the Muslims and there is nothing in the *Shari'ah* of Islam

either to abrogate it or to warrant a departure from it. This is the law of God which He spoke of to us that He might be obeyed. It is on the basis of this conclusion that the Hanafis have validated the execution of a Muslim for murdering a non-Muslim (i.e. a *dhimmi*), and a man for murdering a woman, as they all fall within the meaning of the Qur'anic phrase 'life for life'.<sup>[8. Khallaf, *Ilm*, p.94; Shaltut, *Al-Islam*, p. 489; Badran, *Usul*, p.235.]</sup>

There are some variant opinions on this, but even those who disagree with the Hanafi approach to this issue subscribe to the same principle which they find enunciated elsewhere in the Qur'an. In particular, two *ayat* have been quoted, one of which proclaims, 'and the punishment of an evil is an evil like it' (al-Shura, 42:40); and the other that, 'Whoever acts aggressively against you, inflict injury on him according to the injury he has inflicted on you, and keep your duty to God [...]' (al-



Baqarah, 2:194). It is thus concluded that these *ayat* provide sufficient evidence in support of the law of retaliation even without any reference to previous revelations.

The majority of the Shafi'is, the Ash'arites, and the Mu'tazilah have maintained the view that since Islam abrogated the previous laws, they are no longer applicable to the Muslims; and hence these laws do not constitute a part of the *Shari'ah* of Islam unless they are specifically validated and confirmed. They maintain that the *Shari'ah* norm regarding the laws of the previous religions is 'particularity' (*khusus*), which means that they are followed only when specifically upheld; whereas the norm with regard to the *Shari'ah* itself is generality (*'umum*) in that it is generally applied as it has abrogated all the previous scriptures. [9. Shawkani, *Irshad*, p.240; Shaltut, *Al-Islam*, p. 489; Badran, *Usul*, p. 236.] This restriction is necessitated in view of the fact that the previous religions have not been correctly transmitted to us and have undergone considerable distortion. [10. Abdur Rahim, *Jurisprudence*, p.70.] The proponents of this view have quoted in support the Qur'anic text which declares, in a reference to different nations and communities: 'For every one of you We have ordained a divine law and an open road' (al-Ma'idah, 5:48). Thus it is suggested that every nation has a *Shari'ah* of its own, and therefore the laws that were revealed before Islam are not binding on this *ummah*. Further evidence for this view has been sought in the Hadith of Mu'adh b. Jabal which indicates only three sources for the *Shari'ah*, namely the Qur'an, the *Sunnah* and *ijtihad*. [11. Abu Dawud, *Sunan* (Hasan's trans.), III, 1019. Hadith no.3585.] The fact that this Hadith has made no reference to previous revelations must mean that they are not a source of law for the followers of Islam. This last point has, however, been disputed in that when Mu'adh referred to the Qur'an, it was sufficient, as the Qur'an itself contains numerous references to other revealed scriptures. Further more it is well-known that the Prophet did not resort to the Torah and Injil in order to find the rulings of particular issues, especially at times when he postponed matters in anticipation of divine revelation. This would obviously imply that the Prophet did not regard the previous laws as binding on his own community. [12. Ghazali, *Mustafa*, I, 133. The only exception which is cited in this connection is when the Prophet referred to the Torah on the stoning of Jews for adultery. But this was only to show, as Ghazali explains, that stoning (*rajm*) was not against their religion, and not because the Prophet regarded the Torah as a source of law.]

The correct view is that of the majority, which maintains that the *Shari'ah* of Islam only abrogates rules which were disagreeable to its teachings. The Qur'an, on the whole, confirms the Torah and the Injil, and whenever a ruling of the previous scriptures is quoted without abrogation, it becomes an integral part of the *Shari'ah* of Islam. [13. Khallaf, *Ilm*, p. 94.] And finally, it may be added, as Abu Zahrah has pointed out, that disagreement among jurists on the authority or otherwise of the previous revelations is of little practical consequence, as the *Shari'ah* of Islam is generally self-contained and its laws are clearly identified. With regard to retaliation, for example, notwithstanding the differences of opinion among the jurists as to the precise import of the Qur'anic references to this subject, the issue is resolved, once and for all, by the *Sunnah* which contains clear instructions on retaliation and leaves no doubt that it is an integral part of the *Shari'ah* of Islam. [14. Abu Zahrah, *Usul*, p. 242.]

The Sunni ulema are in agreement that the consensus (*ijma'*) of the Companions of the Prophet is a binding proof, and represents the most authoritative form of *ijma'*. The question arises, however, as to whether the saying or *fatwa* of a single Companion should also be recognised as a proof, and given precedence over evidences such as *qiyas* or the *fatwas* of other *mujtahidun*. A number of leading jurists from various schools have answered this question in the affirmative, and have held the view that the *fatwa* of a Companion is a proof (*hujjah*) which must be followed. Then argument is that following the demise of the Prophet, the leadership of the Muslim community fell upon their shoulders, and a number of learned Companions, with their intimate knowledge of the Qur'an and the teachings of the Prophet were able to formulate *fatwas* and issue decisions on a wide range of issues. The direct access to the Prophet that the Companions enjoyed during his lifetime, and their knowledge of the problems and circumstances surrounding the revelation of the Qur'an, known as the *asbab al-nuzul*, put them in a unique position to formulate *ijtihad* and to issue *fatwas* on the problems that they encountered. Some ulema and transmitters of Hadith have even equated the *fatwa* of a Companion with the *Sunnah* of the Prophet. The most learned Companions, especially the four Rightly-Guided Caliphs, are particularly noted for their contributions and the impact they made to the determination of the detailed rules of *fiqh* regarding the issues that confronted them. [1. Khallaf, *'Ilm*, p. 94; Mahmassani, *Falsafah*, p.98; Isma'il, *Adillah*, p. 281.] This is perhaps attested by the fact that the views of the Companions were occasionally upheld and confirmed by the Qur'an. Reference may be made in this context to the Qur'anic *ayah* which was revealed concerning the treatment that was to be accorded to the prisoners of war following the battle of Badr. This *ayah* (al-Anfal, 8:67) is known to have confirmed the view which 'Umar b. al-Khattab had earlier expressed on the issue. [2. Ghazali, *Mustasfa*, I, 136.] The question arises, nevertheless, as to whether the *fatwa* of a Companion should be regarded as a proof of *Shari'ah* or a mere *ijtihad*, which may or may not be accepted by the subsequent generations of *mujtahidun* and the rest of the community as a whole. No uniform response has been given to this question, but before we attempt to explore the different responses which the ulema have given, it will be useful to identify who exactly a Companion is.

According to the majority (*jumhur*) of ulema, anyone who met the Prophet, while believing in him, even for a moment and died as a believer, is a Companion (*sahabi*) regardless of whether he or she narrated any Hadith from the Prophet or not. Others have held that the very word *sahabi*, which derives from *suhbah*, that is 'companionship', implies continuity of contact with the Prophet and narration of Hadith from him. It is thus maintained that one or the other of these criteria, namely prolonged company, or frequent narration of Hadith, must be fulfilled in order to qualify a person as a *sahabi*. [3. Shawkani, *Irshad*, p. 70.] Some observers have made a reference to custom (*urf*) in determining the duration of contact with the Prophet which may qualify a Companion. This criterion would, in turn, overrule some of the variant views to the effect that a *sahabi* is a person who has kept the company of the Prophet for

specified periods such as one or two years, or that he participated with the Prophet in at least one of the battles. [4. Isma'il, *Adillah*, p. 282.] But notwithstanding the literal implications of the word *sahabi*, the majority view is to be preferred, namely that continuity or duration of contact with the Prophet is not a requirement. Some ulema have held that the encounter with the Prophet must have occurred at a time when the person had attained the age of majority, but this too is a weak opinion as it would exclude many who met the Prophet and narrated Hadith from him and attained majority only after his death. Similarly, actual eye-witnessing is not required, as there were persons among the Companions like Ibn Umm Maktum, who were blind but were still regarded as *sahabi*.

The fact of being a Companion may be established by means of continuous testimony, or *tawatur*, which is the case with regard to the most prominent Companions such as the *Khulafa' Rashidun* and many others. To be a *sahabi* may even be established by a reputation which falls short of amounting to *tawatur*. Similarly, it may be established by the affirmation of another well-known Companion. According to some ulema, including al-Baqillani, we may also accept the Companion's own affirmation in evidence, as they are all deemed to be upright (*'udul*), and this precludes the attribution of lying to them. There is, however, a difference of opinion on this point. The preferred view is that reference should be made to corroborating evidence, which may affirm or refute a person's claim concerning himself. This precaution is taken with a view to preventing false allegations and the admittance of self-styled individuals into the ranks of the Companions. [5. Shawkani, *Irshad*, p.71; Isma'il, *Adillah*, p. 283.]

The saying of a Companion, referred to both as *qawl al-sahabi*, and *fatwa al-sahabi*, normally means an opinion that the Companion had arrived at by way of *ijtihad*. It may be a saying, a considered opinion (*fatwa*), or a judicial decision that the Companion had taken on a matter in the absence of a ruling in the Qur'an, *Sunnah* and *ijma'*. For in the face of a ruling in these sources, the *fatwa* of a Companion would not be the first authority on that matter. If the *fatwa* is related to the Qur'an and *Sunnah*, then it must be on a point that is not self-evident in the source. There would, in other words, be a gap in our understanding of the matter at issue had the Companion not expressed an opinion on it. [6. Cf. Isma'il, *Adillah*, pp. 284-85.]

As stated earlier, there is no disagreement among the jurists that the saying of a Companion is a proof which commands obedience when it is not opposed by other Companions. Rulings on which the Companions are known to be in agreement are binding. An example of this is the grandmother's share of one-sixth in inheritance on which the Companions have agreed, and it represents their authoritative *ijma'*. The ulema are, however, in disagreement with regard to rulings which are based in opinion (*ra'y*) and *ijtihad*, and in regard to matters on which the Companions differed among themselves. [7. Khallaf, *Ilm*, p. 95.]

There is general agreement among the ulema of *usul* on the point that the ruling of one Companion is not a binding proof over another, regardless as to whether the ruling in question was issued by one of

the caliphs, a judge, or a leading *mujtahid* among their number. For the Companions were themselves allowed to disagree with one another in matters of *ijtihad*. Had the ruling of one Companion been a proof over another, disagreement among them would not have been tolerated. But as already noted, the ulema of *usul* have differed as to whether the ruling of a Companion constitutes a proof as regards the Successors (*tabi'un*) and the succeeding generations of *mujtahidun*.<sup>[8. Amidi, *Ihkam*, IV, 149; Shawkani, *Irshad*, p. 243.]</sup>

There are three views on this, which may be summarised as follows:

1. That the *fatwa* of a Companion is a proof absolutely, and takes priority over *qiyas* regardless of whether it is in agreement with the *qiyas* in question or otherwise. This is the view of Imam Malik, one of the two views of Imam Shafi'i, one of the two views of Imam Ahmad b. Hanbal and of some Hanafi jurists. The proponents of this view have referred to the Qur'anic text which provides in a reference to the Companions: 'the first and foremost among the Emigrants and Helpers and those who followed them in good deeds, God is well-pleased with them, as they are with Him' (al-Tawbah, 9:100). In this text, God has praised 'those who followed the Companions'. It is suggested that this manner of praise for those who followed the opinion and judgment of the Companions warrants the conclusion that everyone should do the same. The *fatwa* of a *sahabi*, in other words, is a proof of *Shari'ah*. Another Qur'anic *ayah* which is quoted by the proponents of this view also occurs in the form of a commendation, as it reads in an address to the Companions: 'You are the best community that has been raised for mankind; you enjoin right and you forbid evil' (Al-'Imran, 3:109). Their active and rigorous involvement in the propagation of Islam under the leadership of the Prophet is the main feature of the *amr bi'l-ma'ruf* (enjoining right) which the Companions pursued. The Qur'an praises them as 'the best community' and as such their example commands authority and respect.<sup>[9. Abu Zahrah, *Usul*, p. 168; Zuhayr, *Usul*, IV, 192.]</sup>

It has, however, been suggested that the Qur'anic references to the Companions are all in the plural, which would imply that their individual views do not necessarily constitute a proof. But in response to this, it is argued that the *Shari'ah* establishes their uprightness (*'adalah*) as individuals, and those who follow them in good deeds have been praised because they followed their opinion and judgment both as individuals and groups. It is further pointed out that those who followed the Companions are praised because they followed the personal opinion of the Companions and not because the latter themselves followed the Qur'an and *Sunnah*. For if this were to be the case, then the Qur'anic praise would be of no special significance as it would apply to everyone who followed the Qur'an and *Sunnah*, whether a Companion or otherwise. If there is any point, in other words, in praising those who followed the Companions, then it must be because they followed the personal views of the Companions. It is thus concluded that following the *fatwa* of Companions is obligatory otherwise the Qur'an would not praise those who followed it in such terms.<sup>[10. Isma'il, *Adillah*, pp. 291-92.]</sup>

The proponents of this view have also referred to several *ahadith*, one of which provides; 'My Companions are like stars; whoever you follow will lead you to the right path.'

Another Hadith which is also quoted frequently in this context reads: 'Honour my Companions, for they are the best among you, and then those who follow them and then the next generation, and then lying will proliferate after that [ . . . ]' [11. Tabrizi, *Mishkat*, III, 1695, Hadith no. 6001 and 6003; Ghazali, *Mustasfa*, I, 136; Amidi, *Ihkam*, IV, 152.]

It is thus argued that according to these *ahadith*, following the way of the Companions is equated with correct guidance, which would imply that their sayings, teachings and *fatwas* constitute a proof that commands adherence.

It is, however, contended that these *ahadith* refer to the dignified status of the Companions in general, and are not categorical to the effect that their decisions must be followed. In addition, since these *ahadith* are conveyed in absolute terms in that they identify all the Companions as a source of guidance, it is possible that the Prophet had meant only those who transmitted the Hadith and disseminated the Prophetic teachings, in which case the reference would be to the authority of the Prophet himself. The Companions in this sense would be viewed as mere transmitters and propagators of the *Sunnah* of the Prophet. [12. Zuhayr, *Usul*, IV, 192; Isma'il, *Adillah*, p. 287.]

Furthermore, the foregoing references to the Companions, as al-Ghazali points out, are in the nature of praise, which indicates their piety and propriety of conduct in the eyes of God, but does not render adherence to their views an obligation. Al-Ghazali also quotes a number of other *ahadith* in which the Prophet praises individual Companions by name, all of which consist of commendation and praise; they do not necessarily mean that the saying of that Companion is a binding proof (*hujjah*). [13. Ghazali, *Mustasfa*, I, 136-37.]

2. The second view is that the *ijtihad* of a Companion is not a proof and does not bind the succeeding generations of *mujtahidun* or any one else. This view is held by the Ash'arites, the Mu'tazilah, Imam Ahmad b. Hanbal (according to one of his two views), and the Hanafi jurist Abu al-Hasan al-Karkhi. [14. Isma'il, *Adillah*, p. 294; Zuhayr, *Usul*, IV, 193.] The proponents of this view have quoted in support the Qur'anic *ayah* (al-Hashr, 59:2) which provides: 'Consider, O you who have vision.' It is argued that this *ayah* makes *ijtihad* the obligation of everyone who is competent to exercise it, and makes no distinction over whether the *mujtahid* is a Companion or anyone else. What is obligatory is *ijtihad* itself, not adhering to the *ijtihad* of anyone in particular. This *ayah* also indicates that the *mujtahid* must rely directly on the sources and not imitate anyone, including the Companions. The proponents of this view also refer to the *ijma'* of the Companions, referred to above, to the effect that the views of one *mujtahid* among them did not bind the rest of the Companions. [15. Ghazali, *Mustasfa*, I, 135; Amidi, *Ihkam*, IV, 149.]

Al-Ghazali and al-Amidi both consider this to be the preferred view, saying that those who have held otherwise have resorted to evidence which is generally weak. Al-Shawkani has also held that the *fatwa* of a Companion is not a proof, as he explains that the *ummah* is required to follow the Qur'an and

*Sunnah*. The *Shari'ah* only renders the *Sunnah* of the Prophet binding on the believers, and no other individual, whether a Companion or otherwise, has been accorded a status similar to that of the Prophet. [16. Shawkani, *Irshad*, p. 214.] Abu Zahrah has, however, criticised al-Shawkani's conclusion, and explains that when we say that the saying of a Companion is an authoritative proof, it does not mean that we create a rival to the Prophet. On the contrary, the Companions were most diligent in observing the Qur'an and *Sunnah*, and it is because of this and their closeness to the Prophet that their *fatwa* carries greater authority than that of the generality of other *mujtahidun*. [17. Abu Zahrah, *Usul*, p.172; Isma'il, *Adillah*, p. 299.]

3. The third view, which is attributed to Abu Hanifah, is that the ruling of the Companion is a proof when it is in conflict with *qiyas* but not when it agrees with *qiyas*. The explanation for this is that when the ruling of a *sahabi* conflicts with *qiyas*, it is usually for a reason, and the fact that the Companion has given a ruling against it is an indication of the weakness of the *qiyas*; hence the view of the Companion is to be preferred. In the event where the ruling of the Companion agrees with *qiyas*, it merely concurs with a proof on which the *qiyas* is founded in the first place. The ruling of the Companion is therefore not a separate authority. [18. Zuhayr, *Usul*, IV, 194; Isma'il, *Adillah*, p. 301.]

There is yet another view which maintains that only the rulings of the four Rightly-Guided Caliphs command authority. This view quotes in support the Hadith in which the Prophet ordered the believers, 'You are to follow my *Sunnah* and the *Sunnah* of the *Khulafa' Rashidun* after me' This is even further narrowed down, according to another Hadith, to include the first two caliphs only. The Hadith in question reads: 'Among those who succeed me, follow Abu Bakr and 'Umar'. The authenticity of this second Hadith has, however, been called into question, and in any case, it is suggested that the purpose of these *ahadith* is merely to praise the loyalty and devotion of these luminaries to Islam, and to commend their excellence of conduct. [19. Ibn Majah, *Sunan*, I, 37, Hadith no. 97; Ghazali, *Mustasfa*, I, 135; Amidi, *Ihkam*, IV, 152.]

Imam Shafi'i is on record as having stated that he follows the *fatwa* of a Companion in the absence of a ruling in the Qur'an, *Sunnah* and *ijma'*. Al-Shafi'i's view on this point is, however, somewhat ambivalent, which is perhaps why it has been variously interpreted by the jurists. In a conversation with al-Rabi', al-Shafi'i has stated: 'We find that the ulema have sometimes followed the *fatwa* of a Companion and have abandoned it at other times; and even those who have followed it are not consistent in doing so.' At this point the interlocutor asks the Imam, 'What should I turn to, then?' To this al-Shafi'i replies: 'I follow the ruling of the Companion when I find nothing in the Qur'an, *Sunnah* or *ijma'*, or anything which carries through the implications of these sources.' Al-Shafi'i has further stated that he prefers the rulings of the first three caliphs over those of the other Companions, but that when the Companions are in disagreement, we should look into their reasons and also try to ascertain the view which might have been adopted by the majority of the Companions. Furthermore, when the ruling of the Companion is in agreement with *qiyas*, then that *qiyas*, according to al-Shafi'i, is given

priority over a variant *qiyas* which is not so supported. [20. Shafi'i, *Risalah*, p. 261; Shawkani, *Irshad*, p. 243; Abu Zahrah, *Usul*, p. 170.]

Imam Abu Hanifah is also on record as having said, 'When I find nothing in the Book of God and the *Sunnah* of the Prophet, I resort to the saying of the Companions. I may follow the ruling which appeals to me and abandon that which does not, but I do not abandon their views altogether and do it not give preference to others over them: It thus appears that Abu Hanifah would give priority to the ruling of a Companion over *qiyas*, and although he does not consider it a binding proof, it is obvious that he regards the *fatwa* of a *sahabi* to be preferable to the *ijtihad* of others. [21. Abu Zahrah, *Usul*, p. 170.]

Imam Ahmad ibn Hanbal has distinguished the *fatwas* of Companions into two types, one being a *fatwa* which is not opposed by any other Companion, or where no variant *ijtihad* has been advanced on the same point. Ibn Hanbal regards this variety of *fatwa* as authoritative. An example of this is the admissibility of the testimony of slaves, on which the Imam has followed the *fatwa* of the Companion, Anas b. Malik. Ibn Hanbal is quoted to the effect that he had not known of anyone who rejected the testimony of a slave; it is therefore admissible. The second variety of *fatwa* that Ibn Hanbal distinguishes is one on which the Companions disagreed, and issued two or three different rulings concerning the same problem. In this situation, Imam Ibn Hanbal considers them all to be valid and equally authoritative, unless it is known that the *Khulafa' Rashidun* adopted one in preference to the others, in which case the Imam would do likewise. An example of such disagreement is the case of the allotment of a share in inheritance to germane brothers in the presence of the father's father. According to Abut Bakr, the father's father in this case is accounted like the father who would in turn exclude the germane brothers altogether. Zayd b. Thabit, on the other hand, counted the father's father as one of the brothers and would give him a minimum of one-third, whereas `Ali b. Abi Talib counted the father's father as one of the brothers whose entitlement must not be less than one-sixth. Imam Ibn Hanbal is reported to have accepted all the three views as equally valid, for they each reflect the light and guidance that their authors received from the Prophet, and they all merit priority over the *ijtihad* of others. [22. Abu Zahrah, *Ibn Hanbal*, p. 287; Isma'il, *Adillah*, pp. 295-96.]

The Hanbali scholar Ibn Qayyim al-Jawziyyah quotes Imam al-Shafi'i as having said, 'It is better for us to follow the *ra'y* of a Companion rather than our own opinion,' Ibn al-Qayyim accepts this without reservation, and produces evidence in its support. He then continues to explain that the *fatwa* of a Companion may fall into any of six categories. Firstly, it may be based on what the Companion might have heard from the Prophet. Ibn al-Qayyim explains that the Companions knew more about the teachings of the Prophet than what has come down to us in the form of Hadith narrated by the Companions. Note, for example, that Abu Bakr al-Siddiq transmitted no more than one hundred *ahadith* from the Prophet notwithstanding the fact that he was deeply knowledgeable of the *Sunnah* and was closely associated with the Prophet not only after the Prophetic mission began, but even before. Secondly, the *fatwa* of a Companion may be based on what he might have heard from a fellow

Companion, Thirdly, it may be based on his own understanding of the Qur'an in such a way that the matter would not be obvious to us had the Companion not issued a *fatwa* on it. Fourthly, the Companion may have based his view on the collective agreement of the Companions, although we have received it through one Companion only. Fifthly, the *fatwa* of a Companion may be based on the learned opinion and general knowledge that he acquired through long experience. And Sixthly, the *fatwa* of a Companion may be based on an understanding of his which is not a result of direct observation but of information that he received indirectly, and it is possible that his opinion is incorrect, in which case his *fatwa* is not a proof and need not be followed by others. [23. Ibn Qayyim, *I'lam*, II, 191ff; Abu Zahrah, *Usul*, pp. 169-70.]

And lastly, it will be noted that Imam Malik has not only upheld the *fatwas* of Companions but has almost equated it with the *Sunnah* of the Prophet. This is borne out by the fact, as already stated in our discussion of the *Sunnah*, that in his *Muwatta'*, he has recorded over 1,700 *ahadith*, of which over half are the sayings and *fatwas* of Companions.

On a similar note, Abu Zahrah has reached the conclusion that the four Imams of Jurisprudence have all, in principle, upheld and followed the *fatwas* of Companions and all considered them to be authoritative, although some of their followers have held views which differ with those of their leading Imams. The author then quotes al-Shawkani at some length to the effect that the *fatwa* of a Companion is not a proof. Having quoted al-Shawkani, Abu Zahrah refutes his view by saying that it is 'not free of exaggeration'. (We have already given a brief outline of Abu Zahrah's critique of al-Shawkani.) Abu Zahrah then quotes Ibn al-Qayyim's view on this matter which we have already discussed, and supports it to the effect that the *fatwa* of a Companion is authoritative. But it is obvious from the tenor of his discussion and the nature of the subject as a whole that the *fatwa* of a Companion is a speculative proof only. [24. Abu Zahrah, *Usul*, p. 172.] Although the leading Imams of jurisprudence are in agreement on the point that the *fatwa* of a Companion is authoritative, none has categorically stated that it is a binding proof. Nonetheless, the four leading Imams consider the *fatwa* of a Companion to be a persuasive source of guidance in that it carries a measure of authority which merits careful consideration, and commands priority over the *ijtihad* of other *mujtahidun*.



## Chapter Twelve: *Istihsan*, or Equity in Islamic Law

The title I have chosen for this chapter draws an obvious parallel between equity and *istihsan* which should be explained, for although they bear a close similarity to one another, the two are not identical. 'Equity' is a Western legal concept which is grounded in the idea of fairness and conscience, and derives legitimacy from a belief in natural rights or justice beyond positive law.<sup>[1. Osborn's *Concise Law Dictionary*, at p. 124, defines equity as follows: 'Primarily fairness or natural justice. A fresh body of rules by the side of the original law, founded on distinct principles, and claiming to supersede the law in virtue of a superior sanctity inherent in those principles. Equity is the body of rules formulated and administered by the Court of Chancery to supplement the rules and procedure of the Common Law.']</sup> *Istihsan* in Islamic law, and equity in Western law, are both inspired by the principle of fairness and conscience, and both authorise departure from a rule of positive law when its enforcement leads to unfair results. The main difference between them is, however, to be sought in the overall reliance of equity on the concept of natural law, and of *istihsan* on the underlying values and principles of the *Shari'ah*. But this difference need not be over-emphasised if one bears in mind the convergence of values between the *Shari'ah* and natural law. Notwithstanding their different approaches to the question of right and wrong, for example, the values upheld by natural law and the divine law of Islam are substantially concurrent. Briefly, both assume that right and wrong are not a matter of relative convenience for the individual, but derive from an eternally valid standard which is ultimately independent of human cognizance and adherence. But natural law differs with the divine law in its assumption that right and wrong are inherent in nature.<sup>[2. See for a discussion Kerr's *Islamic Reform*, p.57.]</sup> From an Islamic perspective, right and wrong are determined, not by reference to the 'nature of things', but because God has determined them as such. The *Shari'ah* is an embodiment of the will of God, the Lord of the universe and the supreme arbiter of values. If equity is defined as a law of nature superior to all other legal rules, written or otherwise, then this is obviously not what is meant by *istihsan*. For *istihsan* does not recognise the superiority of any other law over the divine revelation, and the solutions which it offers are for the most part based on principles which are upheld in the divine law. Unlike equity, which is founded in the recognition of a superior law, *istihsan* does not seek to constitute an independent authority beyond the *Shari'ah*. *Istihsan*, in other words, is an integral part of the *Shari'ah*, and differs with equity in that the latter recognises a natural law apart from, and essentially superior to, positive law.<sup>[3. See for a discussion John Makdisi, 'Legal Logic,' p.90.]</sup>

While discussing the general theory of *istihsan*, this chapter also draws attention to two main issues concerning this subject. One of these is whether or not *istihsan* is a form of analogical reasoning: is it to be regarded as a variety of *qiyas* or does it merit to stand as a principle of equity in its own right? The other issue to be raised is the controversy over the validity of *istihsan*, which started with al-Shafi'i's unambiguous rejection of this principle. A glance at the existing literature shows how the ulema are preoccupied with the polemics over *istihsan* and have differed on almost every aspect of the subject. I shall therefore start with a general characterisation of *istihsan*, and then discuss the authority which is

quoted in its support. This will be followed by a brief account of the related concepts, *ra'y* and *qiyas*. The discussion will end with an account of the controversy over *istihsan* and a conclusion where I have tried to see the issues in a fresh light with a view to developing a perspective on *istihsan*.

*Istihsan* is an important branch of *ijtihad*, and has played a prominent role in the adaptation of Islamic law to the changing needs of society. It has provided Islamic law with the necessary means with which to encourage flexibility and growth. Notwithstanding a measure of juristic technicality which seems to have been injected into an originally simple idea, *istihsan* remains basically flexible, and can be used for a variety of purposes, as will later be discussed. Yet because of its essential flexibility, the jurists have discouraged an over-reliance on *istihsan* lest it result in the suspension of the injunctions of the *Shari'ah* and become a means of circumventing its general principles. *Istihsan* has thus become the subject of much controversy among our jurists. Whereas the Hanafi, Maliki, and Hanbali jurists have validated *istihsan* as a subsidiary source of law, the Shafi'i, Zahiri and Shi'i ulema have rejected it altogether and refused to give it any credence in their formulation of the legal theory of *usul al-fiqh*.<sup>[4. For details see Sabuni, *Madkhal*, p. 119ff.]</sup>

*Istihsan* literally means 'to approve, or to deem something preferable'. It is a derivation from *hasuna*, which means being good or beautiful. In its juristic sense, *istihsan* is a method of exercising personal opinion in order to avoid any rigidity and unfairness that might result from the literal enforcement of the existing law. 'Juristic preference' is a fitting description of *istihsan*, as it involves setting aside as established analogy in favour of an alternative ruling which serves the ideals of justice and public interest in a better way.

Enforcing the existing law may prove to be detrimental in certain situations, and a departure from it may be the only way of attaining a fair solution to a particular problem. The jurist who resorts to *istihsan* may find the law to be either too general, or too specific and inflexible. In both cases, *istihsan* may offer a means of avoiding hardship and generating a solution which is harmonious with the higher objectives of the *Shari'ah*.

It has been suggested that the ruling of the second caliph, 'Umar b. al-Khattab, not to enforce the *hadd* penalty of the amputation of the hand for theft during a widespread famine, and the ban which he imposed on the sale of slave-mothers (*ummahat al-awlad*), and marriage with *kitabiyahs* in certain cases were all instances of *istihsan*.<sup>[5. *Umm al-walad* is a female slave who has borne a child to her master, and who is consequently free at his death. A *kitabiyah* is a woman who is a follower of a revealed religion, namely Christianity and Judaism.]</sup> For 'Umar set aside the established law in these cases on grounds of public interest, equity and justice.<sup>[6. Cf. Ahmad Hasan, *Early Development*, p.145.]</sup>

The Hanafi jurist al-Sarakhsi (d. 483/1090), considers *istihsan* to be a method of seeking facility and ease in legal injunctions. It involves a departure from *qiyas* in favour of a ruling which dispels hardship and brings about ease to the people. 'Avoidance of hardship (*raf' al-haraj*)' al-Sarakhsi adds, 'is a

cardinal principle of religion which is enunciated in the Qur'an, where we read, in an address to the believers, that 'God intends facility for you, and He does not want to put you in hardship' (al-Baqarah 2:185). Al-Sarakhsi substantiates this further by quoting the Hadith that reads: 'The best of your religion is that which brings ease to the people.' [7. Sarakhsi, *Mabsut*, X, 145; Ibn Hanbal, *Musnad*, V. 22.]

Al-Khudari has rightly explained that in their search for solutions to problems, the Companions and Successors resorted in the first place to the Qur'an and the normative example of the Prophet. But when they found no answer in these sources, they exercised their personal opinion (*ra'y*) which they formulated in the light of the general principles and objectives of the *Shari'ah*. This is illustrated, for example, in the judgment of `Umar ibn al-Khattab in the case of Muhammad ibn Salamah. The caliph was approached by Ibn Salamah's neighbour who asked for permission to extend a water canal through Ibn Salamah's property, and he was grafted the request on the ground that no harm was likely to accrue to Ibn Salamah, whereas extending a water canal was to the manifest benefit of his neighbour. [8. Khudari, *Tarikh*, p.199.]

It thus appears that *istihsan* is essentially a form of *ra'y* which gives preference to the best of the various solutions that may exist for a particular problem. In this sense, *istihsan* is an integral part of Islamic jurisprudence and indeed of many other areas of human knowledge. Hence it is not surprising to note Imam Malik's observation that '*istihsan* represents nine-tenth of human knowledge'. While quoting this view, Abu Zahrah adds that when Malik made this remark, he was apparently including the broad concept of *maslahah* within the purview of *istihsan*. 'For it is *maslahah* which accounts for the larger part of the nine-tenth.' [9. Abu Zahrah, *Usul*, p. 207, and 215. Imam Malik's characterisation of *istihsan* also appears in Shatibi, *Muwafaqat* (ed. Diraz), IV. 208.]

Evidence suggests that the Companions and Successors were not literalists who would seek a specific authority in the revealed sources for every legal opinion (*fatwa*) they issued. On the contrary, their rulings were often based on their understanding of the general spirit and purpose of the *Shari'ah*, and not necessarily on the narrow and literal meaning of its principles. *Istihsan* has been formulated in this spirit; it is the antidote to literalism and takes a broad view of the law which must serve, not frustrate, the ideals of fairness and justice.

To give an example, oral testimony is the standard form of evidence in Islamic law on which a consensus (*ijma'*) can be claimed to exist. This normally requires two upright (*adl*) witnesses unless the law provides otherwise (the proof of *zina*, for instance, requires four witnesses). The number of witnesses required in these cases is prescribed in the Qur'an, but the rule that testimony should be given orally is determined by consensus. Muslim jurists have insisted on oral testimony and have given it priority over other methods of proof, including confession and documentary evidence. In their view, the direct and personal testimony of a witness who speaks before the judge with no intermediary is the most reliable means of discovering the truth. The question arises, however, whether one should still insist on

oral testimony at a time when other methods such as photography, sound recording, laboratory analyses, etc. offer at least equally, if not more, reliable methods of establishing facts. Here we have, I think, a case for a recourse to *istihsan* which would give preference to these new and often more reliable means of proof. It would mean departing from the established rules of evidence in favour of an alternative ruling which is justified in light of the new circumstances. The rationale of this *istihsan* would be that the law requires evidence in order to establish the truth, and not the oral testimony for its own sake. If this is the real spirit of the law, then recourse to *istihsan* would seem to offer a better way to uphold that spirit.

The jurists are not in agreement on a precise definition for *istihsan*. The Hanafis have, on the whole, adopted Abu'l-Hasan al-Karkhi's (d. 340/947) definition, which they consider accurate and comprehensive. *Istihsan* is accordingly a principle which authorises departure from an established precedent in favour of a different ruling for a reason stronger than the one which is obtained in that precedent. While quoting this, al-Sarakhsi adds that the precedent which is set aside by *istihsan* normally consists of an established analogy which may be abandoned in favour of a superior proof, that is, the Qur'an, the *Sunnah*, necessity (*darurah*), or a stronger *qiyas*.<sup>[10. Sarakhsi, *Mabsut*, X, 145.]</sup>

The Hanbali definition of *istihsan* also seeks to relate *istihsan* closely to the Qur'an and the *Sunnah*. Thus according to Ibn Taymiyyah, *istihsan* is the abandonment of one legal norm (*hukm*) for another which is considered better on the basis of the Qur'an, *Sunnah*, or consensus.<sup>[11. Ibn Taymiyyah, *Mas'alah al-istihsan*, p. 446.]</sup>

Notwithstanding the fact that the Maliki jurists lay greater emphasis on *istislah* (consideration of public interest) and are not significantly concerned with *istihsan*, they have in principle validated *istihsan*. But the Maliks view *istihsan* as a broad doctrine, somewhat similar to *istislah*, which is less stringently confined to the Qur'an and *Sunnah* than the Hanafis and Hanbalis have. Thus according to Ibn al-'Arabi, '*istihsan* is to abandon exceptionally what is required by the law because applying the existing law would lead to a departure from some of its own objectives.' Ibn al-'Arabi points out that the essence of *istihsan* is to act on 'the stronger of two indications (*dalilayn*)'. Whereas the majority of ulema would hold to *qiyas* when it was attacked on grounds of rigidity, Malik and Abu Hanifah departed from *qiyas*, or specified the general in *qiyas*, on grounds of *maslahah* and other indications.<sup>[12. '*Al-istihsan huwa tark muqtada al-dalil `ala tariq al istithna' wa'l-tarakkhkus li-mu'aradah ma yu'arad bihi fi ba'd muqtadayatih.*' See Ibn al-Arabi, *Ahkam al-Qur'an*, II, 57. A discussion of Ibn al-'Arabi's definition also appears in Shatibi, *Muwafaqat*, (ed. Diraz), IV, 208.]</sup>

There are certain differences in the terms of these definitions which will hopefully become clearer as our discussion proceeds. But it appears that departure from an existing precedent on grounds of more compelling reasons is a feature of *istihsan* which is common to all the foregoing definitions. According to Abu Zahrah, the Hanafis have adopted al-Karkhi's definition, as it embraces the essence of *istihsan* in all of its various forms. The essence of *istihsan*, Abu Zahrah adds, is to formulate a decision which sets

aside an established analogy for a reason that justifies such a departure and seeks to uphold a higher value of the *Shari'ah*.<sup>[13. Abu Zahrah, *Usul*, p. 207.]</sup> The departure to an alternative ruling in *istihsan* may be from an apparent analogy (*qiyas jali*) to a hidden analogy (*qiyas khafi*), or to a ruling which is given in the *nass* (i.e. the Qur'an or the *Sunnah*), consensus, custom, or public interest.

There is no direct authority for *istihsan* either in the Qur'an or in the *Sunnah*, but the jurists have quoted both in their arguments for it. The opponents of *istihsan* have, on the other hand, argued that *istihsan* amounts to a deviation from the principles of the *Shari'ah*. It is an idle exercise in human preferences which only detracts from our duty to rely exclusively on divine revelation. Both sides have quoted the Qur'an and the *Sunnah* in support of their arguments. They were able to do so partly because the Qur'anic *ayat* which they have quoted are on the whole open to various interpretation.

The Hanafi jurists have mainly quoted two Qur'anic *ayahs*, both of which employ a derivation of the root word *hasuna*, and enjoin the believers to follow the best of what they hear and receive. They are as follows:

1. And give good tidings to those of my servants who listen to the word and follow the best of it [*ahsanahu*]. Those are the ones God has guided and endowed with understanding (al-Zumar, 39:18);
2. And follow the best [*ahsan*] of what has been sent down to you from your Lord (al-Zumar, 39:55)

*Qawl* (lit. 'word' or 'speech') in the first *ayah* could either mean the word of God, or any other speech. If it means the former, which is more likely, then the question arises as to whether one should distinguish between the words of God which are *ahsan* (the best) as opposed to those which are merely *hasan* (good). Some commentators have suggested that the reference here is to a higher course of conduct. The Qur'an, in other words, distinguishes a superior course of conduct from that which may be considered as ordinary. Punishing the wrong-doer, for example, is the normal course enjoined by the *Shari'ah*, but forgiveness may at times be preferable (*ahsan*) and would thus represent the higher course of conduct. The basic concept of *istihsan*, in other words, can be seen in the Qur'an, although not in its technical form which the ulema of jurisprudence have developed.<sup>[14. Yusuf Ali's commentary to *The Holy Qur'an*, p.1241 at f.n. 4269.]</sup>

The following two *ahadith* have also been quoted in support of *istihsan*:

1. 'What the Muslims deem to be good is good in the sight of God'<sup>[15. Amidi (*Ihkam*, I, 241) considers this to be a Hadith but it is more likely to be a saying of the prominent companion, 'Abd Allah Ibn Mas'ud; see also Shatibi, *I'tisam*, II, 319.]</sup>,
2. 'No harm shall be inflicted or reciprocated in Islam.'<sup>[16. Ibn Majah, *Sunan*, II, 784, Hadith no. 2340; Shatibi, *Muwafaqat* (ed. Diraz), III, 17, Khudari, *Tarikh*, p. 199.]</sup>

The critics of *istihsan* have argued, however, that none of the foregoing provide a definite authority in support of this doctrine. Regarding the first of the two *ayahs*, for example, Amidi points out that it merely praises those who follow the best of what they hear. There is no indication in this *ayah* to render adherence to the 'best speech' an obligation. Nor does the second *ayah* bind one to a search for the best in the revelation: if there is an injunction in the revealed sources, it would bind the individual regardless of whether it is the best of the revelation or otherwise.<sup>[17. Amidi, *Ihkam*, IV, p. 159.]</sup> As for the Tradition, 'what the Muslims deem good is good in the sight of God', both al-Ghazali and al-Amidi have observed that, if anything, this would provide the authority for consensus (*ijma'*). There is nothing in this Tradition to suggest, and indeed it would be arbitrary to say, that what a Muslim individual deems good is also good in the sight of God.<sup>[18. Amidi, *Ihkam*, IV, p. 160; Ghazali, *Mustasfa*, I, 138.]</sup>

The critics of *istihsan* have further suggested that this doctrine was initially introduced by Hanafi jurists in response to certain urgent situations. The Hanafis then tried to justify themselves by quoting the Qur'an and the Hadith *ex-post facto*. The Qur'anic foundation of *istihsan*, in other words, is weak, and no explicit authority for it can be found in the *Sunnah* either.<sup>[19. Ahmad Hasan, 'The Principle of Istihsan', p. 347.]</sup>

The historical origins of *istihsan* are somewhat uncertain too. While Goldziher has suggested that Abu Hanifah was the first to introduce and use the term in its juristic sense, Joseph Schacht has attributed the origin of *istihsan* to Abu Hanifah's disciple, Abu Yusuf. Fazlur Rahman has confirmed the former view, which he thinks is substantiated by the fact that al-Shaybani, another disciple of Abu Hanifah, in a number of cases attributed *istihsan* to Abu Hanifah himself.<sup>[20. Fazlur Rahman, *Islamic Methodology*, p.32.]</sup>

## ***Ra'y, Qiyas and Istihsan***

*Istihsan* is closely related to both *ra'y* and analogical reasoning. As already stated, *istihsan* usually involves a departure from *qiyas* in the first place, and then the departure in question often means giving preference to one *qiyas* over another. Broadly speaking, *qiyas* is the logical extension of an original ruling of the Qur'an, the *Sunnah* (or even *ijma'*) to a similar case for which no direct ruling can be found in these sources. *Qiyas* in this way extends the *ratio legis* of the divine revelation through the exercise of human reasoning. There is, in other words, a rationalist component to *qiyas*, which consists, in the most part, of a recourse to personal opinion (*ra'y*). This is also true of *istihsan*, which relies even more heavily on *ra'y*. It is this rationalist tendency verging on personal opinion in both *qiyas* and *istihsan* which has been the main target of criticism by al-Shafi'i and others. Hence the controversy over the validity of *istihsan* is essentially similar to that encountered with regard to *qiyas*.<sup>[21. See further on *qiyas* Kamali, 'Qiyas(Analogy)' in *The Encyclopedia of Religion* XII, 128ff.]</sup> However, because of its closer identity with the Qur'an and the *Sunnah*, *qiyas* has gained wider acceptance as a principle of jurisprudence. But even so, *qiyas* and

*istihsan* are both considered to be expressive of rationalist tendencies in a system of law which must keep a close identity with its origins in divine revelation. In the centre of this controversy lies the question of the validity or otherwise of recourse to personal opinion (*ra'y*) in the development of the *Shari'ah*.

From an historical vantage point, it will be noted that in their recourse to personal opinion, the Companions were careful not to exercise *ra'y* at the expense of the *Sunnah*. This concern over possible violation of the *Sunnah* was greater in those days when the Hadith had not yet been compiled nor consolidated. With the territorial expansion of the Islamic domain under the Umayyads, and the dispersal of jurists and Companions who were learned in the Hadith, direct access to them became increasingly difficult. Fear of isolating the *Sunnah* led the jurists to lay down certain rules which restricted free recourse to *ra'y*. In order to be valid, the jurists ruled, *ra'y* must derive its authority from the *Shari'ah* principles which are enunciated in the Qur'an and the *Sunnah*. This was the genesis of *qiyas*, which was initially a disciplined form of *ra'y*. However, the exercise of this relatively liberal form of *ra'y* during the formative stages of jurisprudence had already led to considerable disagreement among the *fuqaha'*. Those who called for a close adherence to the Hadith, namely the *Ahl al-Hadith*, mainly resided in the holy cities of Makkah and Madinah. The *Ahl al-Hadith* regarded the *Sunnah* to be supplementary to the Qur'an. They insisted on strict adherence to the *Sunnah* which, in their view, was a basic requirement of the faith. Acceptance of the faith, they argued, must be on a dogmatic basis without referring to the rationale causes (*ta'lil*) of its ordinances. They were, in other words, literalists who denied the *mujtahid* the liberty to resort to the basic rationale of the *Shari'ah* rules. Whenever they failed to find an explicit authority in the sources concerning a problem, they chose to remain silent and avoid recourse to *ra'y*. This they considered to be the essence of piety and unquestioning submission to God. <sup>[22. Khudari, *Tarikh*, p. 200ff.]</sup>

The *fuqaha'* of Iraq, on the other hand, resorted more liberally to personal opinion, which is why they are known as *Ahl al-Ra'y*. In their view, the *Shari'ah* was in harmony with the dictates of reason. Hence they had little hesitation to refer, in their search for solutions to legal problems, both to the letter and the spirit of the *Shari'ah* ordinances. The *Ahl al-Ra'y* are thus known for their frequent resort to analogical reasoning and *istihsan*.

As will be shown in the following pages, *istihsan* reflects an attempt on the part of the *fuqaha* at regulating the free exercise of *ra'y* in matters of law and religion. Any restrictions imposed on *istihsan*, such as the one that sought to turn *istihsan* into a technical formula, were basically designed to tilt the balance in the continuous debate over the use of *ra'y* versus literalism in favour of the latter. Yet those who saw *istihsan* as a predominantly rationalist doctrine had reservations over subjecting it to restrictions that eroded its rationalist content and rendered *istihsan* a mere subdivision of *qiyas*.

Although the classical theory of *usul al-fiqh* tacitly recognised that in some cases analogical reasoning might entail injustice and that it was then permissible to resort to *istihsan*, this was, however, not to be regarded as 'giving human reason a sovereign role'. *Istihsan* and *maslahah* were to be applied strictly in the absence of a specific ruling in the Qur'an or the *Sunnah*.<sup>[23. Coulson, *Conflicts*, pp. 6-7.]</sup>

### *Qiyas Jali, Qiyas Khafi and Istihsan*

*Qiyas jali* or 'obvious analogy', is a straightforward *qiyas* which is easily intelligible to the mind. An oft-quoted example of this is the analogy between wine and another intoxicant, say a herbal drink, both of which have in common the effective cause (*'illah*) of being intoxicating. Hence the prohibition concerning wine is analogically extended to the intoxicant in question. But *qiyas khafi*, or 'hidden analogy', is a more subtle form of analogy in the sense that it is not obvious to the naked eye but is intelligible only through reflection and deeper thought. *Qiyas khafi*, which is also called *istihsan* or *qiyas mustahsan* (preferred *qiyas*) is stronger and more effective in repelling hardship than *qiyas jali*, presumably because it is arrived at not through superficial observation of similitudes, but through deeper reflection and analysis.

According to the majority of jurists, *istihsan* consists of a departure from *qiyas jali* to *qiyas khafi*. When the jurist is faced with a problem for which no ruling can be found in the definitive text (*nass*), he may search for a precedent and try to find a solution by means of analogy. His search for alternatives may reveal two different solutions, one of which is based on an obvious analogy and the other on a hidden analogy. If there is a conflict between the two, then the former must be rejected in favour of the latter. For the hidden analogy is considered to be more effective and therefore preferable to the obvious analogy. This is one form of *istihsan*. But there is another type of *istihsan* which mainly consists of making an exception to a general rule of the existing law when the jurist is convinced that justice and equity will be better served by making such an exception. The jurist might have reached this decision as a result of his personal *ijtihad*, or the exception may have already been authorised by any of the following: *nass*, *ijma'*, approved custom, necessity (*darurah*), or considerations of public interest (*maslahah*).<sup>[24. Sha'ban, *Usul*, p.100.]</sup> These will be illustrated in the examples that follow. The examples chosen will also show more clearly the role that *istihsan* has played in the development of *fiqh*.

1) To give an example of *istihsan* which consists of a departure from *qiyas jali* to *qiyas khafi*, it may be noted that under Hanafi law, the *waqf* (charitable endowment) of cultivated land includes the transfer of all the ancillary rights (the so-called 'easements') which are attached to the property, such as the right of water (*haqq al-shurb*), right of passage (*haqq al-murur*) and the right of flow (*haqq al-masil*), even if these are not explicitly mentioned in the instrument of *waqf*. This ruling is based on *qiyas khafi* (or



*istihsan*), as I shall presently explain. It is a rule of the Islamic law of contract, including the contract of sale, that the object of contract must be clearly identified in detail. What is not specified in the contract, in other words, is not included therein. Now if we draw a direct analogy (i.e. *qiyas jali*) between sale and *waqf* - as both involve the transfer of ownership - we must conclude that the attached rights can only be included in the *waqf* if they are explicitly identified. It is, however, argued that such an analogy would lead to inequitable results: the *waqf* of cultivated lands, without its ancillary rights, would frustrate the basic purpose of *waqf*, which is to facilitate the use of the property for charitable purposes. To avoid hardship, a recourse to an alternative analogy, namely, to *qiyas khafi*, is therefore warranted. The hidden analogy in this case is to draw a parallel, not with the contract of sale, but with the contract of lease (*ijarah*). For both of these involve a transfer of usufruct (*intifa'*). Since usufruct is the essential purpose of *ijarah*, this contract is valid, on the authority of a Hadith, even without a clear reference to the usufruct. This alternative analogy with *ijarah* would enable us to say that *waqf* can be validly concluded even if it does not specify the attached rights to the property in detail.

To give another example, supposing A buys a house from B in a single transaction for a price of 40,000 pounds payable in installments. A pays the first installment of 2,000 pounds to B assuming that B will hand over C's portion to him. But before this happens, B loses the 2,000 and the question arises as to who should suffer the loss. By applying *qiyas jali*, B and C should share the loss. For B received the money on behalf of the partnership and not for himself alone. Their position in sharing the loss, in other words, is analogous to their status as partners in the first place. But by applying *istihsan*, only B, who received the money, suffers the loss. For C, although a partner, was basically under no obligation to obtain his portion of the 2,000 from B. It was only his right/privilege, and he would be at the liberty to waive it. C's portion of the 2,000 pounds would consequently become a part of the remainder of the price (or the debt) that A owed to both. Only B is therefore to suffer the loss. The solution is based on the subtle analogy that one who is under no obligation should not have to pay any compensation either. <sup>[25. Khallaf, *Ilm*, p.82; al-Nabhani, *Muqaddimah*, p. 67.]</sup>

2) The second variety of *istihsan* consists of making an exception to a general rule of the existing law, which is why some writers have called this type 'exceptional *istihsan*' (*istihsan istithna'i*), as opposed to 'analogical *istihsan*' (*istihsan qiyasi*) - the latter consisting of a departure from one *qiyas* to another. <sup>[26.</sup>

Note the use of these terms e.g., in Sabuni, *Madkhal*, p. 123.]

Of these two, exceptional *istihsan* is considered to be the stronger, for it derives support from another recognised source, especially when this is the Qur'an or the *Sunnah*. The scholars of various schools are generally in agreement on the validity of the *istihsan* for which authority can be found in the primary sources, but they have disputed *istihsan* which is based on *qiyas khafi* alone. In fact the whole controversy over *istihsan* focuses on this latter form of *istihsan*. <sup>[27.</sup>

Thus the Maliki jurist Ibn al-Hajib classifies *istihsan* into three categories of accepted (*maqbul*), rejected (*mardud*) and uncertain (*mutaraddid*), adding that *istihsan* which is based on stronger grounds is acceptable to all. But *istihsan* which can find no support in the *nass*, *ijma'* or *qiyas* is generally disputed. See Ibn al-Hajib, *Mukhtasar*, II, 485.] But more to the point, the authority for an exceptional *istihsan* may be given either in the

*nass*, or in one of the other recognised proofs, namely consensus (*ijma'*), necessity (*darurah*), custom (*`urf* or *`adah*), and public interest (*maslahah*). We shall illustrate each of these separately, as follows:

2.1. An example of the exceptional *istihsan* which is based in the *nass* of the Qur'an is its ruling on bequests to relatives: 'It is prescribed that when death approaches any of you, if he leaves any assets, that he makes a bequest to parents and relatives' (al-Baqarah 2:180).

This Qur'anic provision represents an exception to a general principle of the *Shari'ah*, namely that a bequest is basically not valid: since bequest regulates the division of the estate after the death of the testator, the latter is not allowed to accelerate this process. A bequest made during the lifetime of the testator is thus tantamount to interference in the rights of the heirs after the testator's death, which is unlawful. However, the Qur'an permits bequest as an exception to the general rule, that is by way of an exceptional *istihsan*. It sets aside the general principle in favour of an exception which contemplates a fair distribution of wealth in the family, especially in cases where a relative is destitute and yet is excluded from inheritance in the presence of other heirs. <sup>[28. Cf. Sabuni, *Madkhal*, p. 123.]</sup>

2.2. Exceptional *istihsan* which is based on the *Sunnah* may be illustrated with reference to the contract of *ijarah* (lease or hire). According to a general rule of the *Shari'ah* law of contract, an object which does not exist at the time of contract may not be sold. However, *ijarah* has been validated despite its being the sale of the usufruct (i.e. in exchange for rent) which is usually non-existent at the moment the contract is concluded. Analogy would thus invalidate *ijarah*, but *istihsan* exceptionally validates it on the authority of the *Sunnah* (and *ijma'*), proofs which are stronger than analogy and which justify a departure from it. <sup>[29. Cf. Musa, *Madkhal*, p.197; Khallaf, *'Ilm*, p. 82. For *ahadith* which validate various types of *ijarah* (land, labour, animals, etc.) see Ibn Rushd, *Bidayah*, II, 220-221.]</sup>

Similarly, the option of cancellation (*khobar al-shart*) represents an exceptional *istihsan* which is authorised by the *Sunnah*. It is employed when a person buys an object on condition that he may revoke the contract within the next three days or so. This kind of stipulation amounts to a departure from the general rule of the *Shari'ah* law of contract, which is that a contract becomes binding upon its conclusion. An exception to this rule has, however, been made, by way of *istihsan*, which is based on the Hadith: 'When you agree on the terms of a sale, you may say: it is not binding and I have an option for three days.' <sup>[30. *Sahih al-Bukhari* (trans. Khan), III, 575. Hadith no. 893; Sabuni, *Madkhal*, pp. 123-24.]</sup>

2.3. To illustrate exceptional *istihsan* which is authorised by *ijma'*, we may refer to *istihsan`*, or the contract for manufacture of goods. Recourse to this form of *istihsan* is made when someone places an order with a craftsman for certain goods to be made at a price which is determined at the time of the contract. *Istihsan* validates this transaction despite the fact that the object of the contract is non-existent at the time the order is placed. This form of *istihsan* closely resembles the one which is authorised by custom, as will later be discussed. <sup>[31. See Abu Zahrah, *Usul*, p. 211.]</sup>

2.4. An example of exceptional *istihsan* which is based on necessity (*darurah*) is the method adopted for the purification of polluted wells. If a well, or a pond for that matter, is contaminated by impure substances, its water may not be used for ablution. It will be noted, however, that the water in the well cannot be purified by removing that part which is impure-and it cannot be poured out either, for it is in continuous contact with the water which flows into the well. The solution has been found through *istihsan*, which provides that contaminated wells can be purified by removing a certain number, say a hundred, buckets of water from the well (the exact number is determined with reference to the type and intensity of pollution). *Istihsan* in this case is validated by reason of necessity and prevention of hardship to the people. <sup>[32. See Abu Zahrah, *Usul*, pp. 211-12.]</sup>

In a similar vein, strict analogy requires that witnesses, in order to be admissible, must in all cases be *ʿadl*, that is, upright and irreproachable. For judicial decisions must be founded on truth, and this is facilitated by the testimony of just witnesses. However if the *qadi* happens to be in a place where *adl* witnesses cannot be found, then it is his duty, by virtue of *istihsan*, to admit witnesses who are not totally reliable so that the rights of the people may be protected. <sup>[33. Cf. Sabuni, *Madkhal*, p.124.]</sup> Similarly with regard to the *qadi* the general rule requires that he be a *mujtahid*, but a non-*mujtahid* may be appointed as *qadi* where no *mujtahid* can be found for this office.

2.5. To illustrate exceptional *istihsan* which is authorised by custom, we may refer to the *waqf* of moveable goods. Since *waqf*, by definition, is the endowment of property on a permanent basis, and moveable goods are subject to destruction and loss, they are therefore not to be assigned in *waqf*. This general rule has, however, been set aside by the Hanafi jurists, who have validated the *waqf* of moveable such as books, tools and weapons on grounds of its acceptance by popular custom. <sup>[34. Cf. Sabuni, *Madkhal*, p.124.]</sup> Similarly, a strict analogy would require that the object of sale be accurately defined and quantified. However, popular custom has departed from this rule in the case of entry to public baths where the users are charged a fixed price without any agreement on the amount of water they use or the duration of their stay. <sup>[35. Shatibi, *Iʿtisam*, II, 318.]</sup> Another example is *bay' al-ta'ati*, or sale by way of 'give and take', where the general rule that offer and acceptance must be verbally expressed is not applied owing to customary practice.

2.6. And finally, to illustrate *istihsan* which is founded on considerations of public interest (*maslahah*), we may refer to the responsibility of a trustee (*amin*) for the loss of goods which he receives in his custody. The general rule here is that the trustee is not responsible for loss or damage to such property unless it can be attributed to his personal fault or negligence (*taqsir*). Hence a tailor, a shoemaker or a craftsman is not accountable for the loss of goods in his custody should they be stolen, or destroyed by fire. But the jurists, including Abu Yusuf and al-Shaybani, have set aside the general rule in this case and have held, by way of *istihsan*, the trustee to be responsible for such losses, unless the loss in question is caused by a calamity, such as fire or flood, which is totally beyond his control. This *istihsan*

has been justified on grounds of public interest so that trustees and tradesmen may exercise greater care in safeguarding people's property.<sup>[36. Sabuni, *Madkhal*, p. 125.]</sup>

### **The Hanafi - Shafi'i Controversy Over *Istihsan***

Al-Shafi'i has raised serious objections against *istihsan*, which he considers to be a form of pleasure-seeking (*taladhdhudh wa-hawa*) and 'arbitrary law-making in religion'.<sup>[37. Shafi'i, *Kitab al-Umm*, '*Kitab Ibtal al-Istihsan*', VII, 271.]</sup> A Muslim must obey God and His Messenger at all times, and follow injunctions which are enshrined in the clear texts (*nusus*). Should there arise any problem or difference of opinion, they must be resolved with reference to the Qur'an and the *Sunnah*. In support of this, al-Shafi'i quotes the Qur'anic *nass* in sura al-Nisa' (4:59): 'Should you dispute over a matter among yourselves, refer it to God and His Messenger, if you do believe in God and the Last Day.'

Al-Shafi'i continues on the same page: Anyone who rules or gives a *fatwa* on the basis of a *nass* or on the basis of *ijtihad* which relies on an analogy to the *nass* has fulfilled his duty and has complied with the command of the Lawgiver. But anyone who prefers that which neither God nor His Messenger has commanded or approved, his preference will be acceptable neither to God nor to the Prophet. *Istihsan* involves, according to al-Shafi'i, personal opinion, discretion and the inclination of the individual jurist, an exercise which is not in harmony with the Qur'anic *ayah* which reads: 'Does man think that he will be left without guidance [*an yutraka suda*]?' (al-Qiyamah, 75:36).

Commentators are in agreement that '*suda*' in this *ayah* means a state of lawlessness in which the individual is not subject to any rules, commands or prohibitions. With this meaning in mind, Imam Shafi'i observes: if every judge and every *mufti* ruled according to their own inclinations, one can imagine that self-indulgence and chaos would afflict the life of the community. Unlike *qiyas*, whose propriety can be tested by the methodology to which it must conform, *istihsan* is not regulated as such. Since *istihsan* consists neither of *nass* nor of an analogy to *nass*, it is *ultra vires* and must therefore be avoided.<sup>[38. Shafi'i, *Kitab al-Umm*, '*Kitab Ibtal al-Istihsan*', VII, 272.]</sup>

In response to this critique, the Hanafis have asserted that *istihsan* is not an arbitrary exercise in personal preference. It is a form of *qiyas* (viz., *qiyas khafi*), and is no less authoritative than *qiyas*. Thus it is implied that, contrary to allegations by the Shafi'i jurists, *istihsan* is not an independent source of law, but a branch of *qiyas* which has a firm grounding in the *Shari'ah*. If this argument is accepted, it would imply that *istihsan* must be subjected to the same rules which are applicable to *qiyas*, and would therefore lose its status as a juristic principle in its own right. The scope and flexibility of *istihsan* would consequently be restricted as it would mean changing *istihsan* from a predominantly equitable doctrine into a form of analogical reasoning. This would confine *istihsan* only to matters on which a parallel ruling could be found in the primary sources. Having said this, however, it is doubtful whether *istihsan* is really just another form of *qiyas*.

Ahmad Hasan has observed that *istihsan* is more general than *qiyas khafi*, as the former embraces a wider scope and can apply to matters beyond the confines of the latter. [39. Ahmad Hasan, 'The Principle of Istihsan', p.352.] Aghnides has similarly held that *istihsan* is a new principle which goes beyond the scope of *qiyas*, whether or not this is openly admitted to be the case:

Abu Hanifah and his earliest disciples did not consider *istihsan* as a kind of *qiyas* [...] nor did he use the word in any technical sense. Had that been the case, like so many of his views, it would probably have been placed on record. The fact is that he used the word *istihsan* in its usual meaning, namely, that of abandoning *qiyas* for an opinion thought to be more subservient to the social interest. [40. Aghnides, *Muhammedan Theories*, p.73.]

Aghnides goes on to suggest that when the Shafi'i jurists attacked *istihsan* on the grounds that it meant a setting aside of the revealed texts, the disciples of Abu Hanifah felt themselves forced to show that such was not the case. Hence they put forward the contention that *istihsan* was nothing but another kind of *qiyas*. According to another observer, the attempt to bring *istihsan* within the sphere of *qiyas* is unjustified. For 'it really lies outside of this narrow sphere and must therefore be recognised as a special form of deduction'. [41. Paret, 'Istihsan and Istislah', *Encyclopedia of Islam*, new ed., IV, 256.]

Al-Ghazali has criticized *istihsan* on different grounds. He has observed that the jurists of the Shafi'i school have recognised the validity of *istihsan* which is based on an indication (*dalil*) from the Qur'an or *Sunnah*. When there exists a *dalil* of this kind, then the case at hand would be governed not by *istihsan* but directly by the provision of the Qur'an or *Sunnah* itself. [42. Ghazali, *Mustasfa*, I, 137.] Furthermore al-Ghazali is critical of Abu Hanifah for his departure, in a number of cases, from a sound Hadith in favour of *qiyas* or *istihsan*. [43. Ghazali criticises Abu Hanifah's ruling, for example, with regard to implementing the punishment of *zina* on the testimony of four witnesses each of whom point at a different corner of the room where *zina* is alleged to have taken place. This is a case, according to Ghazali, of doubt (*shubha*) in the proof of *zina* which would prevent the enforcement of the *hadd* penalty. For according to a Hadith, *hudud* are to be dropped in all cases of doubt. Abu Hanifah's ruling is based on *istihsan*, apparently on the grounds that disbelieving the Muslims (*takdhib al-muslimin*) is reprehensible. Ghazali regards Abu Hanifah's ruling as whimsical and a form of *istihsan* which should not be followed (*Mustasfa*, I, 139).] And finally, al-Ghazali rejects *istihsan* which is based on popular custom, for custom by itself is not a source of law. He observes that approved customs are often justified with reference, not to *istihsan*, but to other proofs. While referring to the example of entry to a public bath for a fixed price without quantifying the consumption of water, al-Ghazali asks: 'How is it known that the community adopted this practice by virtue of *istihsan*? Is it not true that this was the custom during the time of the Prophet, in which case it becomes a tacitly approved *Sunnah* (*Sunnah taqririyyah*) so as to prevent hardship to the people?' [44. *Mustasfa*, II, 138.]

Another Shafi'i jurist, al-Amidi, has stated that notwithstanding his explicit denunciation of *istihsan*, al-Shafi'i himself resorted to *istihsan*. Al-Shafi'i has been quoted to have used a derivation of *istihsan* on several occasions including the ruling in which he said, 'I approve (*astahsinu*) *mut'ah* (gift of

consolation) at the level of 30 dirhams'; and 'I approve (*astahsinu*) the proof of pre-emption (*shuf*) to be three days' (following the date when the sale of the property in question came to the knowledge of the claimant). Al-Amidi thus draws the conclusion that 'there is no disagreement on the essence of *istihsan* between the two schools,' [45. Amidi, *Ihkam*, IV, 157.] which obviously means that their differences amount to no more than splitting hairs over words.

The Maliki jurist al-Shatibi has held that *istihsan* does not mean the pursuit of one's desires; on the contrary, a jurist who understands *istihsan* has a profound understanding of the intention of the Lawgiver. When the jurist discovers that a strict application of analogy to a new problem leads to loss of *maslahah* and possibly to an evil (*mafsadah*) then he must set aside *qiyas* and resort to *istihsan*. [46. Shatibi, *Muwafaqat* (ed. Diraz), IV, 206.]

While discussing the controversy over *istihsan*, another observer, Shaykh al-Khudari, writes that anyone who is familiar with the works of the ulema of jurisprudence would agree that Abu Hanifah and his disciples are not alone in their reliance on *istihsan*. All jurists have resorted to *istihsan* in one form or another, and a reader of the various juristic schools of thought is bound to come across opinions which are founded in it. [47. Khudari, *Tarikh*, p.201.]

This view finds further support from Yusuf Musa, who has tersely observed that juristic differences over *istihsan* essentially amount to no more than arguments over words. For the *fuqaha'* of every major school have invariably resorted to *istihsan* in one form or another. [48. Musa, *Madkhal*, p.198.]

If this is accepted, then one naturally wonders as to the causes that might explain the controversy in question. Al-Taftazani has observed that neither of the two sides of the controversy over *istihsan* have understood one another, and that the whole debate is due to a misunderstanding. Those who argue in favour of *istihsan* have perceived this principle differently to those who have argued against it. Had *istihsan* been properly understood, al-Taftazani adds, its basic validity would never have been disputed. [49. Taftazani, *Talwih*, p. 82. It is not certain whether Taftazani was a Hanafi or a Shafi'i. In a bibliographical note on Taftazani, it is stated that he is sometimes considered a Hanafi and sometimes a Shafi'i. See *al-Mawsu'ah al-Fiqhiyyah*, I, 344.]

Al-Taftazani's assessment has been widely endorsed by modern writers on the subject, including Khallaf, Abu Zahrah and Yusuf Musa. In Khallaf's opinion, the essential validity of *istihsan* is undeniable, for it enables a departure from the apparent or the general rule of law to a variant ruling which warrants such a departure. Every judge and jurist must consider the circumstances of an individual case, and occasionally decide not to apply a certain rule, or to make an exception, as he considers this to be required by *maslahah* and justice. [50. Khallaf, *'Ilm*, p. 83; Musa, *Madkhal*, p.197.] And lastly, Abu Zahrah observes that, 'One exception apart, none of al-Shafi'i's criticisms are relevant to the Hanafi conception of *istihsan*'. The one exception that may bear out some of al-Shafi'i's criticisms is *istihsan*

which is authorised by custom. For custom is not a recognised source of law and is, in any case, not sufficiently authoritative to warrant a departure from *qiyas*.<sup>[51. Abu Zahrah, *Usul*, p. 215.]</sup>

## Conclusion

The attempt at linking *istihsan* with *qiyas* has involved tortuous reasoning which somehow remains less than convincing. One way to resolve some of the juristic differences on this issue may be to go back to the origin of *istihsan* and recapture the meaning that was given to it by Abu Hanifah and the early ulema of jurisprudence. On this point there is evidence to suggest that Abu-Hanifah (d. 150/767) did not conceive of *istihsan* as an analogical form of reasoning. About half a century later, when al-Shafi'i wrote his *Risalah* and *Kitab al-Umm*, there was still little sign of a link between *istihsan* and *qiyas*. Al-Shafi'i is, in fact, completely silent on this point. Had al-Shafi'i (d.204/820) known that *istihsan* was a variety of *qiyas*, one can imagine that he might have softened his stand with regard to it. Originally *istihsan* was conceived in a wider and relatively simple form which was close to its literal meaning and free of the complexities that were subsequently woven into it. One is here reminded of Imam Malik's characteristic statement which designates *istihsan* as nine-tenth of human knowledge, a statement which grasps the true essence of *istihsan* as a method of finding better and more equitable alternatives to existing problems both within and beyond the confines of analogical reasoning. *Istihsan* is basically antithetic to *qiyas* and not a part of it. It enables the jurist to escape from strict conformity to the rules of *qiyas* when such conformity is likely to lead to unfair results. *Istihsan* was originally formulated, not as another variety of *qiyas*, but as a doctrine which liberated the jurist from the strait-jacket of *qiyas*, especially where conformity to *qiyas* clashed with the higher objectives of the *Shari'ah*.

It is well to remember that much of the juristic controversy over *istihsan* has developed under the pressure of conformity to the strict requirements of the legal theory once it was finally formulated by al-Shafi'i and gradually accepted by others. The thrust of al-Shafi'i's effort in formulating the legal theory of the *usul* was to define the role of reason vis-à-vis the revelation. Al-Shafi'i confined the scope of human reasoning in law to analogy alone. In his well-known statement concerning *ijtihad* and *qiyas*, especially where he considered the two to be synonymous, one hardly fails to notice the attempt at confining the use of human reasoning to *qiyas* alone:

On all matters touching the life of a Muslim there is either a binding decision or an indication as to the right answer. If there is a decision, it should be followed; if there is no indication as to the right answer, it should be sought by *ijtihad*, and *ijtihad* is *qiyas*.<sup>[52. Shafi'i, *Risalah*, p. 206.]</sup>

In this statement, al-Shafi'i reflected the dominant mood of his time. From that point onward, any injection of rationalist principles into the legal theory of the *usul* had to seek justification through *qiyas*, which was the only channel through which a measure of support could be obtained for *istihsan*. In order

to justify *istihsan* within the confines of the legal theory, it was initially equated with *qiyas* and eventually came to be designated as a sub-division of it.

The next issue over which the *fuqaha'* have disagreed is whether an *istihsan* which is founded in the Qur'an, *Sunnah*, or *ijma'* should be called *istihsan* at all. In cases where a Hadith authorises departure from an existing analogy in favour of an alternative ruling, then all that one needs to authorise the departure in question is the Hadith itself. It would therefore seem redundant to apply the word *istihsan* to this form of departure from the rules of *qiyas*. Whenever a ruling can be found to the Qur'an (or the *Sunnah*), the jurist is obliged to follow it and should, basically, have no choice of resorting to *qiyas* or to *istihsan*. If the Qur'an provides the choice of an alternative ruling which seems preferable, then the alternative in question is still a Qur'anic rule, not *istihsan*.

It would appear that the *fuqaha'* initially used the term *istihsan* close to its literal sense, which is to 'prefer' or to deem something preferable. The literal meaning of *istihsan* was naturally free of the restrictions which were later evolved by the *fuqaha'*. A measure of confusion between the literal and technical meanings of *istihsan* probably existed ever since it acquired a technical meaning in the usage of the jurists. This distinction between the literal and juristic meanings of *istihsan* might help explain why some ulema have applied *istihsan* to the rulings of the Qur'an, the *Sunnah*, and *ijma'*. When we say that the Qur'an, by way of *istihsan*, permitted bequests to be made during the lifetime of the testator, we are surely not using *istihsan* in its technical/juristic sense - that is, giving preference to one *qiyas* over another or making an exception to an existing legal norm - but merely saying that the Qur'an preferred one of the two conceivable solutions in that particular case. When the Qur'an authorises bequests, then one might say that it has established a legal norm in its own right regardless as to whether it can be described as an exception to another norm or not. To regard this Qur'anic ruling as an *istihsan* can only be true if *istihsan* is used in its literal sense. For as a principle of jurisprudence, *istihsan* can add nothing to the authority of the Qur'an and the *Sunnah*. Although one might be able to find the genesis of *istihsan* in the Qur'an, this would have nothing to do with the notion of constructing *istihsan* as an alternative to, or a technique of escape from, *qiyas*. Furthermore, to read *istihsan* into the lines of the Qur'an would seem superfluous in the face of the legal theory of the *usul* that there is no room for rationalist doctrines such as *istihsan* in the event that a ruling can be found in the *nusus*.

Notwithstanding the fact that many observers have considered Abu'l-Hasan al-Karkhi's definition to be the most acceptable, my enquiry leads to the conclusion that the Maliki approach to *istihsan* and Ibn al-'Arabi's definition of it, is wider in scope and probably closest to the original conception of *istihsan*, for it does not seek to establish a link between *istihsan* and *qiyas*.

*Istihsan* has undoubtedly played a significant role in the development of Islamic law, a role which is sometimes ranked even higher than that of *qiyas*. Notwithstanding a measure of reticence on the part of the ulema to highlight the role of *istihsan*, it in reality features most prominently in bridging the gap



between law and social realities by enabling the jurist to pay individual attention to circumstances and the peculiarities of particular problems. But for reasons which have already been explained, the *fuqaha'* have exercised restraint in the use of *istihsan*, which, as a result, has not been utilised to the maximum of its potential. Hence, it is not surprising to note that a certain gap between theory and practice has developed in Islamic Law. [53. Joseph Schacht has devoted a chapter to the subject, entitled 'Theory and Practice' where he elaborates on how the gap between the law and social realities has widened: *An Introduction*, pp.76-86.]

The potentials of *istihsan* could hardly be translated into reality unless *istihsan* is stripped of its unwarranted accretions. The only consideration that needs to be closely observed in *istihsan* is whether there exists a more compelling reason to warrant a departure from an existing law. The reason which justifies resort to *istihsan* must not only be valid in *Shari`ah* but must serve a higher objective of it and must therefore be given preference over the existing law which is deemed unfair. Since *istihsan* enables a choice between alternative solutions, it contemplates the relative merits and demerits of each of the alternatives. The existing law is always the base to which an alternative is devised through *istihsan*. In this sense, *istihsan* offers considerable potential for innovation and for imaginative solutions to legal problems. The question in *istihsan* is not merely to find a solution to a particular problem but to find a better solution to the one which already exists. It therefore calls for a higher level of analysis and refinement which must in essence transcend the existing law and analogy.

The potential for new alternatives in *istihsan* would thus be considerably restricted if it were to be subjected to the requirements of *qiyas*. The two are essentially designed for different purposes and each must be allowed to function in its best capacity. Analogy essentially extends the logic of the Qur'an and the *Sunnah*, whereas *istihsan* is designed to tackle the irregularities of *qiyas*. Thus it would seem methodologically incorrect to amalgamate the two into a single formula.

*Istihsan* has admittedly not played a noticeable role in the legal and judicial practices of our times. It has, as it were, remained in the realm of controversy, which may partly be explained by the dominance of the phenomenon of *taqlid* in shaping the attitude of lawyers and judges towards *istihsan*. Only the rulings of the jurists of the past have been upheld on *istihsan*, and even this has not been totally free of hesitation. Muslim rulers and judges have made little or no use of *istihsan* either in developing the existing law or in the day-to-day administration of justice. This is patently unjustified, especially in view of the eminent suitability of *istihsan* in the search for fair and equitable solutions.

*Istihsan* can best be used as a method by which to improve the existing law, to strip it of impractical and undesirable elements and to refine it by means of making necessary exceptions. *Istihsan*, in other words, generally operates within the confines of the legal *status quo* and does not seek a radical change in the existing law, although it has considerable potential to effect innovation and refinement.

Judges and lawyers are generally reluctant to depart from the existing law, or to make exceptions to it, even in the face of evidence to the effect that a departure would be in the interests of fairness and

justice. Their reluctance is often due to the reticence in the law as to precisely what role the judge has to play in such a situation. Judges are normally expected to enforce the law at all costs, and often have little choice in the matter regardless of the circumstances or results. Alternatively, it may be that the judges are, in fact, doing this- departing from the law when it seems patently unfair - without openly acknowledging what they are doing. In any case, it would seem advisable if the legislature explicitly authorised the judge to resort to *istihsan* when he considers this to be the only way of achieving a fair solution in a case under consideration. In this way, *istihsan* would hopefully find a place in the day-to-day administration of justice and would consequently encourage flexibility and fairness in law and judicial practice. Judicial decisions would, in turn, influence legislation and contribute towards attaining a more refined and equitable legal order. A clear and well-defined role for *istihsan* would hopefully mark a new opening in the evolutionary process of Islamic law.

Literally, *maslahah* means 'benefit' or 'interest'. When it is qualified as *maslahah mursalah*, however, it refers to unrestricted public interest in the sense of its not having been regulated by the Law giver insofar as no textual authority can be found on its validity or otherwise.<sup>[1. Khallaf, *Ilm*, p. 84; Badran *Usul*, p. 209.]</sup> It is synonymous with *istislah*, and is occasionally referred to as *maslahah mutlaqah* on account of its being undefined by the established rules of the *Shari'ah*. For al-Ghazali, *maslahah* consists of considerations which secure a benefit or prevent a harm but which are, simultaneously, harmonious with the objectives (*maqasid*) of the *Shari'ah*. These objectives, the same author adds, consist of protecting the five 'essential values', namely religion, life, intellect, lineage and property. Any measure which secures these values falls within the scope of *maslahah*, and anything which violates them is *mafsadah* ('evil'), and preventing the latter is also *maslahah*.<sup>[2. Ghazali, *Mustasfa*, I, 139-140.]</sup> More technically, *maslahah mursalah* is defined as a consideration which is proper and harmonious (*wasf munasib mula'im*) with the objectives of the Lawgiver; it secures a benefit or prevents a harm; and the *Shari'ah* provides no indication as to its validity or otherwise.<sup>[3. Badran, *Usul*, p. 210; Sabuni, *Madkhal*, p. 131.]</sup> The Companions, for example, decided to issue currency, to establish prisons, and to impose tax (*kharaj*) on agricultural lands in the conquered territories despite the fact that no textual authority could be found in favour of this.<sup>[4. Khallaf, *Ilm*, p. 84.]</sup>

The ulema are in agreement that *istislah* is not a proof in respect of devotional matters ('*ibadat*) and the specific injunctions of the *Shari'ah* (*muqaddarat*). Thus the *nusus* regarding the prescribed penalties (*hudud*) and penances (*kaffarat*), the fixed entitlements in inheritance (*fara'id*), the specified periods of '*iddah* which the divorced women must observe, and such other *ahkam* which are clear and decisive fall outside the scope of *istislah*. Since the precise values and causes of '*ibadat* cannot be ascertained by the human intellect, *ijtihad*, be it in the form of *istislah*, jurist, preference (*istihsan*) or *qiyas*, does not apply to them. Furthermore, with regard to '*ibadat* and other clear injunctions, the believer is duty-bound to follow them as they are. But outside these areas, the majority of ulema have validated reliance on *istislah* as a proof of *Shari'ah* in its own right.<sup>[5. Badran, *Usul*, p. 210; Sabuni, *Madkhal*, p. 134.]</sup>

*Istislah* derives its validity from the norm that the basic purpose of legislation (*tashri'*) in Islam is to secure the welfare of the people by promoting their benefit or by protecting them against harm. The ways and means which bring benefit to the people are virtually endless. The *masalih* (pl. of *maslahah*), in other words, can neither be enumerated nor predicted in advance as they change according to time and circumstance.<sup>[6. Shatibi, *Muwafaqat*, II, 2-3; Sabuni, *Madkhal*, p. 134.]</sup> To enact a law may be beneficial at one time and harmful at another; and even at one and the same time, it may be beneficial under certain conditions, but prove to be harmful in other circumstances. The ruler and the *mujtahid* must therefore

be able to act in pursuit of the *masalih* as and when these present themselves.<sup>[7. Khallaf, *Ilm*, p. 84; Badran, *Usul*, p. 211.]</sup>

The majority of ulema maintain that *istislah* is a proper ground for legislation. When the *maslahah* is identified and the *mujtahid* does not find an explicit ruling in the *nusus*, he must act in its pursuit by taking the necessary steps to secure it. This is justified by saying that God's purpose in revealing the *Shari'ah* is to promote man's welfare and to prevent corruption in the earth. This is, as al-Shatibi points out, the purport of the Qur'anic *ayah* in Sura al-Anbiya' (21:107) where the purpose of the Prophethood of Muhammad is described in the following terms: 'We have not sent you but as a mercy for all creatures.' In another passage, the Qur'an describes itself, saying: 'O mankind, a direction has come to you from your Lord, a healing for the ailments in your hearts [...]' (Yunus, 10:75). The message here transcends all barriers that divide humanity; none must stand in the way of seeking mercy and beneficence for human beings. Elsewhere, God describes His purpose in the revelation of religion, saying that it is not within His intentions to make religion a means of imposing hardship (al-Hajj, 22:78). This is confirmed elsewhere in sura al-Ma'idah (5:6) where we read, in more general terms, that 'God never intends to impose hardship upon people.'<sup>[8. Cf. Shatibi, *Muwafaqat*, II, 3; Mustafa Zayd, *Maslahah*, p. 25.]</sup>

These are some of the Qur'anic objectives which grasp the essence of *maslahah*; they are permanent in character and would be frustrated if they were to be subjected to the kind of restrictions that the opponents of *maslahah* have proposed. We shall discuss the views of the opponents of *maslahah* in fuller detail; suffice it here to point out that the argument they have advanced amounts to a proposition that the general objectives of the Qur'an can only be implemented, in regard to particular cases, if there is another *nass* available in their support. This would seem to amount to an unwarranted restriction on the general objectives of the Lawgiver as these are expounded in the Qur'an.

The ulema have quoted a number of *ahadith* which authorise acting upon *maslahah*, although none is in the nature of a clear *nass* on the subject. Particular attention is given, in this context, to the Hadith which provides that 'No harm shall be inflicted or reciprocated to Islam'.<sup>[9. Ibn Majah, *Sunan*, Hadith no 2340.]</sup>

The substance of this Hadith is upheld in a number of other *ahadith*, and it is argued that this Hadith encompasses the essence of *maslahah* in all of its varieties.<sup>[10. Khallaf, *Ilm*, p.90; Abu Zahrah, *Usul*, p. 222.]</sup> Najm al-Din al-Tufi, a Hanbali jurist (d. 716 A.H.), has gone so far as to maintain, as we shall further elaborate, that this Hadith provides a decisive *nass* on *istislah*. The widow of the Prophet, A'ishah, is reported to have said that "the Prophet only chose the easier of two alternatives, so long as it did not amount to a sin".<sup>[11. Muslim, *Sahih Muslim*, p.412, Hadith no. 1546.]</sup>

According to another Hadith, the prophet is reported to have said that 'Muslims are bound by their stipulations unless it be a condition which turns a *haram* into *halal* or a *halal* into a *haram*.'<sup>[12. Abu Dawud, *Sunan* (Hasan's trans.), III, 1020, Hadith no 3587.]</sup>

This would seem to be granting Muslims the liberty to pursue their benefits and to commit themselves to that effect provided that this does not amount to a violation of the explicit commands and prohibitions of the *Shari'ah*. In yet another Hadith, the Prophet is quoted to have said: 'God loves to see that His concessions (*rukhas*) are observed, just as He loves to see that His strict laws (*aza'im*) are observed.'<sup>[13. Ibn al-Qayyim, *I'lam*, II, 242; Mustafa Zayd, *Maslahah*, p. 120.]</sup> 'this would confirm the doctrine that no unnecessary rigour in the enforcement of the *ahkam* is recommended, and that the Muslims should avail themselves of the flexibility and concessions that the Lawgiver has granted them and utilise them in pursuit of their *masalih*. The rigorous approach that the Zahiri ulema have taken in regard to *maslahah*, as will later be discussed, tends to oppose the purport of this Hadith.

Technically, however, the concept of *maslahah mursalah* does not apply to the rulings of the Prophet. When there is a Prophetic ruling in favour of a *maslahah*, it becomes part of the established law, and hence no longer a *maslahah mursalah*. Historically, the notion of *maslahah mursalah* originated in the practice of the Companions. This is, of course, not to say that the Prophet did not rule in favour of *maslahah*, but merely to point out that as a principle of jurisprudence, *maslahah mursalah* does not apply to the rulings of the *Sunnah*.

The practice of the Companions, the Successors and the leading *mujtahidun* of the past tends to suggest that they enacted laws and took measures in pursuance of *maslahah* despite the lack of textual authority to validate it. The Caliph Abu Bakr, for example, collected and compiled the scattered records of the Qur'an in a single volume; he also waged war on those who refused to pay the *zakah*; and he nominated `Umar to succeed him.<sup>[14. Shatibi, *I'tisam*, II, 287; Khallaf, *Ilm*, p.86.]</sup> Similarly, `Umar b. al-Khattab held his officials accountable for the wealth they had accumulated in abuse of public office and expropriated such wealth. He also poured away milk to which water had been added as a punishment to deter dishonesty in trade. Furthermore, `Umar b. al-Khattab suspended the execution of the prescribed punishment for theft in a year of famine, and approved of the views of the Companions to execute a group of criminals for the murder of one person.<sup>[15. Ibn al-Qayyim, *I'lam*, I, 185; Abu Zahrah, *Usul*, pp. 222-223; Mustafa Zayd, *Maslahah*, p. 52.]</sup> These decisions were taken despite the clear ruling of the Qur'an concerning retaliation (*qisas*), which is 'life for life' and the Qur'anic text on the amputation of the hand, which is not qualified in any way whatsoever. But the Caliph Umar's decision concerning *qisas* was based on the rationale that the lives of the people would be exposed to aggression if participants in murder were exempted from *qisas*. Public interest thus dictated the application of *qisas* for all who took part in murdering a single individual. Furthermore, the third Caliph, `Uthman, distributed the authenticated Qur'an and destroyed all the variant versions of the text. He also validated the right to inheritance of a woman whose husband had divorced her in order to be disinherited. The fourth Caliph, `Ali, is also on record as having held craftsmen and traders responsible for the loss of goods that were placed in their custody. This he considered to be for the *maslahah* of the people so that traders should take greater care in safeguarding people's property.<sup>[16. Shatibi, *I'tisam*, II, 292, 302; Ibn al-Qayyim, *I'lam*, I, 182; Abu Zahrah, *Usul*, p. 223.]</sup> In a similar vein, the ulema

of the various schools have validated the interdiction of the ignorant physician, the clowning *mufiti*, and the bankrupt trickster, on grounds of preventing harm to the people. The Malikis have also authorised detention and *ta`zir* for want of evidence of a person who is accused of a crime. [17. Shatibi, *I'tisam*, II, 293. Khallaf, *'Ilm*, p.86, Abu Zahrah, *Usul*, p. 223.] In all these instances, the ulema have aimed at securing the *maslahah mursalah* by following a *Shari'ah*-oriented policy (*siyasah shar'iyah*), which is largely concurrent with the dictates of *maslahah*. As Ibn al-Qayyim has observed, '*siyasah shar'iyah* comprises all measures that bring the people close to well-being (*salah*) and move them further away from corruption (*fasad*), even if no authority is found for them in divine revelation and the *Sunnah* of the Prophet.' [18. Ibn al-Qayyim, *Turuq*, p. 16.]

The main support for *istislah* as a proof and basis of legislation (*tashri*) comes from Imam Malik, who has given the following reasons in its favour:

1. The Companions have validated it and have formulated the rules of *Shari'ah* on its basis.
- 2.
3. When the *maslahah* is compatible with the objectives of the Lawgiver (*maqasid al-shari`*) or falls within the genus or category of what the Lawgiver has expressly validated, it must be upheld. For neglecting it under such circumstances is tantamount to neglecting the objectives of the Lawgiver, which is to be avoided. Hence *maslahah* as such is a norm of the *Shari'ah* in its own right; it is by no means extraneous to the *Shari'ah* but an integral part of it.
- 4.
5. When *maslahah* is of the genus of the approved *masalih* and is not upheld, the likely result would be to inflict hardship on the people, which must be prevented. [19. Shatibi, *I'tisam*, II, 282-287; Abu Zahrah, *Usul*, p. 223.]
- 6.
- 7.

## Types of *Maslahah*

The *masalih* in general are divided into three types, namely, the 'essentials' (*daruriyyat*), the 'complementary' (*hajiyyat*), and the 'embellishments' (*tahsiniyyat*). The *Shari'ah* in all of its parts aims at the realisation of one or the other of these *masalih*. The 'essential' *masalih* are those on which the lives of people depend, and whose neglect leads to total disruption and chaos. They consist of the five essential values (*al-daruriyyat al-khamsah*) namely religion, life, intellect, lineage and property. These must not only be promoted but also protected against any real or unexpected threat which undermines their safety. To uphold the faith would thus require observance of the prescribed forms of *ibadat*,

whereas the safety of life and intellect is secured by obtaining lawful means of sustenance as well as the enforcement of penalties which the *Shari'ah* has provided so as to protect them against destruction and loss. [20. Shatibi, *Muwafaqat*, II, 3-5; Badran, *Usul*, p. 208.]

The *hajiyyat* are on the whole supplementary to the five essential values, and refer to interests whose neglect leads to hardship in the life of the community although not to its collapse. Thus in the area of a *'ibadat* the concessions (*rukhas*) that the *Shari'ah* has granted to the sick and to the traveler, permitting them not to observe the fast, and to shorten the *salah*, are aimed at preventing hardship. Similarly, the basic permissibility (*'ibadah*) regarding the enjoyment of victuals and hunting is complementary to the main objectives of protecting life and intellect. [21. Shatibi, *Muwafaqat*, II, 5; Mustafa Zayd, *Maslahah*, pp.54-55.]

The 'embellishments' (*tahsiniyyat*, also known as *karahiyyah*) denote interests whose realisation lead to improvement and the attainment of that which is desirable. Thus the observance of cleanliness in personal appearance and *'ibadat*, moral virtues, avoiding extravagance in consumption, and moderation in the enforcement of penalties fall within the scope of *tahsiniyyat*.

It will be noted that the unrestricted *maslahah* does not represent a specific category of its own in the foregoing classification, for the obvious reason that it could fall into any of the three types of *masalih*. Should it be the case that the realisation of *maslahah mursalah* is *sine qua non* to an essential *maslahah*, then the former becomes a part of the latter. Likewise, if *maslahah mursalah* happens to be a means to attaining one of the second classes of *masalih*, then it would itself fall into that category, and so on. Furthermore, we may briefly add here the point which al-Shatibi has discussed at some length, that the *masalih* are all relative (*nibs, deaf*), and as such, all the varieties of *maslahah*, including the essential *masalih*, partake in a measure of hardship and even *mafsadah*. Since there is no absolute *maslahah* as such, the determination of value in any type of *maslahah* is based on the preponderance of benefit that accrues from it, provided that the benefit in question is in harmony with the objectives of the Lawgiver. [22. Shatibi, *Muwafaqat*, II, 27ff.]

From the viewpoint of the availability or otherwise of a textual authority in its favour, *maslahah* is farther divided into three types. First, there is *maslahah* which the Lawgiver has expressly upheld and enacted a law for its realisation. This is called *al-maslahah al-mu'tabarah*, or accredited *maslahah*, such as protecting life by enacting the law of retaliation (*qisas*), or defending the right of ownership by penalising the thief, or protecting the dignity and honour of the individual by penalising adultery and false accusation. The Lawgiver has, in other words, upheld that each of these offences constitute a proper ground (*wasf munasib*) for the punishment in question. The validity of *maslahah* in these cases is definitive and no longer open to debate. The ulema are in agreement that promoting and protecting such values constitutes a proper ground for legislation. The fact that the Lawgiver has upheld them is tantamount to His permission and approval of all measures, including legislation, that aim at their realisation. [23. Khallaf, *Ilm*, p. 84; Badran, *Usul*, pp. 209-10.]

But the *masalih* that have been validated after the divine revelation came to an end fall under the second class, namely the *maslahah mursalah*. Although this too consists of a proper attribute (*wasf munasib*) to justify the necessary legislation, but since the Lawgiver has neither upheld nor nullified it, it constitutes *maslahah* of the second rank. For example, in recent times, the *maslahah* which prompted legislation in many Muslim countries providing that the claim of marriage, or of ownership in real property, can only be proved by means of an official document has not been explicitly validated by the *Shari'ah*. The law on these points has thus upheld the unrestricted *maslahah*; more specifically it is designed to prevent a *mafsadah*, which is the prevalence of perjury (*shahadah al-zur*) in the proof of these claims. [24. Khallaf, *Ilm*, p. 85; Badran, *Usul*, p. 215.]

The third variety of *maslahah* is the discredited *maslahah*, or *maslahah mulgha*, which the Lawgiver has nullified either explicitly or by an indication that could be found in the *Shari'ah*. The ulema are in agreement that legislation in the pursuance of such interests is invalid and no judicial decree may be issued in their favour. An example of this would be an attempt to give the son and the daughter an equal share in inheritance on the assumption that this will secure a public interest. But since there is a clear *nass* in the Qur'an (al-Nisa', 4:11) which assigns to the son double the portion of the daughter, the apparent *maslahah* in this case is clearly nullified (*mulgha*). [25. Badran, *Usul*, p. 209.]

To summarize: when the *Shari'ah* provides an indication, whether direct or implicit, on the validity of a *maslahah*, it falls under the accredited *masalih*. The opposite of this is *maslahah mulgha*, which is overruled by a similar indication in the sources. The unrestricted *maslahah* applies to all other cases which are neither validated nor nullified by the *Shari'ah*.

### Conditions (*Shurut*) of *Maslahah Mursalah*

The following conditions must be fulfilled in order to validate reliance on *maslahah mursalah*. These conditions are designed so as to ensure that *maslahah* does not become an instrument of arbitrary desire or individual bias in legislation.

1 ) The *maslahah* must be genuine (*haqiqiyyah*), as opposed to a specious *maslahah* (*maslahah wahmiyyah*), which is not a proper ground for legislation. A mere suspicion or specious conjecture (*tawahhum*) that a certain legislation will be beneficial without ascertaining the necessary balance between its possible benefits and harms is not sufficient. There must, in other words, be a reasonable probability that the benefits of enacting a *hukm* in the pursuance of *maslahah* outweigh the harms that



might accrue from it. An example of a specious *maslahah*, according to Khallaf, would be to abolish the husband's right of *talaq* by vesting it entirely in a court of law. [26. Khallaf, *Ilm*, p. 86.]

Genuine *masalih* are those which contemplate the protection of the five essential values noted above. Protecting the faith, for example, necessitates the prevention of sedition (*fitnah*) and of the propagation of heresy. It also means safeguarding freedom of belief in accordance with the Qur'anic principle that 'there shall be no compulsion in religion' (al-Baqarah, 2:256). Similarly, safeguarding the right to live includes protecting the means which facilitate an honourable life such as the freedom to work, freedom of speech, and freedom to travel. Protecting the intellect (*'aql*) necessitates the promotion of learning and safeguards against calamities which corrupt the individual and make him a burden to society. Furthermore, safeguarding the purity of lineage (*nasl*) entails protection of the family and creation of a favourable environment for the care and custody of children. And lastly, the protection of property requires defending the right of ownership. It also means facilitating fair trade and the lawful exchange of goods and services in the community. [27. Abu Zahrah, *Usul*, p. 220.]

2) The second condition is that the *maslahah* must be general (*kulliyah*) in that it secures benefit, or prevents harm, to the people as a whole and not to a particular person or group of persons. This means that enacting a *hukm* on grounds of *istislah* must contemplate a benefit yielded to the largest possible number of people. It is not *maslahah* if it secures the interest of a few individuals regardless of their social and political status. The whole concept of *maslahah* derives its validity from the idea that it secures the welfare of the people at large. [28. Khallaf, *Ilm*, p.87; Badran, *Usul*, p. 214.]

3) Lastly, the *maslahah* must not be in conflict with a principle or value which is upheld by the *nass* or *ijma'*. Hence the argument, for example, that *maslahah* in modern times would require the legalization of usury (*riba*) on account of the change in the circumstances in which it is practiced, comes into conflict with the clear *nass* of the Qur'an. The view that *riba* in the way it is practiced in modern banking does not fall under the Qur'anic prohibition, as Abu Zahrah points out, violates the *nass* and therefore negates the whole concept of *maslahah*. [29. Abu Zahrah, *Usul*, p. 219; Badran, *Usul*, p. 215.]

Imam Malik has added two other conditions to the foregoing, one of which is that the *maslahah* must be rational (*ma'qulah*) and acceptable to people of sound intellect. The other condition is that it must prevent or remove hardship from the people, which is the express purpose of the Qur'anic *ayah* in sura al-Ma'idah (5:6) quoted above. [30. Shatibi, *I'tisam*, II, 307-14; Mustafa Zayd, *Maslahah*, p.51.]

Furthermore, according to al-Ghazali, *maslahah*, in order to be valid, must be essential (*al-maslahah al-daruriyyah*). To illustrate this, al-Ghazali gives the example of when unbelievers in the battlefield take a group of Muslims as hostages. If the situation is such that the safety of all the Muslims and their victory necessitates the death of the hostages, then al-Ghazali permits this in the name of *al-maslahah al-daruriyyah*. [31. Ghazali, *Mustafa*, I, 141.] However the weakness of al-Ghazali's argument appears to be that

the intended *maslahah* in this example entails the killing of innocent Muslims, and the *Shari'ah* provides no indication to validate this. [32. Badran. *Usul*, pp. 215-16.]

### Al-Tufi's View of *Maslahah Mursalah*

Whereas the majority of jurists do not allow recourse to *istislah* in the presence of a textual ruling, a prominent Hanbali jurist, Najm al-Din al-Tufi, stands out for his view which authorises recourse to *maslahah* with or without the existence of *nass*. In a treatise entitled *al-Masalih al-Mursalah*, which is a commentary on the Hadith that 'no harm shall be inflicted or reciprocated in Islam', al-Tufi argues that this Hadith provides a clear *nass* in favour of *maslahah*. It enshrines the first and most important principle of *Shari'ah* and enables *maslahah* to take precedence over all other considerations. Al-Tufi precludes devotional matters, and specific injunctions such as the prescribed penalties, from the scope of *maslahah*. In regard to these matters, the law can only be established by the *nass* and *ijma'*. If the *nass* and *ijma'* endorse one another on *ibadat*, the proof is decisive and must be followed. Should there be a conflict of authority between the *nass* and *ijma'*, but it is possible to reconcile them without interfering with the integrity of either, this should be done. But if this is not possible, then *ijma'* should take priority over other indications. [33. Tufi, *Masalih*, p.139.]

As for transactions and temporal affairs (*ahkam al-mu'amalat wa al-siyasiyyat al-dunyawiyyah*), al-Tufi maintains that if the text and other proofs of *Shari'ah* happen to conform to the *maslahah* of the people in a particular case, they should be applied forthwith, but if they oppose it, then *maslahah* should take precedence over them. The conflict is really not between the *nass* and *maslahah*, but between one *nass* and another, the latter being the Hadith of *la darar wa la dirar fi'l-Islam*. [34. Tufi, *Masalih*, p. 141; Mustafa Zayd, *Maslahah*, pp. 238-240. This book is entirely devoted to an exposition of Tufi's doctrine of *Maslahah*.]

One must therefore not fail to act upon that text which materialises the *maslahah*. This process would amount to restricting the application of one *nass* by reason of another *nass* and not a suspension or abrogation thereof. It is a process of specification (*takhsis*) and explanation (*bayan*), just as the *Sunnah* is sometimes given preference over the Qur'an by way of clarifying the text of the Qur'an. [35. Cf. Mustafa Zayd, *Maslahah*, p. 121; Abu Zahrah, *Usul*, p. 223. A discussion of Tufi's doctrine can also be found in Kerr, *Islamic Reform*, p. 97ff.]

In the areas of transactions and governmental affairs, al-Tufi adds, *maslahah* constitutes the goal whereas the other proofs are like the means; the end must take precedence over the means. The rules of *Shari'ah* on these matters have been enacted in order to secure the *masalih* of the people, and therefore when there is a conflict between a *maslahah* and *nass*, the Hadith *la darar wa la dirar* clearly dictates that the former must take priority. [36. Tufi, *Masalih*, p.141; Mustafa Zayd, *Maslahah*, p. 131-132.] In short, al-Tufi's doctrine, as Mahmassani has observed, amounts to saying after each ruling of the text, 'Provided public interest

does not require otherwise.' [37. Mahmassani, *Falsafah al-Tashri'*, p. 117. This author also quotes Shaykh Mustafa al-Ghalayini in support of his own view.]

## Differences between *Istislah*, Analogy, and *Istihsan*

In his effort to determine the *shar`i* ruling on a particular issue, the jurist must refer to the Qur'an, the *Sunnah* and *ijma'*. In the absence of any ruling in these sources, he must attempt *qiyas* by identifying a common '*illah*' between a ruling of the text and the issue for which a solution is wanting. However, if the solution arrived at through *qiyas* leads to hardship or unfair results, he may depart from it in favour of an alternative analogy in which the '*illah*', although less obvious, is conducive to obtaining a preferable solution. The alternative analogy is a preferable *qiyas*, or *istihsan*. In the event, however, that no analogy can be applied, the jurist may resort to *maslahah mursalah* and formulate a ruling which, in his opinion, serves a useful purpose or prevents a harm that may otherwise ensue. [38. Cf. Sabuni, *Madkhal*, pp.134-35.]

It thus appears that *maslahah mursalah* and *qiyas* have a feature in common in that both are applicable to cases on which there is no clear ruling available in the *nusus* or *ijma'*. They also resemble one another in the sense that the benefit that is secured by recourse to them is based on a probability, or *zann*, either in the form of a '*illah*' in the case of *qiyas*, or of a rational consideration which secures a benefit in the case of *maslahah mursalah*. However, *qiyas* and *maslahah* differ from one another in certain respects. The benefit which is secured by *qiyas* is founded on an indication from the Lawgiver, and a specific '*illah*' is identified to justify the analogy to the *nass*. But the benefit which is sought through *maslahah mursalah* has no specific basis in the established law, whether in favour or against. *Maslahah mursalah* in other words stands on its own justification, whereas *qiyas* is the extension of a ruling which already exists.

This explanation would also serve to clarify the main difference between *maslahah* and *istihsan*. A ruling which is based on *maslahah mursalah* is original in the sense that it does not follow, or represent a departure from, an existing precedent. As for *istihsan*, it only applies to cases on which there is a precedent available (usually in the form of *qiyas*), but *istihsan* seeks a departure from it in favour of an alternative ruling. This alternative may take the form of a hidden analogy (*qiyas khafi*), or of an exception to a ruling of the existing law, each representing a variation of *istihsan*. [39. Cf. Badran, *Usul*, pp. 216-217; Sabuni, *Madkhal*, p.135.]

## The Polemics over *Maslahah*

The main point in the argument advanced by the opponents of *istislah* is that the *Shari'ah* takes full cognizance of all the *masalih*; it is all-inclusive and there is no *maslahah* outside the *Shari'ah* itself. This is the view of the Zahiris and some Shafi'is like al-Amidi, and the Maliki jurist Ibn al-Hajib, who do not recognise *maslahah* as a proof in its own right. They maintain that the *masalih* are all exclusively contained in the *nusus*. When the *Shari'ah* is totally silent on a matter, it is a sure sign that the *maslahah* in question is no more than a specious *maslahah* (*maslahah wahmiyyah*) which is not a valid ground for legislation. <sup>[40. Khallaf, *'Ilm*, p.88; Badran, *Usul*, p. 213.]</sup>

The Hanafis and most Shafi'is have on the other hand adopted a relatively more flexible stance, maintaining that the *masalih* are either validated in the explicit *nusus*, or indicated in the rationale (*'illah*) of a given text, or even in the general objectives of the Lawgiver. Only in the presence of a textual indication can *maslahah* constitute a valid ground for legislation. The identification of the causes (*'ilal*) and objectives, according to this view, entails the kind of enquiry into the *'illah* that would be required in *qiyas*. The main difference between this view and that of the Zahiris is that it validates *maslahah* on the basis of the rationale and the objective of the *Shari'ah* even in the absence of a specific *nass*. Both these views are founded in the argument that if *maslahah* is not guided by the values upheld in the *nusus* there is a danger of confusing *maslahah* with arbitrary desires, which might lead to corruption and *mafsadah*. Experience has shown that this has frequently occurred at the behest of rulers and governors who have justified their personal wishes in the name of *maslahah*. The way to avoid this is indicated in the Qur'an, in sura al-Qiyamah (75:36) where we read: 'Does man think that he has been left without guidance?' The *maslahah* must therefore be guided by the values that the Lawgiver has upheld. Hence there is no *maslahah* unless it is corroborated by an indication in the *Shari'ah*. <sup>[41. Abu Zahrah, *Usul*, pp. 221,224; Khallaf, *'Ilm*, p. 88; Badran, *Usul*, p. 213.]</sup>

While commenting on *istihsan*, Imam Ghazali writes: 'We know that the *masalih* must always follow the *shar'i* indications; *istihsan* is not guided by such indications and therefore amounts to no more than a whimsical opinion'. As for *maslahah mursalah*, al-Ghazali maintains that when it is not approved by the Lawgiver, it is like *istihsan*. <sup>[42. Ghazali, *Mustasfa*, I,138.]</sup> Al-Ghazali recognises the 'accredited' *maslahah*, that is, when the *maslahah* is indicated in the *nass*. He also approves of *maslahah mursalah* when it is based in definite necessity, that is, *maslahah daruriyyah*. In the absence of a definite necessity, al-Ghazali maintains that *maslahah* is not valid. Consequently, al-Ghazali does not approve of the remaining two classes of the *masalih*, namely the complementary (*hajiyyat*), and the embellishments (*tahsiniyyat*). <sup>[43. Ghazali, *Mustasfa*, I, 139-140.]</sup> By making the stipulation that the *maslahah*, in order to be valid, must be founded in definite necessity, however, al-Ghazali is no longer speaking of *maslahah mursalah*, but of necessity (*darurah*), which is a different matter altogether and governed by a different set of rules. <sup>[44. Cf. Badran, *Usul*, p. 211.]</sup> It thus appears that this view only validates the type of *maslahah* which is referred to as *maslahah mu'tabarah*.

The opponents of *istislah* further add that to accept *istislah* as an independent proof of *Shari'ah* would lead to disparity, even chaos, in the *ahkam*. The *halal* and *haram* would be held to be applicable in

some place or to some persons and not to others. This would not only violate the permanent and timeless validity of the *Shari'ah* but would open the door to corruption. [45. Khallaf, *'Im*, p.88.]

As already stated, the Hanafis and the Shafi'is do not accept *istislah* as an independent proof. Al-Shafi'i approves of *maslahah* only within the general scope of *qiyas*; whereas Abu Hanifah validates it as a variety of *istihsan*. This would explain why the Shafi'is and the Hanafis are both silent on the conditions of *maslahah*, as they treat the subject under *qiyas* and *istihsan* respectively. They have explained their position as follows: should there be an authority for *maslahah* in the *nusus*, that is, if *maslahah* is one of the accredited *masalih*, then it will automatically fall within the scope of *qiyas*. In the event where no such authority could be found in the *nusus*, it is *maslahah mulgha* and is of no account. But it would be incorrect to say that there is a category of *maslahah* beyond the scope of the *nass* and analogy to the *nass*. To maintain that *maslahah mursalah* is a proof would amount to saying that the *nusus* of the Qur'an and the *Sunnah* are incomplete. [46. Abu Zahrah, *Usul*, p. 222; Mustafa Zayd, *Maslahah*, p. 61; Badran, *Usul*, p. 213.]

The opponents of *istislah* have further argued that the Lawgiver has validated certain *masalih* and overruled others. In between there remain, the *maslahah mursalah* which belongs to neither. It is therefore equally open to the possibility of being regarded as valid (*mu'tabarah*) or invalid (*mulgha*). Since there is no certainty as to their validity, no legislation may be based on it, for law must be founded in certainty, not doubt.

In response to this, it is argued that the Lawgiver has proscribed certain *masalih* not because there is no benefit in them but mainly because of their conflict with other and superior *masalih*, or because they lead to greater evil. None of these considerations would apply to *maslahah mursalah*, for the benefit in it outweighs its possible harm. It should be borne in mind that the *masalih* which the Lawgiver has expressly overruled (i.e. *masalih mulgha*) are few compared to those which are upheld. When we have a case of *masalih mursalah* on which no clear authority may be found in the sources, and they appear to be beneficial, they are more likely to belong to the part which is more extensive and preponderant (*kathir al-ghalib*), not to that which is limited and rare (*qalil al-nadir*). [47. Badran, *Usul*, p. 214.]

The Zahiris do not admit speculative evidence of any kind as a proof of *Shari'ah*. They have invalidated even *qiyas*, let alone *maslahah*, on the grounds that *qiyas* partakes in speculation. The rules of *Shari'ah* must be founded in certainty, and this is only true of the clear injunctions of the Qur'an, *Sunnah* and *ijma'*. Anything other than these is mere speculation, which should be renounced. [48. Ibn Hazm, *Ihkam*, V, 55-56.] As for the reports that the Companions issued *fatwas* on the basis of their own *ra'y* which might have partaken in *maslahah*, Ibn Hazm is categorical in saying that 'these reports do not bind anyone'. [49. Ibn Hazm, *Ihkam*, VI, 40.] Thus it would follow that the Zahiris do not accept *maslahah mursalah*, which they consider to be founded in personal opinion (*ra'y*). [50. Cf. Mustafa Zayd, *Maslahah*, p. 62.]

The Malikis and the Hanbalis have, on the other hand, held that *maslahah mursalah* is authoritative and that all that is needed to validate action upon it is to fulfill the conditions which ensure its propriety. When these conditions are met, *maslahah* becomes an integral part of the objectives of the Lawgiver even in the absence of a particular *nass*. Ahmad b. Hanbal and his disciples are known to have based many of their *fatwas* on *maslahah*, which they have upheld as a proof of *Shari'ah* and an instrument of protecting the faith, securing justice, and preventing *mafsadah*. They have thus validated the death penalty for spies whose activity violates the *maslahah* of the Muslim community. The Hanbalis have also validated, on grounds of *maslahah*, the death penalty for propagators of heresy when protecting the *maslahah* of the community requires this. But in all this, the Hanbalis, like the Malikis, insist that the necessary conditions of *maslahah* must be fulfilled. *Maslahah* must pursue the valid objectives of the *Shari'ah* and the dictates of sound intellect, acting upon which fulfils a useful purpose, or serves to prevent harm to the people.<sup>[51. Cf. Mustafa Zayd, *Maslahah*, p. 60.]</sup> Some of the more far-reaching instances of *maslahah* in the Maliki doctrine may be summarised as follows:

1. Imam Malik validated the pledging of *bay'ah* (oath of allegiance) to the *mafdul*, that is the lesser of the two qualified candidates for the office of the Imam, so as to prevent disorder and chaos afflicting the life of the community.<sup>[52. Shatibi, *I'tisam*, II, 303.]</sup>
- 2.
3. When the Public Treasury (*bayt al-mal*) runs out of funds, the Imam may levy additional taxes on the wealthy so as to meet the urgent needs of the government without which injustice and sedition (*fitnah*) may become rampant.<sup>[53. Shatibi, *I'tisam*, II, 295.]</sup>
- 4.
5. In the event where all the means of earning a lawful living are made inaccessible to a Muslim, he is in a situation where he cannot escape to another place, and the only way for him to earn a living is to engage in unlawful occupations; he may do so but only to the extent that is necessary.<sup>[54. Shatibi, *I'tisam*, II, 300.]</sup>
- 6.
- 7.

## Conclusion

Despite their different approaches to *maslahah*, the leading ulema of the four Sunni schools are in agreement, in principle, that all genuine *masalih* which do not conflict with the objectives (*maqasid*) of the Lawgiver must be upheld. This is the conclusion that both Khallaf and Abu Zahrah have drawn from their investigations.<sup>[55. Abu Zahrah, *Usul*, p. 224; Khallaf, *'Ilm*, p.85.]</sup> The Shafi'i and Hanafi approach to *maslahah* is essentially the same as that of the Maliki and Hanbali schools, with the only difference being that the

former have attempted to establish a common ground between *maslahah* and the *qiyas* which has an identifiable *'illah*. Some Maliki jurists, including Shihab al-Din al-Qarafi have observed that all the jurists are essentially in agreement over the concept and validity of *maslahah mursalah*. They only differ on points of procedure: while some would adopt it directly, others would do so by bringing the *maslahah* within the purview of *qiyas*.<sup>[56. Qarafi, *Furuq*, II, 188; Abu Zahrah, *Usul*, p. 225.]</sup> But Imam Malik's concept of *maslahah* is the most far-reaching of the four Sunni schools. Since *maslahah* must always be harmonious with the objectives of the Lawgiver, it is a norm by itself. *Maslahah mursalah* as such specifies the general (*'Amm*) of the Qur'an, just as the *'Amm* of the Qur'an may be specified by *qiyas*. In the event of conflict between a genuine *maslahah* and a solitary Hadith, the former takes priority over the latter.<sup>[57. Abu Zahrah, *Usul*, p. 225.]</sup>

The changing conditions of life never cease to generate new interests. If legislation were to be confined to the values which the Lawgiver has expressly decreed, the *Shari'ah* would inevitably fall short of meeting the *masalih* of the community. To close the door of *maslahah* would be tantamount to enforcing stagnation and unnecessary restriction on the capacity of the *Shari'ah* to accommodate social change. 'Abd al-Wahhab Khallaf is right in his assessment that any claim to the effect that the *nusus* of the *Shari'ah* are all-inclusive and cater for all eventualities is simply not true. The same author goes on to say: 'There is no doubt that some of the *masalih* have neither been upheld nor indicated by the *Shari'ah* in specific terms.'<sup>[58. Khallaf, *'Ilm*, p. 88.]</sup>

As for the concern that the opponents of *maslahah mursalah* have expressed that validating this doctrine would enable arbitrary and self-seeking interests to find their way under the banner of *maslahah*, they only need to be reminded that a careful observance of the conditions that are attached to *maslahah* will ensure that only the genuine interests of the people which are in harmony with the objectives of the *Shari'ah* would qualify. This concern is admittedly valid, but one which cannot be confined to *maslahah* alone. Arbitrariness and the pursuit of self-seeking interests have never been totally eliminated in any society, under any legal system. It is a permanent threat which must be carefully checked and minimized to the extent that this is possible. But this very purpose will be defeated if legislation on grounds of *istislah* were to be denied validity. To combat the evil of an arbitrary indulgence which waves the banner of *maslahah* would surely have greater prospects of success if the *mujtahid* and the Imam were to be able to enact the necessary legislation on grounds of preventing harm to society. Consequently the argument that the opponents of *maslahah* have advanced would appear to be specious and self-defeating.

## Chapter Fourteen: 'Urf (Custom)

As a noun derived from its Arabic root *'arafa* (to know), *'urf* literally means 'that which is known'. In its primary sense, it is the known as opposed to the unknown, the familiar and customary as opposed to the unfamiliar and strange. *`Urf* and *'adah* are largely synonymous, and the majority of ulema have used them as such. Some observers have, however, distinguished the two, holding that *'adah* means repetition or recurrent practice, and can be used with regard to both individuals and groups. We refer, for example, to the habits of individuals as their personal *'adah*. But *`urf* is not used in this capacity: we do not refer to the personal habits of individuals as their *`urf*. It is the collective practice of a large number of people that is normally denoted by *`urf*. The habits of a few or even a substantial minority within a group do not constitute *'urf*.<sup>[1. Badran, *Usul*, p. 224; Ziadeh, '*Urf and Law*', p. 60; Isma'il, *Adillah*, p. 389.]</sup>

*'Urf* is defined as 'recurring practices which are acceptable to people of sound nature.' This definition is clear on the point that custom, in order to constitute a valid basis for legal decisions, must be sound and reasonable. Hence recurring practices among some people in which there is no benefit or which partake in prejudice and corruption are excluded from the definition of *'urf*.<sup>[2. Mahmassani, *Falsafah* (Ziadeh's trans.), p. 132; Isma'il, *Adillah*, p. 388; Badran, *Usul*, p. 224.]</sup> *`Urf* and its derivative, *ma'ruf*, occur in the Qur'an, and it is the latter of the two which occurs more frequently. *Ma'ruf*, which literally means 'known' is, in its Qur'anic usage, is equated with good, while its opposite, the *munkar*, or 'strange', is equated with evil. It is mainly in this sense that *'urf* and *ma'ruf* seem to have been used in the Qur'an. The commentators have generally interpreted *ma'ruf* in the Qur'an as denoting faith in God and His Messenger, and adherence to God's injunctions. Thus the standard commentary on the Qur'anic phrase *ta'muruna bi al-ma'ruf wa tanhawna 'an al-munkar* (Al-Imran, 3:110) given by the exegetes is that 'you enjoin belief in God and in His Messenger and enforce His laws, and you forbid disbelief and indulgence in the *haram*'.<sup>[3. Tabari, *Tafsir*, (Bulaq 1323-29), IV, 30; Ziadeh, '*Urf and Law*', pp. 60-61; Isma'il, *Adillah*, p. 401.]</sup> The same interpretation is given to the term '*urf*' in the text which occurs in sura al-A'raf (7:199): 'Keep to forgiveness, enjoin *`urf* [*wa'mur bi'l-`urf*] and turn away from the ignorant.' According to the exegetes, *`urf* in this context means fear of God and the observance of His commands and prohibitions. But occasionally, *ma'ruf* in the Qur'an occurs in the sense of good conduct, kindness and justice, especially when the term is applied to a particular situation. It is only when *`urf* or *ma'ruf* is ordered generally without reference to a particular matter, situation or problem that it carries the meaning of adhering to God's injunctions. The reason for the position taken by the exegetes becomes apparent if one bears in mind Islam's perspective on good and evil (*husn wa-qubh*) which are, in principle, determined by divine revelation. Hence when God ordered the promotion of *ma'ruf*, He could not have meant the good which reason or custom decrees to be such, but what He enjoins.<sup>[4. Cf. Ziadeh, '*Urf and Law*', p. 62.]</sup> This would also explain why *`urf* in the sense of custom is



not given prominence in the legal theory of the *usul al-fiqh*, although it carries some authority, as we shall presently explain.

Custom which does not contravene the principles of *Shari'ah* is valid and authoritative; it must be observed and upheld by a court of law. According to a legal maxim which is recorded by the Shafi'i jurist al-Suyuti, in his well-known work, *al-Ashbah wa al-Nazai'r*, 'What is proven by *'urf* is like that which is proven by a *shar'i* proof.' This legal maxim is also recorded by the Hanafi jurist al-Sarakhsi, and was subsequently adopted in the Ottoman *Majallah* which provides that custom, whether general or specific, is enforceable and constitutes a basis of judicial decisions.<sup>[5. *The Mejlle* (Tyser's trans.) (Art. 36); Abu Zahrah, *Usul*, p. 216; Mahmassani, *Falsafah*, p. 132.]</sup>

The ulema have generally accepted *'urf* as a valid criterion for purposes of interpreting the Qur'an. To give an example, the Qur'anic commentators have referred to *'urf* in determining the precise amount of maintenance that a husband must provide for his wife. This is the subject of sura al-Talaq (65:7) which provides: 'Let those who possess means pay according to their means.' In this *ayah*, the Qur'an does not specify the exact amount of maintenance, which is to be determined by reference to custom. Similarly, in regard to the maintenance of children, the Qur'an only specifies that this is the duty of the father, but leaves the quantum of maintenance to be determined by reference to custom (*bi'l-ma'ruf*) (al-Baqarah, 2:233). The *Shari'ah* has, in principle, accredited approved custom as a valid ground in the determination of its rules relating to *halal* and *haram*. This is in turn reflected in the practice of the *fuqaha'*, who have adopted *'urf*, whether general or specific, as a valid criterion in the determination of the *ahkam* of *Shari'ah*.<sup>[6. Sabuni, *Madkhal*, p. 138; Isma'il, *Adillah*, p. 403.]</sup>

The rules of *fiqh* which are based in juristic opinion (*ra'y*) or in speculative analogy and *ijtihad* have often been formulated in the light of prevailing custom; it is therefore, permissible to depart from them if the custom on which they were founded changes in the course of time. The *ijtihad* rules of *fiqh* are, for the most part, changeable with changes of time and circumstance. To deny social change due recognition in the determination of the rules of *fiqh* would amount to exposing the people to hardship, which the *Shari'ah* forbids. Sometimes even the same *mujtahid* has changed his previous *ijtihad* with a view to bringing it into harmony with the prevailing custom. It is well-known, for example, that Imam al-Shafi'i laid the foundations of his school in Iraq, but that when he went to Egypt, he changed some of his earlier views owing to the different customs he encountered in Egyptian society.<sup>[7. Abu Zahrah, *Usul*, p. 217; Aghnides, *Muhammedan Theories*, p. 82.]</sup>

Customs which were prevalent during the lifetime of the Prophet and were not expressly overruled by him are held to have received his tacit approval and become part of what is known as *Sunnah taqririyyah*. Pre-Islamic Arabian custom which was thus approved by the Prophet was later upheld by the Companions, who often referred to it through statements such as 'we used to do such-and-such while the Prophet was alive'.<sup>[8. Ziadeh, 'Urf and Law', p. 62; Abdul Rahim, *Jurisprudence*, p. 137; Mahmassani, *Falsafah*, p. 132.]</sup> Islam has thus retained many pre-Islamic Arabian customs while it has at the same time overruled the oppressive and corrupt practices of that society. Islam also attempted to amend and regulate some of the Arab customary laws with a view to bringing them into line with the principles of the *Shari'ah*. The

reverse of this is also true in the sense that pre-Islamic customs of Arabia influenced the *Shari'ah* in its formative stages of development. Even in the area of the verbal and actual *Sunnah*, there are instances where Arabian custom has been upheld and incorporated within the *Sunnah* of the Prophet. An example of this is the rulings of the *Sunnah* concerning the liability of the kinsmen of an offender (i.e. the *`aqilah*) for the payment of blood money, or *diyyah*. Similarly, the *Sunnah* which regulates certain transactions such as mortgage (*rahn*), advance sale (*salam*) and the requirement of equality (*kafa'ah*) in marriage have their roots in the pre-Islamic custom of the Arabs. There are also vestiges of pre-Islamic custom in the area of inheritance, such as the significance that the rules of inheritance attach to the male line of relationship, known as the *`asabah*. As for the post-Islamic custom of Arabian society, Imam Malik has gone so far as to equate the *amal ahl al-Madinah*, that is the customary practice of the people of Madinah, with *ijma`*. This type of '*amal* (lit. 'practice') constitutes a source of law in the absence of an explicit ruling in the Qur'an and *Sunnah*. Custom has also found its way into the *Shari'ah* through juristic preference (*istihsan*) and considerations of public interest (*maslahah*). And of course, *ijma`* itself has to a large extent served as a vehicle of assimilating customary rules which were in harmony with the *Shari'ah*, or were based in necessity (*darurah*), into the general body of the *Shari'ah*.<sup>[9. Mahmassani, *Falsafah*, p. 132; Sabuni, *Madkhal*, p. 143; Badran, *Usul*, p. 242.]</sup>

## Conditions of Valid *`Urf*

In addition to being reasonable and acceptable to people of sound nature, *`urf*, in order to be authoritative, must fulfill the following requirements.

1) *`Urf* must represent a common and recurrent phenomenon. The practice of a few individuals or of a limited number of people within a large community will not be authoritative, nor would a usage of this nature be upheld as the basis of a judicial decision in *Shari'ah* courts. The substance of this condition is incorporated in the *Majallah al-Ahkam al-`Adliyyah* where it is provided that 'effect is only given to custom which is of regular occurrence' (Art. 14). To give an example, when a person buys a house or a car, the question as to what is to be included in either of these is largely determined by custom, if this is not otherwise specified in the terms of the agreement. More specifically, one would need to refer to the common practice among estate agents or car dealers respectively. But if no custom could be established as such, or there are disparate practices of various sorts, no custom could be said to exist and no judicial order may be based on it. Custom, in order to be upheld, must not only be consistent but also dominant in the sense that it is observed in all or most of the cases to which it can apply. If it is observed only in some cases but not in others, it is not authoritative. Similarly, if there are two distinct customary practices on one and the same matter, the one which is dominant is to be upheld. If, for example, a sale is concluded in a city where two or three currencies are commonly accepted and the contract in question

does not specify any, the one which is the more dominant and common will be deemed to apply.<sup>[10]</sup>

Mahmassani, *Falsafah*, pp. 133-134; Sabuni, *Madkhal*, pp. 139-140; Isma'il, *Adillah*, pp. 398-399.]

2) Custom must also be in existence at the time a transaction is concluded. In contracts and commercial transactions, effect is given only to customs which are prevalent at the time the transaction is concluded, and not to customs of subsequent origin. This condition is particularly relevant to the interpretation of documents, which are to be understood in the light of the custom that prevailed at the time they were written. Consequently, a rule of custom which is prevalent at the time the interpretation is attempted will not be relevant if it only became prevalent after the document was concluded. For it is generally assumed that documents which are not self-evident and require clarification can only convey concepts that were common at the time they were written.<sup>[11. Mahmassani, *Falsafah*, p.134; Sabuni, *Madkhal*, p. 143.]</sup>

3) Custom must not contravene the clear stipulation of an agreement. The general rule is that contractual agreements prevail over custom, and recourse to custom is only valid in the absence of an agreement. Since contractual agreements are stronger than custom, should there arise a conflict between them it will normally be determined in favour, of the former. If for example the prevailing custom in regard to the provision of dower (*mahr*) in marriage requires the payment of one-half at the time of the conclusion of the contract and the remainder at a later date, but the contract clearly stipulates the prompt payment of the whole of the dower, the rule of custom would be of no account in the face of this stipulation. For custom is only to be invoked when no clear text can be found to determine the terms of a particular dispute; and whenever a clear text is in existence, recourse to custom will be out of the question. To give another example: the costs of formal registration in the sale of real property are customarily payable by the purchaser. But if there is a stipulation in the contract that specifically requires the vendor to bear those costs, then the custom will be of no account and the purchaser will not be required to pay the costs of registration.<sup>[12. Isma'il, *Adillah*, p. 400.]</sup>

4) Lastly, custom must not violate the *nass*, that is, the definitive principle of the law. The opposition of custom to *nass* may either be absolute or partial. If it is the former, there is no doubt that custom must be set aside. Examples of such conflicts are encountered in the bedouin practice of disinheriting the female heirs, or the practice of usury (*riba*) and wine-drinking. The fact that these are widely practiced is of no consequence, as in each case there is a prohibitory *nass*, or a command which always takes priority, and no concession or allowance is made for the practice in question. But if the conflict between custom and text is not absolute in that the custom opposes only certain aspects of the text, then custom is allowed to act as a limiting factor on the text. The contract of *istisna'*, that is, the order for the manufacture of goods at an agreed price, may serve as an example here. According to a Hadith, 'the Prophet prohibited the sale of non-existing objects but he permitted *salam* (i.e. advance sale in which the price is determined but delivery postponed).'<sup>[13. Bukhari, *Sahih*, III, 44 (*Kitab al-Salam*, Hadith nos. 1-3); Badran, *Usul*, p. 121.]</sup>

This Hadith is general in that it applies to all varieties of sale in which the object of sale is not present at the time of contract. *Salam* was exceptionally permitted as it was deemed to be of benefit to the people. The general prohibition in this Hadith would equally apply to *istisna'* as in this case too the object of sale is non-existent at the time of contract. But since *istisna'* was commonly practiced among people of all ages, the *fuqaha* have validated it on grounds of general custom. The conflict between *istisna'* and the ruling of the Hadith is not absolute, because the Hadith has explicitly validated *salam*. If realisation of benefit to the people was the main ground of the concession that has been granted in respect of *salam*, then *istisna'* presents a similar case. Consequently the custom concerning *istisna'* is allowed to operate as a limiting factor on the textual ruling of the Hadith in that the Hadith is qualified by the custom concerning *istisna'*.

Another example where a general text is qualified by custom is when a person is appointed to act as agent (*wakil*) for another in respect of concluding a particular contract such as sale or marriage. The agent's power to conclude the contract, although not limited by the terms of his appointment, is nevertheless qualified by the prevalent custom. In the matter of sale, for example, the expected price which represents the fair market price would be upheld, and the currency of the locality would be accepted in exchange.

According to a Hadith, the Prophet is said to have forbidden conditional sale, that is, sale with conditions that may not be in agreement with the nature of this contract. An example of this would be when A sells his car to B for 10,000 dollars on condition that B sells his house to A for 50,000 dollars. The Hadith quoted to this effect provides that the Prophet 'forbade sale coupled with a condition'.

However, the majority of Hanafi and Maliki jurists have validated conditions which are accepted by the people at large and which represent standard custom. Here again the general prohibition is retained, but only conditions that are adopted by *`urf* are upheld; the general terms of the Hadith are, in other words, qualified by custom. <sup>[14. Shawkani, *Irshad*, p. 161; Abu Zahrah, *Usul*, p. 217; Badran, *Usul*, p. 230.]</sup>

It would be useful in this connection to distinguish *'urf* from *ijma'*, for they have much in common with one another, which is why they are sometimes confused. But despite their similarities, there are substantial differences between *`urf* and *ijma'* which may be summarised as follows:

1) *`Urf* materialises by the agreement of all, or the dominant majority of, the people and its existence is not affected by the exception or disagreement of a few individuals. *Ijma'* on the other hand requires, for its conclusion, the consensus of all the *mujtahidun* of the period or the generation in which it materialises. Disagreement and dissension has no place in *ijma'*, and any level of disagreement among the *mujtahidun* invalidates *ijma'*.

2) Custom does not depend on the agreement of the *mujtahidun*, but must be accepted by the majority of the people, including the *mujtahidun*. The laymen have, on the other hand, no say in *ijma'* on juridical matters, which require the participation only of the learned members of the community.

3) The rules of *'urf* are changeable, and a custom may in course of time give way to another custom or may simply disappear with a change of circumstances. But this is not the case with *ijma'*. Once an *ijma'* is concluded, it precludes fresh *ijtihad* on the same issue and is not open to abrogation or amendments. *'Urf* on the other hand leaves open the possibility of fresh *ijtihad*, and a ruling of *ijtihad* which is founded in *'urf* may be changed even if the *'urf* in which it originates does not.

4) Lastly, *'urf* requires an element of continuity in that it can only materialise if it exists over a period of time. *Ijma'* can, on the other hand, come into existence whenever the *mujtahidun* reach a unanimous agreement which, in principle, requires no continuity for its conclusion. <sup>[15. Badran, *Usul*, p. 225; Isma'il, *Adillah*, p. 291.]</sup>

## Types of Custom

Custom is initially divided into two types, namely verbal (*qawli*) and actual (*fi'li*). Verbal *'urf* consists of the general agreement of the people on the usage and meaning of words deployed for purposes other than their literal meaning. As a result of such agreement, the customary meaning tends to become dominant and the original or literal meaning is reduced to the status of an exception. There are many examples in the Qur'an and *Sunnah* of words which have been used for a meaning other than their literal one, which were as a result commonly accepted by popular usage. Words such as *salah*, *zakah* and *haji* have been used in the Qur'an for purposes other than their literal meanings, and this usage eventually became dominant to the extent that the literal meaning of these words was consigned to obscurity. The verbal custom concerning the use of these words thus originated in the Qur'an and was subsequently accepted by popular custom. We also find instances of divergences between the literal and the customary meanings of words in the Qur'an where the literal meaning is applied regardless of the customary meaning. The word *walad*, for example, is used in the Qur'an in its literal sense, that is 'offspring' whether a son or daughter (note sura al-Nisa', 4:11), but in its popular usage *walad* is used for sons only. Another example is *lahm*, that is, meat, which in its Qur'anic usage includes fish, but in its customary usage is applied only to meat other than fish. Whenever words of this nature, that is, words which have acquired a different meaning in customary usage, occur in contracts, oaths and commercial transactions, their customary meaning will prevail. For example, when a person takes an oath that he will never 'set foot' at so-and-so's house, what is meant by this expression is the customary meaning, namely, actually entering the house. In this sense, the person will have broken the oath if he enters the house while never 'setting foot', such as by entering the house while mounted. But if he only

technically sets his foot in the house without entering it, he will not be liable to expiation (*kaffarah*) for breaking his oath. <sup>[16. Isma'il, *Adillah*, pp. 392-393; Sabuni, *Madkhal*, p. 137; Badran, *Usul*, p. 226.]</sup> For this would not amount to what is customarily meant by 'setting foot in the house.

Actual *'urf* consists of commonly recurrent practices which are accepted by the people. An example of actual *'urf* is the give-and-take sale, or *bay' al-ta'ati*, which is normally concluded without utterances of offer and acceptance. Similarly, customary rules regarding the payment of dower in marriage may require a certain amount to be paid at the time of contract and the rest at a later date. The validity of this type of custom is endorsed by the legal maxim which reads: 'What is accepted by *'urf* is tantamount to a stipulated agreement (*al-ma'ruf 'urfan ka'l-mashrut shartan*).' Consequently, actual *'urf* is to be upheld and applied in the absence of an agreement to the contrary.

*'Urf*, whether actual or verbal, is once again divided into the two types of general and special: *al-urf al-'amm* and *al-'urf al-khass* respectively. A general *'urf* is one which is prevalent everywhere and on which the people agree regardless of the passage of time. A typical example of this is *bay al-ta'ati* to which reference has already been made. Similarly, the customary practice of charging a fixed price for entry to public baths is another example of general *'urf*, which is anomalous to the strict requirements of sale (as it entails consuming an unknown quantity of water) but the people have accepted it and it is therefore valid. It will be further noted that in their formulation of the doctrine of *istihsan*, the Hanafi jurists have validated departure from a ruling of *qiyas* in favour of general *'urf*. This has already been elaborated in the separate chapter on *istihsan*. <sup>[17. Abu Zahrah, *Usul*, p. 217; Sabuni, *Madkhal*, p. 138; Aghnides, *Muhammedan Theories*, p. 81.]</sup>

"Special custom" is *'urf* which is prevalent in a particular locality, profession or trade. By its very nature, it is not a requirement of this type of *'urf* that it be accepted by people everywhere. According to the preferred view of the Hanafi school, special *'urf* does not qualify the general provisions of the *nass*, although some Hanafi jurists have held otherwise. Consequently, this type of *'urf* is entirely ignored when it is found to be in conflict with the *nass*. The general rule to be stated here is that the *ahkam* of *Shari'ah* pertaining to the authority of *'urf* only contemplate the provisions of general *'urf*. A ruling of *qiyas*, especially *qiyas* whose effective cause is not expressly stated in the *nass*, that is, *qiyas ghayr mansus al-'illah*, may be abandoned in favour of a general *'urf*, but will prevail if it conflicts with special *'urf*. A number of prominent ulema have, however, given the *fatwa* that special *'urf* should command the same authority as general *'urf* in this respect. The reason why general *'urf* is given priority over *qiyas* is that the former is indicative of the people's need, whose disregard may amount to an imposition of hardship on them. Some Hanafi jurists like Ibn al-Humam have taught that *'urf* in this situation commands an authority equivalent to that of *ijma'*, and that as such it must be given priority over *qiyas*. It is perhaps relevant here to add that Abu Hanifah's disciple, al-Shaybani, validated the sale of honeybees and silkworms as this was commonly practiced during his time despite the analogical ruling that Abu Hanifah had given against it on the grounds that they did not amount to a valuable

commodity (*mal*). Furthermore, the ulema have recorded the view that since *'urf* is given priority over *qiyas* despite the fact that *qiyas* originates in the *nusus* of the Qur'an and *Sunnah*, it will *a fortiori* be preferred over considerations of public interest (*maslahah*) which are not rooted in the *nusus*. Having said this, however, it would seem that cases of conflict between general *'urf* and *maslahah* would be rather rare. For *'urf* by definition must be sound and reasonable, considerations which tend to bring *'urf* close to *maslahah*. For after all, *'urf* and *maslahah* each in their respective capacities serve as a means for the realisation of public welfare and the prevention of hardship to people.

And lastly, from the viewpoint of its conformity or otherwise with the *Shari'ah*, custom is once again divided into the two types of approved or valid custom (*al-'urf al-sahih*) and disapproved custom (*al-'urf al-fasid*). As is indicated in the terms of these expressions, the approved *'urf* is one which is observed by the people at large without there being any indication in the *Shari'ah* that it contravenes any of its principles. The disapproved custom is also practiced by the people but there is evidence to show that it is repugnant to the principles of *Shari'ah*. We have already referred to the bedouin practice of disinheriting female relatives, and the prevalence of *riba*, which although commonly practiced are both in clear violation of the *Shari'ah*, and as such represent examples of *al-'urf al-fasid*.<sup>[18. Badran, *Usul*, p. 231; Isma'il, *Adillah*, p. 393.]</sup>

### Proof (*Hujjiyyah*) of `Urf

Although the ulema have attempted to locate textual authority for *'urf* in the Qur'an, their attempt has not been free of difficulties. To begin with, reference is usually made to the Qur'anic text in sura al-Hajj (22:78) which provides: 'God has not laid upon you any hardship in religion.' This is obviously not a direct authority on the subject, but it is argued that ignoring the prevailing *'urf* which does not conflict with the *nusus* of *Shari'ah* is likely to lead to adhering hardship on the people, which must be avoided. The next *ayah* which is quoted in support of *'urf* occurs in sura al-A'raf (7:199), but although this has a direct reference to *'urf*, difficulties have been encountered in identifying it as its main authority. This *ayah*, to which a reference has already been made, enjoins the Prophet to 'keep to forgiveness, and enjoin *'urf*, and turn away from the ignorant'. According to the Maliki jurist Shihab al-Din al-Qarafi, this *ayah* is explicit and provides a clear authority for *'urf*. According to this view *'urf* is clearly upheld in the Qur'an as a proof of *Shari'ah* and an integral part of it.<sup>[19. Qarafi, *Furuq*, II, 85; Sabuni, *Madkhal*, p. 143; Badran, *Usul*, p. 226.]</sup> The generality of ulema, however, maintain the view that the reference to *'urf* in this *ayah* is to the literal meaning of the word, that is, to the familiar and good, and not to custom as such. But then it is added: bearing in mind that approved custom is normally upheld by people of sound nature and intellect, the Qur'anic concept of *'urf* comes close to the technical meaning of this word. The literal or the Qur'anic meaning of *'urf*, in other words, corroborates its technical meaning and the two usages of

the word are in essential harmony with one another. The commentators, however, further add that since the word '*urf*' in this *ayah* can mean many things, including 'profession of the faith', 'that which the people consider good', and of course 'that which is familiar and known', as well as '*urf*' in the sense of custom, it cannot be quoted as textual authority for custom as such. [20. Tabari, *Tafsir*, IV, 30; Isma'il, *Adillah*, pp. 401-402; Ziadeh, 'Urf and Law', pp.61-62.] Among the indirect evidence in support of '*urf*' the ulema have also quoted the following saying of the prominent Companion, 'Abd Allah b. Mas'ud, that 'what the Muslims deem to be good is good in the sight of God'.

Although many scholars have considered this to be a Hadith from the Prophet, it is more likely, as al-Shatibi points out, to be a saying of 'Abd Allah b. Mas'ud. [21. Shatibi, *I'tisam*, II, 319. Mahmassani (*Falsafah*, p. 77) has also reached the conclusion that this is the saying of 'Abd Allah b. Mas'ud. But Amidi (*Ihkam*, I, 214) has quoted it as a Hadith.] The critics have, however, suggested that this saying/Hadith refers to the approval of '*al-muslimun*', that is, all the Muslims, whereas '*urf*' varies from place to place, and the approval of all Muslims in its favour cannot be taken for granted. In response to this, it has been further suggested that '*muslimun*' in this context only denotes those among them who possess sound intellect and judgement, and not necessarily every individual member of the Muslim community. [22. Cf. Isma'il, *Adillah*, p.402.]

The upshot of this whole debate over the authoritativeness of '*urf*' seems to be that notwithstanding the significant role that it has played in the development of the *Shari'ah*, it is not an independent proof in its own right. The reluctance of the ulema in recognising '*urf*' as a proof has been partly due to the circumstantial character of the principle, in that it is changeable upon changes of conditions of time and place. This would mean that the rules of *fiqh* which have at one time been formulated in the light of the prevailing custom would be liable to change when the same custom is no longer prevalent. The differential *fatwas* that the later ulema of different schools have occasionally given in opposition to those of their predecessors on the same issues are reflective of the change of custom on which the *fatwa* was founded in the first place. In addition, since custom is basically unstable it is often difficult to ascertain its precise terms. These terms may not be self-evident, and the frequent absence of written records and documents might ass to the difficulty of verification. [23. Cf. Badran, *Usul*, p. 233.]

Another factor which merits attention in this context is the development of statutory legislation as an instrument of government in modern times. The attempt to codify the law into self-contained statutes has to some extent reduced the need to rely on social custom as the basis of decision-making. But even so, it would be far from accurate to say that custom has ceased to play an important role both as a source of law and a basis of judicial decision-making. This is perhaps evident from the general reference to custom as a supplementary source of law in the civil codes of many Islamic countries of today. The typical style of reference to custom in such statutes appears to be that custom is authoritative in the absence of a provision in the statute concerning a particular dispute.



The *fuqaha* of the later ages (*muta'akhhirun*) are on record as having changed the rulings of the earlier jurists which were based in custom owing to subsequent changes in the custom itself. The examples which are given below will show that the jurists have on the whole accepted '*urf*' not only as a valid basis of *ijtihad* but also as the key indicator of the need for legal reform:

1) Under the rules of *fiqh*, a man who causes harm to another by giving him false information is not responsible for the damage he has caused. The rule of *fiqh* that applies to such cases is that the *mubashir*, that is, the one who acted directly, is responsible for the losses. However owing to the spread of dishonesty and corruption, the later *fuqaha'* have validated a departure from this rule in favour of holding the false reporter responsible for the losses caused. <sup>[24. Abu Zahrah, *Usul*, p.218.]</sup>

2) According to Imam Abu Hanifah, when the *qadi* personally trusts the reliability of a witness who testifies before him, there is no need for recourse to cross-examination or *tazkiyah*. This ruling is based on the Hadith which provides that 'Muslims are *'udul* [i.e. upright and trustworthy] in relationship to one another'.

Abu Hanifah's ruling was obviously deemed appropriate for the time in which it was formulated. But experience in later times aroused concern over dishonesty and lying by witnesses. It was consequently considered necessary to take precautions so as to prevent perjury, and the ulema reached the opinion that *tazkiyah* should be applied as a standard practice to all witnesses. Abu Hanifah's disciples are reported to have given a *fatwa* in favour of making *tazkiyah* a regular judicial practice. Consequently *tazkiyah* was held to be a condition for admitting the testimony of witnesses, and a ruling was formulated to the effect that no testimony without *tazkiyah* may constitute the basis of a court decision. <sup>[25. Bayhaqi, *al-Sunan al-Kubra*, X, 155-56. Abu Zahrah, *Usul*, p. 219; Sabuni, *Madkhal*, pp.144-45]</sup>

3) According to the accepted rule of the Hanafi school, which is attributed to Abu Hanifah himself, no-one was allowed to charge any fees for teaching the Qur'an, or the principles of the faith. For teaching these subjects was held to be a form of worship (*'ibadah*) and no reward for it was to be expected from anyone other than God. But subsequent experience showed that some people were reluctant to teach the Qur'an, and an incentive by way of remuneration was considered necessary in order to encourage the teaching of Islam. Consequently the *fuqaha'* gave a *fatwa* in favour of charging fees for teaching the Qur'an. <sup>[26. Abu Zahrah, *Usul*, p. 219.]</sup>

4) Among the rules of *fiqh* which have tended to 'change with the change of custom, there is one concerning the determination of the age by which a missing person (*mafqud*) is to be declared dead. According to the generally accepted view, the missing person must not be declared dead until he reaches the age at which his contemporaries would normally be expected to die. Consequently the jurists of the Hanafi-school have variously determined this age at seventy, ninety and one hundred, and

their respective rulings have taken into consideration the changes of experience and conditions that prevailed at the time the new rulings were formulated.<sup>[27. Sabuni, *Madkhal*, p.145.]</sup>

5) And lastly, in the area of transactions, the concept of *al-ghabn al-fahish*, that is, radical discrepancy between the market price of a commodity and the actual price charged to the customer, is determined with reference to *`urf*. To ascertain what margin of discrepancy in a particular transaction amounts to *al-ghabn al-fahish* is determined by reference to the practice among tradesmen and people who are engaged in similar transactions. Since these practices are liable to change, the changes are in turn reflected in the determination of what might amount to *al-ghabn al-fahish*.<sup>[27. Sabuni, *Madkhal*, p.145.]</sup>

## Chapter Fifteen: *Istishab* (Presumption of Continuity)

Literally, *Istishab* means 'escorting' or 'companionship'. Technically, *istishab* denotes a rational proof which may be employed in the absence of other indications; specifically, those facts, or rules of law and reason, whose existence or non-existence had been proven in the past, and which are presumed to remain so for lack of evidence to establish any change. The technical meaning of *istishab* relates to its literal meaning in the sense that the past 'accompanies' the present without any interruption or change.<sup>[1]</sup> *Istishab* is validated by the Shafi'i school, the Hanbalis, the Zahiris and the Shi'ah Imamiyyah, but the Hanafis, the Malikis and the *mutakallimun*, including Abu al-Husayn al-Basri do not consider it a proof in its own right. The opponents of *istishab* are of the view that establishing the existence of a fact in the past is no proof of as continued existence. The continued existence of the original state is still in need of proof in the same way as the claim which seeks to establish that the original condition has changed.<sup>[2]</sup> *Falsafah* (Ziadeh's trans.) p.95.]

For the Shafi'is and the Hanbalis, *istishab* denotes 'continuation of that which is proven and the negation of that which had not existed'. *Istishab*, in other words, presumes the continuation of both the positive and the negative until the contrary is established by evidence. In its positive sense, *istishab* requires, for example, that once a contract of sale (or of marriage for that matter), is concluded, it is presumed to remain in force until there is a change. Thus the ownership of the purchaser, and the marital status of the spouses, are presumed to continue until a transfer of ownership, or dissolution of marriage, can be established by evidence. Since both of these contracts are permanently valid under the *Shari'ah* and do not admit of any time limits it is reasonable to presume their continuity until there is evidence to the contrary. A mere possibility that the property in question might have been sold, or that the marriage might have been dissolved, is not enough to rebut the presumption of *istishab*.<sup>[3]</sup> However, if the law only validates a contract on a temporary basis, such as lease and hire (*ijarah*), then *istishab* cannot presume its continuity on a permanent basis. The contract will continue to operate within the specified period and terminate when the period expires.

*Istishab* also presumes the continuation of the negative. For example, A purchases a hunting dog from B with the proviso that it has been trained to hunt, but then A claims that the dog is untrained. A's claim will be acceptable under *istishab* unless there is evidence to the contrary. For *istishab* maintains the natural state of things, which in the case of animals is the absence of training.<sup>[4]</sup>

Presumption of continuity under *istishab* is different from the continued validity of a rule of law in a particular case. The false accuser, for example, may never be admitted as a witness, a rule which is laid

down in a clear Qur'anic text (al-Nur, 24:5). The permanent validity of the *hukm* in this case is established by the legal text, which is in no need of any presumption. *Istishab* only applies when no other evidence (*dalil*) is available, which is obviously not the case when there is a clear text that could be invoked. [5. Badran, *Usul*, p. 218.]

Since *istishab* consists of a probability, namely the presumed continuity of the *status quo ante*, it is not a strong ground for the deduction of the rules of *Shari'ah*. Hence when *istishab* comes into conflict with another proof, the latter takes priority. As it is, *istishab* is the last ground of *fatwa*: when the jurist is asked about the ruling of a particular case, he must first search for a solution in the Qur'an, the *Sunnah*, consensus of opinion, and *qiyas*. If a solution is still wanting, he may resort to *istishab* in either its positive or negative capacities. Should there be doubt over the non-existence of something, it will be presumed to exist, but if the doubt is in the proof of something, the presumption will be that it is not proven. In the case of a missing person, for example, the nature of the situation is such that no other proof of *Shari'ah* could be employed to determine the question of his life or death. Since the main feature of the doubt concerning a missing person is the possibility of his death, *istishab* will presume that he is still alive. But in the event of an unsubstantiated claim when, for example, A claims that B owes him a sum of money, the doubt here is concerned with the proof over the existence of a debt, which will be presumed unproven. [6. Shawkani, *Irshad*, p.237; Abu Zahrah, *Usul*, p. 235.]

With regard to the determination of the rules of law that may be applicable to a particular issue, the presumption of *istishab* is also guided by the general norms of the *Shari'ah*. The legal norm concerning foods, drinks, and clothes, for example, is permissibility (*ibahah*). When a question arises as to the legality of a particular kind of beverage or food, and there is no other evidence to determine its value, recourse may be had to *istishab*, which will presume that it is permissible. But when the norm in regard to something is prohibition, such as cohabitation between members of the opposite sex, the presumption will be one of prohibition, unless there is evidence to prove its legality.

*Istishab* is supported by both *shar'i* and rational (*'aqli*) evidences. Reason tells us that in God's order of creation and in popular custom, it is normal to expect that pledges, contracts and laws will probably continue to remain operative until the contrary is established by evidence. It is equally normal to expect that things which had not existed will probably remain so until the contrary is proved. When reasonable men (*'uqala'*) and men who comply with the accepted norms of society (*ahl al-'urf*) have known of the existence or non-existence of something, as al-Amidi observes, from that point onwards they tend to formulate their judgement, on the basis of what they know, until they are assured by their own observation or evidence that there is a change. [7. Amidi, *Ihkam*, IV, 128; Badran, *Usul*, p.221.] Reason also tells us not to accept claims, unsubstantiated by evidence, that suggest a change in a *status quo* which is otherwise expected to continue. Hence a mere claim that a just person (*'adil*) has become a profligate (*fasiq*) will be of no account, and the person will be presumed to be *'adil* until the contrary is established. Similarly, when a student is admitted and registered for a degree course his status as a student remains unchanged

until there is evidence to suggest that this is no longer the case. But until then there is no need for him to prove his status every week or every month. <sup>[8. Cf. Abu Zahrah, *Usul*, p. 235.]</sup>

To presume the continuity of something which might have been present or absent in the past, as al-Amidi points out, is equivalent to a *zann* which is valid evidence in juridical (*shar'i*) matters, and action upon it is justified. <sup>[9. Amidi, *Ihkam*, IV, 127.]</sup> The rules of *Shari'ah* continue to remain valid until there is a change in the law or in the subject to which it is applied. The Law, for example, has forbidden the consumption of wine, a ruling which will remain in force until there is a state of emergency or the wine loses its intoxicating quality, such as by being changed into vinegar.

### Varieties of *Istishab*

From the viewpoint of the nature of the conditions that are presumed to continue, *istishab* is divided into four types as follows:

1) Presumption of original absence (*istishab al-'adam al-asli*), which means that a fact or rule of law which had not existed in the past is presumed to be non-existent until the contrary is proved. Thus a child and an uneducated person are presumed to remain so until there is a change in their status, for example by attaining majority, or obtaining educational qualifications respectively. Similarly if A, who is a trading partner to B, claims that he has made no profit, the presumption of absence will be in A's favour unless B can prove otherwise. Another area which is determined by the presumption of original absence is the original freedom from liability, or the presumption of innocence, which will be separately discussed later. <sup>[10. Shawkani, *Irshad*, p. 238; Badran, *Usul*, p. 219; Abu Zahrah, *Usul*, p. 236.]</sup>

2) Presumption of original presence (*istishab al-wujud al-asli*). This variety of *istishab* takes for granted the presence or existence of that which is indicated by the law or reason. For example, when A is known to be indebted to B, A is presumed such until it is proved that he has paid the debt or was acquitted of it. Provided that B's loan to A is proven in the first place as a fact, this is sufficient to give rise to the presumption of its continuity and B need not prove the continuity of the loan in question every day of the month. Similarly, under the presumption of original presence, the purchaser is presumed liable to pay the purchase price by virtue of the presence of the contract of sale until it is proved that he has paid it. By the same token, a husband is liable to pay his wife the dower (*mahr*) by virtue of the existence of a valid marriage contract. In all these instances, *istishab* presumes the presence of a liability or a right until an indication to the contrary is found. The ulema are in agreement on the validity of this type of *istishab*, which must prevail until the contrary is proved. <sup>[11. Khallaf, *Ilm*, p.92.]</sup>

3) *Istishab al-hukm*, or *istishab* which presumes the continuity of the general rules and principle, of the law. As earlier stated, *istishab* is not only concerned with presumption of facts but also with the established rules and principles of the law. *Istishab* thus takes for granted the continued validity of the provisions of the *Shari'ah* in regard to permissibility and prohibition (*halal* and *haram*). When there is a ruling in the law, whether prohibitory or permissive, it will be presumed to continue until the contrary is proved. But when there is no such ruling available, recourse will be had to the principle of *ibahah*, which is the general norm of *Shari'ah* law concerning a matter that is deemed beneficial and free of evil consequences. Hence when the law is silent on a matter and it is not repugnant to reason it will be presumed to be permissible. This is the majority view, although some Mu'tazilah have held a variant opinion, which is that the general norm in *Shari'ah* is prohibition unless there is an indication to the contrary. The principle of permissibility (*ibahah*) originates in the Qur'an, in particular those of its passages which subjugate the earth and its resources to the welfare of man. Thus we read in sura al-Baqarah (2:29): 'It is He who has created for you all that is in the earth,' and in sura al-Jathiyah, (45:13) that 'God has subjugated to you all that is in the heavens and in the earth.' These Qur'anic declarations take for granted that man should be able to utilise the resources of the world around him to his advantage, which is another way of saying that he is generally permitted to act in the direction of securing his benefits unless he has been expressly prohibited. Hence all objects, legal acts, contracts and exchange of goods and services which are beneficial to human beings are lawful on grounds of original *ibahah*.<sup>[12. Abu Zahrah, *Usul*, p. 236; Khallaf, *'Ilm*, p. 92; Badran, *Usul*, p. 219; Khudari, *Usul*, pp. 354-55.]</sup> But when the legal norm in regard to something is prohibition, then *istishab* presumes its continuity until there is evidence to suggest that it is no longer prohibited.

4) *Istishab al-wasf*, or continuity of attributes, such as presuming clean water (purity being an attribute) to remain so until the contrary is established to be the case (for example, through a change in its colour or taste). Similarly, when a person makes an ablution to perform the *salah*, the attribute of ritual purity (*taharah*) is presumed to continue until it is vitiated. A mere doubt that it might have been vitiated is not sufficient to nullify *taharah*. By the same token, a guarantor (*kafil - kafalah* being a juridical attribute) remains responsible for the debt of which he is guarantor until he or the debtor pays it or when the creditor acquits him from payment.<sup>[13. Ibn al-Qayyim, *'Ilam*, I, 295; Badran, *Usul*, p. 219.]</sup>

The jurists are in agreement on the validity, in principle, of the first three types of *istishab*, although they have differed in their detailed implementation, as we shall presently discuss. As for the fourth type of *istishab*, which relates to the attributes, whether new or well-established, it is a subject on which the jurists have disagreed. The Shafi'i and the Hanbali schools have upheld it absolutely, whereas the Hanafi and Maliki schools accept it with reservations. The case of the missing person is discussed under this variety of *istishab*, as the question is mainly concerned with the continuity of his life-life being the attribute. Since the missing person (*mafqud*) was alive at the time when he disappeared, he is presumed to be alive unless there is proof that he has died. He is therefore entitled, under the Shafi'i and Hanbali doctrines, to inherit from a relative who dies while he is still a missing person. But no-one is entitled to

inherit from him for the obvious reason that he is presumed alive. Yet under the Hanafi and Maliki law, the missing person neither inherits from others nor can others inherit from him. The Hanafis and Malikis accept *istishab al-wasf* only as a means of defense, that is, to defend the continued existence of an attribute, but not as a means of proving new rights and new attributes. *Istishab* can therefore not be used as a means of acquiring new rights for the missing person, but can be used so as to protect all of his existing rights. To use a common expression, *istishab* can only be used as a shield, not as a sword. If, for example, the missing person had owned property at the time of his disappearance, he continues to be the owner. Similarly his marital rights are presumed to continue, just as he remains responsible to discharge his obligations until his death is established by evidence or by a judicial decree. But for as long as he remains a missing person, he will not be given a share in inheritance or bequest, although a share will be reserved for him until the facts of his life or death are established. If he is declared dead, the reserved share will be distributed among the other heirs on the assumption that he was dead at the time of the death of his relative. Upon declaration of his death his own estate will be distributed among his heirs as of the time the court declares him dead. This is the position under the Hanafi and Maliki schools, which maintain that although the *mafqud* is presumed to be alive, this is only a presumption, not a fact, and may therefore not be used as a basis for the creation of new rights. [14. Shawkani, *Irshad*, p. 238; Abu Zahrah, *Usul*, p. 237; Badran, *Usul*, p. 223; Coulson, *Succession*, p. 198ff.]

The question may arise: why can his heirs not inherit from the *mafqud*? If nothing is certain, perhaps his heirs could be assigned their shares, or the shares may be reserved in their names until the facts are known. In response to this, the Hanafis invoke the principle of "original absence", which means here that a right to inheritance is originally absent and will be presumed so until there is positive proof that it has materialised. [15. Zuhayr, *Usul*, IV, 180.]

The Shafi'is and the Hanbalis have, on the other hand, validated *istishab* in both its defensive (*li-daf*) and affirmative (*li-kasb*) capacities, that is, both as a shield and as a sword. Hence the *mafqud* is presumed to be alive in the same way as he was at the time of his disappearance right up to the time when he is declared dead. The *mafqud* is not only entitled to retain all his rights but can acquire new rights such as gifts, inheritance and bequests. [16. Shawkani, *Irshad*, p. 237.]

It thus appears that the jurists are in disagreement, not necessarily on the principle, but on the detailed application of *istishab*. The Hanafis and Malikis who accept *istishab* on a restricted basis have argued that the existence of something in the past cannot prove that it continues to exist. They have further pointed out that an over-reliance in *istishab* is likely to open the door to uncertainty, even conflict, in the determination of *ahkam*. The main area of juristic disagreement in this connection is the identification of what exactly the original state which is presumed to continue by means of *istishab* might be. This is a question which permeates the application of *istishab* in its various capacities, which is, perhaps, why the Hanbali scholar Ibn al-Qayyim is critical of over-reliance on *istishab* and of those who have employed it more extensively than they should. [17. Ibn al-Qayyim, *I'lam*, I, 294.]

The following illustrations, which are given in the context of legal maxims that originate in *istishab*, also serve to

show how the ulema have differed on the application of this doctrine to various issues. Some of the well-known legal maxims which are founded in *istishab* may be outlined as follows:

1) Certainty may not be disproved by doubt (*al-yaqin la yazul bi'l-shakk*). For example, when someone is known to be sane, he will be presumed such until it is established that he has become insane. The presumption can only be set aside with certainty, not by a mere doubt. Similarly, when a person eats in the early morning during Ramadan while in doubt as to the possibility that he might have eaten after dawn, his fast remains intact and no belated performance (*qada'*) is necessary by way of compensation. To identify the two elements of the maxim under discussion, namely the certainty and doubt in this example, night represents certainty whereas daybreak is the state of doubt, and the former prevails over the latter. However, the same rule would lead us to a totally different result if it were applied to the situation of a person who ends his fast late in the day in Ramadan while in doubt as to the occurrence of sunset. In this case, his fast is vitiated and a belated performance would be required in compensation. For the certainty which prevails here is the daytime which is presumed to continue, while the onset of night is in doubt. To say that certainty prevails over doubt in this case means that the fast has been terminated during the day, which is held to be the prevailing state of certainty. <sup>[18. Badran, *Usul*, pp. 220-221.]</sup>

To illustrate some of the difficulties that are encountered in the implementation of the maxim under discussion, we may give in example the case of a person who repudiates his wife by *talaq* but is in doubt as to the precise terms of his pronouncement: whether it amounted to a single or a triple *talaq*. According to the majority of jurists, only a single *talaq* takes place, which means that the husband is still entitled to revocation (*rij'ah*) and may resume normal marital relations. Imam Malik has, on the other hand, held that a triple *talaq* takes place, which would preclude the right to revocation. The difference between the majority opinion and that of Imam Malik arises from the variant interpretations that they give to the question of certainty and doubt. The majority view presumes the marriage to be the state of certainty which would continue until its dissolution is established by evidence. The doubt in this case is the pronouncement of *talaq*. The doubtful *talaq*, according to the majority, may not be allowed to disprove a certain fact. The marriage is certain and the *talaq* a doubtful, hence the former is presumed to continue.

Imam Malik, on the other hand, considers the occurrence of a divorce to be the certainty in this case. What is in doubt is the husband's right to the revocation of the *talaq*. As for determining the precise number of *talaqs*, which is crucial to the question of revocation, Imam Malik holds that the right to revocation cannot be established by a mere doubt. Hence the husband has no right to revocation, which means that the divorce is final. <sup>[19. Ibn al-Qayyim, *I'lam*, I, 296; Abu Zahrah, *Usul*, p. 238.]</sup>

While the majority of jurists consider marriage to be the certain factor in this case, for Imam Malik it is the actual pronouncement of *talaq*, regardless of the form it might have taken, which represents the



state of certainty and the basis on which *istishab* must operate. While commenting on these differences, both Ibn al-Qayyim and Abu Zahrah have considered the majority decision to be preferable. The marriage in this case must therefore not be allowed to be disproved by a doubtful *talaq*.<sup>[20. Ibn al-Qayyim, *I'lam*, I, 296; Abu Zahrah, *Usul*, p. 238.]</sup>

To give yet another example: when a man repudiates one of his two wives, but is not certain as to which one, according to the Malikis the certain fact is that a *talaq* has been pronounced, while the uncertainty in this case is the identity of the divorcee. Both are divorced, on grounds of *istishab*, which establishes that certainty must prevail over doubt. For the majority of ulema, however, the certain fact is that the man has two wives, in other words, the existence of a valid marriage in respect of both. The doubt concerning the identity of the divorcee must not be allowed to disprove the state of certainty, namely the marriage. Hence neither of the two are divorced.<sup>[21. Abu Zahrah, *Usul*, p. 239.]</sup> Once again the juristic disagreement in this case arises from the differential perception of the ulema as to identifying the state of certainty on which the rules of *istishab* must operate.

2) Presumption of generality until the general is subjected to limitation is another maxim that originates in *istishab*. The general (*'amm*) must therefore remain *'amm* in its application until it is qualified in some way.

Just as a general text remains general until it is specified, so is the validity of that text, which is presumed to continue until it is abrogated. This would mean that a legal text remains valid and must be implemented as such unless it is abrogated or replaced by another text.<sup>[22. Khudari, *Usul*, p. 356; Mahmassani, *Falsafah*, p. 90.]</sup> While discussing the maxim under discussion, al-Shawkani records the variant view which is held by some ulema to the effect that the rule of law in these situations is established through the interpretation of words and not by the application of *istishab*.<sup>[23. Shawkani, *Irshad*, p. 238.]</sup> To say that a text is general or specified, or that a text remains valid and has not been abrogated, is thus determined on grounds of interpretation of words and not by the application of *istishab*. For example, the Qur'anic rule which assigns to the male a double share of the female in inheritance (al-Nisa, 4:11) is general and would have remained so if it were not qualified by the Hadith that 'the killer does not inherit'.<sup>[24. Ibn Majah, *Sunan*, II, 913, Hadith no. 2735; al-Darimi, *Sunan (Kitab al-Fara'id)*, II, 384.]</sup>

Similarly, the ruling of the *Sunnah* concerning the direction of the *qiblah* remained in force until it was abrogated by the Qur'anic injunction in Sura al-Baqarah (2:144), which changed the *qiblah* from Jerusalem to the Ka'bah. This is all obvious so far, and perhaps al-Shawkani is right in saying that there is no need for a recourse to *istishab* in these cases. What *istishab* might tell us in this context may be that in the event where there is doubt as to whether the general in the law has been qualified by some other enactment, or when there is doubt as to whether the law on a certain point has been abrogated or not, *istishab* would presume the absence of specification and abrogation until the contrary is established by evidence.

3) Presumption of original freedom from liability (*bara'ah al dhimmah al-asliyyah*), which means freedom from obligations until the contrary is proved. No person may, therefore, be compelled to perform any obligation unless the law requires so. For example, no-one is required to perform the *hajj* pilgrimage more than once in his lifetime, or to perform a sixth *salah* in one day, because the *Shari'ah* imposes no such liability. Similarly, no-one is liable to punishment until his guilt is established through lawful evidence. <sup>[25. Shawkani, *Irshad*, p. 238; Mahmassani, *Falsafah*, p. 90. The principle of original freedom from liability appears in al-Suyuti's *al-Ashbah wa al-Naza'ir* and in the *Majallah al-Ahkam al-'Adliyyah* (Art. 8).]</sup> However, the detailed implementation of this principle too has given rise to disagreement between the Shafi'i and Hanafi jurists. To give an example, A claims that B owes him fifty dollars and B denies it. The question may arise as to whether a settlement (*sulh*) after denial is lawful in this case. The Hanafis have answered this in the affirmative, but the Shafi'is have held that a settlement after denial is not permissible. The Shafi'is argue that since prior to the settlement B denied the claim, the principle of original freedom from liability would thus apply to him, which means that he would bear no liability at all. As such it would be unlawful for A to take anything from B. The settlement is therefore null and void. The Hanafis have argued, on the other hand, that B's non-liability after the claim is not inviolable. The claim, in other words, interferes with the operation of the principle under discussion. B can no longer be definitely held to be free of liability; this being so, a settlement is permissible in the interests of preventing hostility between the parties. <sup>[26. Zuhayr, *Usul*, IV, 180-181.]</sup>

4) Permissibility is the original state of things (*al-asl fi al-ashya' al-ibahah*). We have already discussed the principle of *ibahah*, which is a branch of the doctrine of *istishab*. To recapitulate, all matters which the *Shari'ah* has not regulated to the contrary remain permissible. They will be presumed so unless the contrary is proved to be the case. The one exception to the application of *ibahah* is relationships between members of the opposite sex, where the basic norm is prohibition unless it is legalised by marriage. The Hanbalis have given *ibahah* greater prominence, in that they validate it as a basis of commitment (*iltizam*) unless there is a text to the contrary. Under the Hanbali doctrine, the norm in *'ibadat* is that they are void (*batil*) unless there is an explicit command to validate them. But the norm in regard to transactions and contracts is that they are valid unless there is a *nass* to the contrary. <sup>[27. Ibn al-Qayyim, *I'lam*, I, 300.]</sup> To give an example, under the Hanbali doctrine of *ibahah*, prospective spouses are at liberty to enter stipulations in their marriage contract, including a condition that the husband must remain monogamous. The Hanbalis are alone in their ruling on this point, as the majority of jurists have considered such a condition to amount to a superimposition on the legality of polygamy in the *Shari'ah*. The provisions of the *Shari'ah* must, according to the majority, not be circumvented in this way. The Lawgiver has permitted polygamy and it is not for the individual to overrule it. The Hanbalis have argued, on the other hand, that the objectives of the Lawgiver in regard to marriage are satisfied by monogamy. As it is, polygamy is a permissibility, not a requirement, and there is no *nass* to indicate that the spouses could not stipulate against it. The stipulation is therefore valid and the spouses are committed to abide by it.

## Conclusion

*Istishab* is not an independent proof or a method of juristic deduction in its own right, but mainly functions as a means of implementing an existing indication (*dalil*) whose validity and continued relevance are established by the rules of *istishab*. This might explain why the ulema have regarded *istishab* as the last ground of *fatwa*, one which does not command priority over other indications. The Malikis have relied very little on it as they are known for their extensive reliance on other proofs, both revealed and rational, in the development of the rules of *Shari'ah*; so much so that they have had little use for *istishab*. This is also true of the Hanafi school of law, which has only rarely invoked *istishab* as a ground for the determination of legal rules. *Istishab* is applicable either in the absence of other proofs or as a means of establishing the relevance of applying an existing proof. It is interesting to note in this connection the fact that *istishab* is more extensively applied by those who are particularly strict in their acceptance of other rational proofs. Thus we find that the opponents of *qiyas*, such as the Zahiris and the Akhbari branch of the Shi'ah Imamiyyah, have relied on it most and have determined the *ahkam* on its basis in almost all instances where the majority have applied *qiyas*. Similarly the Shafi'is who reject *istihsan* have relied more frequently on *istishab* than the Hanafis and the Malikis. In almost all cases where the Hanafis and Malikis have applied *istihsan* or custom (*'urf*), the Shafi'is have resorted to *istishab*.<sup>[28. Cf. Abu Zahrah, *Usul*, p. 241.]</sup>

*Istishab* is often described as a principle of evidence, as it is mainly concerned with the establishment or rebuttal of facts, and as such it is of greater relevance to the rules of evidence. The application of *istishab* to penalties and to criminal law in general is to some extent restricted by the fact that these areas are mainly governed by the definitive rules of *Shari'ah* or statutory legislation. The jurists have on the whole advised caution in the application of penalties on the basis of presumptive evidence only. Having said this, however, the principle of the original absence of liability is undoubtedly an important feature of *istishab* which is widely upheld not only in the field of criminal law but also in constitutional law and civil litigations generally. This is perhaps equally true of the principle of *ibahah*, which is an essential component of the principle of legality, also known as the principle of the rule of law. This feature of *istishab* is once again in harmony with the modern concept of legality in that permissibility is the norm in areas where the law imposes no prohibition.

I shall end this chapter by summarising a reformist opinion concerning *istishab*. In his booklet entitled *Tajdid Usul al-Fiqh al-Islami*, Hasan Turabi highlights the significance of *istishab* and calls for a fresh approach to be taken toward this doctrine. The author explains that *istishab* has the potential of incorporating within its scope the concept of natural justice and the approved customs and mores of society.

According to Turabi, *istishab* derives its basic validity from the belief that Islam did not aim at establishing a new life on earth in all of its dimensions and details, nor did it aim at nullifying and replacing all the mores and customs of Arabian society. The Prophet did not take an attitude of opposition to everything that he encountered, but accepted and allowed the bulk of the existing social values and sought to reverse or replace only those which were oppressive and unacceptable. We also find in the Qur'an references to *amr bi al-'urf*, or acting in accordance with the prevailing custom unless it has been specifically nullified or amended by the *Shari'ah* of Islam.

Similarly when the Qur'an calls for the implementation of justice, beneficence (*ihsan*) and fairness in the determination of disputes, it refers, among other things, to the basic principles of justice that are upheld by humanity at large and the good conscience of decent individuals. Life on earth is thus a cumulative construct of moral and religious teachings, aided and abetted by enlightened human nature which seeks to rectify what it deems to be wrong, unjust and undesirable. The *Shari'ah* has also left many things unregulated, and when this is the case human action may in regard to them be guided by good conscience and the general teachings of divine revelation. This is the substance, as Turabi explains, of the juridical doctrine of *istishab*. In its material part *istishab* declares permissibility to be the basic norm in *Shari'ah*; that people are deemed to be free of liability unless the law has determined otherwise; and that human beings may utilise everything in the earth for their benefit unless they are forbidden by the law. It thus appears that *istishab*, as a proof of *Shari'ah*, merits greater prominence and recognition than we find to be the case in the classical formulations of this doctrine. <sup>[29. Turabi, *Tajdid*, pp. 27-28.]</sup>

*Dhari'ah* (pl. *dhara'i'*) is a word synonymous with *wasilah*, which signifies the means to obtaining a certain end, while *sadd* literally means 'blocking'. *Sadd al-dhara'i'* thus implies blocking the means to an expected end which is likely to materialise if the means towards it is not obstructed. Blocking the means must necessarily be understood to imply blocking the means to evil, not to something good. Although the literal meaning of *sadd al-dhara'i'* might suggest otherwise, in its juridical application, the concept of *sadd al-dhara'i'* also extends to 'opening the means to beneficence'. But as a doctrine of jurisprudence, it is the former meaning, that is, blocking the means to evil, which characterises *sadd al-dhara'i'*. The latter meaning of this expression is not particularly highlighted in the classical expositions of this doctrine, presumably because opening the means to beneficence is the true purpose and function of the *Shari'ah* as a whole and as such is not peculiar to *sadd al-dhara'i'*.

When the means and the end are both directed toward beneficence and *maslahah* and are not explicitly regulated by a clear injunction (*nass*), the matter is likely to fall within the ambit of *qiyas*, *maslahah*, or *istihsan*, etc. Similarly, when both the means and the end are directed towards evil, the issue is likely to be governed by the general rules of *Shari'ah*, and a recourse to *sadd al-dhara'i'* would seem out of place. Based on this analysis, it would appear that as a principle of jurisprudence, *sadd al-dhara'i'* applies when there is a discrepancy between the means and the end on the good-neutral-evil scale of values. A typical case for the application of *sadd al-dhara'i'* would thus arise when a lawful means is expected to lead to an unlawful result, or when a lawful means which normally leads to a lawful result is used to procure an unlawful end.

Both the means and the end may be good or evil, physical or moral, and they may be visible or otherwise, and the two need not necessarily be present simultaneously. For example, *khalwah* or illicit privacy between members of the opposite sexes, is unlawful because it constitutes a means to *zina* whether or not it actually leads to it. All sexual overtures which are expected to lead to *zina* are similarly forbidden by virtue of the certainty or likelihood that the conduct in question would lead to *zina*. *Dhari'ah* may also consist of the omission of a certain conduct such as trade and commercial transactions during the of the Friday congregational prayer. The means which obstruct the said prayer, in other words, must be blocked, that is, by abandoning trade at the specified time.

The whole concept of *sadd al-dhara'i'* is founded in the idea of preventing an evil before it actually materialises. It is therefore not always necessary that the result should actually obtain. It is rather the objective expectation that a means is likely to lead to an evil result which renders the means in question unlawful even without the realisation of the expected result. This is the case in both the examples given

above: *khalwah* is thus unlawful even without actually leading to *zina*, and trading during the time of the Friday prayer is unlawful whether or not it actually hinders the latter. Furthermore, since *sadd al-dhara'i'* basically contemplates preventing an evil before its occurrence, the question of intention to procure a particular result cannot be a reliable basis for assessing the means that leads to that result. Abu Zahrah has aptly observed that the nature and value of the means is determined by looking at the purpose that it pursues regardless as to whether the latter is intended or otherwise. When a particular act is deemed to lead to a certain result, whether good or evil, it is held to be the means toward that end. The question of the intention of the perpetrator is, as such, not relevant to the objective determination of the value of the means. It is rather the expected result which determines the value of the means. If the result is expected to be good and praiseworthy, so will be the means towards it, and if it is expected to be blameworthy the same will apply to the means regardless of the intention of the perpetrator, or the actual realisation of the result itself. This is, for example, borne out by the Qur'anic text which forbids the Muslims from insulting idol worshippers, notwithstanding the inherent enormity of idol-worshipping or the actual intention behind it. The text thus proceeds: 'And insult not the associators lest they [in return] insult God out of spite and ignorance' (al-An'am; 6:108). The means to an evil is thus obstructed by putting a ban on insulting idol-worshippers, a conduct which might have been otherwise permissible and even praiseworthy, as it would mean denunciation of falsehood and firmness of faith on the part of the believer. Thus a means which is intrinsically praiseworthy leads to an evil result, and acquires the value of the latter. Furthermore, the prohibition in this example is founded on the likelihood that the associators would insult God as a result. It is, in other words, the expected result which is taken into account. Whether the latter actually materialises or not is beside the point: insulting the idols and their worshippers is thus forbidden regardless of the actual result that such conduct may lead to. Similarly, the question of intending whether or not to bring about a particular result is irrelevant to the prohibition under discussion. Insulting idol worshippers is thus forbidden even when a Muslim does not intend to bring about the expected result, that is, an insult to God; his intention may be good or bad, in either case, insulting the idols and their worshippers is forbidden as it is, on an objective basis, most likely to invoke the expected result. [1. Cf. Abu Zahrah, *Usul*, p. 228.]

The doctrine of *sadd al-dhara'i'* contemplates the basic objectives of the Lawgiver. Hence the general rule regarding the value of the means in relationship to the end is that the former acquires the value of the latter. Al-Shatibi has aptly observed that the Lawgiver has legalised certain forms of conduct and prohibited others in accordance with the benefit or harm that they lead to. When a particular act or form of conduct brings about a result which is contrary to the objectives of the Lawgiver, then the latter would be held to prevail over the former. [2. Shatibi, *Muwafaqat* (Diraz edition), IV, 194.] If the means, in other words, violate the basic purpose of the *Shari'ah*, then they must be blocked. The laws of *Shari'ah* are for the most part distinguishable in regard to their objectives (*maqasid*), and the means which procure or obstruct those objectives. The means are generally viewed in the light of the ends they are expected to obtain, and it is logically the latter which prevail over the former in that the means follow their ends, not vice versa. Normally the means to *wajib* become *wajib* and the means to *haram* become *haram*.

Means may at times lead to both a good and an evil in which case, if the evil (*mafsadah*) is either equal to or greater than the benefit (*maslahah*), the former will prevail over the latter. This is according to the general principle that 'preventing an evil takes priority over securing a benefit'. [3. Shatibi, *Muwafaqat* (Diraz edition), IV, 195; Badran, *Usul*, p. 242.] *Sadd al-dhara'i`* thus becomes a principle of jurisprudence and a method of deducing the juridical ruling (*hukm shar`i*) of a certain issue or type of conduct which may not have been regulated in the existing law but whose ruling can be deduced through the application of this principle.

In addition to the Qur'anic *ayah* (al-An'am, 6:108) on the prohibition of insulting idols as referred to above, the ulema have quoted in authority for *sadd al-dhara'i`* the Qur'anic passage in sura al-Baqarah (2:104), as follows: 'O believers! Address not the Prophet by the word *ra`ina*, but address him respectfully and listen to him.' The reason for this prohibition was that the word '*ra`ina*', being a homonym, had two meanings, one of which was 'please look at us or attend to us', while with a slight twist the same word would mean 'our shepherd'. The Jews used to insult the Prophet with it, and in order to block the means to such abuse, the Muslims were forbidden from using that form of address to the Prophet despite their good intentions and the fact that the word under discussion was not inherently abusive. [4. Cf. Abu Zahrah, *Usul*, p.228; Isma'il, *Adillah*, p 197.]

Authority is also found for the principle of *sadd al-dhara'i'* in the *Sunnah*, especially the ruling in which the Prophet forbade a creditor from taking a gift from his debtor lest it became a means to usury and the gift a substitute to *riba*. The Prophet also forbade the killing of hypocrites (*al-munafiqun*) and people who were known to have betrayed the Muslim community during battles. It was feared that killing such people would become a means to evil, namely, of giving rise to a rumour that 'Muhammad kills his own Companions' [5. Shatibi, *Muwafaqat*, IV, 62; Shatibi, *Fiqh*, p. 187.] which would, in turn, provide the enemy with an excuse to undermine the unity of the Muslim community. Consequently the Prophet put a ban on killing the *munafiqun*. On a similar note, the Prophet suspended enforcement of the *hadd* penalty for theft during battles so as to avoid defection to enemy forces. It was for this reason, namely to block the means to an evil, that the army commanders were ordered not to enforce the prescribed penalties during military engagements. [6. Abu Zahrah, *Usul*, p. 229; Shalabi, *Fiqh*, p.187; Isma'il, *Adillah*, p. 200.]

The leading Companions are also known to have entitled to inheritance the divorced woman whom her husband had irrevocably divorced during his death illness in order to exclude her from inheritance. This was forbidden by the Companions so that a divorce of this kind would not become a means to abuse. It is also reported that during the time of the caliph Umar b. al-Khattab, one of his officials, Hudhayfah, married a Jewish woman in al-Mada'in. The caliph wrote to him saying that he should divorce her. Hudhayfah then asked the caliph if the marriage was unlawful. To this the Caliph replied that it was not, but that his example might be followed by others who might be lured by the beauty of the women of *ahl al-dhimmah*. The caliph thus forbade something which the Qur'an had declared lawful so as to block the means to an evil as he perceived it at the time. It might be interesting to add here that Ibn Qayyim al-

Jawziyyah records at least seventy-seven instances and rulings of the learned Companions and the subsequent generations of ulema in which they resorted to *sadd al-dhara'i`* so as to block the means that led to evil. [7. Ibn Qayyim al-Jawziyyah, *I'lam*, III, 122ff; Shalabi, *Fiqh*, p.188.]

The ulema are, however, in disagreement over the validity of *sadd al-dhara'i`*. The Hanafi and Shafi'i jurists do not recognise it as a principle of jurisprudence in its own right, on the grounds that the necessary ruling regarding the means can be derived by recourse to other principles such as *qiyas*, and the Hanafi doctrines of *istihsan* and *'urf*. But the Maliki and Hanbali jurists have validated *sadd al-dhara'i`* as a proof of *Shari'ah* in its own right. Despite the different approaches that the ulema have taken to this doctrine, the Maliki jurist al-Shatibi has reached the conclusion that the ulema of various schools are essentially in agreement over the conceptual validity of *sadd al-dhara'i`* but have differed in its detailed application. Their differences relate mainly to the grounds which may be held to constitute the means to something else, and also to the extent to which the concept of *sadd al-dhara'i`* can be validly applied. [8. Shatibi, *Muwafaqat*, IV, 201.] Abu Zahrah has reached essentially the same conclusion by observing that the Shafi'i and Hanafi jurists are for the most part in agreement with their Maliki and Hanbali counterparts, and that they differ only in regard to some issues. [9. Abu Zahrah, *Usul*, pp.227-228.] The following classification of *sadd al-dhara'i`* may cast light on the consensus, as well as some of the areas which the ulema are in disagreement, over the application of this doctrine. It is perhaps well to remember at this point that notwithstanding the application of *sadd al-dhara'i`* in respect of opening the means to beneficence (*maslahah*), it is usually the prevention of evil (*mafsadah*) that acquires greater prominence in the discussion of this principle.

From the viewpoint of the degree of probability or otherwise that a means is expected to lead to an evil end, the ulema of *usul* have divided the *dhara'i`* into four types.

1 ) Means which definitely lead to evil, such as digging a deep pit next to the entrance door to a public place which is not lit at night, so that anyone who enters the door is very likely to fall into it. Based on the near-certainty of the expected result of injuring others, the means which leads to that result are equally forbidden. The ulema of all schools are, in principle, unanimous on the prohibition of this type of *dhara'ah* and a consensus (*ijma`*) is said to have been reached on this point. [10. Abu Zahrah, *Usul*, p. 228; Badran, *Usul*, p. 243.] Having said this, however, it should be added that the jurists have envisaged two possible eventualities. Firstly, the *dhara'ah* may consist of an unlawful act of transgression in the first place, as was the case in the foregoing example, in which case the perpetrator is held to be responsible for any loss or damage that might be caused, as by digging a pit in a place where he has no right or authority to do so. Secondly, the *dhara'ah* may consist of an act which is basically lawful, in which case the ulema have disagreed over the question of responsibility. If, for example, someone digs a water well in his own house but so close to the wall of his neighbour that the wall collapses as a result, the act here is held to be basically lawful as it consists of the exercise of the right of ownership, which is said to be irreconcilable with the idea of liability for damages. According to a variant view, however, the



perpetrator is liable for damages. This ruling draws support from the principle, already referred to, that preventing an evil takes priority over securing a benefit. [11. Abu Zahrah, *Usul*, p. 230; Badran, *Usul*, p. 243.]

2) The second type of means is that which is most likely (i.e. on the basis of *al-zann al ghalib*) to lead to evil and is rarely, if ever, expected to lead to a benefit. An example of this would be selling weapons during warfare or selling grapes to a wine maker. Although al-Shatibi has noted that these transactions are invalid according to the consensus (*ijma'*) of the ulema, both Abu Zahrah and Badran have noted that it is only the Maliki and Hanbali ulema who have considered these transactions to be forbidden (*haram*), as they are most likely to lead to evil notwithstanding the absence of certain knowledge that this will always be the case. In their opinion, a dominant probability or *zann* is generally accepted as a valid basis for the *ahkam* of *Shari'ah*. Consequently when there is a strong likelihood that means would lead to an evil, the means may be declared forbidden on the basis of this probability alone. [12. Abu Zahrah, *Usul*, p. 231; Badran, *Usul*, p. 244.]

3) The third of the four types of means under discussion is that which frequently leads to evil, but in which there is no certainty, nor even a dominant probability, that this will always be the case. An example of this would be a sale which is used as a means to procuring usury (*riba*). These types of sales, generally known as *buyu' al-ajal* (deferred sales), in which either the delivery of the object of sale, or the payment of its price, is deferred to a later date, would all tend to fall under this category of means. If, for example, A sells a garment for ten rials to B with the price being payable in six months' time, and A then buys the same garment from B for eight rials with the price being payable immediately, this transaction in effect amounts to a loan of eight rials to B on which he pays an interest of two rials after six months. There is a dominant probability that this sale would lead to *riba* although there is an element of uncertainty that it may not, which is why the ulema have disagreed as to the validity or otherwise of this type of transaction. Imam Malik and Ahmad b. Hanbal have held that the means which are likely to lead to usury are unlawful (*haram*) and must be obstructed. They have acknowledged the possibility that a deferred sale may not actually lead to *riba*; they also take cognizance of the basic norm in regard to sale, which is legality, and yet they have ruled, on grounds of caution (*ihtiyat*), that sales which are likely to lead to *riba* are unlawful. The mere possibility that *riba* may not actually materialise is of no account, and although sale is generally lawful, this basic legality is of no consequence if it is expected to procure an evil. Furthermore, to prevent the latter must be given priority over any possible benefit that the sale in question might entail.

The Imams Abu Hanifah and al-Shafi'i have, on the other hand, ruled that unless it definitely leads to evil, the basic legality of sale must be held to prevail. Sale is basically lawful in all of its varieties, deferred or otherwise, and in the absence of either positive knowledge (*'ilm*) or of a dominant *zann* that a sale would lead to *riba*, a mere frequency of occurrence should not be allowed to override the original legality of sale. The preferred view, however, is that of the Maliki and Hanbali schools, for there is evidence in the *Sunnah* to the effect that original permissibility may be overruled in the face of a

likelihood (or customary practice), even without definite evidence, that it might open the way to evil.<sup>[13]</sup>  
Shatibi, *Muwafaqat*, IV, 200; Badran, *Usul*, p. 244; Abu Zahrah, *Usul*, p. 232.]

The ulema have similarly differed over the validity or otherwise of a marriage that is concluded with the intention of merely satisfying one's sexual desire without a life-long commitment. Imam Malik considers this to be invalid (*batil*), as acts, according to this view, are to be judged by the intention behind them, and since the norm in marriage is permanence, the absence of an intention to that effect vitiates the *nikah*. The main thrust of this view is to prevent the likely abuse to which the marriage in question is likely to lead. Imam Shafi'i has on the other hand held that the *nikah* is valid so long as there is nothing in the contract to vitiate it. The *Shari'ah*, according to this view, cannot operate on the hidden intentions of people but only on tangible facts that are susceptible to proof. Whether the *nikah* in this case is a means to abuse is a matter for the conscience of the individual, and not the positive application of the law.<sup>[14. Isma'il, *Adillah*, p. 175.]</sup> The difference here is one of perspective. Whereas the Shafi'i and Hanafi view is based on the apparent validity of a contract, the Maliki and Hanbali view takes into consideration the objective of a contract and the necessary caution that must be taken in order to prevent an evil.<sup>[15. Abu Zahrah, *Usul*, p. 231.]</sup> The ulema are, on the other hand, all in agreement on the prohibition of illicit privacy (*khalwah*) which is founded in the likelihood, though not amounting to positive proof, that it might lead to adultery.<sup>[16. Badran, *Usul*, p. 245.]</sup>

Another, similar instance in which the jurists have invoked the principle of *sadd al-dhara'i'* is the ruling, disputed by some, that close relatives may neither act as witnesses nor as judges in each other's disputes. Likewise, a judge may not adjudicate a dispute on the basis of his personal knowledge of facts without the formal presentation of evidence, lest it lead to prejudice in favour or against one of the parties. The principle involved here is that such activities might constitute the means to an evil end, namely miscarriage of justice, and are therefore to be avoided. The Hanafis on the other hand maintain, particularly in reference to adjudication on the basis of personal knowledge, that it is lawful. Some ulema have also held the view that testimony by a relative may in fact facilitate justice and may not lead to evil, especially if relations testify against each other, which is why the ulema of various schools have allowed the testimony of father or son, or of spouses, against one another, but not in favour.<sup>[17. Shalabi, *Fiqh*, p. 186; Badran, *Usul*, p. 244; Isma'il, *Adillah*, p. 211.]</sup> The jurists have thus disagreed over the application of *sadd al-dhara'i'* to particular issues and the extent to which it may be validly applied to different situations.

4) The last of the four varieties of means are those which are rarely expected to lead to evil and are most likely to lead to a benefit. An example of this would be to dig a water well in a place which is not likely to cause injury or harm to anyone, or speaking a word of truth to a tyrannical ruler or growing certain varieties of fruits, such as grapes, on one's own property. In all of these, as in many other matters, there is a possibility that a *mafsadah* might be caused as a result. In the case of growing grapes, for example, it is possible that the fruit may be fermented into wine, but a mere possibility of this kind is overlooked in view of the stronger likelihood of the benefit that it would otherwise achieve. The

ulema are generally in agreement on the permissibility of this type of means. The basic norm in regard to acts and transactions that would fall under this category of means is permissibility, and no one may be prevented from attempting them on account of the mere possibility that they may lead to a *mafsadah*. On a similar note, no-one may be prevented from giving testimony in judicial disputes, nor may anyone be obstructed from telling the truth to a tyrannical ruler because of a mere possibility that this might give rise to a *mafsadah*.<sup>[18. Shatibi, *Miwafaqat*, II, 249; Badran, *Usul*, p. 245; Abu Zahrah, *Usul*, p. 230.]</sup>

The foregoing discussion of *sadd al-dhara'i'* has primarily been concerned with means which led to an unlawful end. There was, in other words, no attempt to change the *haram* into *halal*: whenever there was a likelihood that a lawful means led to an unlawful end, the means itself became unlawful. But the application of *sadd al-dhara'i'* also covers the eventuality where a *haram* may be turned into *halal* or *mubah* if this is likely to present a greater evil. A lesser evil is, in other words, tolerated in order to prevent a greater one. To give an example: it is permissible to seek the release of Muslim prisoners of war in exchange for the payment of a monetary ransom. To give money to the warring enemy is basically unlawful as it adds strength to the enemy, which is generally harmful. But it is permitted here as it achieves the freedom of Muslim prisoners, which would in turn add to the strength of the Muslim forces. This ruling is based in the principle of *sadd al-dhara'i'*, and consists of opening, rather than blocking, the means to the desired benefit. On a similar note, it is permissible for the Muslim community to pay the enemy so as to prevent the latter from inflicting harm on the Muslims, but only when the Muslim community is otherwise powerless to defend itself. Furthermore, the ulema have generally held that giving bribes is permissible if it is the only way to prevent oppression, and the victim is otherwise unable to defend himself. To this the Hanbali and Maliki jurists have added the proviso that giving bribes is only permissible as a means of defending one's proven rights but not if the right in question is disputed.<sup>[19. Abu Zahrah, *Usul*, p. 232.]</sup>

Notwithstanding the essential validity of *sadd al-dhara'i'* as a principle of *Shari'ah*, over-reliance on it is not recommended. The ulema have cautioned that an excessive use of this principle may render the lawful (*mubah*) or even the praiseworthy (*mandub*) and the obligatory (*wajib*) unlawful, which should not be encouraged. An example of this would be when an upright person refuses to take custody of the property of the orphan, or of *waqf* property, for the pious motive of avoiding the possibility of incurring a sin. A refusal of this nature would seem to over-emphasise the significance of the means that might lead to evil. With regard to the guardianship of the property of orphans, the Qur'an offers some guidance in that it permits mixing their property with that of the guardian as a matter of trust, a conclusion which is drawn from the text where we read in a reference to the orphans: 'If you mix their affairs with yours, they are your brethren, but God knows the wrong-doer from the upright' (al-Baqarah, 2:220).

While discussing the ulema's caution against over-reliance on *sadd al-dhara'i'*, Abu Zahrah quotes the renowned Maliki jurist Ibn al-'Arabi, to the effect that the application of this principle should be

regulated so as to ensure propriety and moderation in its use. Abu Zahrah then concurs with Ibn al-`Arabi to the effect that if an evil is to be prevented by blocking the means towards it, one must ascertain that the evil in question is *mansus `alayh*, that is, one which has been ruled upon as such in the Qur'an or the *Sunnah*. Similarly, when a benefit is to be facilitated by opening the means towards it, the propriety of the benefit must be sustainable by analogy to a *halal mansus* (that which has been declared lawful in the *nass*). But Abu Zahrah is careful to add that these conditions remain in the nature of an opinion and are not required in the accepted Maliki exposition of this doctrine<sup>[20. Abu Zahrah, *Usul*, p. 233.]</sup>

And finally, with regard to the guardianship of property and trust in the foregoing example, it is suggested that the harm which is likely to arise from refusal by an upright person to undertake it is likely to be greater than that which might arise from undertaking it. If the orphans were to be neglected for fear of opening the means to misuse of trust, or if no-one gave testimony for fear of indulging in lying, then surely this would itself become a means to greater evil and should therefore be avoided.

We might end our discussion of *sadd al-dhara'i`* by distinguishing the means from the preliminary (*muqaddimah*), although the two can at times coincide and overlap. Briefly, a 'preliminary' consists of something which is necessary for obtaining the result that it contemplates, in the sense that the latter cannot materialise without the former. For instance, ablution (*wudu'*) is a preliminary to *salah* and the latter cannot be performed without the former. But a means to something does not stand in the same relationship to its end. Although the means is normally expected to lead to the end it contemplates, the latter may also be obtained through some other means. The end, in other words, is not exclusively dependent on the means. To give an example: traveling in order to commit a theft is a preliminary to the theft that it contemplates but not a means to it. Traveling which might consist of riding a train in a certain direction is basically neutral and cannot, on an objective basis, be said to constitute a means to theft. But *tahlil*, that is, an intervening marriage concluded in order to legalise remarriage between a divorced couple, is a means to the proposed marriage but not a preliminary to it, as the latter is not exclusively dependent on *tahlil* and can, for example, follow a normal intervening marriage. Similarly, seductive overtures between members of the opposite sexes are a means, but not a preliminary, to adultery, as the latter can materialise even without such overtures. Sexual overtures can only constitute a preliminary to *zina* when they actually lead to it.

The other difference to note between the means and the preliminary for our purposes, is, as already indicated, that the former is usually evaluated and declared unlawful on an objective basis even without the realisation of its expected end. The preliminary to an act, on the other hand, is of little value without the actual occurrence of the act of which it becomes a part. The relationship between preliminary and its result is subjective in the sense that it can only be evaluated in the light of the completed or the intended result. Walking in the direction of a mosque to perform the Friday prayers, for example, can only acquire the value of the *wajib* if it actually leads to the performance of the prayers, not otherwise.<sup>[21. Cf.</sup>

Badran, *Usul*, pp. 245-246; Isma'il, *Adillah*, p. 171.]



The ulema of *usul* define *hukm shar'i* as a locution or communication from the Lawgiver concerning the conduct of the *mukallaf* (person in full possession of his faculties) which consists of a demand, an option or an enactment. A demand (*talab*, or *iqtida'*) is usually communicated in the form of either a command or a prohibition. The former demands that the *mukallaf* do something, whereas the latter requires him to avoid doing something. A demand may either be binding, which leaves the *mukallaf* with no choice but to conform, or may not be binding. When a demand to do or not to do something is established by definitive proof (*dalil qat'i*) it is referred to as *wajib* or *haram* respectively. Such is the majority view, but according to the Hanafi jurists, if the text which conveys such a demand is not definitive in its meaning (*dalalah*) or authenticity (*thubut*), it is *wajib*, but if it is definitive in both respects, it is *fard*. As for the demand to avoid doing something, the Hanafis maintain that if it is based on definitive proof in terms of both meaning and authenticity, it is *haram*, otherwise it is *makruh tahrimi*. When a demand is not utterly emphatic and leaves the individual with an element of choice it is known as *mandub* (recommended). The option (*takhyir*), on the other hand, is a variety of *hukm shar'i* which leaves the individual at liberty either to do or to avoid doing something. A *hukm* of this kind is commonly known as *mubah* (permissible). An enactment, or *wad'*, is neither a demand nor an option, but an objective exposition of the law which enacts something as a cause (*sabab*) or a condition (*shart*) of obtaining something else; or it may be conveyed in the form of a hindrance (*mani'*) that might operate an obstacle against obtaining it. <sup>[1. Ghazali, *Mustasfa*, I, 42; Shawkani, *Irshad*, p. 6; Khallaf, *'Ilm*, p. 100.]</sup>

To give some examples, the Qur'anic command which addresses the believers to 'fulfill your contracts' (al-Ma'idah, 5:1) is a speech of the Lawgiver addressed to the *mukallaf* which consists of a particular demand. A demand addressed to the *mukallaf* which conveys a prohibition may be illustrated by reference to the Qur'anic text which provides: 'O you believers, let not some people ridicule others, for it is possible that the latter are better than the former [ ...]' (al-Hujurat, 49:11). To illustrate a *hukm* which conveys an option, we refer to the Qur'anic text which permits the believers to 'hunt when you have come out of the state of *ihram*' (sacred state entered into for the purpose of performing the *hajj* pilgrimage) (al -Ma'idah, 5:2). Another Qur'anic text which consists of an option occurs in sura al-Baqarah (2:229) which provides: 'If you fear that they [i.e. the spouses] would be unable to observe the limits set by God, then there would be no sin on them if she gives a consideration for her freedom.' The married couple are thus given the choice to incur a divorce by mutual consent, known as *khul'*, if they so wish, but they are under no obligation if they do not. Another form of option which occurs to the Qur'an may be illustrated with reference to the expiation (*kaffarah*) of erroneous killing. The perpetrator has here been given the choice either to set a slave free, or feed sixty destitute, or fast for two consecutive months (al-Nisa', 4:92). The following Hadith also conveys a *hukm* in which the individual

is given a choice. The Hadith reads: 'If any of you sees something evil, he should set it right by his hand; If he is unable to do so, then by his tongue; and if he is unable to do even that, then within his heart- but this is the weakest form of faith.'<sup>[2. Muslim, *Sahih Muslim*, p.16, Hadith no.34.]</sup>

Here the choice is given according to the ability of the *mukallaf* and the circumstances which might influence his decision. Lastly, to illustrate a *hukm* which consists of an enactment (*wad'*) we may refer to the Hadith which provides that 'the killer does not inherit'.<sup>[3. Shafi'i, *Risalah*, p. 80; Ibn Majah, *Sunan*, II, 913, Hadith no. 2735.]</sup>

This is a speech of the Lawgiver concerning the conduct of the *mukallaf* which is neither a demand nor an option but an objective ruling of the law that envisages a certain eventuality.

The ulema of *usul* have differed with the *fuqaha'* in regard to the identification of *hukm shar'i*. To refer back to the first example where we quoted the Qur'an concerning the fulfillment of contracts; according to the ulema of *usul*, the text itself, that is, the demand which is conveyed in the text, represents the *hukm shar'i*. However, according to the *fuqaha'*, it is the effect of that demand, namely the obligation (*wajib*) that it conveys which embodies the *hukm shar'i*. To give another example, the Qur'anic prohibition which provides in an address to the believers: 'Do not approach adultery' (al-Isra', 17:32), is itself the embodiment of the *hukm shar'i*, according to the ulema of *usul*. But according to the *fuqaha'*, it is the effect of the demand in this *ayah*, namely the prohibition (*tahrim*) which represents the *hukm shari*. Similarly, the Qur'anic text in respect of the permissibility of hunting which we earlier quoted is itself the embodiment of the *hukm shar'i* according to the ulema of *usul*, but it is the effect of that text, namely the permissibility (*ibahah*) which is the *hukm* according to the *fuqaha'*. Having explained this difference of perspective between the ulema of *usul* and the *fuqaha'*, it will be noted, however, that it is of no practical consequence concerning the rulings of the *Shari'ah*, in that the two aspects of *hukm* that they highlight are to all intents and purposes concurrent.<sup>[4. Khallaf, *'Ilm*, 100; Khudari, *Usul*, p. 18; Abu 'Id, *Mabahith*, p.58.]</sup>

*Hukm shar'i* is divided into the two main varieties of *al-hukm al-taklifi* (defining law) and *al-hukm al-wad'i* (declaratory law). The former consists of a demand or an option, whereas the latter consists of an enactment only. 'Defining Law' is a fitting description of *al-hukm al-taklifi*, as it mainly defines the extent of man's liberty of action. *Al-hukm al-wad'i* is rendered 'declaratory law', as this type of *hukm* mainly declares the legal relationship between the cause (*sabab*) and its effect (*musabbab*) or between the condition (*shart*) and its object (*mashrut*).<sup>[5. Cf. Abdur Rahim, *Jurisprudence*, p. 193, for the use of English terminology.]</sup>

Defining law may thus be described as a locution or communication from the Lawgiver which demands the *mukallaf* to do something or forbids him from doing something, or gives him an option between the two. This type of *hukm* occurs in the well-known five categories of *wajib* (obligatory), *mandub* (recommended), *haram* (forbidden), *makruh* (abominable) and *mubah* (permissible). Declaratory law is also subdivided into the five categories of *sabab* (cause), *shart* (condition), *mani'* (hindrance), *'azimah*

(strict law) as opposed to *rukhsah* (concessionary law), and *sahih* (valid) as opposed to *batil* (null and void).<sup>[6. Khallaf, 'Ilm, p. 101; Qasim, Usul, p. 213.]</sup> We shall discuss the defining law and its various sub-divisions first.

## I. Defining Law (*al-hukm al-Taklifi*)

As stated above, 'defining law' is a locution or communication from the Lawgiver addressed to the *mukallaf* which consists of a demand or of an option; it occurs in the five varieties of *wajib*, *mandub*, *haram*, *makruh* and *mubah*. We shall discuss each of these separately, as follows.

### I.1 The Obligatory (*Wajib, Fard*)

For the majority of ulema, *wajib* and *fard* are synonymous, and both convey an imperative and binding demand of the Lawgiver addressed to the *mukallaf* in respect of doing something. Acting upon something *wajib* leads to reward, while omitting it leads to punishment in this world or in the hereafter. The Hanafis have, however, drawn a distinction between *wajib* and *fard*. An act is thus obligatory in the first degree, that is, *fard*, when the command to do it is conveyed in a clear and definitive text of the Qur'an or *Sunnah*. But if the command to do something is established in a speculative (*zanni*) authority, such as an *Ahad* Hadith, the act would be obligatory in the second degree (*wajib*). The obligatory commands to perform the *salah*, the *hajj*, and to obey one's parents are thus classified under *fard*, as they are each established in a definitive text of the Quran. But the obligation to recite sura al-Fatihah in *salah*, or to perform *salat al-witr*, that is, the three units of prayers which conclude the late evening prayers (*salat al-'isha'*), are on the other hand classified under *wajib*, as they are both established in the authority of Hadith whose authenticity is not completely free of doubt. A Muslim is bound to do acts which are obligatory either in the first or in the second degree; if he does them, he secures reward and spiritual merit, but if he willfully neglects them, he makes himself liable to punishment. The difference between the two classes of obligations, according to the vast majority of the jurists, including the Hanafis, is that the person who refuses to believe in the binding nature of a command which is established by definitive proof becomes an unbeliever, but not if he disputes the authority of an obligatory command of the second degree, although he becomes a transgressor. Thus to neglect one's obligation to support one's wife, children and poor parents amounts to a sin but not to infidelity.<sup>[7. Abu 'Id, Mabaiith, p. 63; Qasim, Usul, p. 216; Abdur Rahim, Jurisprudence, p. 197.]</sup>



Another consequence of the distinction between *fard* and *wajib* is that when the former is neglected in an act required by the *Shari'ah*, the act as a whole becomes null and void (*batil*). If, for example, a person leaves out the bowing (*ruku'*) or prostration (*sajdah*) in obligatory prayers, the whole of the prayer becomes null and void. But if he leaves out the recitation of al-Fatihah, the *salah* is basically valid, albeit deficient. This is the Hanafi view, but according to the majority the *salah* is null and void in both cases. However, the difference between the Hanafis and the majority in this respect is regarded as one of form rather than substance, in that the consequences of their disagreement are on the whole negligible.<sup>[8. Abu Zahrah, *Usul*, pp. 23-24; Abu 'Id, *Mabahith*, p. 63.]</sup> Al-Ghazali is representative of the majority opinion, including that of the Shafi'is, when he writes: 'As far as we are concerned, there is no difference between *fard* and *wajib*; the two terms are synonymous. According to the Hanafis, *fard* is based on definitive authority but *wajib* is founded in speculative proof. Once again, we do not deny the division of *wajib* into definitive and speculative (*maqtu' wa-maznun*) and there is no objection to the rise of different expressions once their meaning is clear.'<sup>[9. Ghazali, *Mustasfa*, I. 42.]</sup>

*Wajib* is sub-divided into at least three varieties, the first of which is the division of *wajib* into personal ('*ayni*) and collective (*kafa'i*). *Wajib 'ayni* is addressed to every individual *sui juris* and cannot, in principle, be performed for or on behalf of another person. Examples of *wajib* (or *fard*) '*ayni* are *salah*, *hajj*, *zakah*, fulfillment of contract and obedience to one's parents. *Wajib kafa'i* consists of obligations that are addressed to the community as a whole. If only some members of the community perform them, the law is satisfied and the rest of the community is absolved of it. For example, the duty to participate in *jihad* (holy struggle), funeral prayers, the *hisbah*, (promotion of good and prevention of evil), building hospitals, extinguishing fires, giving testimony and serving as a judge, etc., are all collective obligations of the community, and are thus *wajib* (or *fard*) *kafa'i*. Thus when a person dies leaving no property to meet the cost of his burial, it is the *wajib kafa'i* of the community to provide it and to give him a decent burial. Only some members of the community may actually contribute toward the costs, but the duty is nevertheless discharged from the whole of the community. The merit (*thawab*), however, only attaches to those who have actually taken part in discharging the *wajib kafa'i* duty.

The collective obligation sometimes changes into a personal obligation. This is, for example, the case with regard to *jihad*, which is a *wajib kafa'i*, although when the enemy attacks and besieges a locality it becomes the personal duty of every resident to defend it. Similarly, when there is only one *mujtahid* in a city, it becomes his personal duty to carry out *ijtihad*.<sup>[10. Khallaf, *Ilm*, p. 109; Qasim, *Usul*, p.218; Abu 'Id, *Mabahith*, p.69.]</sup>

*Wajib* is also divided into *wajib muwaqqat*, that is, *wajib* which is contingent on a time-limit and *wajib mutlaq*, that is, 'absolute *wajib*', which is free of such a limitation. Fasting and the obligatory *salah* are examples of contingent *wajib*, as they must each be observed within specified time limits. But performing the *hajj* or the payment of an expiation (*kaffarah*) are not subject to such restrictions and are therefore absolute *wajib*. Provided that one performs the *hajj* once during one's lifetime and pays the *kaffarah* at any time before one dies, the duty is discharged.<sup>[11. The Mu'tazilah have held the view that a flexibility of this kind</sup>

negates the whole concept of *wajib*, as in their view *wajib* precludes the element of choice altogether. But the majority of ulema refute this by saying that there is no necessary contradiction in dividing the *wajib* into *wajib muwaqqat* and *wajib mullaq*. For details see Ghazali, *Mustasfa*, I, 43-44.] Furthermore, the absolute *wajib* is called absolute because there is no time-limit on its performance and it may be fulfilled every time whenever the occasion arises. This is, for example, the case regarding one's duty to obey one's parents, or the obligation to carry out *hisbah*, namely, to promote good and to prevent of evil as and when the occasion arises.

A consequence of this division is that *wajib muwaqqat* materialises only when the time is due for it; it may neither be hastened nor delayed, but within the given time limits the *mukallaf* has a measure of flexibility. Furthermore, to fulfill a contingent *wajib* it is necessary that the *mukallaf* have the intention (*niyyah*) specifically to discharge it. [12. Khudari, *Usul*, p.33; Khallaf, *Ilm*, p. 108.]

Lastly, the *wajib* is divided into quantified *wajib* (*wajib muhaddad*) and unquantified *wajib* (*wajib ghayr muhaddad*). An example of the former is *salah*, *zakah*, payment of the price (*thaman*) by the purchaser in a sale transaction, and payment of rent in accordance with the terms of a tenancy agreement, all of which are quantified. Similarly, enforcement of the prescribed penalties (*hudud*) falls under the rubric of *wajib muhaddad* in the sense that the *hadd* penalties are all specified in terms of quantity. The unquantified *wajib* may be illustrated by reference to one's duty to support one's close relatives, charity to the poor, feeding the hungry, paying a dower, (*mahr*) to one's wife, the length of standing (*qiyam*), bowing and prostration in *salah*, wiping the head in ablution (*wudu'*) and quantifying the *ta'zir* penalties for offences which are punishable but in regard to which the Lawgiver has not quantified the punishment. (It is for the judge to quantify the punishment in light of the individual circumstances of the offender and the offence.) Consequently, the *mukallaf*, be it the individual believer, the *qadi* or the imam, enjoys the flexibility to determine the quantitative aspect of the unquantified *wajib* himself. [13. Ghazali, *Mustasfa*, I, 47; Khallaf, *Ilm*, p. 110; Abu Zahrah, *Usul*, p. 35; Khudari, *Usul*, p. 42.]

A consequence of this division is that if the quantified *wajib* is not discharged within the given time-limit, it constitutes a liability on the person (*dhimmah*) of the individual, as in the case of unpaid *zakah* or an unpaid debt. Failure to discharge a *wajib ghayr muhaddad*, on the other hand, does not result in a personal liability.

A question arises with regard to the value of the excessive portion in the supererogation of quantified *wajib*. The question is whether an over-fulfillment of this type becomes a part of the *wajib* itself. There are two main views on this, one of which maintains that excessive performance in quantified *wajib* also becomes a part of the *wajib*. But the preferred view is that any addition to the minimal requirement becomes *mandub* only. For no punishment can be imposed for a failure to perform anything in addition to the minimum required. [14. Ghazali, *Mustasfa*, I, 47.]

It would be inaccurate to say that a means to a *wajib* is also a *wajib*, or that a necessary ingredient of *wajib* is also *wajib* in every case. For such a view would tend to ignore the personal capacity of the *mukallaf* especially if the latter is unable to do what is required to be done: in the event, for example, when the Friday congregational prayer cannot be held for lack of a large number of people in a locality. It would be more accurate to say that when the means to *wajib* consist of an act which is within the capacity of the *mukallaf* then that act is also *wajib*. [15. Ghazali, *Mustasfa*, I, 46; Abu Zahrah, *Usul*, p. 23.]

The distinction between *wajib* and *mandub* is, broadly speaking, based on the idea that ignoring the *wajib* entails punishment (*iqab*) while ignoring the *mandub* does not. The distinction between *haram* and *makruh* is based on a similar criterion: if doing something is punishable, it is *haram*, otherwise it is *makruh*. This is generally correct, but one must add the proviso that punishment is not a necessary requirement of a binding obligation, or *wujub*. In addition, as Imam Ghazali points out, the element of punishment, whether in this world or in the hereafter, is not a certainty. Whereas in its positive sense the *wajib* is normally enforceable in this world and might also lead to a tangible advantage or reward, the spiritual punishment for its neglect is, however, awaited and postponed to the hereafter. Hence the invocation of punishment is not a necessary requirement of *wajib*. When God Almighty renders an act obligatory upon people without mentioning a punishment for its omission, the act which is so demanded is still *wajib*. [16. Ghazali, *Mustasfa*, I, 42.]

## I.2 *Mandub* (Recommended)

*Mandub* denotes a demand of the Lawgiver which asks the *mukallaf* to do something which is, however, not binding on the latter. To comply with the demand earns the *mukallaf* spiritual reward (*thawab*) but no punishment is inflicted for failure to perform. Creating a charitable endowment (*waqf*), for example, giving alms to the poor, fasting on days outside Ramadan, attending the sick, etc., are duties of this kind. *Mandub* is variously known as *Sunnah*, *mustahabb* and *nafl*, which are all here synonymous and covered by the same definition. [17. Ghazali, *Mustasfa*, I, 42; Khallaf, *Ilm*, p. 112; Abdur Rahim, *Jurisprudence*, p.197.] If it is an act which the Prophet has done at one time but omitted at other times, it is called *Sunnah*. There are two types of *Sunnah*, namely *Sunnah mu'akkadah* (the emphatic *Sunnah*, also known as *Sunnah al-huda*), and *Sunnah ghayr mu'akkadah*, or supererogatory *Sunnah*. The call to congregational prayers (i.e. the *adhan*), attending congregational prayers, and gargling as a part of the ablution (*wudu'*) are examples of the former, whereas non-obligatory charity, and supererogatory prayers preceding the obligatory *salah* in early and late afternoon (i.e. *zuhr*, and *'asr*) are examples of supererogatory *Sunnah*. Performing the emphatic *Sunnah* leads to spiritual reward from Almighty God while its neglect is merely blameworthy but not punishable. However, if the entire population of a locality agree to abandon the emphatic *Sunnah*, they are to be fought for contempt of the *Sunnah*. To perform the

supererogatory *Sunnah*, on the other hand, leads to spiritual reward while neglecting it is not blameworthy. There is a third variety of *Sunnah* known as *Sunnah al-zawa'id*, which mainly refers to the acts and conduct performed by the Prophet as a human being, such as his style of dress and choice of food, etc., whose omission is neither abominable nor blameworthy.<sup>[18. Abu 'Id, *Mabahith*, p.71; Khudari, *Usul*, p.46.]</sup>

*Mandub* often occurs in the Qur'an in the form of a command which is then accompanied by indications to suggest that the command is only intended to convey a recommendation. An example of this is the Qur'anic command which requires that giving and taking of period loans must be set down in writing (al-Baqarah, 2:282). But the subsequent portion of the same passage provides that 'if any of you deposits something with another, then let the trustee [faithfully] discharge his trust'. This passage implies that if the creditor trusts the debtor, they may forego the requirement of documentation. Another example of a command which only denotes a recommendation is the Qur'anic provision regarding slaves, where the text provides, 'and if any of your slaves seek their release from you in writing, set them free [*fa-katibuhum*] if you know any good in them' (al-Nur, 24:3). The last portion of this text indicates an element of choice which renders the command therein *mandub*. But in the absence of such accompanying evidence in the text itself, the Qur'anic command is sometimes evaluated into *mandub* by reference to the general principles of the *Shari'ah*.

Sometimes the *mandub* is conveyed in persuasive language rather than as a command *per se*. An example of this is the Hadith which provides: 'Whoever makes an ablution for the Friday prayers, it is good, but if he takes a bath, it is better -[*afdal*].<sup>[19. Tabrizi, *Mishkat*, I, 168, Hadith no. 540.]</sup>

A question arises in this connection as to whether the *mandub* remains a *mandub* once it has been started, or becomes obligatory of continuation until it is completed. The Hanafis have held that once the *mandub* is commenced, it turns into an obligation and must be completed. For example, when a person starts a supererogatory fast, according to this view, it is obligatory that he complete it, and failure to do so renders him liable to the duty of belated performance (*qada'*). But according to the Shafi'is, whose view here is generally preferred, the *mandub* is never turned into *wajib* and always remains as *mandub*, thereby leaving the person who has started it with the choice of discontinuing it whenever he wishes. There is thus no duty of belated performance (*qada'*) on account of failure to complete a *mandub*.<sup>[20. Ghazali, *Mustasfa*, I, 48; Abu 'Id, *Mabahith*, pp. 72-74; Qasim, *Usul*, p. 322.]</sup>

### **I.3 Haram (Forbidden)**

According to the majority of ulema, *haram* (also known as *mahzur*) is a binding demand of the lawgiver in respect of abandoning something, which may be founded in a definitive or a speculative proof.

Committing the *haram* is punishable and omitting it is rewarded. But according to the Hanafis, *haram* is a binding demand to abandon something which is established in definitive proof; if the demand is founded in speculative evidence, it constitutes a *makruh tahrimi*, but not *haram*. The former resembles the latter in that committing both is punished and omitting them is rewarded. But the two differ from one another insofar as the willful denial of the *haram* leads to infidelity, which is not the case with regard to *makruh tahrimi*.<sup>[21. Qasim, *Usul*, p. 225; Aghnides, *Muhammedan Theories*, p. 89; Abdur Rahim, *Jurisprudence*, p. 198.]</sup>

The textual evidence for *haram* occurs in a variety of forms, which may be summarised as follows:

Firstly, the text may clearly use the word *haram* or any of its derivatives. For example, the Qur'anic text which provides, 'forbidden to you [*hurrimat 'alaykum*] are the dead carcass, blood and pork' (al-Ma'idah, 5:3); and 'God permitted sale but prohibited [*harrama*] usury (al-Baqarah, 2:275). Similarly, the Hadith which provides, 'everything belonging to a Muslim is forbidden [*haram*] to his fellow Muslims: his blood, his property and his honour'.<sup>[22. Muslim, *Sahih Muslim*, p. 473, Hadith no. 1775.]</sup>

Secondly, *haram* may be conveyed in other prohibitory terms which require the avoidance of a certain form of conduct. For example, there is the Qur'anic text which provides, 'slay not [*la taqtulu*] the life that God has made sacrosanct, save in the course of justice' (al-Ma'idah, 5:90); and 'devour not [*la ta'kulu*] one another's property in defiance of the law' (al-Baqarah, 2:188).

Thirdly, *haram* may be communicated in the form of a command to avoid a certain form of conduct. For example: there is the Qur'anic text which provides that wine-drinking and gambling are works of the devil and then orders the believers to 'avoid it (al-Ma'idah, 5:90).

Fourthly, *haram* may be communicated through expressions such as 'it is not permissible' or 'it is unlawful' in a context which is indicative of total prohibition. For example, the Qur'anic text which proclaims that 'it is not permissible for you [*la yahillu lakum*] to inherit women against their will' (al-Nisa', 4:19), or the Hadith which provides 'it is unlawful [*la yahillu*] for a Muslim to take the property of another Muslim without his consent'.<sup>[23. Bayhaqi, *al-Sunan al-Kubra*, III, 10.]</sup>

Fifthly, *haram* is also identified by the enactment of a punishment for a certain form of conduct. There are many instances of this in the Qur'an and *Sunnah*. The *hudud* penalties are the most obvious examples of this variety of *haram*. As is implied by its name, the *hadd* penalty is specific in reference to both the quantity of punishment and the type of conduct which it penalises. Alternatively, the text which communicates *tahrim* may only consist of an emphatic condemnation of a certain act without specifying a penalty for it as such. Thus the Qur'an prohibits devouring the property of orphans by denouncing it in the following terms: 'Those who eat up the property of orphans swallow fire into their own bodies; they will soon be enduring a blazing fire' (al-Nisa', 4:10).

*Haram* is divided into two types: (a) *haram li-dhatih* or 'that which is forbidden for its own sake', such as theft, murder, adultery, marrying a close relative and performing *salah* without an ablution, all of which are forbidden for their inherent enormity; and (b) *haram li-ghayrih*, or 'that which is forbidden because of something else'. An act may be originally lawful but has been made unlawful owing to the presence of certain circumstances. For example: a marriage which is contracted for the sole purpose of *tahlil*, that is, in order to legalise another intended marriage, performing *salah* in stolen clothes, and making an offer of betrothal to a woman who is already betrothed to another man. In each of these examples, the act involved is originally lawful but has become *haram* owing to the attending circumstances. A consequence of this distinction between the two varieties of *haram* is that *haram li-dhatih*, such as marriage to one's sister or the sale of dead carcasses, is null and void *ab initio* (*batil*), whereas violating a prohibition which is imposed owing to an extraneous factor is *fasid* (irregular) but not *batil*, and as such may fulfill its intended legal purpose. A marriage which is contracted for the purpose of *tahlil* is clearly forbidden, but it validly takes place nevertheless. Similarly, a contract of sale which is concluded at the time of the Friday prayer is *haram li-ghayrih* and is forbidden. But according to the majority of ulema the sale takes place nevertheless; with the exception of the Hanbalis and Zahiris, who regard such a sale as *batil*. [24. Khallaf, *Ilm*, p. 113; Abu Zahrah, *Usul*, p. 34; Abu 'Id, *Mabahith*, p. 70ff.]

Another consequence of this distinction is that *haram li-dhatih* is not permissible save in cases of dire necessity (*darurah*) of a kind which threatens the safety of the 'five principles' of life, religion, intellect, lineage and property. In this way, uttering a word of infidelity, or drinking wine, is only permitted when it saves life. *Haram li-ghayrih*, on the other hand, is permissible not only in cases of absolute necessity but also when it prevents hardship. Thus a physician is permitted to look at the private parts of a patient even in the case of illnesses which do not constitute an immediate threat to life. [25. Abu Zahrah, *Usul*, p. 35; Qasim, *Usul*, p. 226 ff.]

Another criterion for distinguishing the two varieties of *haram* that some ulema have mentioned is that *haram li-ghayrih* consists of an act which leads to *haram li-dhatih*. In this way, looking at the private parts of another person is forbidden because it can lead to *zina*, which is *haram* by itself. Similarly, marrying two sisters simultaneously is *haram* because it leads to the severance of ties of kinship (*qat`al-arham*), which is *haram* by itself. [26. Abu Zahrah, *Usul*, p.34.]

#### 1.4 *Makruh* (Abominable)

*Makruh* is a demand of the Lawgiver which requires the *mukallaf* to avoid something, but not in strictly prohibitory terms. *Makruh* is the opposite of *mandub*, which means that neglecting the *mandub* amounts to *makruh*. Since *makruh* does not constitute a binding law, we merely say that omitting something

which is *makruh* is preferable to committing it. The perpetrator of something *makruh* is not liable to punishment, and according to the majority of ulema, he does not incur moral blame either. The Hanafis are in agreement with the majority view in respect of only one of the two varieties of *makruh*, namely *makruh tanzih*, but not in regard to *makruh tahrim*. The latter, according to the Hanafis, entails moral blame but no punishment. The ulema are all in agreement that anyone who avoids the *makruh* merits praise and gains closeness to God. [27. Khallaf, *Ilm*, p. 114; Abu Zahrah, *Usul*, p.36.]

The textual authority for *makruh* may consist of a reference to something which is specifically identified as *makruh*, or may be so identified by words that may convey an equivalent meaning. There is a Hadith, for example, in which the Prophet discouraged any prayers at midday until the decline of the sun, with the exception of Friday. The actual word used in the Hadith is that the Prophet disliked [*kariha al-nabi*) prayers at that particular time. [28. Tabrizi, *Mishkat*, I, 330, Hadith no. 1047.]

An equivalent term to *makruh* occurs, for example, in the Hadith which reads: 'The most abominable of permissible things [*abghad al-hala*] in the sight of God is divorce.' [29. Tabrizi, *Mishkat*, II, 978, Hadith no. 3280; Abu 'Id, *Mubahith*, p. 80.]

*Makruh* may also be conveyed in the form of a prohibition but in language that indicates only reprehensibility. An example of this is the Qur'anic text which provides, in an address directed to the believers, 'Ask not about things which, if made clear to you, would trouble you, but if you ask about them when the Qur'an is being revealed, then they will be explained to you' (al-Ma'idah, 5:101). An example of this style of communication in the Hadith is as follows: 'Leave that of which you are doubtful in favour of that which you do not doubt [. . .]' [30. Tabrizi, *Mishkat*, II, 845, Hadith no. 2773.]

*Makruh* is the lowest degree of prohibition (*tahrim*), and in this sense is used as a convenient category for matters which fall in the gray areas between *halal* and *haram*, that is, matters which are definitely discouraged but where the evidence to establish them as *haram* is less than certain. [31. Qasim, *Usul*, p. 225.]

As already noted, the Hanafis have divided *makruh* into the two types of *makruh tanzih* and *makruh tahrim*. The former is considered abominable for purposes of keeping pure such as avoiding raw onion and garlic just before going to congregational prayers, or neglecting *salat al-nafl*, that is, supererogatory prayers preceding, for example, the *salat al-zuhr* (early afternoon prayers). This kind of *makruh* is nearer to *mubah* than to *haram*. Its commission is not punished but its omission is rewarded. The Hanafi description of *makruh tanzih* is the same as that which the majority of ulema have given to *makruh* in general. The majority of ulema have characterised the value of *makruh* to be that 'committing it is not punishable but omitting it is praiseworthy'. *Makruh tahrim*, or 'abominable to the degree of prohibition' is, on the other hand, nearer to *haram*. An act is *haram* when its prohibition is decreed in definitive terms, otherwise it is *makruh tahrim*. An example of *makruh tahrim* is the wearing of gold jewellery and silk garments for men, which are forbidden by an *Ahad* (solitary) Hadith. While referring

to these two items, the Hadith provides: 'These are forbidden [*haram*] to the men of my community but are lawful [*halal*] to their women.' [32. Abu Dawud, *Sunan*, III, 1133, Hadith no 4046.]

Similarly, it is *makruh tahrimi* for a person to offer to buy something for which another person has already made an offer. There is a Hadith which forbids this kind of purchase in the same way as it forbids making an offer of engagement to a woman who is already betrothed to another man. [33. Abu Dawud, *Sunan*, II, 556, Hadith no. 2075] Since both of the foregoing *ahadith* are *Ahad* whose authenticity is not devoid of doubt, the prohibition therein is reduced from *haram* to *makruh tahrimi*.

The difference between the Hanafis and the majority of ulema relates to the nature of the evidence on which the *makruh* is founded. When a prohibition is conveyed in an imperative demand of the Lawgiver but there is some doubt over its authenticity or meaning, the majority of ulema classify it as *haram*, whereas the Hanafis classify it as *makruh tahrimi*. The Hanafi position in regard to the division of *makruh* into these two types is essentially similar to their approach in regard to drawing a distinction between *fard* and *wajib*. [34. Abu 'Id, *Mabahith*, pp. 80-82; Khallaf, *'Ilm*, p. 116; Aghnides, *Muhammedan Theories*, p. 89.]

## 1.5 *Mubah* (Permissible)

*Mubah* (also referred to as *halal* and *ja'iz*) is defined as communication from the Lawgiver concerning the conduct of the *mukallaf* which gives him the option to do or not to do something. The Lawgiver's communication may be in the form of a clear *nass* such as the Qur'anic text which provides, in a reference to foodstuffs, that 'this day all things good and pure have been made lawful (*uhilla*) to you [...]' (al-Ma'idah, 5:6). Alternatively the text may state that the *mukallaf* will not incur a sin, blame or liability if he wishes to act in a certain way. Concerning the permissibility of betrothal, for example, the Qur'an provides, 'there is no blame on you [*la junaha 'alaykum*] if you make an offer of betrothal to a woman [ . . . ]' (al-Baqarah, 2:235). Similarly, committing a sinful act out of sheer necessity is permissible on the authority of the Qur'an, which provides, 'If someone is compelled by necessity without willful disobedience or transgression, then he is guiltless [*fala ithma 'alayh*]' (al-Baqarah, 2:173). [35. Ghazali, *Mustasfa*, I, 42; Khallaf, *'Ilm*, p. 115; Abdur Rahim, *Jurisprudence*, p. 198.]

Sometimes a command to the Qur'an may only amount to permissibility when the nature of the conduct in question or other relevant evidence indicates that such is the case. An example of this is the text which orders worshippers to 'scatter in the earth' once they have completed the Friday prayers (al-Jumu'ah, 62:10). Although the believers have been ordered to 'scatter in the earth', the nature of this command and the type of activity to which it relates suggest that it conveys permissibility only.



In the event where the law provides no ruling to specify the value of a certain form of conduct, then according to the doctrine of *istishab al-asl* (presumption of continuity), permissibility (*ibahah*) remains the original state which is presumed to continue. The authority for this presumption is found in the Qur'anic text which provides, in an address to mankind, that God Almighty 'has created everything in the earth for your benefit' (al-Baqarah, 2:29). By implication, it is understood that the benefit in question cannot materialise unless 'everything in the earth' is made *mubah* for mankind to use and to utilise in the first place.

*Mubah* has been divided into three types. The first is *mubah* which does not entail any harm to the individual whether he acts upon it or not, such as eating, hunting or walking in the fresh air. The second type of *mubah* is that whose commission does not harm the individual although it is essentially forbidden. Included in this category are the prohibited acts which the Lawgiver has made permissible on account of necessity, such as uttering words of unbelief under duress, or eating the flesh of a dead carcass to save one's life. The third variety of *mubah* is not really *mubah per se*; it is included under *mubah* for lack of a better alternative. This category of *mubah* consists of things which were practiced at one time but were then prohibited with the proviso that those who indulged in them before the prohibition are exonerated. The Qur'an thus prohibits marriage with certain relatives, and the text then continues to make an exception for such marriages that might have occurred in the past (al-Nisa', 4:22). Similarly, wine-drinking was not prohibited until the Prophet's migration to Madinah, and fell under the category of *mubah* until the revelation of the *ayah* in sura al-Ma'idah (5:90) which imposed a total ban on it. <sup>[36. Abu 'Id, *Mabahith*, pp. 84-88.]</sup>

It would be incorrect, as al-Ghazali explains, to apply the term '*mubah*' to the acts of a child, an insane person, or an animal, nor would it be correct to call the acts of God *mubah*. Acts and events which took place prior to the advent of Islam are not to be called *mubah* either. 'As far as we are concerned, our position regarding them is one of abandonment [*tark*]', which obviously means that such activities are not to be evaluated at all. *Mubah* proper, al-Ghazali adds, is established in the express permission of Almighty God which renders the commission or omission of an act permissible either in religious terms or in respect of a possible benefit or harm that may accrue from it in this world. <sup>[37. Ghazali, *Mustasfa*, I, 42.]</sup>

The ulema of *usul* definitely consider *mubah* to be a *hukm shar'i*, although including it under *al-hukm al-taklifi* is on the basis of mere probability as there is basically no liability [*taklif*] in *mubah* as one of the five varieties of defining law. The Hanafis have only differed with the majority with regard to the sub-divisions of *wajib* and *makruh* as already explained, but not with regard to *mubah*.

Bearing in mind the two sub-divisions of *wajib* and *makruh* that the Hanafis have added to *al-hukm al-taklifi*, the Hanafis thus classify the latter into seven types, whereas the majority divide it into five varieties only.

## II. Declaratory Law (*al-Hukm al-Wad'i*)

'Declaratory law' is defined as communication from the Lawgiver which enacts something into a cause (*sabab*), a condition (*shart*) or a hindrance (*mani`*) to something else. This may be illustrated by reference to the Qur'anic text regarding the punishment of adultery, which enacts the act of adultery itself as the cause of its punishment (al-Baqarah, 2:24). An example of the declaratory law which consists of a condition is the Qur'anic text on the pilgrimage of *hajj*: 'Pilgrimage is a duty owed to God by people who can manage to make the journey' (Al-Imran 3:97). Both of the foregoing texts, in fact, consist of a defining law and a declaratory law side by side. The defining law in the first text is the ruling that the adulterer must be punished with a hundred lashes, and in the second text it is the duty of the *hajj* pilgrimage itself. The declaratory law in the first text is the cause, which is the act of adultery - the affect being the punishment, and in the second, it is the condition which must be present if the law of the text is to be implemented. The second of the two texts thus enacts the ability of the individual to make the journey into a condition for performing the pilgrimage. A more explicit example of a declaratory law is the Hadith which provides that 'there is no *nikah* without two witnesses'.<sup>[38. Abu Dawud, *Sunan*, II, 557, Hadith no. 2079.]</sup>

The presence of two witnesses is thus rendered a condition for a valid marriage. And lastly, an example of a declaratory law consisting of a hindrance is the Hadith which provides that 'there shall be no bequest to an heir',<sup>[39. Abu Dawud, *Sunan*, II, 808; Hadith no. 2864.]</sup> which obviously enacts the tie of kinship between the testator and the legatee into a hindrance to bequest. Similarly, the Hadith which lays down the rule that 'the killer shall not inherit', renders killing a hindrance to inheritance.<sup>[40. Shafi'i, *Risalah*, p. 80; Ibn Majah, *Sunan*, II, 913, Hadith no. 2735.]</sup>

To execute the defining law is normally within the capacity of the *mukallaf*. The demands, for example, addressed to the *mukallaf* concerning prayers and *zakah* are both within his means. Declaratory law may, on the other hand, be within or beyond the capacity of the *mukallaf*. For instance, the arrival of a particular time of day which is the cause (*sabab*) of *salah* is beyond the means and capacity of the worshipper.<sup>[41. Khallaf, *Ilm*, p. 102; Abu 'Id, *Mabahith*, p. 60.]</sup>

The function of declaratory law is explanatory in relation to defining law, in that the former explains the component elements of the latter. Declaratory law thus informs us whether certain facts or event, are the cause, condition or hindrance in relationship to defining law. It is, for example, by means of declaratory law that we know offer and acceptance in a contract of sale to be the cause of the buyer's ownership, that divorce causes the extinction of marital rights and obligations, and that the death of a person is the cause of the right of the heir to his inheritance. Similarly, it is by means of a declaratory law that we

know intellectual maturity to be the condition of voluntary disposition of property in gift (*hibah*) and charitable endowment (*waqf*). [42. Abdur Rahim, *Jurisprudence*. pp. 61-62.]

The basic notion of dividing the rules of *Shari'ah* into *taklifi* and *wad'i* is also applicable to modern western law. When we read, in the Rent Act for example, a clause which requires the tenant to pay the rent in accordance with the tenancy contract, it is a *hukm taklifi* which consists of a command. Similarly, when there is a clause which requires the tenant not to use the premises for commercial purposes, it is a demand consisting of a prohibition. And if there be a clause to the effect that the tenant may sublet the property, it is an option which the tenant may or may not wish to exercise. Needless to say, any aspect of such provisions may be subjected to certain conditions or hindrances as the contracting parties may wish to stipulate. [43. Cf. Khallaf, *'Ilm*, p. 104.]

As noted above, declaratory law is divided into five varieties. The first three of these, namely cause, condition and hindrance, have already been discussed to some extent. Two other varieties which are added to these are the *'azimah* (strict law) as opposed to *rukhsah* (concessionary law), and valid (*sahih*) as opposed to invalid (*batil*). To include the first three under *al-hukm al-wad'i* is obvious from the very definition of the latter. But classifying the last two divisions, that is, *azimah-rukhsah* and *sahih-batil*, under *al-hukm al-wad'i* may need a brief explanation. It is well to point out in this connection that almost every concession that the Lawgiver has granted to the individual is based on certain causes which must be present if the concession is to be utilised. The Lawgiver, for example, enacts traveling, illness or removal of hardship into the cause of a concession in regard to, say, fasting or *salah*. In classifying *sahih* and *batil* as sub-divisions of declaratory law, it will be further noted that a *hukm* is valid when the conditions of its validity are fulfilled, and is invalid if these conditions are not met. In short, since the last two divisions are basically concerned with causes and conditions, they are included under the class of declaratory law. [44. Qasim, *Usul*, p. 228; Abu 'Id, *Mabahith*, p. 105.]

We shall now proceed to discuss each of the five varieties of *al-hukm al-wad'i* separately.

## II.1 Cause (*Sabab*)

A *sabab* is defined as an attribute which is evident and constant [*wasf zahir wa-mundabat*] and which the Lawgiver has identified as the indicator of a *hukm* in such a way that its presence necessitates the presence of the *hukm* and its absence means that the *hukm* is also absent. A *sabab* may be an act which is within the power of the *mukallaf*, such as murder and theft in their status as the causes of retaliation (*qisas*) and a *hadd* penalty respectively. Alternatively, the *sabab* may be beyond the control of the *mukallaf* such as minority being the cause of guardianship over the person and property of a minor.

When the *sabab* is present, whether it is within or beyond the control of the *mukallaf*, its effect (i.e. the *musabbab*) is automatically present even if the *mukallaf* had not intended it to be. For example, when a man divorces his wife by a revocable *talaq*, he is entitled to resume marital relations with her even if he openly denies himself that right. Similarly, when a man enters into a contract of marriage, he is obligated to provide dower and maintenance for his wife even if he explicitly stipulates the opposite in their contract. For once the Lawgiver identifies something as a cause, the effect of that cause comes about by virtue of the Lawgiver's decree regardless of whether the *mukallaf* intended it to be so or not.<sup>[45. Shawkani, *Irshad*, p.6; Khallaf, *ʿIlm*; p. 118; Abu ʿId, *Mabahith*, p. 92.]</sup>

## II.2 Condition (*Shart*)

A *shart* is defined as an evident and constant attribute whose absence necessitates the absence of the *hukm* but whose presence does not automatically bring about its object (*mashrut*). For example, the presence of a valid marriage is a precondition of divorce, but it does not mean that when there is a valid marriage, it must lead to divorce. Similarly, the ablution (*wuduʿ*) is a necessary condition of *salah*, but the presence of *wudu* does not necessitate *salah*.

A condition normally complements the cause and gives it its full effect. Killing is, for example, the cause of retaliation; however, this is on condition that it is deliberate and hostile. The contract of marriage legalises/causes sexual enjoyment between the spouses; however, this is on condition that two witnesses testify to the marriage. The legal consequences of a contract are not fully realised without the fulfillment of its necessary conditions.

A condition may be laid down by the Lawgiver, or by the *mukallaf*. Whenever the former enacts a condition, it is referred to as *shart sharʿi*, or 'legal condition', but if it is a condition which is stipulated by the *mukallaf*, it is referred to as *shart jaʿli*, or 'improvised condition'. An example of the former is witnesses in a marriage contract, and of the latter, the case when spouses stipulate in their marriage contract the condition that they will reside in a particular locality.

*Shart* also differs with *rukʿn* (pillar, essential requirement) in that the latter partakes in the essence of a thing. This would mean that the law or *hukm*, could not exist in the absence of its *rukʿn*. When the whole or even a part of the *rukʿn* is absent, the *hukm* collapses completely, with the result that the latter becomes null and void (*batil*). A *shart*, on the other hand, does not partake in the essence of a *hukm*, although it is a complementary part of it. Bowing and prostration (*rukuʿ and sajdah*), for example, are each an essential requirement (*rukʿn*) of *salah* and partake in the very essence of *salah*, but ablution is a

condition of *salah* as it is an attribute whose absence disrupts the *salah* but which does not partake in its essence. [46. Khallaf, *’Ilm*, p. 118; Abu ’Id, *Mabahith*, pp. 96-99; Qasim, *Usul*, p. 231.]

### II.3 Hindrance (*Mani`*)

A *mani`* is defined as an act or an attribute whose presence either nullifies the *hukm* or the cause of the *hukm*. In either case, the result is the same, namely that the presence of the *mani`* means the absence of the *hukm*. For example, difference of religion, and killing, are both obstacles to inheritance between a legal heir and his deceased relative, despite the fact that there may exist a valid tie of kinship (*qarabah*) between them: when the obstacle is present, the *hukm*, which is inheritance, is absent.

From the viewpoint of its effect on the cause (*sabab*) or on the *hukm* itself, the *mani`* is divided into two types. First, the *mani`* which affects the cause in the sense that its presence nullifies the cause. An example of this is the indebtedness of a person who is liable to the payment of *zakah*. The fact of his being ill debt hinders the cause of *zakah*, which is ownership of property. A person who is in debt to the extent of insolvency is no longer considered, for purposes of *zakah*, to be owning any property at all. Thus when the cause is nullified, the *hukm* itself, which is the duty to pay *zakah*, is also nullified. Secondly, there is the hindrance which affects the *hukm*. The presence of this type of hindrance nullifies the *hukm* directly, even if the cause and the condition are both present. An example of this is paternity, which hinders retaliation: if a father kills his son, he is not liable to retaliation although he may be punished otherwise. Paternity thus hinders retaliation according to the majority of ulema (except Imam Malik) despite the presence of the cause of retaliation, which is killing, and its condition, which is hostility and the intention to kill. Imam Malik has held, on the other hand, that the father may be retaliated against for the deliberate killing of his offspring. [47. Khallaf, *’Ilm*, p. 120; Abu ’Id, *Mabahith*, p. 101.]

### II.4 Strict Law (*Azimah*) and Concessionary Law (*Rukhsah*)

A law, or *hukm*, is an *’azimah* when it is in its primary and unabated rigour without reference to any attenuating circumstances which may soften its original force or even entirely suspend it. It is, in other words, a law as the Lawgiver had intended it in the first place. For example, *salah*, *zakah*, the *hajj*, *jihad*, etc., which God has enjoined upon all competent individuals, are classified under *’azimah*. A law, or *hukm*, is a *rukhsah*, by contrast, when it is considered in conjunction with attenuating circumstances. Whereas *’azimah* is the law in its normal state, *rukhsah* embodies the exceptions, if any, that the

Lawgiver has granted with a view to bringing facility and ease in difficult circumstances. Thus the law which grants a concession to travelers to break the fast during Ramadan is an exception to the norm that requires everyone to fast. The concessionary law in this case is valid only for the duration of traveling, after which the *'azimah* must be complied with again. Similarly, if a Muslim is compelled to renounce his faith, he is permitted to do so even though the strict law would require him to persist in his faith until death. The excuse in this case is founded in the right of the person to life, and is clearly granted in the Qur'an (al-Nahl, 16:106), which allows the utterance of words of infidelity under duress. Strict law may consist of either commands or prohibitions. Thus the prohibition of murder, theft, adultery, wine-drinking, etc., are all instances of *'azimah* in the Qur'an. [48. Aghnides, *Muhammedan Theories*, p. 85ff; Abu 'Id, *Mabahith*, p. 104.]

*'Azimah* is a command of the Lawgiver which binds the *mukallaf*, while *rukhsah* embodies a concession in respect of that command. The two are interrelated in that *rukhsah* can only exist when there is *'azimah* in the first place. God Almighty has not made, for example, fasting in the month of Shawwal (the month following Ramadan) obligatory upon Muslims. This is not a concession, as there exists no obligations in the first place. Similarly, the normal state of *ibahah* regarding foods and drinks is not *rukhsah*, whereas the permission to eat prohibited meat in certain circumstances is *rukhsah*. It would also be incorrect to call the permissibility of *tayammum* (i.e. dry ablution with clean earth or sand) in the absence of water a *rukhsah*: when there is no water it is not possible to make an ablution proper *wudu'* in the first place. But *tayammum* is a *rukhsah* if it is a substitute for *wudu'* when the weather is extremely cold. The point is that in *rukhsah* the individual must be able to take an alternative course of action. [49. Ghazali, *Mustasfa*, I, 62-63.]

*Rukhsah* occurs to any of four varieties. Firstly, in the form of permitting a prohibited act on grounds of necessity, such as eating the flesh of a carcass, and drinking wine at the point of starvation or extreme thirst. Secondly, *rukhsah* may occur in the form of omitting a *wajib* when conformity to that *wajib* causes hardship, such as the concession granted to the traveler to shorten the quadruple *salah*, or not to observe the fasting of Ramadan. Thirdly, in the area of transactions, *rukhsah* occurs in the form of validating contracts which would normally be disallowed. For example, lease and hire (*ijarah*), advance sale (*salam*) and order for the manufacture of goods (*istisna'*) are all anomalous, as the object of contract therein is non-existent at the time of contract, but they have been exceptionally permitted in order to accommodate the public need for such transactions. And lastly, *rukhsah* occurs in the form of concessions to the Muslim *ummah* from certain rigorous laws which were imposed under previous revelations. For example, *zakah* to the extent of one-quarter of one's property, the impermissibility of *salah* outside a mosque, and the illegality of taking booty (i.e. *ghanimah*), which were imposed on people under previous religions, have been removed by the *Shari'ah* of Islam. [50. Abu Zahrah, *Usul*, p. 50; Abu 'Id, *Mabahith*, pp. 106-112.]

## II.5 Valid, Irregular and Void (*Sahih, Fasid, Batil*)

These are *Shari'ah* values which describe and evaluate legal acts incurred by the *mukallaf*. To evaluate an act according to these criteria depends on whether or not the act in question fulfils the essential requirements (*arkan*) and conditions (*shurut*) that the *Shari'ah* has laid down for it, as well as to ensure that there exist no obstacles to hinder its proper conclusion. For example, *salah* is a *shar'i* act and is evaluated as valid when it fulfils all the essential requirements and conditions that the *Shari'ah* has provided in this regard. Conversely, *salah* becomes void when any of its essential requirements and conditions are lacking. Similarly, a contract is described as valid when it fulfils all of its necessary requirements, and where there is nothing to hinder its conclusion; otherwise it is void. When *salah* is performed according to its requirements, it fulfils the *wajib*, otherwise, the *wajib* remains unfulfilled. A valid contract gives rise to all of its legal consequences whereas a void contract fails to satisfy its legal purpose.

The ulema are in agreement to the effect that acts of devotion (*'ibadat*) can either be valid or void, in the sense that there is no intermediate category in between. Legal acts are valid when they fulfill all the requirements pertaining to the essential requirements (*arkan*), causes, conditions and hindrances, and are void when any of these is lacking or deficient. An act of devotion which is void is non-existent *ab initio* and of no consequence whatsoever. The majority of ulema have maintained a similar view with regard to transactions, namely, that a transaction is valid when it is complete in all respects. Only a valid contract of sale, for example, can give rise to its legal consequences, namely, to transfer ownership of the object of sale to the buyer and to establish the vendor's ownership over its price (*thaman*). A contract is void when it is deficient in respect of any of its requirements, although the Hanafis are in disagreement with the majority over the precise nature of this deficiency. The majority of ulema maintain that invalidity is a monolithic concept in that there are no shades and degrees of invalidity. An act or transaction is either valid or void, and there is nothing in between. According to this view, *fasid* and *batil* are two words with the same meaning, whether in reference to devotional matters or to civil transactions. Likewise, to the majority it makes no difference whether the deficiency in a contract affects an essential element (*ruk'n*) such as the sale of a dead carcass, or a condition, such as sale for an unspecified price; both are void and non-existent *ab initio*.

The Hanafis have, however, distinguished an intermediate category between the valid and void, namely the *fasid*. When the deficiency in a contract affects an essential requirement (*ruk'n*), the contract is null and void and fulfils no legal propose. If, however, the deficiency in a contract only affects a condition, the contract is *fasid* but not void. A *fasid* contract, although deficient in some respects, is still a contract and produces some of its legal consequences, but not all. Thus a *fasid* contract of sale establishes the purchaser's ownership over the object of sale when he has taken possession thereof, but does not entitle the purchaser to the usufruct (*intifa'*). Similarly, in the case of an irregular contract of marriage, such as one without witnesses, the spouses or the *qadi* must either remove the deficiency or dissolve the marriage, even if the marriage has been consummated. If the deficiency is known before

consummation, the consummation is unlawful. But the wife is still entitled to the dower (*mahr*) and must observe the waiting period of *`iddah* upon dissolution of marriage. The offspring of a *fasid* marriage is legitimate, but the wife is not entitled to maintenance, and no right of inheritance between the spouses can proceed from such a marriage.

The Hanafis describe the *fasid* as something which is essentially lawful (*mashru`*) but is deficient in respect of an attribute (*wasf*) as opposed to the *batil* which is unlawful (*ghayr mashru`*) on account of its deficiency in regard to both essence (*asl*) and attribute. The Hanafi approach to the *fasid* is also grounded in the idea that the deficiency which affects the attribute but not the essence of a transaction can often be removed and rectified. If, for example, a contract of sale is concluded without assigning a specified price, it is possible to specify the price (*thaman*) after the conclusion of the contract and thus rectify the irregularity at a later opportunity, that is, as soon as it is known to exist or as soon as possible. <sup>[51. Abu Zahrah, *Usul*, pp. 51-52; Abu `Id, *Mabahith*, pp. 103-104; Qasim, *Usul*, pp. 236-238.]</sup>

### III. The Pillars (*Arkan*) of *Hukm Shar`i*

The *hukm shar`i*, that is, the law or value of *Shari`ah*, consists of three essential components. First of all, the *hukm* must have been authorised by the *hakim*, that is, the Lawgiver; it must also have a subject matter which is referred to as *mahkum fih*, and then an audience, namely the *mahkum `alayh*, who must be capable of understanding or at least of receiving the *hukm*. We shall treat each of these under a separate heading, as follows.

#### III.1 The Lawgiver (*Hakim*)

The ulema are unanimous to the effect that the source of all law in Islam is God Most High, whose will and command is known to the *mukallaf* either directly through divine revelation, or indirectly by means of inference, deduction and *ijtihad*. The Qur'an repeatedly tells us that 'The prerogative of command belongs to God alone' (Al-Imran, 6:57). Law and justice in the Muslim community must derive their validity and substance from the principles and values that the Lawgiver has sanctioned. This is the purport of the Qur'anic text in sura al-Ma'idah (5:45 and 5:49) which declares to be unbelievers those who refuse to accept the authority of the divine law. Even the Prophet does not partake in the prerogative of command, as his command, or that of the ruler, the imam, the master or the father for that matter, does not constitute binding authority in its own right; instead, obedience to such individuals



is founded in the command of the Lawgiver. Neither is human intellect, or '*aql*', alone, a source of law in its own right. <sup>[52. Ghazali, *Mustasfa*, I, 53; Abu Zahrah, *Usul*, p. 54.]</sup>

The ulema are in disagreement, however, as to the way in which the will or the *hukm* of the Lawgiver regarding the conduct of the *mukallaf* is to be known and identified. Can we know it by means of our intellectual faculty without the aid and mediation of messengers and scriptures, or is the human intellect incapable of ascertaining the law without divine guidance? A similar question arises concerning harmony and concordance between reason and revelation, in that when the human intellect determines that something is good (*hasan*) or evil (*qabih*), is it imperative that the *hukm* of the Lawgiver should be identical with the dictates of reason? In response to these questions, the ulema have advanced three different views, which are as follows:

Firstly, the Ash'arites, namely the followers of Abu'l-Hasan al-Ash'ari (d. 324 A.H.), maintain that it is not possible for human intellect to determine what is good and evil in the conduct of the *mukallaf*, or to identify the *hukm* of the Lawgiver concerning the conduct of the *mukallaf*, without the aid of divine guidance. For human reasoning and judgment are liable to err. While an act may be evaluated by one person as good, another person might say the opposite. We normally say, for example, that honesty is good, but when it is likely to cause the death of an innocent person in the hands of a tyrant, it may be regarded as evil. It is therefore not for the human intellect to determine the values of things, and we cannot say that what the '*aql*' deems to be good is necessarily good in the sight of God, or that what it considers evil is also evil in His sight. The Ash'arites thus maintain that right and wrong are not determined by reference to the nature of things, or our perception thereof, but are determined as such by God. When the lawgiver permits or demands an act, we know that it is right/good, and when He forbids an act, it is certain that the act in question is wrong/evil. Hence the criterion of right and wrong is *shar'*, not '*aql*'. According to this view, which is held by the majority of ulema, what the law commands is good and what it forbids is evil. This view is in accord with what is known as the principle of the rule of law (also known as the principle of legality) which establishes that a man is not required to do something or to avoid doing it unless the law has been communicated to him in advance. No-one is either rewarded for an act or punished for an omission unless he knows its status by means of a clear communication. Thus when a person happens to be living in total isolation and has never received the message of the Lawgiver, he is not a *mukallaf* and deserves neither reward nor punishment. This view quotes in support the Qur'anic proclamation: 'And We never punish until We send a messenger' (al-Isra, 17:15), which indicates that reward and punishment are based on the revealed law, not the human intellect. Elsewhere in the Qur'an, we also read, in a reference to the purpose of divine revelation, '[...] so that after the coming of messengers, mankind would have no plea against God' (al-Nisa, 4:165). In yet another place the Qur'an affirms that punishment is imposed only after the people are duly warned but not before: in a reference to the disbelievers, the Qur'an thus proclaims: 'Had We inflicted on them a penalty before this [revelation] they would have said: Our Lord! If only you had sent us a messenger, we would have followed your signs [ . . . ]' (Ta-Ha, 20:134).

The Ash'arites maintain the view that the commands of the Lawgiver relate to the conduct of the *mukallaf* only after the advent of Islam and that prior to this event there is no basis for obligation. Infidelity (*kufir*) is not *haram*, nor is faith (*lyman*) *wajib* before the revelation actually declares it so.<sup>[53]</sup> Shawkani, *Irshad*, p.7. Abu Zahrah, *Usul*, p.57ff; Khallaf, *'Ilm*, p. 97.]

Secondly, the Mu'tazilah, that is, the followers of Ibrahim al-Nazzam, have held the view that human intellect can identify the law of God regarding the conduct of the *mukallaf* even without the mediation of scriptures and messengers. The *shar'* only removes the curtain from what the *'aql* could itself perceive, and in essence the former is identical with the latter. The intellect (*'aql*) can identify the good and evil in human conduct by reference to its benefit and harm. God's law concerning the conduct of the *mukallaf* is not only identifiable by the human intellect but is also identical with the dictates of the human intellect. God only asks the *mukallaf* to do what is beneficial and forbids him from doing what is harmful. Whatever the *'aql* sees as good or right, is also good in the sight of God, and vice versa. A person who acts against the requirement of reason may therefore be punished and one who acts in harmony with it may be rewarded. In this way, a person who has received no communication from the Lawgiver can still be considered a *mukallaf* and be held responsible on the basis of reason, and his punishment or reward can be determined accordingly. The Mu'tazilah assert that it is impossible for God to command something which is inherently evil or to prohibit something that is intrinsically good, which obviously means that *shar'* and *'aql* are always in agreement with one another.<sup>[54]</sup> Ghazali, *Mustasfa*, I, 36; Khallaf, *'Ilm*, p.98; Abu 'Id, *Mabahith*, p.121.]

Al-Ghazali is critical of the Mu'tazili view for its propensity to turn the determination of good and evil into a totally relative proposition. When an act is agreeable to one person and disagreeable to another, it is good from the viewpoint of the former and evil from that of the latter. Such a relativistic and circumstantial approach to good and evil is totally unacceptable. The *Shari'ah* does not and cannot operate on this basis. Instead, the *Shari'ah* evaluates the acts and conduct of the *mukallaf* on an objective plane regardless of whether they agree or disagree with particular interests. When the Lawgiver commands an act, or when He praises it, it is praiseworthy and good in all cases.<sup>[55]</sup> Ghazali, *Mustasfa*, I, 136.] Al-Shawkani is also critical of the Mu'tazili view, and highlights some of its weaknesses by saying that certain areas of human conduct are not amenable to rational evaluation. It is true that *'aql* can determine the value, say, of truth and falsehood, as truth is beneficial and lying is harmful. *'Aql* can also discern the value of saving the life of a drowning or of a starving man, yet it cannot determine the virtue of fasting on the last day of Ramadan or the enormity of fasting on the day which follows it. The good and evil in this case can only be determined by *shar'*, not by *'aql*.<sup>[56]</sup> Shawkani, *Irshad*, p. 7.] Most of the *'ibadat*, including *salah* and the pilgrimage of *hajj*, fall under this category. The human intellect may be able to perceive a value in them only because of a benevolence and grace (*lutf*) therein which prevents obscenity and corruption; but *'aql* alone is unable to assess the precise value of *'ibadat*.<sup>[57]</sup> Ghazali, *Mustasfa*, I, 36.]

The Mu'tazili approach to the question of right and wrong embodies a utilitarian approach to jurisprudence in the sense that a good law is that which brings the greatest benefit to the largest number. Right and wrong are evaluated from the viewpoint of the benefit and harm that they entail to the person who acts upon it and to others. Acts which do not relate to this context are simply regarded as of no consequence; they are branded as *'abath*, that is, totally 'in vain'.

Thirdly, the Maturidis, namely the followers of Abu Mansur al-Maturidi (d.333 A.H.) have suggested a middle course, which is adopted by the Hanafis and considered to be the most acceptable. According to this view, right and wrong in the conduct of the *mukallaf* can indeed be ascertained and evaluated by the human intellect. But this does not necessarily mean that the law of God in regard to such conduct is always identical with the dictates of *'aql*, for human intellect is liable to error. The knowledge of right and wrong must therefore be based on divine communication. This view basically combines the two foregoing opinions, but tends to lean more toward the Ash'arites in that the responsibility of the *mukallaf* is to be determined not with reference to the dictates of human reason but on the basis of the law as the Lawgiver has communicated it. *'Aql* is capable of discerning good and evil, but this evaluation does not constitute the basis of reward and punishment; which is a matter which is solely determined by the Lawgiver. Whatever the Lawgiver has commanded is right, and merits reward, and whatever He has forbidden is wrong and its perpetrator is liable to punishment. This view also agrees with that of the Mu'tazilah to the extent of its recognition that the inherent values of things are discernible by human intellect which can perceive and detect values in the nature of things. The Maturidis, however, differ with the Mu'tazilah in that they hold that no reward or punishment can be granted on the basis of *'aql* alone. <sup>[58. Abu Zahrah *Usul*, p. 56; Khallaf, *'Ilm*, p. 99; Abu 'Id, *Mabahith*, p. 123; Qasim, *Usul*, pp.239-243.]</sup>

### III.2 The Subject-Matter of *Hukm* (*al-Mahkum Fih*)

*Mahkum fih* denotes the acts, rights and obligations of the *mukallaf* which constitute the subject-matter of a command, prohibition or permissibility. When the ruling of the Lawgiver occurs in the forms of either *wajib* or *mandub*, in either case the individual is required to act in some way. Similarly, when the *hukm* of the Lawgiver consists of a prohibition (*tahrim*) or abomination (*karahah*), it is once again concerned with the conduct of the *mukallaf*. In sum, all commands and prohibitions are concerned with the acts and conduct of the *mukallaf*.

When the demand of the Lawgiver occurs in the form of a defining law (*al-hukm al-taklifi*) such as fasting, *jihad*, and the payment of *zakah*, etc., the subject-matter of the *hukm* is the act of the *mukallaf*. Similarly, when the demand of the Lawgiver occurs in the form of declaratory law (*al-hukm al-wad'i*),

such as ablution (*wudu'*) being a condition of *salah*, or sale which is the cause (*sabab*) of ownership, or killing which is a hindrance (*mani'*) to inheritance, the subject-matter of *hukm* in all these consists of the act of the *mukallaf*. Occasionally, the *mahkum fih* does not consist of the conduct of the individual, but even then it is related to it. For example, the arrival of Ramadan which is the cause (*sabab*) of fasting is not an act of the individual, but is related to the latter in the sense that the effect (*musabbab*) of that cause, namely the fasting, consists of the act of the *mukallaf*.<sup>[59. Khallaf, 'Ilm, p. 128; Abu Zahrah, Usul, p. 249.]</sup>

In order to constitute the subject matter of a *hukm*, the conduct which the individual is required to do, or avoid doing, must fulfill the following three conditions.

Firstly, the individual must know the nature of the conduct so that he can perform what is required of him or refrain from that which is forbidden.<sup>[60. Knowledge in this context means understanding the nature of a command or a prohibition</sup>

<sup>by the individual to the extent that he can act upon it. It does not mean affirmation of the mind (*tasdiq*). For if this were to be a requirement, the unbelievers would have been excluded from the meaning of *mukallaf*, which they are not. See Shawkani, *Irshad*, p. 11.]</sup> An ambivalent text or a locution which

does not impart this knowledge cannot constitute the basis of either a command or a prohibition. The ambivalent (*mujmal*) text of the Qur'an concerning *salah*, *zakah* and *hajj*, for example, did not obligate anyone until these matters were explained and clarified by the Prophet. The manner in which these obligations were to be discharged was also explained in precise terms. Furthermore, the ulema are in agreement to the effect that the necessary instruction or explanations must not be delayed and must be given in time when they are needed, otherwise they would fail to provide the basis of obligation (*taklif*).

When we say that the individual must know the nature of the act he is required to do, it means that it should be possible for him to obtain such knowledge. Hence when a person is in full possession of his capacities and it is possible for him to learn the law, he is presumed to know his legal obligations. The law is therefore applied to him, and his ignorance of the rules of *Shari'ah* is no excuse. For if actual knowledge by the individual were to be a requirement of the law, it would be very difficult to prove such knowledge in all cases of violation. It is therefore sufficient to ensure that the individual can acquire knowledge of the *Shari'ah* either directly or by asking those who have such knowledge.

Secondly, the act which the individual is required to do must be within his capability, or, in the case of a prohibition, be within his capability to avoid. No law may thus demand something which is beyond the capacity of the individual. The principle here is dearly stated in the Qur'an, which declares that 'God does not obligate a living soul beyond the limits of his capacity' (al-Baqarah, 2:256) and that 'God puts no burden on any person beyond what He has given him' (al-Talaq, 65:7).

An act may be conceptually unfeasible, such as asking a person to be awake and asleep at the same time, or asking him to do and not to do something simultaneously. Likewise, an act may be physically impossible, such as ordering a person to fly without the necessary means. No-one may be required to do the impossible, and it makes no difference whether the act is impossible by its nature or whether it is

beyond the capacity of the individual in view of his particular conditions. [61. Shawkani, *Irshad*, p. 11; Khallaf, *’Ilm*, p. 128ff; Abu Zahrah, *Usul*, 250ff.]

A corollary of this rule is that no person may be obligated to act on behalf of another person or to stop another competent individual from acting. For this would be tantamount to asking a person to do the impossible. No-one may therefore be legally obligated to pay the *zakah* on behalf of his brother, or to perform the *salah* on behalf of his father, or to prevent his neighbour from committing theft. All that one *mukallaf* may be lawfully expected to do in such situations is to give good advice (*nasihah*) as a part of his general duty to promote good and to prevent evil to the extent that this is possible for him as a law-abiding citizen.

Similarly, no-one may be obligated to do or not to do something in regard to which he has no choice, such as asking someone to act against his natural and biological functions. Thus when we read in the Hadith a command asking the Muslims to 'avoid anger [*la taghdab*]', although the manifest (*zahir*) terms of this Hadith demand avoidance of a natural phenomenon, what it really means is that the adverse consequences of uncontrolled anger which might lead to taking the law into one's own hands must be avoided. To give another example, the Qur'an orders the believers 'not to despair over matters that have passed you by, nor to exult over the favours that are bestowed upon you' (al-Hadid, 57:23). Pleasure and despair are natural phenomena, and as such they are basically beyond the individual's control. What is really meant here is that one should avoid the consequences of despair such as violence against oneself or another person, and ensure that joy and happiness do not lead to arrogance and contemptuous behaviour.

There is, of course, some hardship involved in all obligations. The kind of hardship that people can tolerate without prejudice or injury is not the aim. It is intolerable hardship which the *Shari'ah* does not impose. The *Shari'ah*, for instance, forbids continuous fasting (*sawm al-wisal*), or staying up all night for worship. Furthermore, the *Shari'ah* has granted certain concessions with a view to preventing hardship to individuals, and it is strongly recommended that they be utilised. This is the purport of the reminder contained in the Hadith that 'God loves to see that His concessions are taken advantage of, just as He hates to see the commission of a sin.' [62. Ibn Hanbal, *Musnad*, II, 108.]

In yet another Hadith we read an address to the believers, who are asked: 'fulfill your duties to the extent of your ability', [63. Muslim, *Sahih Muslim*, p.104. Hadith no.378.] which obviously means that legal obligations are only operative within the limits of one's capacity.

A *hukm shar'i* may sometimes impose unusual hardship on the individual, such as the fulfillment of certain collective obligations like *jihad* (holy struggle) and *hisbah*, that is, promotion of good and prevention of evil, under adverse conditions. *Jihad* which requires the sacrifice of one's life is

undoubtedly onerous in the extreme. But it is deemed necessary and warranted in view of the values that are upheld and defended thereby. [64. Cf. Abu 'Id, *Mabahith*, p.139.]

And lastly, the demand to act or not to act must originate in an authoritative source which can command the obedience of the *mukallaf*. This would mean that the *hukm* must emanate from God or His messenger. It is mainly due to this requirement that the proof or evidence in which the law is founded must be identified and explained. Consequently, we find that in their juristic expositions, the *fuqaha* normally explain the evidential basis (*hujjiyyah*) of the rules of *Shari'ah* that they expound, especially rules which are aimed at regulating the conduct of the *mukallaf*. [65. Abdur Rahim, *Jurisprudence*, p. 202; Abu Zahrah, *Usul*, p.256ff.]

The next topic which needs to be discussed under the subject-matter of *hukm* is the division of rights into the two categories of *haqq Allah* and *haqq al-'abd*.

The acts of the *mukallaf* may consist of either a Right of God (*haqq Allah*) or a Right of Man (*haqq al-'abd*), or of a combination of both. The Right of God is called so not because it is of any benefit to God, but because it is beneficial to the community at large and not merely to a particular individual. It is, in other words, a public right and differs from the Right of Man, or private right, in that its enforcement is a duty of the state. The enforcement of a private right, on the other hand, is up to the person whose right has been infringed, who may or may not wish to demand its enforcement. [66. Khallaf, *'Ilm*, p. 128; Abu 'Id, *Mabahith*, p.

128.] The ulema have further classified these rights under four main categories, which are as follows.

Firstly, acts which exclusively consist of the Right of God, such as acts of devotion and worship, including *salah* and *jihad*, which are the pillars of religion and are necessary for the establishment of an Islamic order. These, which are often referred to as *huquq Allah al-khalisah*, or pure Rights of God', occur in eight varieties:

a) Rights of God which, consist exclusively of worship, such, as professing the faith (*iman*), *salah*, *zakah*, the pilgrimage and *jihad*.

b) Rights which consist of both worship and financial liability (*ma'unah*), such as charity given on the occasion of *'id al-fitr*, marking the end of Ramadan.

c) Rights in which financial liability is greater than worship, like the tithe that is levied on agricultural crops.

d) Rights of God which consist of financial liability but have a propensity toward punishment, such as the imposition of *kharaj* tax on land in the conquered territories.

e) Rights which consist of punishment only, like the *hudud*, that is, the prescribed penalties for theft and adultery, and so forth.

f) Rights which consist of minor punishment (*uqubah qasirah*), such as excluding the murderer from the inheritance of his victim. This is called *uqubah qasirah* on account of the fact that it inflicts only a financial loss.

g) 'Punishments which lean toward worship', such as the penances (*kaffarat*).

h) Exclusive rights, in the sense that they consist of rights alone and are not necessarily addressed to the *mukallaf*, such as the community right to mineral wealth or to the spoils of war (*ghana'im*).<sup>[67. Abu Sinnah,</sup>

*Nazariyyah al-Haqq*, p.179; Abu 'Id, *Mabahith*, p. 141ff.]

Secondly, acts which exclusively consist of the rights of men, such as the right to enforce a contract, or the right to compensation for loss, the purchaser's right to own the object he has purchased, the vendor's right to own the price paid to him, the right of pre-emption (*shuf*), and so on. To enforce such rights is entirely at the option of the individual concerned; he may demand them or waive them, even without any consideration.

Thirdly, acts in which the rights of the community and those of individuals, are combined, while of the two the former preponderate. The right to punish a slanderer (*qadhif*) belongs, according to the Hanafis, to this class, by reason of the attack made on the honour of one of its members. Since the Right of God is dominant in *qadhif*, the victim of this offence (i.e. the *maqdhuf*) cannot exonerate the offender from punishment. The Shafi'is have, however, held the contrary view by saying that *qadhif* is an exclusive Right of Man and that the person so defamed is entitled to exonerate the defamer. All acts which aim at protecting human life, intellect and property, fall under this category. To implement consultation (*shura*) in public affairs is one example, or the right of the individual in respect of *bay'ah* in electing the head of state. According to the Maliki jurist al-Qarafi, all rights in Islam partake in the Right of God in the exclusive sense that there is no right whatsoever without the *haqq Allah* constituting a part thereof. Thus when a person buys a house, he exercises his private right insofar as it benefits him, but the transaction partakes in the Right of God insofar as the buyer is liable to pay the purchase price. The basic criterion of distinction between the Right of God and the Right of Man is whether it can be exempted by the individual or not. Thus the vendor is able to exonerate the purchaser from paying the price, and a wife is able to exonerate her husband from paying her a dower (*mahr*), but the individual cannot exonerate anyone from obligatory prayers, or from the payment of *zakah*.<sup>[68. Abu Sinnah, *Nazariyyah al-Haqq*, p. 181.]</sup>

Fourthly, there are matters in which public and private rights are combined but where the latter preponderate. Retaliation (*qisas*), and blood-money (*diyah*) of any kind, whether for life or for grievous

injury, fall under this category of rights. The community is entitled to punish such violations, but the right of the heirs in retaliation and in *diyah* for erroneous killing, and the right of the victim in respect of *diyah* for injuries, is preponderant in view of the grievance and loss that they suffer as a result. The guardian (*wali*) of the deceased, in the case of *qisas*, is entitled to pardon the offender or to accept a compensation from him. But the state, which represents the community, is still entitled to punish the offender through a *ta'zir* punishment even if he is pardoned by the relatives of the deceased. [69. Abu Zahrah, *Usul*, p. 257; Abu 'Id, *Mabahith*, p. 145.]

### III.3 Legal Capacity (*Ahliyyah*)

Being the last of the three pillars (*arkan*) of *hukm shar'i* this section is exclusively concerned with the legal capacity of the *mahkum 'alayh*, that is, the person to whom the *hukm* is addressed, and it looks into the question of whether he is capable of understanding the demand that is addressed to him and whether he comprehends the grounds of his responsibility (*taklif*). Since the possession of the mental faculty of *'aql* is the basic criterion of *taklif*, the law concerns itself with the circumstances that affect the sanity and capacity of the individual, such as minority, insanity, duress, intoxication, interdiction (*hajr*) and mistake.

Legal capacity is primarily divided into two types: capacity to receive or inhere rights and obligations, referred to as *ahliyyah al-wujub*, and capacity for the active exercise of rights and obligations, which is referred to as *ahliyyah al-ada'*. The former may be described as 'receptive legal capacity', and the latter as 'active legal capacity'. [70. Cf. Abdur Rahim, *Jurisprudence*, p. 217.]

Every person is endowed with legal capacity of one kind or another. Receptive legal capacity is the ability of the individual to receive rights and obligations on a limited scale, whereas active legal capacity enables him to fulfill rights and discharge obligations, to effect valid acts and transactions, and in bear full responsibility toward God and his fellow human beings. The criterion of the existence of receptive legal capacity is life itself, whereas the criterion of active legal capacity is maturity of intellect. Receptive legal capacity is vested in every human being, competent or otherwise. An insane person, a foetus in the womb, a minor and a foolish person (*safih*), whether in good health or in illness: all possess legal capacity by virtue of their dignity as human beings. [71. Khallaf, *Ilm*, p. 136.]

Active legal capacity is only acquired upon attaining a certain level of intellectual maturity and competence. Only a person who understands his acts and his words is competent to conclude a contract, discharge an obligation, or be punished for violating the law. Active legal capacity, which is the basis of responsibility (*taklif*), is founded in the capacity of the mind to understand and to discern. But since



intelligence and discernment are hidden qualities which are not readily apparent to the senses, the law has linked personal responsibility with the attainment of the age of majority (*bulugh*), which is an obvious phenomenon and can be established by factual evidence. However, it is the intellectual faculty of the individual rather than age as such which determines his legal capacity. This is why an adult who is insane, or an adult of any age who is asleep, is not held responsible for his conduct. The principle here is clearly stated in the Hadith which provides: 'The pen is lifted from three persons: the one who is asleep until he wakes, the child until he attains puberty, and the insane person until he regains sanity.'<sup>[72]</sup>

Tabrizi, *Mishkat*, II, 980, Hadith no. 3287.]

Receptive legal capacity may either be 'deficient' or 'complete'. The receptive legal capacity of a child in the womb is incomplete in the sense that it can only receive certain rights, such as inheritance and bequest, but cannot bear any obligation toward others. Receptive legal capacity is complete when a person can both have rights and bear obligations. This type of legal capacity is acquired by every human being as of the moment of birth. During its infancy and later stages of childhood, a child is capable of discharging, albeit through his guardian, certain obligations in respect, for example, of maintenance, liability for loss (*daman*), and payment for services rendered to him.

As for the active legal capacity, three possible situations are envisaged. First, a person may be totally lacking of active legal capacity, as in the case of a child during infancy or an insane person of any age. Since neither is endowed with the faculty of intellect, no legal consequences accrue from their words and acts. When a child or a madman kills someone or destroys the property of another person, they can only be held liable with reference to their property, but not to their persons. They cannot be subjected, for example, to retaliation, or to any other type of punishment.

Second, a person may be partially lacking in active legal capacity. Thus a discerning child (*al-sabi al-mumayyiz*), that is, a child between seven and fifteen years of age, or an idiot (*ma'tuh*) who is neither insane nor totally lacking in intellect but whose intellect is defective and weak, possess a legal capacity which is deficient. Both of them possess an active legal capacity which is incomplete and partial.<sup>[73]</sup> An idiot (*ma'tuh*) is a person who is markedly defective of understanding. A foolish and reckless person (*sufih*) is also regarded as being of defective legal capacity, in a lesser degree than the *ma'tuh*. Cf. Abdur Rahim, *Jurisprudence*, p. 240.] The discerning child and the idiot are capable only of concluding acts and transactions that are totally to their benefit, such as accepting a gift or charity, even without the permission of their guardians. But if the transaction in question is totally disadvantageous to them, such as giving a gift or making a will, or pronouncing a divorce, these are not valid at all even if their guardians happen to approve of them. As for transactions which partake in both benefit and loss, they are valid but only with the permission of the guardian (*wali*), otherwise they are null and void.

Thirdly, active legal capacity is complete upon the attainment of intellectual maturity. Hence every major person who has acquired this ability is presumed to possess active legal capacity unless there is evidence to show that he or she is deficient of intellect or insane.

Persons who are fully competent may sometimes be put under interdiction (*hajr*) with a view to protecting the rights of others. A person may be interdicted by means of a judicial order which might restrict his powers to conclude certain transactions. A debtor may thus be interdicted so that the rights of his creditors may be protected.

A person in his death-illness (*marad al-mawt*) is also deficient of legal capacity, as severe illness and fear of imminent death affect the physical and mental faculties of the individual. But ordinary illness and other conditions which do not impair the intellectual capacity of a person have no bearing on his active legal capacity. This is partly why Imam Abu Hanifah has differed with the majority of jurists by holding the view that foolishness (*safahah*), indebtedness and carelessness (*ghafalah*), do not affect the active legal capacity of a person. Abu Hanifah refuses to accept these as proper grounds of interdiction, as in his view the benefit of interdiction in these cases is far outweighed by its possible harm.<sup>[74. Khallaf,</sup>

*Ilm*, p.140; Abdur Rahim, *Jurisprudence*, p. 220.]

## Chapter Eighteen: Conflict of Evidences

Conflict (*ta`arud*) occurs when each of two evidences of equal strength requires the opposite of the other. This would mean that if one of them affirms something, the other would negate it at the same time and place. A conflict is thus not expected to arise between two evidences of unequal strength, as in this case the stronger of the two evidences would naturally prevail. Thus a genuine conflict cannot arise between a definitive (*qat'i*) and a speculative (*zanni*) evidence, nor could there be a conflict between the *nass* and *ijma'*, nor between *ijma'* and *qiyas*, as some of these are stronger than others and would prevail over them. A conflict may, however, be encountered between two texts of the Qur'an, or between two rulings of Hadith, or between a Qur'anic *ayah* and a *Mutawatir* Hadith, or between two non-*Mutawatir* Hadith, or between two rulings of *qiyas*. When there is a conflict between two Qur'anic *ayat*, or between one Hadith and a pair of *ahadith*, or between one *qiyas* and a pair of analogies, it is a case of conflict between equals, because strength does not consist in number and consequently a single *ayah*, Hadith or *qiyas* is not necessarily set aside to make room for the pair. The strength of two conflicting evidences is determined by reference to the evidence itself or to the extraneous/additional factors which might tip the balance in favour of the one over the other. For example, of the two conflicting solitary or *Ahad* Hadith, the one which is narrated by a *faqih* is considered to be stronger than that which is narrated by, non-*faqih*. [1. Badran, *Usul*, p. 461; Khudari, *Usul*, p. 359; Aghnides, *Muhammedan Theories*, p. 66.]

Conflict can only arise between two evidences which cannot be reconciled, in the sense that the subject-matter of one cannot be distinguished from the other, nor can they be so distinguished in respect of the time of their application. There are, for example, three different rulings in the Qur'an on wine-drinking, but since they were each revealed one after the other, not simultaneously, there is consequently no case of conflict between them. Similarly, if investigation reveals that each of two apparently conflicting rules can be applied to the same issue under a different set of circumstances, then once again there will be no conflict.

A genuine conflict can arise between two speculative (*zanni*) evidences, but not between definitive (*qat'i*) proofs. In this way, all cases of conflict between the definitive rulings of the Qur'an and *Sunnah* are deemed to be instances of apparent, not genuine, conflict. Furthermore, the ulema have maintained the view that a genuine conflict between two *ayat* or two *ahadith*, or between an *ayah* and a Hadith, does not arise; whenever a conflict is observed between these proofs, it is deemed to be only apparent (*zahiri*), and lacking in reality and substance. For the all-pervasive wisdom of the Lawgiver cannot countenance the enactment of contradictory laws. It is only the *mujtahid* who is deemed unable to envision the purpose and intention of the Lawgiver in its entirety who may therefore find cases of apparent conflict in the divinely-revealed law. Only in cases of evident abrogation (*naskh*), which are

largely identified and determined by the Prophet himself, could it be said that a genuine conflict had existed between the rulings of divine revelation. <sup>[2. Ghazali, *Mustasfa*, II. 126; Khallaf, *’Ilm*, p. 230.]</sup> When there is a case of apparent conflict between the rulings of the *nusus*, one must try to discover the objective of the Lawgiver and remove the conflict in the light of that objective. Indeed, the rules of reconciliation and preference proceed on the assumption that no genuine conflict can exist in the divine laws; hence it becomes necessary to reconcile them or to prefer one to the other. This would mean that either both or at least one of the evidences at issue can be retained and implemented. The *mujtahid* must therefore try to reconcile them as far as possible, but if he reaches the conclusion that they cannot be reconciled, then he must attempt to prefer one over the other. If the attempt at reconciliation and preference fails, then one must ascertain whether recourse can be had to abrogation, which should be considered as the last resort. But when abrogation also fails to offer a way out of the problem, then action must be suspended altogether and both of the conflicting texts are abandoned. <sup>[3. Khallaf, *’Ilm*; p. 229; Khudari, *Usul*, p.359.]</sup>

A case of conflict between the *nusus* and *ijma'*, or between two rulings of the latter, is inconceivable for the obvious reason that no *ijma'* can be concluded which is contrary to the Qur'an and *Sunnah* in the first place. Should a conflict arise between two analogies or proofs other than the *nusus* and *ijma'*; and neither can be given preference over the other and they cannot be reconciled, both must be suspended. Abrogation in this case does not offer an alternative course of action. For abrogation is basically confined to the definitive rulings of the Qur'an and *Sunnah*; it is irrelevant to *ijma'* and can be of little help in cases of conflict between speculative evidences.

Among the many instances of abrogation which the ulema have identified in the Qur'an, we may refer to only two; but in both cases a closer analysis will show that the conflict at issue is not genuine. Our first illustration is concerned with the precise duration of the waiting period (*'iddah*) of widows. According to one of the two *ayat* on this subject (al-Baqarah, 2:234), the widow must observe a *'iddah* of four months and ten days following the death of her husband. This *ayah* consists of a general provision which applies to every widow regardless as to whether she is pregnant at the time her husband dies or not. But elsewhere in the Qur'an, there is another ruling concerning the *'iddah* of pregnant women. This *ayah* (al-Talaq, 65:4) also conveys a general ruling to the effect that the *'iddah* of pregnant women continues until the delivery of the child. This ruling also applies to a pregnant widow, who must wait until the termination of her pregnancy. Thus a pregnant woman whose husband dies and who gives birth to a child on the same day would have completed her *'iddah* according to the second of the two rulings, whereas she must, under the first ruling, still wait for four months and ten days. The two texts thus appear to be in conflict regarding the *'iddah* of a pregnant widow.

For a second illustration of an apparent conflict in the Qur'an, we refer to the two texts concerning the validity of making a bequest to one's relatives. This is explicitly permitted in sura al-Baqarah (2:180) which provides: 'It is prescribed when death approaches any of you, if he leaves any assets, that he makes a bequest to his parents and relatives.' This ruling is deemed to have been abrogated by another

text (al-Nisa', 4:11) which prescribes for each of the close relatives a share in inheritance. This share is obviously determined, not by the will of the testator, but by the will of God. The two texts thus appear to be in conflict; however the conflict is not genuine as they can be reconciled, and both can be implemented under different circumstances. The first of the two rulings may, for example, be reserved for a situation where the parent, of the testator are barred from inheritance by a disability such as difference of religion. Since the parents in this case would be excluded from the scope of the second *ayah*, the conflict would consequently not arise and there would be no case for abrogation. The same approach can be taken regarding the foregoing *ayat* on the waiting period of widows. Whereas the first of the two texts prescribed the *'iddah* of widows to be four months and ten days, the second enacted the *'iddah* of pregnant women until the termination of pregnancy. The two texts could be reconciled if widows were to observe whichever of the two periods were the longer. If the pregnant widow delivers her child before the expiry of four months and ten days following the death of her husband, then she should wait until this period expires. But if she waits four months and ten days and has still not delivered the child, then her *'iddah* should continue until the birth of the child. Thus the apparent conflict between the *ayat* under discussion is removed by recourse to specification (*takhsis*): the second *ayah* in this case specifies the general ruling of the first insofar as it concerns pregnant widows.<sup>[4. Abu Zahrah, *Usul*, p.245; Badran, *Usul*, p. 467; Khallaf, *'Ilm*; p.231.]</sup>

To reconcile two evidences both of which are general (*'Amm*), one may distinguish the scope and subject-matter of their application from one another by recourse to allegorical interpretation (*ta'wil*). Supposing there were two conflicting orders on *salah*, one providing that '*salah* is obligatory on my *ummah*' and the other that '*salah* is not obligatory on my *ummah*.' To reconcile these two, one may assume the first to have contemplated the adult and competent members of the community and the second the minors and lunatics. If this is not possible, then the two rulings may be distinguished in regard to the notes of their respective application, or they might be assumed to have each envisaged a different set of circumstances. It is possible that one or both of the two rulings are in the nature of a manifest (*Zahir*) provision and may thus be open to *ta'wil*. The *Zahir* may be given an interpretation other than that of its obvious meaning so as to avoid a clash. This may be illustrated by the two apparently conflicting Hadiths on the subject of testimony. In the first of the two reports, the Prophet is quoted to have addressed an audience as follows: 'Should I inform you who makes the best of witnesses?' To this, the audience responded, 'Yes O Messenger of God', and the Prophet said, 'It is one who gives testimony before he is requested to do so.'<sup>[5. Muslim, *Sahih*, p. 281, Hadith no. 1059; Badran, *Usul*, p. 465.]</sup>

However, according to another Hadith, the Prophet said, 'The best generation is the one in which I live, then the generation after that and then the next one, but after that there will be people who will give testimony although they are not invited to give it.'<sup>[6. Tabrizi, *Mishkat*, III, 1695, Hadith no. 6001.]</sup>

Thus the first Hadith recommends something which the second seems to be discouraging. The best form of testimony under the first Hadith is unsolicited testimony, whereas this is frowned upon in the second.

Since neither of the two Hadith have specified a particular context, it is suggested by way of *ta'wil* that the first Hadith contemplates the Rights of God (*huquq Allah*) whereas the second Hadith contemplates the Rights of Men (*huquq al-'ibad*). In this way, the apparent conflict between the two texts is removed through an allegorical interpretation. [7. Badran, *Usul*, pp. 466.]

Allegorical interpretations may offer a solution even in cases where two conflicting orders are both specific (*Khass*). Recourse to *ta'wil* in this case would once again serve the purpose of distinguishing the subject matter and scope of each of the two conflicting orders. For example, if Ahmad issues two orders to his employee, one of which tells the latter to 'pay 1000 dinars to Zayd' and the other tells him 'do not pay 1000 dinars to Zayd', then if circumstances would so permit, the first order may be assumed to have contemplated normal relations between Zayd and Ahmad while the second had envisaged a hostile situation between the two parties. [8. Cf. Khudari, *Usul*, p. 361.]

In the event where one of the two conflicting rulings is general (*'Amm*) and the other specific (*Khass*), they can be reconciled by excepting the latter from the scope of the former through a procedure which is known as *takhsis al-'Amm*, that is, 'specifying a part of the general'. This would once again mean that each of the two rulings applied separately from one another to a different subject-matter, and both can remain operative. Similarly, a text may be absolute in its wording and appear to be in conflict with another text. They could be reconciled and the conflict between them removed if one of them is so interpreted as to limit and qualify the absolute terms of the other. Examples to illustrate these and other methods of interpretation can be found in the separate chapter of this work devoted to the rules of interpretation.

Should the attempt at reconciliation fail, the next step in resolving a conflict, as stated above, is to give preference to one over the other. Investigation may reveal that one of the two texts is supported by stronger evidence, in which case we are basically dealing with two texts of unequal strength. To prefer the one over the other in this case may even amount to a form of clarification or explanation of one by the other. Inequality in strength may be in content (*matn*) or in proof of authenticity (*riwayah*). The former is concerned with the clarity or otherwise of the language of the text, and the latter with the historical reliability of the transmitters. Preference on the basis of content would require that the literal is preferred to the metaphorical, the clear (*Sarih*) to the implicit (*Kinayah*), the explicit meaning (*'ibarah al-nass*) to the allusive meaning (*isharah al-nass*), and the latter is preferred to the inferred meaning of the text (*dalalah al-nass*). Similarly, words which convey greater clarity are to be preferred to those which are less clear. Thus the *Muhkam* (perspicuous) will be preferred to the *Mufassar* (unequivocal), the latter to the *Nass* (explicit) and the *Nass* to the *Zahir* (manifest). Among unclear words, the *Khafi* (obscure) takes priority over the *Mushkil* (difficult), the latter over the *Mujmal* (ambivalent) and the *Mujmal* over the *Mutashabih* (intricate), in an order of priority which again has been stated elsewhere under the rules of interpretation.

Inequality in respect of transmission is mainly concerned with the Hadith: when, for example, the *Mutawatir* is compared to the *Mashhur*, the former is preferred to the latter. Similarly the *Mashhur* takes priority over the solitary (*Ahad*) Hadith, and the report of a transmitter who is *faqih* is preferred to the report of a transmitter who is not. Reports by persons who are known to be retentive of memory take priority over those which are transmitted by persons whose retentiveness is uncertain. On a similar note, *ahadith* that are transmitted by leading Companions are given preference to those transmitted by Companions who are less well known for their prominence and continuity of contact with the Prophet. The Hanafis also consider the action of the transmitter upon his own narration to be a supportive factor which adds to the strength of a Hadith. The Malikis on the other hand prefer a Hadith that is in agreement with the practice of the people of Madinah over one which is not. Similarly, the report of a transmitter who is directly involved in an incident is preferable to other reports. Thus with the Hadith which is reported by the Prophet's wife Maymunah, to the effect that the Prophet married her while both of them were *halal*, that is outside the sacred state of *ihram* for the *hajj* ceremonies; this report is preferred to that of Ibn `Abbas to the effect that the Prophet married Maymunah while he was in the sacred state of *ihram*.<sup>[9. Abu Dawud, *Sunan*, II, 486-87, Hadith, no. 1839 and 1840; Ghazali, *Mustasfa*, II, 128; Khudari, *Usul*, p. 367.]</sup> In this way, a Hadith which is supported by a more reliable chain of transmission is preferred to a Hadith which is weak in its proof of authenticity.

At times the *mujtahid* may be confronted with a situation where each of the two conflicting Hadiths is stronger in respect of some of these factors but weaker in regard to others, in which case it is for the *mujtahid* to assess and determine the overall strength or weakness of the Hadith according to his own *ijtihad*.

The ulema of Hadith are in agreement that a Hadith which is reported by all the six imams of Hadith, namely al-Bukhari, Muslim, Abu Dawud, al-Nasa'i, al-Tirmidhi, and Ibn Majah, takes priority over that which might have been reported only by some and not all of these authorities. Among *ahadith* which are not reported by all the six authorities, those which are reported by the first two are preferred, and if one of the two conflicting Hadith is reported by al-Bukhari and the other by Muslim, the former is preferred to the latter.<sup>[10. Abu Zahrah, *Usul*, p. 246.]</sup>

According to another rule of preference, affirmative evidence takes priority over the negative. This may be illustrated by the two rulings of Hadith concerning the right of a slave-woman to a divorce upon her release from slavery. It is reported that a slave woman by the name of Barirah was owned by `A'ishah and was married to another slave, Mughith. `A'ishah set her free, and she wanted to be separated from Mughith, who was still a slave. The case was brought to the attention of the Prophet, who gave Barirah the choice either to remain married to Mughith or be separated. But a second report on the same subject informs us that Barirah's husband was a free man when she was emancipated. The two reports are thus conflicting with regard to the status of the husband. But since it is known for certain that Mughith was originally a slave, and there is no dispute over this, the report which negates this original state is

therefore ignored in view of the general rule that the affirmative, that is, the evidence which affirms continuation of the original state, takes priority over that which negates it. The jurists have consequently held that when a slave-woman is set free while married to a slave, she will have the choice of repudiating or retaining the marriage. If the husband is a free man, she will have no such choice according to Malik, Shafi'i, and the majority of scholars. Abu Hanifah, however, maintains that she will have the option even when her husband is a free man.<sup>[11. Abu Dawud, *Sunan*, II, 601-602, Hadith nos. 2223-7 and footnote no. 1548; Badran, *Usul*, p. 465; Khudari, *Usul*, p.367.]</sup>

Another rule of preference which may be mentioned briefly is that prohibition takes priority over permissibility. Thus if there are two conflicting rules of equal strength on the same issue, one prohibitory and the other permissive, the former will take priority over the latter. Having said this, however, it is possible that the *mujtahid* may depart from this rule and instead apply that which brings ease in preference to the one that entails hardship.<sup>[12. Khallaf, *Ilm*, p. 232; Badran, *Usul*, p. 470; Khudari, *Usul*, p. 367.]</sup>

If the attempt at reconciling two conflicting texts, or at preferring one over the other, have both failed, recourse may be had to abrogation. This would necessitate an enquiry into the occasions of revelation (*asbab al-nuzul*), the relevant materials in the *Sunnah*, and the chronological order between the two texts. If this also proves unfeasible, then action must be suspended on both and the *mujtahid* may resort to inferior evidences in order to determine the ruling for the issue. Thus if the conflict happens to be between two rulings of the Qur'an, he may depart from both and determine the matter with reference to the *Sunnah*. Should there be a conflict between two rulings of the *Sunnah*, then the *mujtahid* may refer, in a descending order, to the *fatwa* of Companions, and failing that the issue may be determined on grounds of *qiyas*. However, if the *mujtahid* fails to find a ruling in any of the lower categories of proofs, then he may resort to the general norms of *Shari'ah* that may be applicable to the case. These may be illustrated in the following example. A conflict is encountered between the two rulings of Qur'an concerning the recitation of the portions of the Qur'an in congregational prayers. The question which needs to be answered is, whether in a congregational *salah*, the congregation member, that is the *muqtadi*, is required to recite the sura al-Fatihah after the imam, or whether he should remain silent. Two conflicting answers can be derived for this question from the Qur'an. The first of the two *ayah* under discussion provides: 'And when the Qur'an is being read, listen to it attentively and pay heed, so that you may receive mercy' (al-A'raf, 7:204). It would appear that the *muqtadi*, according to this *ayah*, should remain silent when the imam recites the Qur'an. However, according to another *ayah*, everyone, that is both the imam and the *muqtadi*, is ordered to 'read whatever is easy for you of the Qur'an' (al-Muzammil, 73:20). Although neither of the two texts make a particular reference to *salah*, they appear nevertheless to be in conflict with regard to the position of the *muqtadi*. There is no additional evidence available to enable the preference of one to the other; action is therefore suspended on both and the issue is determined with reference to the *Sunnah*. It is thus reported that on one occasion when the Prophet led the *salah*, he asked the members of the congregation whether they recited the Qur'an with him, and having heard their answers, he instructed them not to recite the Qur'an behind the imam. But



there still remains a measure of inconsistency even in the *ahadith* that are reported on this point, which would explain why the jurists have also differed on it: Abu Hanifah, Malik, Ibn Hanbal, and al-Shafi'i (according to his former view which he revised later) have held that it is not necessary to recite al-Fatihah behind the imam in those prayers in which he recites the Qur'an aloud, but that when the imam recites quietly, the worshippers should recite al-Fatihah. The later Hanafi jurists have, however, held the view that it is not necessary for the worshipper to recite the Qur'an behind the imam in either case. <sup>[13]</sup>

Abu Dawud, *Sunan*, II, 211, Hadith no. 825 and footnote no. 373; Badran, *Usul*, pp. 468-69; Khudari, *Usul*, p. 359.]

In the event where an issue cannot be determined by reference to the *Sunnah*, the *mujtahid* may resort to the *fatwa* of a Companion, and failing that, to *qiyas*. There is, for example, an apparent conflict between the two reports concerning the way that the Prophet performed the *salat al-kusuf*, that is, prayer offered on the occasion of a solar eclipse. According to one of the reports, the Prophet offered two units (i.e. two *rak'ahs*) of *salah*, each consisting of two bowings (*ruku'*) and two prostration, (*sajdah*). But according to another report, each of the two units contained four bowings and four prostrations. There is yet another report to the effect that each of the two *rak'ahs* contained three bowing, and three prostrations. <sup>[14]</sup> Abu Dawud, *Sunan*, I, 304, Hadith nos. 1173-7.]

The conflicting contents of these reports can neither be reconciled nor given preference one over the other. Hence action is suspended on all and the matter is determined on grounds of *qiyas*. In this case, since *salat al-kusuf* is a variety of *salah*, the normal rules of *salah* are applied to it. Since all obligatory *salah*, without any variation, contains one bowing and two prostrations, this is also by way of analogy extended to *salat al-kusuf*. <sup>[15]</sup>

Badran, *Usul*, p.469.]

In the event of a conflict occurring between two analogies, if they cannot be reconciled with one another, then one of them must be given preference. The *qiyas* whose effective cause (*'illah*) is stated in an explicit text is to be preferred to the one whose *'illah* has been derived through inference (*istinbat*). Similarly, a *qiyas* whose *'illah* is founded in an allusive text (*isharah al-nass*) takes priority over *qiyas* whose *'illah* is merely a proper or reasonable attribute which is derived through inference and *ijtihad*. When the *'illah* of *qiyas* is explicitly stated in the *nass* or when the result of *qiyas* is upheld by *ijma'*, no conflict is expected to arise. In the unlikely event when the *mujtahid* constructs an analogy on the basis of an inferred effective cause ( *'illah mustanbatah*) while the *'illah* is explicitly stated in the *nass*, and he reaches a divergent result, it is put down to his ignorance of the *nass*, and the result that he has reached will be ignored. <sup>[16]</sup> Khallaf, *Ilm*, p.232; Badran, *Usul*, p. 470.]

A conflict may well arise between two analogies which are both founded on an inferred *'illah*, since this type of *'illah* involves a measure of speculative reasoning and *ijtihad*. Two *mujtahids* may thus arrive at different conclusions with regard to the identification of an *'illah*. This is, for example, the case regarding the *'illah* of compulsory guardianship (*wilayah al-ijbar*) in the marriage of a minor girl. Imam Abu Hanifah considers the *'illah* of the guardian's power of *ijbar* in marriage to be the minority of the ward, whereas Imam Shafi'i considers the *'illah* to be her virginity. This difference of *ijtihad*

would in turn give rise to analogies whose results diverge from one another depending on which of the two effective causes they are based on. However, differences of this nature are tolerated and neither of the two Imams have attempted to discourage diversity in *ijtihad*. In the event where neither of the two conflicting analogies can be preferred to the other, it is for the *mujtahid* to choose the one that seems good to him even if there is no basis for such preference other than his own personal opinion. [17. Abu Zahrah, *Usul*, pp. 247-48; Khudari, *Usul*, p.360.]

If none of the foregoing methods can be applied in order to determine the ruling of an issue, then the *mujtahid* may base his decision on the original norms of the *Shari'ah*. This would be done on the assumption that no specific indication could be found in the *Shari'ah* on the case. An example of this is to determine the ruling of the *Shari'ah* that might have to be applied to a hermaphrodite whose gender, whether male or female, cannot be determined and where neither side could be preferred to the other. A recourse to the original norms in this case means that the issue remains where it was in the first place. Since neither of the two possibilities can be preferred to the other, action will be based on one side or the other, not because of any evidence to warrant such a preference but as a precautionary measure when the circumstances may indicate such a course of action. Thus in some situations, in the distribution of shares in inheritance, for example, the hermaphrodite will be presumed a male, while he will be presumed a female in other situations as considerations of caution and prevention of possible harm to him may suggest. [18. Badran, *Usul*, pp. 469-70.]

In making such decisions, it is essential that the *mujtahid* does not act against the general principles and spirit of the *Shari'ah*. When he weighs the merits and demerits of conflicting evidences he must never lose sight of the basic objectives of the Lawgiver.

*Ijtihad* is the most important source of Islamic law next to the Qur'an and the *Sunnah*. The main difference between *ijtihad* and the revealed sources of the *Shari'ah* lies in the fact that *ijtihad* is a continuous process of development whereas divine revelation and prophetic legislation discontinued upon the demise of the Prophet. In this sense, *ijtihad* continues to be the main instrument of interpreting the divine message and relating it to the changing conditions of the Muslim community in its aspirations to attain justice, salvation and truth.

Since *ijtihad* derives its validity from divine revelation, its propriety is measured by its harmony with the Qur'an and the *Sunnah*. The sources of Islamic law are therefore essentially monolithic, and the commonly accepted division of the roots of jurisprudence into the primary and secondary is somewhat formal rather than real. The essential unity of the *Shari'ah* lies in the degree of harmony that is achieved between revelation and reason. *Ijtihad* is the principal instrument of maintaining this harmony. The various sources of Islamic law that feature next to the Qur'an and the *Sunnah* are all manifestations of *ijtihad*, albeit with differences that are largely procedural in character. In this way, consensus of opinion, analogy, juristic preference, considerations of public interest (*maslahah*), etc., are all inter-related not only under the main heading of *ijtihad*, but via it to the Qur'an and the *Sunnah*.<sup>[1. Amin Islahi (*Islamic Law*, p. 109) has thus aptly stated that: 'There are three prominent and fundamental sources of Islamic Law: the Holy Qur'an, the *Sunnah* of the holy Prophet (p.b.u.h.) and *ijtihad*.]</sup> It is partly due to the formalistic character of these sub-divisions that they are often found to be overlapping and concurrent. Thus a ruling of *ijma'* is often based on analogy, *maslahah*, or *istihsan*, and so on, despite its being designated as *ijma'*. Similarly, *qiyas* and *istihsan* are closely related to one another in the sense that one of the two main varieties of *istihsan* consists of a selection between two analogies on the same issue. The difference between *maslahah* and *istihsan* is largely procedural, for they are essentially the same, the one being reflective of the Maliki and the other of the Hanafi approach to *ijtihad*. It is thus evident that all the non-revealed proofs of *Shari'ah* are an embodiment of the single phenomenon of *ijtihad*.

Being a derivation from the root word *jahada*, *ijtihad* literally means striving, or self-exertion in any activity which entails a measure of hardship. It would thus be in order to use *jahada* in respect of one who carries a heavy load, but not so if he carries only a trivial weight. Juridically, however, *ijtihad* mainly consists not of physical, but of intellectual exertion on the part of the jurist. *Ijtihad* is defined as the total expenditure of effort made by a jurist in order to infer, with a degree of probability, the rules of *Shari'ah* from their detailed evidence in the sources.<sup>[2. Amidi, *Ihkam*, IV, 162; Shawkani, *Irshad*, p. 250; Khudari, *Usul*, p. 367.]</sup>

Some ulema have defined *ijtihad* as the application by a jurist of all his faculties either in inferring the rules of *Shari'ah* from their sources, or in implementing such rules and applying them to particular

issues.<sup>[3. Abu Zahrah, *Usul*, p.301.]</sup> *Ijtihad* essentially consists of an inference (*istinbat*) that amounts to a probability (*zann*), thereby excluding the extraction of a ruling from a clear text. It also excludes the discovery of a *hukm* by asking a learned person or by consulting the relevant literature without the exercise of one's own opinion and judgment. Thus a person who knows the rules of *Shari'ah* in detail but is unable to exercise his judgment in the inference of the *ahkam* direct from their sources is not a *mujtahid*. *Ijtihad*, in other words, consists of the formulation of an opinion in regard to a *hukm shar'i*. The presence of an element of speculation in *ijtihad* implies that the result arrived at is probably correct, while the possibility of its being erroneous is not excluded. *Zann* in this context is distinguished from *'ilm*, which implies positive knowledge. Since the decisive rules of *Shari'ah* impart positive knowledge, they are excluded from the scope of *ijtihad*.<sup>[4. Shawkani, *Irshad*, p. 250; Zuhayr, *Usul*, IV, 223-25; Badran, *Usul*, p. 471.]</sup> Essential to the meaning of *ijtihad* is also the concept that the endeavour of the jurist involves a total expenditure of effort in such a manner that the jurist feels an inability to exert himself further. If the jurist has failed to discover the evidence which he was capable of discovering, his opinion is void.<sup>[5. Ghazali, *Mustasfa*, II, 102; Amidi, *Ihkam*, IV, 162.]</sup> And lastly, the definition of *ijtihad* is explicit on the point that only a jurist (*faqih*) may practice *ijtihad*. This is explained by the requirements of *ijtihad*, namely the qualifications that must be fulfilled for attainment to the rank of *mujtahid*. When these requirements are met, it is inevitable that the *mujtahid* must also be a *faqih*. Thus the definition of *ijtihad* precludes self-exertion by a layman in the inference of *ahkam*.<sup>[6. Shawkani, *Irshad*, p. 250.]</sup>

The subject of *ijtihad* must be a question of *Shari'ah*; more specifically, *ijtihad* is concerned with the practical rules of *Shari'ah* which usually regulate the conduct of those to whom they apply (i.e. the *mukallaf*). This would preclude from the scope of *ijtihad* purely intellectual (*'aqli*) and customary (*urfi*) issues, or matters that are perceptible to the senses (*hissi*) and do not involve the inference of a *hukm shar'i* from the evidence present in the sources. Thus *ijtihad* may not be exercised in regard to such issues as the createdness of the universe, the existence of a Creator, the sending of prophets, and so forth, because there is only one correct view in regard to these matters, and any one who differs from it is wrong. Similarly, one may not exercise *ijtihad* on matters such as the obligatory status of the pillars of the faith, or the prohibition of murder, theft, and adultery. For these are evident truths of the *Shari'ah* which are determined in the explicit statements of the text.<sup>[7. Shawkani, *Irshad*, p. 252; Zuhayr, *Usul*, IV, 225; Aghnides, *Muhammedan Theories*, p. 91; Badran, *Usul*, p. 471.]</sup>

The detailed evidences found in the Qur'an and the *Sunnah* are divided into four types, as follows.

- 1) Evidence which is decisive both in respect of authenticity and meaning.
- 2) Evidence which is authentic but speculative in meaning.
- 3) That which is of doubtful authenticity, but definite in meaning.

4) Evidence which is speculative in respect both of authenticity and meaning.

*Ijtihad* does not apply to the first of the foregoing categories, such as the clear *nusus* concerning the prescribed penalties (*hudud*). But *ijtihad* can validly operate in regard to any of the remaining three types of evidence, as the following illustrations will show:

1) An example of *ijtihad* concerning evidence which is definite of proof but speculative of meaning is the Qur'anic text in sura al-Baqarah (2:228): 'The divorced women must observe three courses (*quru'*) upon themselves.' There is no doubt concerning the authenticity of this text, as the Qur'an is authentic throughout. However its meaning, in particular the precise meaning of the word *quru'*, is open to speculation. *Quru'* is a homonym meaning both 'menstruations' and 'the clean periods between menstruations'. Whereas Imam Abu Hanifah and Ibn Hanbal have adopted the former, Imam Shafi'i and Malik have adopted the latter meaning, and their respective *ijtihad* leads them to correspondingly different results. [8. Kassab, *Adwa'*, p. 29; Badran, *Usul*, p. 473.]

2) *Ijtihad* in regard to the second variety of evidence relates mainly to Hadith material, which may have a definitive meaning but whose authenticity is open to doubt. To give an example, the Hadith which provides in regard to *zakah* on camels that 'a goat is to be levied on every five camels.' [9. Abu Dawud, *Sunan* (Hasan's trans.), II, 407, Hadith no. 1562.] has a clear meaning, which is why the jurists are in agreement that there is no *zakah* on less than five camels. But since this is a solitary Hadith, its authenticity remains speculative. *Ijtihad* concerning this Hadith may take the form of an investigation into the authenticity of its transmission and the reliability of its narrators, matters on which the jurists are not unanimous due to the different criteria that they apply.

This would in turn lead them to different conclusions. Should the differences of *ijtihad* and the rulings so arrived at be conflicting to the point that no reliance can be placed on any, they are all to be abandoned and no obligation may be established on their basis. [10. Kassab, *Adwa'*, p. 30; Badran, *Usul*, p. 474.]

3) To give an example of *ijtihad* concerning evidence that is speculative in both authenticity and meaning, we may refer to the Hadith which provides: 'There is no *salah* [*la salata*] without the recitation of sura al-Fatihah.' [11. Abu Dawud, *Sunan* (Hasan's trans.), I, 209, Hadith no. 819.]

Being a solitary Hadith, its authenticity is not proven with certainty. Similarly it is open to different interpretations in the sense that it could mean either that *salah* without the Fatihah is invalid, or that it is merely incomplete. The Hanafis have held the latter, whereas the Shafi'is have adopted the former meaning of the Hadith.

And finally with regard to such matters on which no evidence can be found in the *nusus* or *ijma`*, *ijtihad* may take the form of analogical deduction, juristic preference (*istihsan*), or the consideration of public interest (*maslahah*), and so on.

### The Value (*Hukm*) of *Ijtihad*

Legal theory in all of its parts derives its validity from the revealed sources. It is partly for this reason and partly for the reason of man's duty to worship his Creator that the practice of *ijtihad* is a religious duty. The ulema are in agreement that *ijtihad* is the collective obligation (*fard kafa'i*) of all qualified jurists in the event where an issue arises but no urgency is encountered over its ruling. The duty remains unfulfilled until it is performed by at least one *mujtahid*. If a question is addressed to two *mujtahids*, or to two judges for that matter, and one of them exerts himself to formulate a response, the other is absolved of his duty. But *ijtihad* becomes a personal obligation (*wajib* or *fard `ayn*) of the qualified *mujtahid* in urgent cases, that is, when there is fear that the cause of justice or truth may be lost if *ijtihad* is not immediately attempted. This is particularly the case when no other qualified person can be found to attempt *ijtihad*. With regard to the *mujtahid* himself, *ijtihad* is a *wajib `ayni*: he must practice *ijtihad* in order to find the ruling for an issue that affects him personally. This is so because imitation (*taqlid*) is forbidden to a *mujtahid* who is capable of deducing the *hukm* directly from the sources. Should there be no urgency over *ijtihad*, or in the event where other *mujtahids* are available, then the duty remains as a *fard kafa'i* only. Furthermore, *ijtihad* is recommended (*mandub*) in all cases where no particular issue has been referred to the *mujtahid*, or when it is attempted in the absence of an issue by way of theoretical construction at the initiative of the jurist himself. And finally *ijtihad* is forbidden (*haram*) when it contradicts the decisive rules of the Qur'an, the *Sunnah* and a definite *ijma`*.<sup>[12. Shawkani, *Irshad*, p.235; Khudari, *Usul*, p.368; Zuhayr, *Usul*, IV, 227.]</sup>

The ulema of *usul* are in agreement that the *mujtahid* is bound by the result of his own *ijtihad*. Once he has deduced the ruling on a particular issue which is founded in his true conviction and belief, he may not imitate other *mujtahids* on that matter regardless as to whether they agree with him or otherwise. For the *mujtahid*, the conclusion that he reaches is tantamount to a divine command which he must observe. It is therefore unlawful for him to abandon it or to follow anyone else in respect of it. But if he had not rendered his own *ijtihad* on an issue which is not urgent, and he has time to investigate, then according to some ulema he may imitate other *mujtahids*. However, the preferred view is that he must avoid *taqlid*, even of one who might be more learned than him. Only a *'ammi* (layman) who is capable of *ijtihad* is allowed to follow the opinion of others.<sup>[13. Ghazali, *Mustasfa*, II, 121; Amidi, *Ihkam*, IV, 204; Kassab, *Adwa'*, p. 119.]</sup>

This is considered to be the purport of the Qur'anic command, addressed to all those who have the capacity and knowledge, to exert themselves in the cause of justice and truth (al-Hashr, 59:2).

Elsewhere we read in the Qur'an (Muhammad, 47:24): 'Will they not meditate on the Qur'an, or do they have locks on their heart?'

The same conclusion is sustained in another Qur'anic passage, in sura al-Nisa' (4:59) where the text requires the judgment of all disputes to be referred to God and to His Messenger. These and many similar *ayat* in the Qur'an lend support to the conclusion that it is the duty of the learned to study and investigate the Qur'an and the teachings of the Prophet. The correct meaning of the manifest directives (*Zawahir*) of the Qur'an is also understood from the practice of the Companions who used to investigate matters, and each would formulate their own *ijtihad*, in which case they would not imitate anyone else. [14. Amidi, *Ihkam*, IV, 14; Khudari, *Usul*, p. 380.] The *mujtahid* is thus the authority (*hujjah*) for himself. His is the duty to provide guidance to those who do not know, but he himself must remain in close contact with the sources. This is also the purport of another Qur'anic *ayah* which enjoins those who do not possess knowledge: 'Then ask those who have knowledge (*ahl al-dhikr*) if you yourselves do not know' (al-Nahl, 16:43). Thus only those who do not know may seek guidance from others, not those who have the ability and knowledge to deduce the correct answer themselves. The *ahl al-dhikr* in this *ayah* refers to the ulema, regardless as to whether they actually know the correct ruling of an issue or not, provided they have the capacity to investigate and find out. [15. Amidi, *Ihkam*, IV, 206; Kassab, *Adwa'*, p. 121.]

When a *mujtahid* exerts himself and derives the ruling of a particular issue on the basis of probability, but after a period of time changes his opinion on the same issue, he may set aside or change his initial ruling if this would only affect him personally. For example, when he enters a contract of marriage with a woman without the consent of her guardian (*wali*) and later changes his opinion on the validity of such a marriage, he must annul the *nikah*. But if his *ijtihad* affects others when, for example, he acts as a judge and issues a decision on the basis of his own *ijtihad*, and then changes his views, he may not, according to the majority of ulema, set aside his earlier decision. For if one ruling of *ijtihad* could be set aside by another, then the latter must be equally subject to reversal, and this would lead to uncertainty and loss of credibility in the *ahkam*. [16. Amidi, *Ihkam*, IV, 14; Khudari, *Usul*, p. 380.] It is reported that 'Umar b. al-Khattab adjudicated a case, known as Hajariyyah, in which the deceased, a woman, was survived by her husband, mother, two consanguine and two uterine brothers. 'Umar b. al-Khattab entitled all the brothers to a share in one-third of the estate. but was told by one of the parties that the previous year, he ('Umar) had not entitled all the brothers to share the portion of one-third. To this the caliph replied, 'That was my decision then, but today I have decided it differently.' Thus the Caliph Umar upheld both his decisions and did not allow his latter decision to affect the validity of the former. [17. Ibn al-Qayyim, *I'lam*, I, 177; Kassab, *Adwa'*, p. 108; Badran, *Usul*, p. 485.] Similarly, the decision of one judge may not be set aside by another merely because the latter happens to have a different opinion on the matter. It is reported that a man whose case was adjudicated by 'Ali and Zayd informed Umar b. al-Khattab of their decision, to which the latter replied that he would have ruled differently if he were the judge. To this the man replied, 'Then why don't you, as you are the Caliph?' 'Umar b. al-Khattab replied that had it been a matter of applying the Qur'an or the *Sunnah*, he would have intervened, but since the decision was based in *ra'y*,

they were all equal in this respect.<sup>[18. Ibn al-Qayyim, *I'lam*, I, 177; Kassab, *Adwa'*, p. 108; Badran, *Usul*, p. 485.]</sup> Since in matters of juristic opinion no-one can be certain that a particular view is wrong, the view that has already been embodied in a judicial decree has a greater claim to validity than the opposite view. The position is, however, different if the initial decision is found to be in violation of the law, in which case it must be set aside. This is the purport of the ruling of `Umar ibn al-Khattab which he conveyed in his well-known letter to Abu Musa al-Ash'ari as follows: 'After giving a judgment, if upon reconsideration you arrive at a different opinion, do not let the judgment stand in the way of retraction. For justice may not be disregarded, and you are to know that it is better to retract than to persist in injustice.'<sup>[19. Ghazali, *Mustasfa*, II, 120; Amidi, *Ihkam*, IV, 184; Ibn al-Qayyim, *I'lam*, I, 71-72; Mahmassani, *Falsafah* (Ziadeh's trans.), p. 97.]</sup>

The precedent of the Companions on this issue has led to the formulation of a legal maxim which provides that '*ijtihad* may not be overruled by its equivalent' (*al-ijtihad la yunqad bi-mithlih*). Consequently, unless the judge and the *mujtahid* is convinced that his previous *ijtihad* was erroneous, he must not attempt to reverse it. Thus a judicial decision which is based on the personal opinion and *ijtihad* of a particular judge-cum-*mujtahid* is irreversible on the basis of a mere difference of opinion by another judge. It is further suggested that the issuing judge himself may change his initial decision which was based on *ijtihad* in a subsequent case if he is convinced that this is a preferable course to take. But the credibility of judicial decisions is a factor that would discourage the issuing judge to change his initial decision unless it proves to have been manifestly oppressive.

### The Proof (*Hujjiyyah*) of *Ijtihad*

*Ijtihad* is validated by the Qur'an, the *Sunnah* and the dictates of reason (*ʿaql*). Of the first two, the *Sunnah* is more specific in validating *ijtihad*. The Hadith of Mu`adh b. Jabal,<sup>[20. Abu Dawud, *Sunan* (Hasan's trans.), III, 1019, Hadith no 3585. The full version of this Hadith appears at page 218.]</sup> as al-Ghazali points out, provides a clear authority for *ijtihad*. The same author adds: The claim that this Hadith is *mursal* (i.e. a Hadith whose chain of narration is broken at the point when the name of the Companion who heard it from the Prophet is not mentioned) is of no account. For the *ummah* has accepted it and has consistently relied on it; no further dispute over its authenticity is therefore warranted.<sup>[21. Ghazali, *Mustasfa*, II, 63-64.]</sup> According to another Hadith, 'When a judge exercises *ijtihad* and gives a right judgment, he will have two rewards, but if he errs in his judgment, he will still have earned one reward.'<sup>[22. Abu Dawud, *Sunan*, III, 1013, Hadith no. 3567.]</sup>

This Hadith implies that regardless of its results, *ijtihad* never partakes in sin. When the necessary requirements of *ijtihad* are present, the result is always meritorious and never blameworthy.<sup>[23. Ghazali, *Mustasfa*, II, 105; Amidi, *Ihkam*, IV, 186.]</sup> In another Hadith, the Prophet is reported to have said: 'Strive and



endeavour, (*ijtahidu*), for everyone is ordained to accomplish that which he is created for.' [24. Bukhari, *Sahih* (Istanbul ed.), VI, 84; Amidi, *Ihkam*, IV, 209.]

There is also the Hadith which reads: 'When God favours one of His servants, He enables him to acquire knowledge (*tafaqquh*) in religion.' [25. Bukhari, *Sahih* (Istanbul ed.), I, 25-26.]

The ulema of *usul* have also quoted in this connection two other *ahadith*, one of which makes the pursuit of knowledge an obligation of every Muslim, man or woman, and the other declares the Ulema to be the successors of the Prophets. [26. Ibn Majah, *Sunan*, I, 81, Hadith no. 224; Amidi, *Ihkam*, IV, 230, 234; Shatibi, *Muwafaqat*, IV, 140.]

The relevance of the last two *ahadith* to *ijtihad* is borne out by the fact that *ijtihad* is the main instrument of creativity and knowledge in Islam.

The numerous Qur'anic *ayat* that relate to *ijtihad* are all in the nature of probabilities (*zawahir*). All the Qur'anic *ayat* which the ulema have quoted in support of *qiyas* (see page 217) can also be quoted in support of *ijtihad*. In addition, we read, in sura al-Tawbah (9:122): 'Let a contingent from each division of them devote themselves to the study of religion [*li-yatafaqqahu fi'l-din*] and warn their people [ . . .]' Devotion to the study of religion is the essence of *ijtihad*, which should be a continuous feature of the life of the community. Although the pursuit of knowledge is a duty of every individual, attaining *tafaqquh*, or 'erudition in religious disciplines', is necessary for those who guide the community and warn them against deviation and ignorance. On a similar note, we read in sura al-Ankabut (29:69): 'And those who strive [*wa'l-ladhina jahadu*] in Our cause, We will certainly guide them in Our paths.' It is interesting that in this *ayah* the word *subulana* ('Our paths') occurs in the plural form, which might suggest that there are numerous paths toward the truth, which are all open to those who exert themselves in its pursuit. Furthermore, we read in sura al-Nisa' (4:59): 'If you dispute over something, then refer it to God and to the Messenger.' The implementation of this *ayah* would necessitate knowledge of the Qur'an, the *Sunnah* and the objectives (*maqasid*) of the Lawgiver on whose basis disputed matters could be adjudicated and resolved.

The Companions practiced *ijtihad*, and their consensus is claimed in support of it. [27. Ibn al-Qayyim, *I'lam*, I, 176; Mahmassani, *Falsafah*, p.95; Kassab, *Adwa'*, p. 19.] In their search for solutions to disputed matters, they would base their judgement on the Qur'an and the *Sunnah*, but if they failed to find the necessary guidance therein, they would resort to *ijtihad*. The fact that the Companions resorted to *ijtihad* in the absence of a *nass* is established by continuous testimony (*tawatur*). [28. Ghazali, *Mustafa*, II, 106; Ibn al-Qayyim, *I'lam*, I, 176; Kassab, *Adwa'*, p. 19.]

The rational argument in support of *ijtihad* is to be sought in the fact that while the *nusus* of *Shari'ah* are limited, new experiences in the life of the community continue to give rise to new problems. It is therefore imperative for the learned members of the community to attempt to find solutions to such problems through *ijtihad*. [29. Cf. Kassab, *Adwa'*, p. 20.]

## Conditions (*Shurut*) of *Ijtihad*

The *mujtahid* must be a Muslim and a competent person of sound mind who has attained a level of intellectual competence which enables him to form an independent judgment. In his capacity as a successor to the Prophet, the *mujtahid* performs a religious duty, and his verdict is a proof (*hujjah*) to those who follow him; he must therefore be a Muslim, and be knowledgeable in the various disciplines of religious learning. A person who fails to meet one or more of the requirements of *ijtihad* is disqualified and may not exercise *ijtihad*. The requirements which are discussed below contemplate *ijtihad* in its unrestricted form, often referred to as *ijtihad fi'l-shar`*, as opposed to the varieties of *ijtihad* that are confined to a particular school, or to particular issues within the confines of a given *madhhab*.

The earliest complete account of the qualifications of a *mujtahid* is given in Abu' Husayn al-Basri's (d. 436/1044) *al-Mu'tamad fi Usul al-Fiqh*. The broad outline of al-Basri's exposition was later accepted, with minor changes, by al-Shirazi (d. 467/1083), al-Ghazali (d. 505/1111) and al-Amidi (d. 632/1234). This does not mean that the requirements of *ijtihad* received no attention from the ulema who lived before al-Basri. But it was from then onwards that they were consistently adopted by the ulema of *usul* and became a standard feature of *ijtihad*.<sup>[30. Cf. Hallaq, *The Gate*, pp. 14-17.]</sup> These requirements are as follows:

(a) Knowledge of Arabic to the extent that enables the scholar to enjoy a correct understanding of the Qur'an and the *Sunnah*. A complete command and erudition in Arabic is not a requirement, but the *mujtahid* must know the nuances of the language and be able to comprehend the sources accurately and deduce the *ahkam* from them with a high level of competence.<sup>[31. Ghazali, *Mustasfa*, II, 102; Abu Zahrah, *Usul*, p.302.]</sup> Al-Shatibi, however, lays greater emphasis on the knowledge of Arabic: a person who possesses only an average knowledge of Arabic cannot aim at the highest level of attainment in *ijtihad*. The language of the Qur'an and the *Sunnah* is the key to their comprehension and the *ijtihad* of anyone who is deficient in this respect is unacceptable. The same author adds: Since the opinion of the *mujtahid* is a proof (*hujjah*) for a layman, this degree of authority necessitates direct access to the sources and full competence in Arabic.<sup>[32. Shatibi, *Muwafaqat*, IV, 60.]</sup>

The *mujtahid* must also be knowledgeable in the Qur'an and the *Sunnah*, the Makki and the Madinese contents of the Qur'an, the occasions of its revelation (*asbab al-nuzul*) and the incidences of abrogation therein. More specifically, he must have a full grasp of the legal contents, or the *ayat al-ahkam*, but not necessarily of the narratives and parables of the Qur'an and its passages relating to the hereafter.<sup>[33.</sup>

<sup>Ghazali, *Mustasfa*, II, 101.]</sup> According to some ulema, including al-Ghazali, Ibn al-Arabi, and Abu Bakr al-Raza, the legal *ayat* of the Qur'an which the *mujtahid* must know amount to about five hundred. Al-Shawkani,

however, observes that a specification of this kind cannot be definitive. For a *mujtahid* may infer a legal rule from the narratives and parables that are found in the Qur'an. The knowledge of *ayat al-ahkam* includes knowledge of the related commentaries (*tafsir*) with special reference to the *Sunnah* and the views of the Companions. Al-Qurtubi's *Tafsir al-Qurtubi*, and the *Ahkam al-Qur'an* of Abu Bakr, 'Ali al-Jesses, are particularly recommended. [34. Shawkani, *Irshad*, pp. 250-51; Abu Zahrah, *Usul*, p.304; Zuhayr, *Usul*, IV, 226.]

Next, the *mujtahid* must possess an adequate knowledge of the *Sunnah*, especially that part of it which relates to the subject of his *ijtihad*. This is the view of those who admit the divisibility (*tajzi'ah*) of *ijtihad* (for which see below), but if *ijtihad* is deemed to be indivisible, then the *mujtahid* must be knowledgeable of the *Sunnah* as a whole, especially with reference to the *ahkam* texts, often referred to as *ahadith al-ahkam*. He must know the incidences of abrogation in the *Sunnah*, the general and the specific,

(*'amm and khass*), the absolute and the qualified (*mutlaq and muqayyad*), and the reliability or otherwise of the narrators of Hadith. It is not necessary to commit to memory the *ahadith al-ahkam* or the names of their narrators, but he must know where to find the *ahadith* when he needs to refer to them, and be able to distinguish the reliable from the weak and the authentic from the spurious. [35.

Shawkani, *Irshad*, p.251 ff; Abu Zahrah, *Usul*, p. 304.]

Imam Ghazali points out that an adequate familiarity with the *ahadith al-ahkam* such as those found in *Sunan Abi Dawud*, *Sunan al-Bayhaqi*, or the *Musnad* of Ibn Hanbal would suffice. According to another view, which is attributed to Ahmad b. Hanbal, the *ahadith al-ahkam* are likely to number in the region of 1200. [36. Ghazali, *Mustasfa*, II, 101; Shawkani, *Irshad*, p. 251.]

The *mujtahid* must also know the substance of the *furu'* works and the points on which there is an *ijma'*. He should be able to verify the consensus of the Companions, the Successors, and the leading Imams and *mujtahidun* of the past so that he is guarded against the possibility of issuing an opinion contrary to such an *ijma'*. It would be rare, al-Shawkani observes, for anyone who has attained the rank of a *mujtahid* not to be aware of the issues on which there is a conclusive *ijma'*. By implication, the *mujtahid* must also be aware of the opposing views, as it is said, 'the most learned of people is also one who is most knowledgeable of the differences among people'. [37. Shawkani, *Irshad*, p. 251; Ghazali, *Mustasfa*, II, 101; Abu Zahrah, *Usul*, p. 305.]

In their expositions of the qualifications of a *mujtahid*, the ulema of *usul* place a special emphasis on the knowledge of *qiyas*. The Qur'an and the *Sunnah*, on the whole, do not completely specify the law as it might be stated in a juristic manual, but contain general rulings and indications as in the causes of such rulings. The *mujtahid* is thus enabled to have recourse to analogical deduction in order to discover the ruling for an unprecedented case. An adequate knowledge of the rules and procedures of *qiyas* is thus essential for the *mujtahid*. Imam Shafi'i has gone so far as to equate *ijtihad* with *qiyas*. Analogy, in other words, is the main bastion of *ijtihad*, even if the two are not identical. Al-Ghazali has observed that notwithstanding the claim by some ulema that *qiyas* and *ijtihad* are identical and coextensive,

*ijtihad* is wider than *qiyas* as it comprises methods of reasoning other than analogy. [38. Ghazali, *Mustasfa*, II, 54; Shawkani, *Irshad*, p. 252; Abu Zahrah, *Usul*, p. 306.]

Furthermore, the *mujtahid* should know the objectives (*maqasid*) of the *Shari'ah*, which consist of the *masalih* (considerations of public interest). The most important *masalih* are those which the Lawgiver has Himself identified and which must be given priority over others. Thus the protection of the 'Five Principles', namely of his, religion, intellect, lineage and property, are the recognised objectives of the Lawgiver. These are the essentials (*daruriyyat*) of the *masalih* and as such they are distinguished from the complementary (*hajiyyat*) and the embellishments (*tahsiniyyat*). The *mujtahid* must also know the general maxims of *fiqh* such as the removal of hardship (*raf' al-haraj*), that certainty must prevail over doubt, and other such principles which are designed to prevent rigidity in the *ahkam*. He must be able to distinguish the genuine *masalih* from those which might be inspired by whimsical desires, and be able to achieve a correct balance between values. [39. Shawkani, *Irshad*, p. 252; Abu Zahrah, *Usul*, p.307; Badran, *Usul*, p. 208.]

Al-Shatibi summarises all the foregoing requirements of *ijtihad* under two main headings, one of which is the adequate grasp of the objectives of the *Shari'ah*, while the other is the knowledge of the sources and the methods of deduction. The first of these is fundamental, and the second serves as an instrument of achieving the first. [40. Shatibi, *Muwafaqat*, IV, 56; Abu Zahrah, *Usul*, p. 307.]

It is further suggested in this connection that the *mujtahid* must be capable of distinguishing strength and weakness in reasoning and evidence. This requirement has prompted some ulema to say that the *mujtahid* should have a knowledge of logic (*mantiq*). But this is not strictly a requirement. For logic as a discipline had not even developed during the time of the Companions, but this did not detract from their ability to practice *ijtihad*. [41. Abu Zahrah, *Usul*, pp. 308-309; Ghazali, (*Mustasfa*, II, 103), considers a knowledge of Arabic, Hadith and *usul al-fiqh* to be essential to *ijtihad*. However the requirement concerning the knowledge of *Usul* would seem to be repetitive in view of the separate conditions that the *mujtahid* must fulfill, such as the knowledge of *qiyas* and other such requirements, which fall under the subject of *Usul*.]

And finally, the *mujtahid* must be an upright (*adil*) person who refrains from committing sins and whose judgement the people can trust. His sincerity must be beyond question and untainted with self-seeking interests. For *ijtihad* is a sacred trust, and anyone who is tainted with heresy and self-indulgence is unworthy of it. [42. Ghazali, *Mustasfa*, II, 101; Shawkani, *Irshad*, p. 252.] These are the conditions of independent *ijtihad*, but a *mujtahid* on particular issues need only know all the relevant information concerning those issues and may, at least according to those who admit the 'divisibility' of *ijtihad*, practice *ijtihad* in respect of them. His lack of knowledge in matters unrelated to the issues concerned does not prejudice his competence for *ijtihad*. [43. Ghazali, *Mustasfa*, II, 102-103; Kassab, *Adwa'*, p.38.]

Some observers have suggested that the practice of *ijtihad* was abandoned partly because the qualifications required for its practice were made 'so immaculate and rigorous and were set so high that they were humanly impossible of fulfilment'. [44. Cf. Fazlur Rahman, *Islam*, p. 78.] This is, however, an implausible

supposition which has been advanced mainly by the proponents of *taqlid* with a view to discouraging the practice of *ijtihad*. As for the actual conditions, Abdur Rahim (with many others) has aptly observed that 'the qualifications required of a *mujtahid* would seem to be extremely moderate, and there can be no warrant for supposing that men of the present day are unfitted to acquire such qualifications'. [45. Abdur Rahim, *Jurisprudence*, p. 174.] There is little evidence to prove that fulfilling the necessary conditions of *ijtihad* was beyond the reach of the ulema of later periods. on the contrary, as one observer has pointed out, 'the total knowledge required on the part of the jurist enabled many to undertake *ijtihad* in one area of the law or another'. [46. Hallaq, *The Gate*, p. 14.] Their task was further facilitated by the legal theory, in particular the Hadith which absolved the *mujtahid* who committed an error from the charge of sin and even entitled him to a spiritual reward. Furthermore, the recognition in the legal theory of the divisibility of *ijtihad*, as we shall presently discuss, enabled the specialist in particular areas of the *Shari`ah* to practice *ijtihad* even if he was not equally knowledgeable in all of its other disciplines.

### Divisibility of *Ijtihad*

The question to be discussed here is whether a person who is learned on a particular subject is qualified to practice *ijtihad* in that area, or whether he is required to qualify as a full *mujtahid* first in order to be able to carry out any *ijtihad* at all. The majority of ulema have held the view that once a person has fulfilled the necessary conditions of *ijtihad* he is qualified to practice it in all areas of the *Shari`ah*. According to this view, the intellectual ability and competence of a *mujtahid* cannot be divided into compartments. *Ijtihad*, in other words, is indivisible, and we cannot say that a person is a *mujtahid* in the area of matrimonial law and an imitator (*muqallid*) in regard to devotional matters (*`ibadat*) or vice-versa. To say this would be tantamount to a contradiction in terms, as *ijtihad* and *taqlid* cannot be combined in one and the same person. [47. Shawkani, *Irshad*, p. 254, Abu Zahrah, *Usul*, p.318; Badran, *Usul*, p.486.] The majority view is based on the analysis that *ijtihad* for the most part consists of formulating an opinion, or *zann*, concerning a rule of the *Shari`ah*. A *zann* of this type occurs only to a fully qualified *mujtahid* who has attained the necessary level of intellectual competence. It is further argued that all the branches of the *Shari`ah* are interrelated, and ignorance in one may lead to an error or misjudgment in another. The majority view is further supported by the argument that once a person has attained the rank of *mujtahid* he is no longer permitted to follow others in matters where he can exercise *ijtihad* himself. [48. Amidi, *Ihkam*, IV, 204; Shawkani, *Irshad*, p.255.] Among the majority there are some ulema who have allowed an exception to the indivisibility of *ijtihad*. This is the area of inheritance, which is considered to be self-contained as a discipline of *Shari`ah* law and independent of the knowledge of the other branches. Hence a jurist who is only knowledgeable in this field may practice *ijtihad* in isolation from the other branches of *Fiqh*. [49. Kassab, *Adwa'*, p. 96.]

Some Maliki, Hanbali and Zahiri ulema have, however, held the view that *ijtihad* is divisible. Hence when a person is learned in a particular area of the *Shari'ah* he may practice *ijtihad* in that area only. This would in no way violate any of the accepted principles of *ijtihad*. There is similarly no objection, according to this view, to the possibility of a person being both a *mujtahid* and a *muqallid* at the same time. Thus a *mujtahid* may confine the scope of his *ijtihad* to the area of his specialisation. This has, in fact, been the case with many of the prominent Imams who have, on occasions, admitted their lack of knowledge in regard to particular issues. Imam Malik is said to have admitted in regard to thirty-six issues at least that he did not know the right answer. But in spite of this, there is no doubt concerning Malik's competence as a fully-fledged *mujtahid*.<sup>[50. Shawkani, *Irshad*, p. 255; Abu Zahrah, *Usul*, p.318; Badran, *Usul*, p.486.]</sup>

The view that *ijtihad* is divisible is supported by a number of prominent ulema, including Abu'l-Husayn al-Basri, al-Ghazali, Ibn al-Humam, Ibn Taymiyyah, his disciple Ibn al-Qayyim and al-Shawkani. Al-Ghazali thus observes that a person may be particularly learned in *qiyas* and be able to practice *ijtihad* in the form of analogy even if he is not an expert on Hadith. According to the proponents of this view, if knowledge of all the disciplines of *Shari'ah* were to be a requirement, most ulema would fail to meet it and it would impose a heavy restriction on *ijtihad*. Al-Shawkani, Badran and al-Kassab have all observed that this is the preferable of the two views.<sup>[51. Ghazali, *Mustasfa*, II, 103; Shawkani, *Irshad*, p. 255; Badran, *Usul*, p.486.]</sup>

One might add here that in modern times, in view of the sheer bulk of information and the more rapid pace of its growth, specialisation in any major area of knowledge would seem to hold the key to originality and creative *ijtihad*. Divisibility of *ijtihad* would thus seem to be in greater harmony with the conditions of research in modern times. By way of a postscript, one might also remark that the classification of *mujtahids* into various ranks, such as *mujtahids* in a particular school or on particular issues, takes for granted the idea that *ijtihad* is divisible.

## Procedure of *Ijtihad*

Since *ijtihad* occurs in a variety of forms, such as *qiyas*, *istihsan*, *maslahah mursalah*, and so on, each of these is regulated by its own rules. There is, in other words, no uniform procedure for *ijtihad* as such. The ulema have nevertheless suggested that in practicing *ijtihad*, the jurist must first of all look at the *nusus* of the Qur'an and the Hadith, which must be given priority over all other evidences. Should there be no *nass* on the matter, then he may resort to the manifest text (*zahir*) of the Qur'an and Hadith and interpret it while applying the rules pertaining to the general (*'amm*) and specific (*khass*), the absolute and the qualified, and so forth, as the case may be. Should there be no manifest text on the subject in the Qur'an and the verbal *Sunnah*, the *mujtahid* may resort to the actual (*fi'li*) and tacitly approved (*taqriri*) *Sunnah*. Failing this, he must find out if there is a ruling of *ijma'* or *qiyas* available on the problem in

the works of the renowned jurists. In the absence of any guidance in these works, he may attempt an original *ijtihad* along the lines of *qiyas*. This would entail a recourse to the Qur'an, the Hadith, or *ijma`* for a precedent that has a *`illah* identical to that of the *far'* (i.e.. the case for which a solution is wanting). When this is identified, he is to apply the principles of *qiyas* in order to deduce the necessary ruling. In the absence of a textual basis on which an analogy could be founded, the *mujtahid* may resort to any of the recognised methods of *ijtihad* such as *istihsan*, *maslahah mursalah*, *istishab*, etc, and derive a solution while applying the rules that ensure the proper implementation of these doctrines.<sup>[52]</sup>

Shirazi, *Luma'*, pp. 83-84.]

The foregoing procedure has essentially been formulated by al-Shafi'i, who is noted to have observed the following. When an incident occurs, the *mujtahid* must first check the *nusus* of the Qur'an, but if he finds none, he must refer to *Mutawatir* Hadiths and then to solitary Hadiths. If the necessary guidance is still not forthcoming, he should postpone recourse to *qiyas* until he has looked into the manifest (*zahir*) text of the Qur'an. If he finds a manifest text which is general, he will need to find out if it can be specified by means of Hadith or *qiyas*. But if he finds nothing that would specify the manifest text, he may apply the latter as it stands. Should he fail to find a manifest text in the Qur'an or the *Sunnah*, he must look into the *madhahib*. If he finds a consensus among them, he applies it, otherwise he resorts to *qiyas*, but in doing so, he must pay more attention to the general principles of the *Shari'ah* than to its subsidiary detail. If he does not find this possible, and all else fails, then he may apply the principle of original absence of liability (*al-bara'ah al-asliyyah*). All this must be in full cognizance of the rules that apply to the conflict of evidences (*al-ta`arud bayn al-adillah*), which means that the *mujtahid* should know the methods deployed in reconciling such conflicts, or even eliminating one in favour of the other, should this prove to be necessary. The ruling so arrived at may be that the matter is obligatory (*wajib*), forbidden (*haram*), reprehensible (*makruh*), or recommended (*mandub*).<sup>[53]</sup>

Shafi'i, *Risalah*, pp. 261-62; Shawkani, *Irshad*, p. 258.]

From the viewpoint of the procedure that it employs, *ijtihad* may occur in any of the following four varieties. Firstly, there is the form of a juridical analogy (*qiyas*) which is founded on an effective cause (*`illah*). The second variety of *ijtihad* consists of a probability (*zann*) without the presence of any *`illah*, such as practicing a *ijtihad* in regard to ascertaining the time of *salah* or the direction of the *qiblah*. The third type of *ijtihad*

consists of the interpretation of the source materials and the deduction of *ahkam* from an existing evidence. This type of *ijtihad* is called *ijtihad bayani*, or 'explanatory *ijtihad*', which takes priority over 'analogical *ijtihad*', or *ijtihad qiyas*. The fourth variety of *ijtihad*, referred to as *ijtihad istislahi*, is based on *maslahah* and seeks to deduce the *ahkam*

in pursuance of the spirit and purpose of the *Shari'ah*, which may take the form of *istislah*, juristic preference (*istihsan*), the obstruction of means (*sadd al-dhara'i'*), or some other technique. Imam

Shafi'i accepts only the first type, namely analogical *ijtihad*, but for the majority of ulema, *ijtihad* is not confined to *qiyas* and may take the form of any of the foregoing varieties. [54. Kassab, *Adwa'*, p. 24; Hallaq, *The Gate*, p. 258.]

### The *Ijtihad* of the Prophet and his Companions

The question to be discussed here is whether all the rulings of the Prophet should be regarded as having been divinely inspired or whether they also partake in *ijtihad*. The ulema are generally in agreement that the Prophet practiced *ijtihad* in temporal and military affairs, but they have differed as to whether his rulings in *shar'i* matters could properly fall under the rubric of *ijtihad*. According to the Ash'aris, the Mu'tazilah, Ibn Hazm al-Zahiri and some Hanbali and Shafi'i ulema, the Qur'an provides clear evidence that every speech of the Prophet partakes in *wahy*. A specific reference is thus made to sura al-Najm (53:3) which provides 'He says nothing of his own desire, it is nothing other than revelation [*wahy*] sent down to him.' This *ayah* is quite categorical on the point that the Prophet is guided by divine revelation and that all his utterances are to be seen in this light. This would mean that all the rulings of the Prophet consist of divine revelation and that none would occur in the form of *ijtihad*. [55. Shawkani, *Irshad*, p. 255.]

The majority of ulema have, however, held that the Prophet in fact practiced *ijtihad* just as he was allowed to do so. This, it is said, is borne out by the numerous *ayat* of the Qur'an where the Prophet is invited, along with the rest of the believers, to meditate on the Qur'an and to study and think about the created world. As for the *ayah* in sura al-Najm quoted above, the majority of ulema have held that the reference here is to the Qur'an itself, and not to every word that the Prophet uttered. That this is so is borne out by the use of the pronoun 'it' (*huwa*) in this *ayah*, which refers to the Qur'an itself. The majority view adds that the occasion for the revelation (*sha'n al-muzul*) of this *ayah* supports this interpretation. (The *ayah* was revealed in refutation of the unbelievers who claimed that the Qur'an was the work of the Prophet himself and not the speech of God.) Besides, the Prophet often resorted to reasoning by way of analogy and *ijtihad*, and did not postpone all matters until the reception of divine revelation [56. Shawkani, *Irshad*, p. 256; Zuhayr, *Usul*, IV, 227.]

The minority view on this subject overrules the claim of the practice of *ijtihad* by the Prophet and maintains that if it were true that the Prophet practiced *ijtihad*, then disagreeing with his views would be permissible. For it is a characteristic of *ijtihad* to allow disagreement and opposition. Opposing the Prophet is, however, clearly forbidden, and obedience to him is a Qur'anic duty upon every Muslim (al-Nisa', 4:14 and 58).



There is yet a third opinion on this point which, owing to the conflicting nature of the evidence, advises total suspension. This view is attributed to al-Shafi'i and upheld by al-Baqillani and al-Ghazali. Al-Shawkani, however, rejects it by saying that the Qur'an gives us clear indications not only to the effect that *ijtihad* was permissible for the Prophet but also that he was capable of making errors. [57. Shawkani, *Irshad*, p. 256; Ghazali, *Mustasfa*, II, 104.] Nonetheless, the ulema who have maintained this view add that such an error is not sustained, meaning that any error the Prophet might have made was rectified by the Prophet himself or through subsequent revelation. [58. Kassab, *Adwa'*, p. 61.] Thus we find passages in the Qur'an which reproach the Prophet for his errors. To give an example, a text in sura al-Anfal (8:67) provide, 'It is not proper for the Prophet to take prisoners [of war] until he has subdued everyone in the earth: This *ayah* was revealed concerning the captives of the battle of Badr. It is reported that seventy persons from the enemy side were taken prisoner in the battle. The Prophet first consulted Abu Bakr, who suggested that they should be released against a ransom, whereas `Umar b. al-Khattab held the view that they should be killed. The Prophet approved of Abu Bakr's view but then the *ayah* was revealed which disapproved of taking ransom from the captives. Elsewhere, in sura al-Tawbah (9:43), in an address to the Prophet, the text provides: 'God granted you pardon, but why did you permit them to do so before it became clear to you who was telling the truth?' This *ayah* was revealed concerning the exemption that the Prophet granted, prior to investigating the matter, to those who did not participate in the battle of Tabuk. These and similar passages in the Qur'an indicate that the Prophet had on occasions acted on his own *ijtihad*. For had he acted in pursuance of a divine command, there would have been no occasion for a reprimand, or the granting of divine pardon for his mistakes. [59. Shawkani, *Irshad*, p. 256; Ghazali, *Mustasfa*, II, 104; Kassab, *Adwa'*, p. 61.]

The majority view that the Prophet resorted to *ijtihad* finds further support in the *Sunnah*. Thus, according to one Hadith, the Prophet is reported to have said, 'When I do not receive a revelation (*wahy*) I adjudicate among you on the basis of my opinion (*ra'y*).' [60. Abu Dawud, *Sunan* (Hasan's trans.), III, 1017, Hadith no. 3578; Kassab, *Adwa'*, p.58. For other *ahadith* on this point see Shawkani, *Irshad*, p.256.]

The next point to be raised in this connection is whether *ijtihad* was lawful for the Companions during the lifetime of the Prophet. Once again the majority of ulema have held that it was, regardless as to whether it took place in the presence of the Prophet or in his absence. The ulema have, however, differed over the details. Ibn Hazm held that such an *ijtihad* is valid in matters other than the *halal* and *haram*, whereas al-Amidi and Ibn al-Hajib have observed that it is only speculative and does not establish a definitive ruling. There are still others who have held that *ijtihad* was lawful for the Companions only if it took place in the presence of the Prophet, with his permission, or if the Prophet had approved of it in some way. Those who invalidate *ijtihad* for the Companions during the lifetime of the Prophet maintain that the Companions had access to the Prophet in order to obtain the necessary authority, which would be decisive and final. If one is able to obtain a decisive ruling on a juridical matter, *ijtihad* which is merely a speculative exercise is unlawful. [61. Shawkani, *Irshad*, p. 257; Zuhayr, *Usul*, IV, 234.] This view is, however, considered to be weak as it takes for granted ready access to the Prophet; it also

discounts the possibility that certain decisions had to be made by the Companions without delay. The correct view is therefore that of the majority, which is supported by the fact that the Companions did, on numerous occasions, practice *ijtihad* both in the presence of the Prophet and in his absence. The Hadith of Mu'adh b. Jabal is quoted as clear authority to the effect that the Prophet authorised Mu'adh to resort to *ijtihad* in his absence (i.e. in the Yemen). [62. Ghazali, *Mustasfa*, II, 104.] Numerous other names are quoted, including those of Abu Bakr, Sa'd b. Mu'adh, Amr b. al-'As and Abu Musa al-Ash'ari, who have delivered *ijtihad* in the absence of the Prophet. [63. Shawkani, *Irshad*, p.257; Zuhayr, *Usul*, IV, 237.] It is also reported in a Hadith that when the Prophet authorised `Amr b. al-`As to adjudicate in some disputes, he asked the Prophet, 'Shall I render *ijtihad* while you are present?' To this the Prophet replied, 'Yes. If you are right in your judgement, you earn two rewards, but if you err, only one.' It is similarly reported that Sa'd b. Mu'adh rendered a judgment concerning the Jews of Banu Qurayzah in the presence of the Prophet, and that he approved of it. [64. Shawkani, *Irshad*, p. 257; Kassab, *Adwa'*, p. 80. Ghazali has however expressed some reservations as to the validity of *ijtihad* in the presence of the Prophet, as he considers that unless the Prophet granted permission, *ijtihad* in his presence would be discourteous (*Mustasfa*, II, 104).]

### Truth and Fallacy of *Ijtihad*

The jurists have differed as to whether every *mujtahid* can be assumed to be right in his conclusions, or whether only one of several solutions to a particular problem may be regarded as true to the exclusion of all others. At the root of this question lies the uncertainty over the unity or plurality of truth in *ijtihad*. Has Almighty God predetermined a specific solution to every issue, which alone may be regarded as right? If the answer to this is in the affirmative then it will follow that there is only one correct solution to any juridical problem and that all others are erroneous. This would in turn beg the question of whether it is at all possible for the *mujtahid* to commit a sin by rendering an erroneous *ijtihad*. In the face of the Hadith which promises a spiritual reward to every *mujtahid* regardless of the accuracy of his conclusions, plus the fact that he is performing a sacred duty-is it theoretically possible for a *mujtahid* to commit a sin?

The ulema are in agreement that in regard to the essentials of dogma, such as the oneness of God (*tawhid*), His attributes, the truth of the Prophethood of Muhammad, the hereafter, and so on, there is only one truth and anyone, whether a *mujtahid* or otherwise, who takes a different view automatically renounces Islam. [65. Shawkani, *Irshad*, p. 259.]

With regard to juridical or *shar'i* matters, the majority of ulema, including the Ash'aris and the Mu`tazilah, recognise two types:

1) Juridical matters which are determined by a clear and definitive text, such as the obligatoriness of *salah* and other pillars of the faith, the prohibition of theft, adultery, and so on. In regard to these matters, once again, there is only one truth with which the *mujtahid* may not differ. Anyone who takes an exception to it commits a sin, and according to some, even heresy and disbelief.

2) *Shar'i* matters on which no decisive ruling is found in the sources. There is much disagreement on this. The Ash'aris and the Mu'tazilah have held the view that *ijtihad* in regard to such matters is always meritorious and partakes in truth regardless of the nature of its results. But according to the four leading imams and many other ulema, only one of the several opposing views on a particular issue may be said to be correct. For it is impossible to say that one and the same thing at the same time regarding the same person could be both lawful and unlawful. [66. Shawkani, *Irshad*, pp. 260-61; Zuhayr, *Usul*, IV, 238.] This view has quoted in support the Qur'anic text where in reference to the two judgements of David and Solomon on one and the same issue, God validated only one. The text runs:

And when David and Solomon both passed judgement on the field where some people's sheep had strayed to pasture there at night, We acted as Witnesses for their decision. We made Solomon understand it. To each We gave discretion and knowledge [ ...] (al-Anbiya', 21:78-79).

Had there been more than one correct solution to a juridical problem, then this *ayah* would have upheld the judgements both of David and Solomon. It is thus suggested that this *ayah* confirms the unitary character of truth in *ijtihad*. Furthermore, when one looks at the practice of the Companions, it will be obvious that not only did they admit the possibility of error in their own judgements but that then also criticised one another. If all of them were to be right in their *ijtihad*, there would be no point in their criticising one another or in admitting the possibility of error in their own *ijtihad*. To give an example, the Caliph Abu Bakr is reported to have said in regard to the issue of *kalalah* (i.e. when the deceased leaves no parent or child to inherit him): 'I decided the question of *kalalah* according to my opinion. If it is correct, it is an inspiration from God; if it is wrong, then the error is mine and Satan's'. [67. Amidi, *Ihkam*, IV, 187; Ibn al-Qayyim, *I'lam*, I, 177.] It is further reported that when 'Umar b. al-Khattab adjudicated a case, one of the parties to the dispute who was present at the time said, 'By God this is the truth.' To this the caliph replied that he did not know whether he had attained the truth, but that he had spared no effort in striving to do so. [68. Amidi, *Ihkam*, IV, 187.]

The *ahadith* and the practice of the Companions on *ijtihad* clearly entertain the possibility of error in *ijtihad*. A *mujtahid* may be right or may have erred, but in either case, his effort is commendable and worthy of reward.

The opposite view, which is a minority opinion, maintains that there is no pre-determined truth in regard to *ijtihadi* matters. Almighty God has not determined one particular solution as truth to the exclusion of all others. The result of *ijtihad* may thus vary and several verdicts may be regarded as truth

on their merit. This view quotes in support the same Qur'anic text, quoted above, which in its latter part refers to David and Solomon with the words: 'To each We gave discretion and knowledge.' Had either of them committed an error, God would not have praised them thus. It is hence implied that both were right, and that every *mujtahid* attains the truth in his own way. It is further argued that had there been only one truth in regard to a particular issue, the *mujtahid* would not have been bound by the result of his own *ijtihad*. His duty to follow his own *ijtihad* to the exclusion of anyone else's suggests that every *mujtahid* attains the truth. [69. Shawkani, *Irshad*, p. 262; Zuhayr, *Usul*, IV, 239; Kassab, *Adwa'*, pp. 102-103.] This view seeks further support in the rule of *Shari'ah* which authorises the Imam or the *mujtahid* to appoint as judge another *mujtahid* who may differ with him in *ijtihad*. This was, for example, the case when Abu Bakr appointed Zayd b. Thabit as a judge while it was common knowledge among the Companions that Zayd had differed with Abu Bakr on many issues. Had a difference of opinion in *ijtihadi* matters amounted to divergence from truth and indulgence in error, Abu Bakr would not have appointed Zayd to judicial office. And lastly, the proponents of this view have referred to the Hadith which reads: 'My Companions are like stars; any one of them that you follow will lead you to the right path.'

Had there been any substance to the idea that truth is unitary, the Prophet would have specified adherence only to those of his Companions who attained to it. [70. Shawkani, *Irshad*, p. 262; Amidi, *Ihkam*, IV, 152; Zuhayr, *Usul*, IV, 241.]

These differences may be resolved, as the majority of ulema suggest, in the light of the celebrated Hadith, which we quote again: 'When a judge renders *ijtihad* and gives a right judgement, he will have two rewards, but if he errs, he will still have earned one reward.' This Hadith clearly shows that the *mujtahid* is either right (*musib*), or in error (*mukhti*), that some *mujtahidun* attain the truth while others do not; but that sin attaches to neither as they are both rewarded for their efforts. Hence anyone who maintains that there are as many truths as there are *mujtahids* is clearly out of line with the purport of this Hadith. If every *mujtahid* were supposed to be right, then the division of *mujtahids* into two types in this Hadith would have no meaning. [71. Shawkani, *Irshad*, p. 261.]

## Classification and Restrictions

In their drive to impose restrictions on *ijtihad*, the ulema of *usul* of the fifth/eleventh century and the subsequent period classified *ijtihad* into several categories. Initially it was divided into two types: firstly, *ijtihad* which aims at deducing the law from the evidence in the sources, often referred to as 'independent *ijtihad*'; and secondly, *ijtihad* which is concerned mainly with the elaboration and implementation of the law within the confines of a particular school, known as 'limited *ijtihad*'. During the first two and a half centuries of Islam, there was never any attempt at denying a scholar the right to

find his own solutions to legal problems. It was only at a later period that the question of who was qualified to practice *ijtihad* was raised. From about the middle of the third/ninth century, the idea began to gain currency that only the great scholars of the past had enjoyed the right to practice *ijtihad*.<sup>[72. Cf. Schacht, 'Ijtihad', *Encyclopedia of Islam*, IV, 1029.]</sup> This was the beginning of what came to be known as the 'closure of the gate of *ijtihad*'. Before the fifth/eleventh century, no trace may be found of any attempt to classify *ijtihad* into categories of excellence. Al-Ghazali (d. 505/1111) was the first to divide *ijtihad* into two categories, as noted above.<sup>[73. Hallaq, *The Gate*, p. 18.]</sup> This division was later developed into five, and eventually into seven classes. While representing the prevailing opinion of his time, al-Ghazali admitted that independent *mujtahids* were already extinct.<sup>[74. While quoting Ghazali's statement, Shawkani (*Irshad*, p. 253) considers it of questionable validity and adds that Ghazali almost contradicted himself when he said that he did not follow Shafi'i in all his opinions.]</sup> About two centuries later, the number of the ranks of *mujtahidun* reached five, and by the tenth/sixteenth century seven ranks were distinguished, while from the sixth/twelfth century onwards jurists are said to belong to only the last two categories on the scale of seven.<sup>[75. A more detailed account of the historical development concerning the classification of *ijtihad* can be found in Hallaq, *The Gate*, p. 84ff.]</sup> This is as follows:

1) Full *Mujtahid* (*mujtahid fi'l-shar'*). This rank is assigned to those who fulfilled all the requirements of *ijtihad*. They deduced the *ahkam* from the evidence in the sources, and in so doing were not restricted by the rules of a particular *madhhab*. The learned among the Companions, and the leading jurists of the succeeding generation, like Sa'id b. al-Musayyib and Ibrahim al-Nakha'i, the leading Imams of the four schools, the leading Imams of the Shi'ah Muhammad al-Baqir and his son Ja'far al-Sadiq, al-Awza'i and many others were identified as independent *mujtahids*. It is by the authority of these that consensus of opinion, analogy, juristic preference, *maslahah mursalah*, etc., were formulated and established as the secondary proofs of Shari'ah.<sup>[76. Abu Zahrah, *Usul*, p. 310; Kassab, *Adwa'*, p. 38; Abdur Rahim, *Jurisprudence*, pp. 182-83.]</sup> Although Abu Yusuf and al-Shaybani are usually subsumed under the second rank, Abu Zahrah, who has written extensively on the lives and works of the leading ulema, regards them as full *mujtahids*. The criteria of distinguishing the first from the second class of *mujtahidun* is originality and independent thought. If this is deemed to be the case the mere fact that a *mujtahid* has concurred with the opinion of another is immaterial in the determination of his rank. For many of the leading *mujtahids* are known to have concurred with the views of other ulema. For example, it is known that Abu Hanifah on many occasions agreed with and followed the views of his teacher Ibrahim al-Nakha'i, but this was only because he was convinced of the accuracy of his reasoning, and not out of imitation for its own sake.<sup>[77. Abu Zahrah, *Usul*, p. 310; Kassab, *Adwa'*, p. 38; Abdur Rahim, *Jurisprudence*, pp. 182-83.]</sup>

The question arises whether this type of *ijtihad* is still open or came to an end with the so-called closure of the gate of *ijtihad*. With the exception of the Hanbalis who maintain that *ijtihad* in all of its forms remains open, the ulema of the other three schools have on the whole acceded to the view that independent *ijtihad* has discontinued.<sup>[78. While stating the position of the three Sunni schools on the point, Abu Zahrah (*Usul*, p. 311) adds that this is not definite as, for example, some Hanafis have considered Kamal al-Din ibn al-Humam as a *mujtahid* of the first class.]</sup> Another related question that has been extensively debated by the ulema is whether the idea of the total extinction of

*mujtahids* at any given period or generation is at all acceptable from the viewpoint of doctrine. Could the *Shari'ah* entertain such a possibility and maintain its own continuation, both at the same time? The majority of the ulema of *usul*, including al-Amidi, Ibn al-Hajib, Ibn al-Humam, Ibn al-Subki, and Zakariya al-Ansari have answered this question in the affirmative, whereas the Hanbalis have held otherwise. The Hanbalis have argued that *ijtihad* is an obligatory duty of the Muslim community whose total abandonment would amount to an agreement on deviation/error, which is precluded by the Hadith which states that 'My community shall never agree on an error.' [79. Muslim, *Sahih*, p. 290, Hadith no. 1095; Shawkani, *Irshad*, p. 253; Ghazali, *Mustasfa*, I, 111.]

To say that *ijtihad* is a *wajib*, whether *'ayni* or *kafa'i*, takes it for granted that it may never be discontinued. This is also the implication of another Hadith which provides that 'a section of my *ummah* will continue to be on the right path; they will be the dominant force and they will not be vanquished till the Day of Resurrection.' [80. Muslim, *Sahih*, p. 290, Hadith no. 1095; Shawkani, *Irshad*, p. 253; Ghazali, *Mustasfa*, I, 111.]

Since the successful pursuit of truth is not possible without knowledge, the survival of *mujtahidun* in any given age (*'asr*) is therefore sustained by this Hadith. Furthermore, according to some ulema, the duty to perform *ijtihad* is not fulfilled by means of limited *ijtihad* or by practicing the delivery of *fatwa* alone. According to the Hanbalis, the claim that *ijtihad* has discontinued is to be utterly rejected. *Ijtihad* is not only open, but no period may be without a *mujtahid*. The Shi'ah Imamiyyah have held the same view. The Shi'ah, however, follow their recognised Imams, in whose absence they may exercise *ijtihad* on condition that they adhere, both in principle and in detail, to the rulings of the Imams. In the absence of any ruling by the Imams, the Shi'ah recognise *'aql* as a proof following the Qur'an, the *Sunnah*, and the rulings of their Imams. [81. Abu Zahrah, *Usul*, p.312; Kassab, *Adwa'*, p.112.] And finally, it may be said that the notion of the discontinuation of *ijtihad* would appear to be in conflict with some of the important doctrines of *Shari'ah*. The theory of *ijma'*, for example, and the elaborate procedures relating to *qiyas* all proceed on the assumption that they are the living proofs of the law and contemplate the existence of *mujtahidun* in every age. [82. Cf. Abdur Rahim, *Jurisprudence*, p. 174.]

2) *Mujtahids* within the School. These are jurists who expounded the law within the confines of a particular school while adhering to the principles laid down by their Imams. Among the prominent names that feature in this category are Zafar b. al-Hudhayl, Hasan b. Ziyad in the Hanafi school; Isma'il b. Yahya al-Muzani, 'Uthman Taqi al-Din b. al-Salah and Jalal al-Din al-Suyuti in the Shafi'i; Ibn 'Abd al-Barr and Abu Bakr b. al-'Arabi in the Maliki, and Ibn Taymiyyah and his disciple Ibn Qayyim al-Jawziyyah in the Hanbali schools. It is observed that although these ulema all followed the doctrines of their respective schools, nevertheless they did not consider themselves bound to follow their masters in the implementation of the general principles or in arguments concerning particular issues. This is borne out by the fact that they have held opinions that were opposed to those of their leading Imams. [83. Abu Zahrah, *Usul*, p. 312; Kassab, *Adwa'*, p. 39; Abdur Rahim, *Jurisprudence*, p. 183.]

3) *Mujtahids* on Particular Issues. These are jurists who were competent to elucidate and apply the law in particular cases which were not settled by the jurists of the first and second ranks. They did not oppose the leading *mujtahidun* and generally followed the established principles of their schools. Their main pre-occupation was to elaborate the law on fresh points which were not clearly determined by the higher authorities. Scholars like Abu'l-Hasan al-Karkhi and Abu Ja'far al-Tahawi in the Hanafi school, Abu al-Fadl al-Marwazi and Abu Ishaq al-Shirazi in the Shafi'i, Abu Bakr al-Abhari in the Maliki and 'Amr b. Husayn al-Khiraqi in the Hanbali schools have been placed in this category.

All the preceding three classes were designated as *mujtahids*, but the remaining four classes of ulema, as described below have been classified as imitators. [84. Abu Zahrah, *Usul*, p. 314; Kassab, *Adwa'*, p. 40; Aghnides, *Muhammedan Theories*, p. 95; *Mawsu'ah Jamal*, I, 253, and VII, 387.]

4) The so-called *ashab al-takhrij*, who did not deduce the *ahkam* but were well conversant in the doctrine and were able to indicate which view was preferable in cases of ambiguity, or regarding suitability to prevailing conditions. [85. Abu Zahrah, *Usul*, p. 315; Kassab, *Adwa'*, p. 40; Aghnides, *Muhammedan Theories*, p. 96.]

5) The *ashab al-tarjih* are those who were competent to make comparisons and distinguish the correct (*sahih*) and the preferred (*rajih, arjah*) and the agreed upon (*mufta biha*) views from the weak ones. Authors like 'Ala' al-Din al-Kasani and Burhan al-Din al-Marghinani of the Hanafi school, Muhyi al-Din al-Nawawi of the Shafi'i, Ibn Rushd al-Qurtubi of the Maliki and Muwaffaq al-Din ibn Qudamah of the Hanbali schools and their equals have been placed in this category. [86. Abu Zahrah, *Usul*, p. 315; Kassab, *Adwa'*, p. 40; Aghnides, *Muhammedan Theories*, p. 96.]

6) The so-called *ashab al-tashih*: those who could distinguish between the manifest (*zahir al-riwayah*) and the rare and obscure (*al-nawadir*) views of the schools of their following. Textbook writers whose works are in use in the various *madhahib* are said to fall into this category. [87. Abu Zahrah, *Usul*, p. 315; Kassab, *Adwa'*, p. 40; Aghnides, *Muhammedan Theories*, p. 96.]

It will be noted here that the previous three categories are somewhat overlapping and could be unified under one category to comprise all those who drew comparisons and evaluated the strengths and weaknesses of the existing views.

7) And finally the *muqallidun*, or the 'imitators', who lack the abilities of the above and comprise all who do not fall in any of the preceding classes. It is said concerning them that, They do not distinguish between the lean and the fat, right and left, but get together whatever they find, like the one who gathers wood in the dark of the night. [88. Abu Zahrah, *Usul*, p.316.]

While referring to this classification, Aghnides is probably right in observing that 'It implies a gratuitous assumption that the latter mujtahids could not show greater independence of thought.'<sup>[89]</sup>

Aghnides, *Muhammedan Theories*, p. 96.] The restrictions that were imposed on *ijtihad* and the ensuing phenomenon of the 'closing of its gate' are, in the most part, an historical development which could find little if any support in the legal theory of *ijtihad*. Similarly, the notion that the ulema, at around the beginning of the fourth century, reached such an immutable consensus of opinion that further *ijtihad* was unnecessary is ill-conceived and untenable.<sup>[90. Cf. Weiss, 'Interpretation', p. 208.]</sup> The mendacity of such a claim is attested by the rejection on the part of numerous ulema, including those of the Hanbali and the Shi'ah Imamiyyah, of the validity of such a consensus.

Authors throughout the Muslim world have begun to criticise *taqlid* and advocate the continued validity of *ijtihad* as a divinely prescribed legal principle. A number of most prominent ulema, including Shah Wali Allah, Muhammad b. Isma'il al-San'ani, Muhammad bin 'Ali al-Shawkani and Ibn 'Ali al-Sanusī led the call for the revival of *ijtihad*.<sup>[91. Further details on developments in the Hijaz and in the Indian subcontinent can be found in Fazlur Rahman, *Islam*, p.197 ff; Enayat, *Modern Islamic Political Thought*, p. 63 ff.]</sup> The nineteenth century Salafiyyah movement in Egypt advocated the renovation of Islam in the light of modern conditions and the total rejection of *taqlid*.

Al-Shawkani (d.1255/1839) vehemently denies the claim that independent *mujtahidun* have become extinct, a claim which smacks of 'crass ignorance and is utterly to be rejected'. The same author goes on to name a number of prominent ulema who have achieved the highest rank of erudition in *Shari'ah*. Among the Shafi'is, for example, at least six such ulema can be named who have fulfilled, in an uninterrupted chain of scholarship, all the requirements of *ijtihad*. These are 'Izz al-Din ibn 'Abd al-Salem and his disciple, Ibn Daqiq al-'Id, then the latter's disciple Muhammad ibn Sayyid al-Nas, then his disciple Zayn al-Din al-'Iraqi, his disciple Ibn Hajar al-'Asqalani, and his disciple, Jalal al-Din al-Suyuti. That they were all full *mujtahids* is attested by the calibre of their works and the significant contributions they have made to the *Shari'ah*. The first two of these are particularly prominent. In his well-recognised juristic work. *At-Bahr al-Muhit*, Muhammad b. 'Abd Allah al-Zarkashi has acknowledged that they had both attained the rank of *mujtahid*. 'It is utter nonsense' writes al-Shawkani, 'to say that God Almighty bestowed the capacity for knowledge and *ijtihad* on the bygone generations of ulema but denied it to the later generations.' What the proponents of *taqlid* are saying to us is that we must know the Qur'an and the *Sunnah* through the words of other men while we still have the guidance in our hands. Praise be to God, this is the greatest lie (*buhtanun 'azim*) and there is no reason in the world to vindicate it.<sup>[92. Shawkani, *Irshad*, p. 254]</sup>

Iqbal Lahori considers the alleged closure of the gate of *ijtihad* to be 'a pure fiction' suggested partly by the crystallization of legal thought in Islam, and partly by that intellectual laziness which, especially in periods of spiritual decay, turns great thinkers into idols. Iqbal continues: if some of the later doctors



have upheld this fiction, 'modern Islam is not bound by this voluntary surrender of intellectual independence'. [93. Iqbal, *Reconstruction*, p. 178.]

Abu Zahrah is equally critical of the alleged closure of the door of *ijtihad*. How could anyone be right in closing the door that God Almighty has opened for the exertion of the human intellect? Anyone who has advanced this claim could surely have no convincing argument to prove it. Abu Zahrah continues: the fact that *ijtihad* has not been actively pursued has had the chilling effect of moving the people further away from the sources of the *Shari'ah*. The tide of *taqlid* has carried some so far as to say that there is no further need to interpret the Qur'an and Hadith now that the door of *ijtihad* is closed. In Abu Zahrah's phrase, 'nothing is further from the truth - and we seek refuge in God from such excesses'. [94. Abu Zahrah, *Usul*, p. 318.]

## Conclusion

The conditions under which *ijtihad* was formerly practiced by the ulema of the early periods are no longer what they were. For one thing, the prevalence of statutory legislation as the main instrument of government in modern times has led to the imposition of further restrictions on *ijtihad*. The fact that the law of the land in the majority of Islamic countries has been confined to the statute book, and the parallel development whereby the role of interpreting the statute has also been assigned to the courts of law, has had, all in all, a discouraging effect on *ijtihad*. The *mujtahid* is given no recognised status, nor is he required to play a definite role in legislation or the administration of justice in the courts. This is confirmed by the fact that many modern constitutions in Islamic countries are totally silent on *ijtihad*. It was this total neglect of *ijtihad* which prompted Iqbal to propose, in his well-known work 'The Reconstruction of Religious Thought in Islam, that the only way to utilise both *ijma`* and *ijtihad* (which he refers to as the 'principle of movement') into the fabric of modern government is to institutionalise *ijtihad* by making it an integral feature of the legislative function of the state (P. 174).

Essentially the same view has been put forward by al-Tamawi, who points out that *ijtihad* by individuals in the manner that was practiced by the *fuqaha'* of the past is no longer suitable to modern conditions. The revival of *ijtihad* in our times would necessitate efforts which the government must undertake. Since education is the business and responsibility of modern governments, it should be possible to provide the necessary education and training that a *mujtahid* would need to possess, and to make attainment to this rank dependent on special qualifications. Al-Tamawi further recommends the setting up of a council of qualified *mujtahids* to advise in the preparation and approval of statutory law so as to ensure its harmony with *Shari'ah* principles. [95. Tamawi, *Al-Sulutat*, p. 307.]

This is, of course, not to say that the traditional forms of learning in the *Shari`ah* disciplines, or of the practice of *ijtihad*, are obsolete. On the contrary, the contribution that the ulema and scholars can make, in their individual capacities, to the incessant search for better solutions and more refined alternatives should never be underestimated. It is further hoped that, for its part, government will also play a positive role in preserving the best heritage of the traditional modes of learning, and encourage the ulema to enhance their contribution to law and development. The universities and legal professions in many Islamic countries are currently committed to the training of lawyers and barristers in the modern law stream. To initiate a comprehensive and well-defined programme of education for prospective *mujtahids*, which would combine training in both the traditional and modern legal disciplines, would not seem to be beyond the combined capabilities of universities and legal professions possessed of long-standing experience in Islamic legal education.

Furthermore, in a *Shari'ah*-oriented government it would seem desirable that the range of selection to senior advisory, educational and judicial posts would include the qualified *mujtahidun*. This would hopefully provide the basis for healthy competition and incentives for high performance among the candidates, and help to create a definite role for them in the various spheres of government.